

SAT and SMT Solving

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Outline

- Summary of Last Week
- Deciding EQ: Equality Graphs
- Deciding EUF: Congruence Closure
- Correctness of $\text{DPLL}(T)$
- Some More Practical SMT

First-Order Logic: Syntax

Definitions

- ▶ signature $\Sigma = \langle \mathcal{F}, \mathcal{P} \rangle$ consists of
 - ▶ set of function symbols \mathcal{F}
 - ▶ set of predicate symbols \mathcal{P}where each symbol is associated with fixed arity

- ▶ Σ -terms t are built according to grammar

$$t ::= x \mid c \mid f(\underbrace{t, \dots, t}_n)$$

- ▶ Σ -formulas φ are built according to grammar

$$\varphi ::= Q \mid P(\underbrace{t, \dots, t}_n) \mid \perp \mid \top \mid \neg\varphi \mid \varphi \wedge \varphi \mid \varphi \vee \varphi \mid \forall x. \varphi \mid \exists x. \varphi$$

- ▶ variable occurrence is **free** in φ if it is not bound by quantifier above
- ▶ formulas without free variables are **sentences**

First-Order Logic: Semantics

Definition

model \mathcal{M} for signature $\Sigma = \langle \mathcal{F}, \mathcal{P} \rangle$ consists of

- 1 non-empty set A (universe of concrete values)
- 2 function $f^{\mathcal{M}}: A^n \rightarrow A$ for every n -ary $f \in \mathcal{F}$
- 3 set of n -tuples $P^{\mathcal{M}} \subseteq A^n$ for every n -ary $P \in \mathcal{P}$

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- environment for model \mathcal{M} with universe A is mapping $I: X \rightarrow A$

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- ▶ environment for model \mathcal{M} with universe A is mapping $l: X \rightarrow A$
- ▶ value $t^{\mathcal{M},l}$ of term t in model \mathcal{M} wrt environment l :
 $t^{\mathcal{M},l} = l(t)$ if t is a variable, and $t^{\mathcal{M},l} = f^{\mathcal{M}}(t_n^{\mathcal{M},l}, \dots, t_1^{\mathcal{M},l})$ otherwise

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▶

$$\mathcal{M} \models_I \varphi \iff \begin{cases} (t_1^{\mathcal{M}, I}, \dots, t_n^{\mathcal{M}, I}) \in P^{\mathcal{M}} & \text{if } \varphi = P(t_1, \dots, t_n) \\ \mathcal{M} \not\models_I \psi & \text{if } \varphi = \neg \psi \\ \mathcal{M} \models_I \varphi_1 \text{ and } \mathcal{M} \models_I \varphi_2 & \text{if } \varphi = \varphi_1 \wedge \varphi_2 \\ \mathcal{M} \models_I \varphi_1 \text{ or } \mathcal{M} \models_I \varphi_2 & \text{if } \varphi = \varphi_1 \vee \varphi_2 \\ \mathcal{M} \models_{I[x \mapsto a]} \psi \text{ for all } a \in A & \text{if } \varphi = \forall x. \psi \\ \mathcal{M} \models_{I[x \mapsto a]} \psi \text{ for some } a \in A & \text{if } \varphi = \exists x. \psi \end{cases}$$

Definition

- ▶ formula φ is **satisfiable** if $\mathcal{M} \models_I \varphi$ for some \mathcal{M} and I
- ▶ set of formulas T is **satisfiable** if $\mathcal{M} \models_I \bigwedge_{\varphi \in T} \varphi$ for some \mathcal{M} and I

Remark

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for theory T , formulas F and G and list of literals M :

- ▶ F is **T -consistent** (or **T -satisfiable**) if $\{F\} \cup T$ is satisfiable
- ▶ F is **T -inconsistent** (or **T -unsatisfiable**) if not T -consistent
- ▶ F entails G in T (denoted $F \models_T G$) if $F \wedge \neg G$ is T -inconsistent
- ▶ F and G are **T -equivalent** (denoted $F \equiv_T G$) if $F \models_T G$ and $G \models_T F$

Definition (Theory of Equality EQ)

- ▶ signature: no function symbols, binary predicate =
- ▶ axioms:

$$\forall x. (x = x) \quad \forall x y. (x = y \rightarrow y = x) \quad \forall x y z. (x = y \wedge y = z \rightarrow x = z)$$

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Definition (Theory of Equality With Uninterpreted Functions EUF)

