



# Functional Programming

## Week 4 – Polymorphism

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## Last Lecture

- function definitions by pattern matching
  - allow several equations for each function
  - equations are tried from top to bottom
- patterns
  - `x, _, CName pat1 ... patN, x@pat`
  - variable names must be distinct
  - patterns describe shape of inputs
- recursion
  - in a defining equation of function `f` one can use `f` already in the rhs
$$f \text{ pat1 } \dots \text{ patN} = \dots (f \text{ expr1 } \dots \text{ exprN}) \dots$$
  - the arguments in each **recursive call** should be smaller than in the lhs

## List Examples

- task 1: append two lists, e.g., appending [1,5] and [3] yields [1,5,3]

- prerequisite: concrete representation of abstract lists in Haskell

```
data List = Empty | Cons Integer List
```

```
-- abstract list [1,5] is represented as Cons 1 (Cons 5 Empty)
```

- solution to task 1: pattern matching and recursion on first argument

```
append Empty ys = ys
```

```
append (Cons x xs) ys = Cons x (append xs ys)
```

interpretation of the second equation

- first append the remaining list `xs` and `ys` (`append xs ys`), afterwards insert `x` in front of the result
- task 2: determine last element of list
- solution: consider three cases (list with at least two elements, singleton list, empty list)

```
lastElem (Cons _ xs@(Cons _ _)) = lastElem xs
```

```
lastElem (Cons x _) = x -- here the order of eq. matters
```

```
lastElem Empty = error "empty list has no last element"
```

## Example – Datatypes Expr and List

- consider datatype for expressions

```
data Expr = Number Integer | Plus Expr Expr | Negate Expr
```

- task: create list of all numbers that occur in expression
- solution

```
numbers :: Expr -> List
```

```
numbers (Number x)    = Cons x Empty
```

```
numbers (Plus e1 e2) = append (numbers e1) (numbers e2)
```

```
numbers (Negate e)    = numbers e
```

- remarks
  - the rhs of the first equation must be `Cons x Empty` and not just `x`:  
the result must be a list of numbers
  - `numbers` (and also `append`) is defined via **structural recursion**:  
invoke the function recursively for each recursive argument of a datatype  
(`e1` and `e2` for `Plus e1 e2`, and `e` for `Negate e`, but not `x` of `Number x`)

## Decomposition and Auxiliary Functions

- during the definition of new functions, often some functionality is missing
- task: define a function to remove all duplicates from a list
- solution:

```
remdups Empty = Empty
remdups (Cons x xs) = Cons x (remove x (remdups xs))
-- subtask: define "remove x xs" to delete each x from list xs
remove x Empty = Empty
remove x (Cons y ys) = rHelper (x == y) y (remove x ys)
rHelper True _ xs = xs
rHelper False y xs = Cons y xs
```

- remarks
  - solution above uses structural recursion: `remdups (Cons x xs)` invokes `remdups xs`
  - alternative solution with non-structural recursion: replace 2nd equation by  
`remdups (Cons x xs) = Cons x (remdups (remove x xs))`

# Parametric Polymorphism

## Limitations of Datatype Definitions

- task: define a datatype for lists of **numbers** and a function to compute their length

```
data IntList = EmptyIL | ConsIL Integer IntList
```

```
lenIL EmptyIL = 0
```

```
lenIL (ConsIL _ xs) = 1 + lenIL xs
```

- task: define a datatype for lists of **strings** and a function to compute their length

```
data StringList = EmptySL | ConsSL String StringList
```

```
lenSL EmptySL = 0
```

```
lenSL (ConsSL _ xs) = 1 + lenSL xs
```

- observations

- the datatype and function definitions are nearly identical:  
only difference is type of elements (**Integer/String**) and type/function/constructor names
- creating a copy for each new element type is not desirable for many reasons
  - writing the same functionality over and over again initially is tedious and error-prone
  - changing the implementation later on is even more tedious and error-prone – integrate changes for every element type
- aim: define one **generic** list datatype and functions on these generic lists – **polymorphism**