- ▶ signature: function symbols \mathcal{F} , predicate symbols \mathcal{P} including binary =
- ▶ axioms:

$$\forall x. (x = x) \quad \forall x y. (x = y \rightarrow y = x) \quad \forall x y z. (x = y \wedge y = z \rightarrow x = z)$$

plus for all $f/n \in \mathcal{F}$ and $P/n \in \mathcal{P}$ functional consistency axioms:

$$\forall x_1 y_1 \dots x_n y_n. (x_1 = y_1 \wedge \dots \wedge x_n = y_n \rightarrow f(x_1, \dots, x_n) = f(y_1, \dots, y_n))$$

$$\forall x_1 y_1 \dots x_n y_n. (x_1 = y_1 \wedge \dots \wedge x_n = y_n \rightarrow (P(x_1, \dots, x_n) \rightarrow P(y_1, \dots, y_n)))$$

Definition

DPLL(T) consists of DPLL rules unit propagate, decide, fail, and restart plus

- ▶ T -backjump
$$M I^d N \parallel F, C \implies M I' \parallel F, C$$
if $M I^d N \models \neg C$ and \exists clause $C' \vee I'$ such that
 - ▶ $F, C \models_T C' \vee I'$
 - ▶ $M \models \neg C'$ and I' is undefined in M , and I' or I'^c occurs in F or in $M I^d N$
- ▶ T -learn
$$M \parallel F \implies M \parallel F, C$$
if $F \models_T C$ and all atoms of C occur in M or F
- ▶ T -forget
$$M \parallel F, C \implies M \parallel F$$
if $F \models_T C$
- ▶ T -propagate
$$M \parallel F \implies M I \parallel F$$
if $M \models_T I$, literal I or I^c occurs in F , and I is undefined in M

Naive Lazy Approach in DPLL(T)

- ▶ whenever state $M \parallel F$ is final wrt unit propagate, decide, fail, T -backjump: check T -consistency of M with T -solver
- ▶ if M is T -consistent then satisfiability is proven
- ▶ otherwise $\exists l_1, \dots, l_k$ subset of M such that $\models_T \neg(l_1 \wedge \dots \wedge l_k)$
- ▶ use T -learn to add $\neg l_1 \vee \dots \vee \neg l_k$
- ▶ apply restart

Improvement 1: Incremental T -Solver

- ▶ T -solver checks T -consistency of model M whenever literal is added to M

Improvement 2: On-Line SAT solver

- ▶ after T -learn added clause, apply fail or T -backjump instead of restart

Improvement 3: Eager Theory Propagation

- ▶ apply T -propagate before decide

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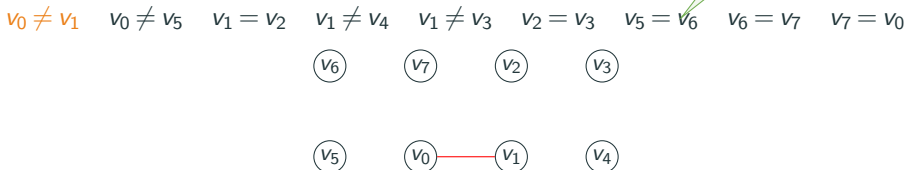
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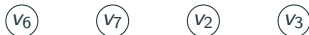
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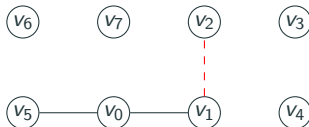
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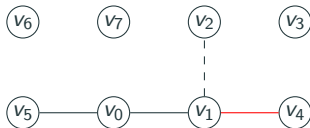
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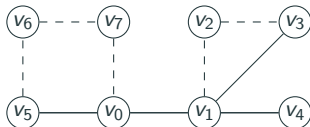
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φ_{EQ} is *satisfiable* iff its equality graph has *no contradictory cycle*

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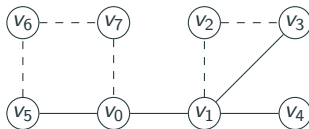
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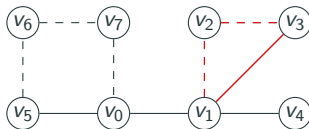
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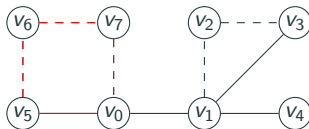
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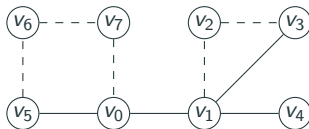
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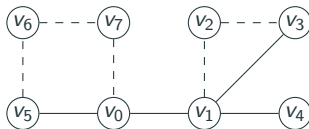
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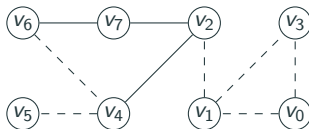
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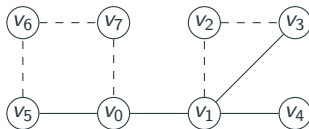
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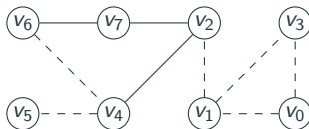
$v_0 \neq v_1$ $v_0 \neq v_5$ $v_1 = v_2$ $v_1 \neq v_4$ $v_1 \neq v_3$ $v_2 = v_3$ $v_5 = v_6$ $v_6 = v_7$ $v_7 = v_0$



unsatisfiable

Example

$v_0 = v_1$ $v_0 = v_2$ $v_1 = v_2$ $v_1 = v_3$ $v_2 \neq v_4$ $v_4 = v_5$ $v_4 = v_6$ $v_6 \neq v_7$ $v_7 \neq v_2$



satisfiable

- Summary of Last Week
- Deciding EQ: Equality Graphs
- Deciding EUF: Congruence Closure
- Correctness of DPLL(T)
- Some More Practical SMT

Aim

build **theory solver** for theory of equality with uninterpreted functions (EUF)

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Definitions (Terms)

- ▶ set of function symbols \mathcal{F} with fixed arity

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Definitions (Terms)

► set of function symbols \mathcal{F}

with fixed arity

number of arguments

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- ▶ set of function symbols \mathcal{F} with fixed arity
- ▶ set of variables V

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- ▶ set of function symbols \mathcal{F} with fixed arity
- ▶ set of variables V
- ▶ **terms** $\mathcal{T}(\mathcal{F}, V)$ are built according to grammar
$$t ::= x \mid c \mid f(\underbrace{t, \dots, t}_n)$$

if $x \in V$, c is constant, and $f \in \mathcal{F}$ has arity n

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Example

- ▶ for $\mathcal{F} = \{f/1, g/2, a/0\}$ and $x, y \in V$ have terms $a, f(x), f(a), g(x, f(y)), \dots$

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$$Sub(t) = \begin{cases} \{t\} & \text{if } t \in V \end{cases}$$

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- ▶ for $t = g(g(x, x), f(f(a)))$ have $Sub(t) = \{t, g(x, x), x, f(f(a)), f(a), a\}$

Congruence Closure

Input: set of equations E and equation $s = t$ (without variables, only constants)

Output: $s = t$ is *implied* ($E \models_{EUF} s = t$) or *not implied* ($E \not\models_{EUF} s = t$)

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1 build congruence classes

(a) collect all subterms of terms in $E \cup \{s = t\}$

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 - (c) merge sets $\{\dots, t_1, \dots\}$ and $\{\dots, t_2, \dots\}$ for all $t_1 = t_2$ in E

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 - (d) merge sets $\{\dots, f(t_1, \dots, t_n), \dots\}$ and $\{\dots, f(u_1, \dots, u_n), \dots\}$ if t_i and u_i belong to same set for all $1 \leq i \leq n$

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 - (e) repeat (d) until no change

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 - (e) repeat (d) until no change
- 1 if s and t belong to same set then return *implied* else return *not implied*

Example (1)

- ▶ given set of equations E

$$f(f(f(a))) = g(f(g(f(b)))) \quad f(g(f(b))) = f(a) \quad g(g(b)) = g(f(a)) \quad g(a) = b$$

and test equation $f(a) = g(a)$

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- ▶ sets

- | | | | |
|---------------|---------------------|-------------------------|----------------|
| 1. $\{a\}$ | 5. $\{f(f(a))\}$ | 9. $\{f(g(f(b)))\}$ | 13. $\{g(a)\}$ |
| 2. $\{f(a)\}$ | 6. $\{f(f(f(a)))\}$ | 10. $\{g(f(g(f(b))))\}$ | |
| 3. $\{b\}$ | 7. $\{f(b)\}$ | 11. $\{g(g(b))\}$ | |
| 4. $\{g(b)\}$ | 8. $\{g(f(b))\}$ | 12. $\{g(f(a))\}$ | |