## Two Kinds of Polymorphism

- **parametric** polymorphism
  - key idea: provide **one definition** that can be used in various ways
  - examples
    - a datatype definition for arbitrary lists (parametrized by type of elements)
    - a datatype definition for arbitrary pairs (parametrized by two types)
    - ...
    - a function definition that works on parametric lists, pairs, ...;  
examples: length, append two lists, first component of pair, ...
- **ad-hoc** polymorphism
  - key idea: provide similar functionality under **same name** for different types
  - examples
    - (==) is equality operator; different implementations for strings, integers, floats, ...
    - (+) is addition operator; different implementations for integers, floats, ...
    - **minBound** gives smallest value for bounded types; different implementations for **Int**, **Char**, ...
  - advantage: uniform access (instead of **==Int**, **==String**, **==Double**)



# Type Variables

- definition of polymorphic types and functions requires **type variables**
- type variables
  - start with a lowercase letter; usually a single letter is used, e.g., **a**, **b**, ...
  - a type variable represents any type
  - type variables can be substituted by (more concrete) types
- type **ty1** is **more general** than **ty2** if **ty2** can be obtained from **ty1** by a type substitution
- **important**: it is allowed to replace generic types with more concrete ones; whenever **expr :: ty1** and **ty1** is more general than **ty2** then **expr :: ty2**
- types **ty1** and **ty2** are **equivalent** if **ty1** is more general than **ty2** and vice versa
- examples
  - **a** is more general than any other type
  - **a -> b -> a** is more general than  $\underbrace{\text{Int} \rightarrow \text{Char} \rightarrow \text{Int}}_{a/\text{Int}, b/\text{Char}}$ ,  $\underbrace{a \rightarrow \text{Bool} \rightarrow a}_{a/a, b/\text{Bool}}$ ,  $\underbrace{c \rightarrow c \rightarrow c}_{a/c, b/c}$
  - **a -> b -> a** is equivalent to **b -> a -> b**
  - **a -> b -> a** is not more general than **a -> b -> c**
  - **someFun**  $\underbrace{\text{True}}_a \underbrace{x}_b \underbrace{y}_c = \underbrace{x}_d$  is a function with type  $\underbrace{\text{Bool} \rightarrow}_{a/\text{Bool}} b \rightarrow c \rightarrow \underbrace{b}_{d/b}$

# Types Revisited

- already known: definition of (basic) Haskell expressions and patterns
- now: definition of **types**
- prerequisite: **type constructors** (`TConstr`)
  - similarity to (value-)constructors (`Cons`, `True`, ...)
    - start with uppercase letter
    - have a fixed arity
  - difference to constructors: type constructors are used to construct types
- a **Haskell type** has one of the following three shapes
  - `a` a type variable
  - `TConstr ty1 ... tyN` a type constructor of arity `N` applied to `N` types
  - `(ty)` parentheses are allowed
- examples (type constructors of arity 0: `Char`, `Bool`, `Integer`, ...; arity 2: `->`)
  - `->` without the two arguments is not a type
  - `a -> Int` – type of functions that take an arbitrary input and deliver an `Int`
  - `Bool -> (a -> Int)` – type of f. that take a `Bool` and deliver a f. of type `a -> Int`
  - `Bool -> a -> Int` – same as above (!), `->` associates to the right
  - `(Bool -> a) -> Int` – take a function of type `Bool -> a` as input, deliver an `Int`

## Class Assertions and Predefined Type Classes

- often a type variable `a` needs to be constrained to belong to a certain **type class**
  - a type `a` for which `(+)`, `(-)`, `(*)` is defined: `type class Num a`
  - a type `a` for which `(/)` is defined: `type class Fractional a`
  - a type `a` for which `(==)`, `(/=)` is defined: `type class Eq a`
  - a type `a` for which `(<)`, `(<=)`, ... is defined: `type class Ord a`
  - a type `a` for which `show :: a -> String` is defined: `type class Show a`
- these constraints are called **class assertions** in Haskell, notation via `=>`