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- ▶ sets

- | | | |
|-----------------------------|--------------------------------------|------------------------------|
| 1. $\{ a \}$ | 5. $\{ f(f(a)) \}$ | |
| 2. $\{ f(a), f(g(f(b))) \}$ | 6. $\{ f(f(f(a))), g(f(g(f(b)))) \}$ | |
| 3. $\{ b, g(a) \}$ | 7. $\{ f(b) \}$ | 11. $\{ g(g(b)), g(f(a)) \}$ |
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- | | |
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| 1. $\{ a \}$ | 5. $\{ f(f(a)) \}$ |
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- ▶ sets

- | | |
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| 3. $\{ b, g(a) \}$ | 7. $\{ f(b) \}$ |
| 4. $\{ g(b) \}$ | 8. $\{ g(f(b)) \}$ |

- ▶ conclusion: $E \not\models_{EUF} f(a) = g(a)$

Example (2)

- ▶ given set of equations E

$$f(f(f(a))) = a$$

$$f(f(f(f(f(a))))) = a$$

and test equaton $f(a) = a$

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$$f(f(f(a))) = a$$

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- ▶ $\{a\} \quad \{f(a)\} \quad \{f(f(a))\} \quad \{f(f(f(a)))\} \quad \{f(f(f(f(a))))\} \quad \{f(f(f(f(f(a)))))\}$

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$$f(f(f(f(f(a))))) = a$$

and test equaton $f(a) = a$

- ▶ $\{ a, f(f(f(a))), f(f(f(f(f(a))))) \} \quad \{ f(a) \} \quad \{ f(f(a)) \} \quad \{ f(f(f(f(a))))) \}$

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$$f(f(f(a))) = a$$

$$f(f(f(f(f(a))))) = a$$

and test equaton $f(a) = a$

- ▶ $\{ a, f(a), f(f(a)), f(f(f(a))), f(f(f(f(a)))), f(f(f(f(f(a))))) \}$

Example (2)

- ▶ given set of equations E

$$f(f(f(a))) = a$$

$$f(f(f(f(f(a))))) = a$$

and test equaton $f(a) = a$

- ▶ $\{ a, f(a), f(f(a)), f(f(f(a))), f(f(f(f(a)))), f(f(f(f(f(a))))) \}$
- ▶ conclusion: $E \models_{EUF} f(a) = a$

Ok, But How About a Solver for EUF?

Assume conjunction of EUF literals φ with free variables x_1, \dots, x_n .

Ok, But How About a Solver for EUF?

Assume conjunction of EUF literals φ with free variables x_1, \dots, x_n .

Definition (Skolemization)

$\hat{\varphi} = \varphi[x_1 \mapsto c_1, \dots, x_n \mapsto c_n]$ where c_1, \dots, c_n are distinct fresh constants

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$\hat{\varphi} = \varphi[x_1 \mapsto c_1, \dots, x_n \mapsto c_n]$ where c_1, \dots, c_n are distinct fresh constants

Lemma

φ is EUF-satisfiable iff $\hat{\varphi}$ is EUF-satisfiable

Ok, But How About a Solver for EUF?

Assume conjunction of EUF literals φ with free variables x_1, \dots, x_n .

Definition (Skolemization)

$\hat{\varphi} = \varphi[x_1 \mapsto c_1, \dots, x_n \mapsto c_n]$ where c_1, \dots, c_n are distinct fresh constants

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φ is EUF-satisfiable iff $\hat{\varphi}$ is EUF-satisfiable

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assume that $=$ is the only predicate in φ

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- Summary of Last Week
- Deciding EQ: Equality Graphs
- Deciding EUF: Congruence Closure
- Correctness of $DPLL(T)$
- Some More Practical SMT

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- all atoms in M and G are atoms in F

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Theorem

if $S_n = M \parallel F'$ and M is T -consistent then F is T -satisfiable and $M \models_T F$

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if $S_n = \text{FailState}$ then F is T -unsatisfiable

Proof.

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- ▶ by Lemma before, $F' \models_T M$, so $F' \models_T \neg C$
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Proof.

similar as for DPLL:

- ▶ *restart* is applied with increasing periodicity, or
- ▶ otherwise clause is learned (and there are only finitely many clauses)

Integer Arithmetic in python/z3

```
from z3 import *

a = Int('a') # create integer variables
b = Int('b')
c = Int('c')

phi = And(c > 0, b >= 0, a < -1) # some comparisons
psi = (a == If (b == c, b - 2, c - 4)) # if-then-else expression
print(phi)

solver = Solver()
solver.add(phi, psi) # assert constraints
solver.add(a + b < 2 * c) # arithmetic

result = solver.check() # check for satisfiability
if result == z3.sat:
    model = solver.model() # get valuation
    print model[a], model[b], model[c] # -3 0 1
```