- examples

```
f x y = x           -- f :: a -> b -> a
g x y = x + y - 3   -- g :: Num a => a -> a -> a
h x y = "cmp is " ++ show (x < y) -- h :: Ord a => a -> a -> String
i x = "result: " ++ show (x + 3)  -- i :: (Num a, Show a) => a -> String
```

- type substitutions need to respect class assertions
  - `g False True` is not allowed since `Bool` is not an instance of `Num`
  - `i (5 :: Int)` is allowed since `Int` is an instance of both `Num` and `Show`

## Datatypes with Parametric Polymorphism

- previous definition

```
data TName =  
    CName1 type1_1 ... type1_N1  
  | ...  
  | CNameM typeM_1 ... typeM_NM
```

- new definition

```
data TConstr a1 ... aK =  
    CName1 type1_1 ... type1_N1  
  | ...  
  | CNameM typeM_1 ... typeM_NM
```

- new definition is more general ( $K$  can be zero)
- $a1 \dots aK$  have to be distinct type variables
- `TConstr` is a new type constructor with arity  $K$
- $a1 \dots aK$  can be used in any of the types `typeI_J`, but no other type variables
- `CName1 :: type1_1 -> ... -> type1_N1 -> TConstr a1 ... aK`, etc.

## Examples using Parametric Polymorphism

# Parametric Lists

```
data List a = Empty | Cons a (List a)
```

- List is unary type constructor
- example types
  - List a – list of arbitrary elements
  - List Integer – list of integers
  - List Bool – list of Booleans
  - List (List Integer) – list whose elements are lists of integers
- type of constructors
  - Empty :: List a
  - Cons :: a -> List a -> List a
- example values
  - Empty :: List a, Empty :: List Integer, Empty :: List (List Bool), ...
  - Cons 7 (Cons 5 Empty) :: List Integer, Cons True Empty :: List Bool, ...
  - Cons (Cons 7 (Cons 5 Empty)) (Cons Empty Empty) :: List (List Int)  

not allowed, cannot mix element types
  - Cons True (Cons 7 Empty)

## Functions on Parametric Lists

```
data List a = Empty | Cons a (List a)
```

- example programs

```
len :: List a -> Int    -- parametric function definition
```

```
len Empty = 0
```

```
len (Cons _ xs) = 1 + len xs
```

```
first :: List a -> a
```

```
first (Cons x _) = x
```

## Parametric Lists Continued

```
data List a = Empty | Cons a (List a)
```

- function definitions can enforce certain class assertions

- example: replace all occurrences of `x` by `y` in a list

```
replace :: Eq a => a -> a -> List a -> List a
replace _ _ Empty = Empty
replace x y (Cons z zs) = rHelper (x == z) y z (replace x y zs)
rHelper True  y _ xs = Cons y xs
rHelper False _ z xs = Cons z xs
```

- class assertion `Eq a =>` is required since list elements are compared via `==`
- function definitions can enforce a concrete element type

- example: replace all occurrences of `'A'` by `'B'` in a list

```
replaceAB :: List Char -> List Char
replaceAB xs = replace 'A' 'B' xs
```

- important: since `replace` asserts class `Eq a`, and this `a` is instantiated by `Char` in `replaceAB`, it is checked that `Char` indeed is in type class `Eq`



## Lists in Haskell

- the list type from previous three slides is actually predefined in Haskell
- only difference: names

- instead of `List a` one writes `[a]`
- instead of `Empty` one writes `[]`
- instead of `Cons x xs` one writes `x : xs`
- in total

(and `:` is called “Cons”)

```
data [a] = [] | a : [a]
```

- list constructor `(:)` associates to the right:

```
1 : 2 : 3 : [] = 1 : (2 : (3 : []))
```

- special list syntax for finite lists: `[1, 2, 3] = 1 : 2 : 3 : []`

- example: append on Haskell lists

```
append :: [a] -> [a] -> [a]
```

```
append [] ys = ys
```

```
append (x : xs) ys = x : append xs ys
```

## Tuples

- tuples are a frequently used datatype to provide several outputs at once; example: a division-with-remainder function should return two numbers, the quotient and the remainder
- it is easy to define various tuples in Haskell

```
data Unit = Unit           -- tuple with 0 entries
data Pair a b = Pair a b  -- tuple with 2 entries
data Triple a b c = Triple a b c  -- tuple with 3 entries
```

- example: find value of key 'y' in list of key/value-pairs

```
findY :: [Pair Char a] -> a
findY []                = error "cannot find y"
findY (Pair 'y' v : _) = v
findY (_ : xs)         = findY xs
```

remark: one would usually define a function to search for arbitrary keys

## Tuples in Haskell

- tuples are predefined in Haskell (so there is no need to define `Pair`, `Triple`, ...)
- for every  $n \neq 1$  Haskell provides:
  - a type constructor `( , ..., )` (with  $n$  entries)
  - a (value) constructor `( , ..., )` (with  $n$  entries)
- examples
  - `Pair a b` and `Triple a b c` are equivalent to `(a, b)` and `(a, b, c)`
  - `(5, True, "foo") :: (Int, Bool, String)`
  - `() :: ()`
  - `(5)` is just the number `5`, so no 1-tuple
  - `(1, 2, 3)` is neither the same as `((1, 2), 3)` nor as `(1, (2, 3))`
- example program from previous slide using predefined tuples

```
findY :: [(Char, a)] -> a
findY []           = error "cannot find y"
findY (('y', v) : _) = v
findY (_ : xs)     = findY xs
```

```
data Maybe a = Nothing | Just a
```

- `Maybe` is predefined Haskell type to specify optional results
- example application: safe division without runtime errors

```
divSafe :: Double -> Double -> Maybe Double
```

```
divSafe x 0 = Nothing
```

```
divSafe x y = Just (x / y)
```

```
data Expr = Plus Expr Expr | Div Expr Expr | Number Double
```

```
eval :: Expr -> Maybe Double
```

```
eval (Number x) = Just x
```

```
eval (Plus x y) = plusMaybe (eval x) (eval y)
```

```
eval (Div x y) = divMaybe (eval x) (eval y)
```

```
plusMaybe (Just x) (Just y) = Just (x + y)
```

```
plusMaybe _ _ = Nothing
```

```
divMaybe (Just x) (Just y) = divSafe x y
```

```
divMaybe _ _ = Nothing
```

```
data Either a b = Left a | Right b
```

- `Either` is predefined Haskell type for specifying alternative results
- example application: model optional values with error messages

```
divSafe :: Double -> Double -> Either String Double
divSafe x 0 = Left ("don't divide " ++ show x ++ " by 0")
divSafe x y = Right (x / y)
```

```
data Expr = Plus Expr Expr | Div Expr Expr | Number Double
```

```
eval :: Expr -> Either String Double
eval (Number x) = Right x
eval (Plus x y) = plusEither (eval x) (eval y)
eval (Div x y)  = divEither (eval x) (eval y)

divEither (Right x) (Right y) = divSafe x y
divEither e@(Left _) _      = e      -- new case analysis required
divEither _ e                = e
```

```
plusEither ... = ...
```

## Summary

- usage of type variables and parametric polymorphism
  - datatypes with type variables
  - polymorphic functions, potentially include class assertions  
(example: `f :: (Eq a, Show b) => a -> Bool -> a -> b -> String, ...`)
- predefined datatypes
  - lists `[a]`
  - tuples `(..., ..., ...)`
  - option type `Maybe a`
  - sum type `Either a b`
- predefined type classes
  - arithmetic except division: `Num a`
  - arithmetic including division: `Fractional a`
  - equality between elements: `Eq a`
  - smaller than and greater than: `Ord a`
  - conversion to `Strings`: `Show a`