

SAT and SMT Solving

Sarah Winkler

KRDB

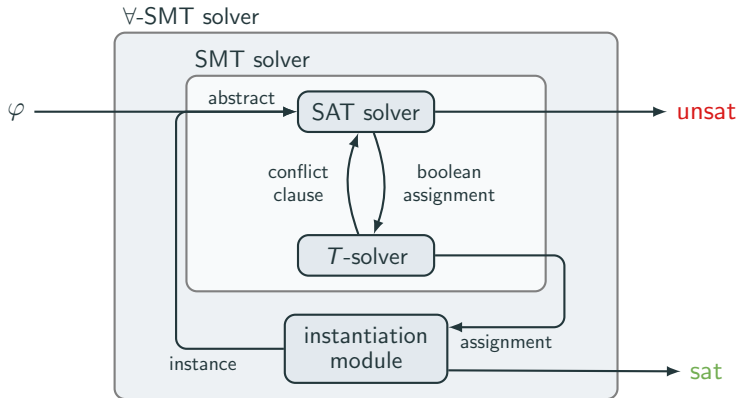
Department of Computer Science
Free University of Bozen-Bolzano

lecture 13
WS 2022

Outline

- Summary of Last Week
- Instantiation Techniques
- More on SAT and SMT
- Test
- Evaluation

Instantiation Framework



- ▶ split φ into
 - ▶ literals φ_Q with quantifiers
 - ▶ literals φ_E without quantifiers
- ▶ instantiation module generates instances of φ_Q to extend φ_E

SMT solver is in general no decision procedure in presence of \forall quantifiers

Skolemization

- 1 bring formula into prenex form
- 2 replace $\forall x_1, \dots, x_k \exists y \psi[y]$ by $\forall x_1, \dots, x_k \psi[f(x_1, \dots, x_k)]$ for fresh f until no existential quantifiers left

Theorem

can consider formulas of shape $\forall x_1, \dots, x_n \varphi[x_1, \dots, x_n]$

if φ' is skolemization of φ then φ and φ' are equisatisfiable

Definition

Herbrand instance of Skolem formula $\forall x_1, \dots, x_n \varphi[x_1, \dots, x_n]$ is $\varphi[t_1, \dots, t_n]$

where t_i is term over signature of φ

Definition

set of function symbols and constants

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Remark

Herbrand instances are **ground** formulas, i.e., without (quantified) variables



Jacques Herbrand

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Theorem (Herbrand)

*Skolem formula φ is unsatisfiable \iff
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Caveats

- ▶ at least one constant required per sort
- ▶ holds for pure first order logic, not necessarily in presence of theories

Example: Is this syllogism correct?

All humans are mortal.

All Greeks are humans.

So all Greeks are mortal.



Aristotle

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cannot be answered by SMT solver

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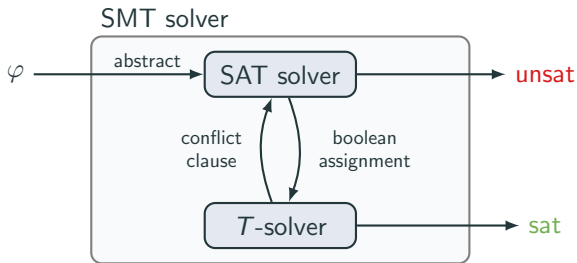
when adding right Herbrand instances
unsatisfiability can be detected by SMT solver

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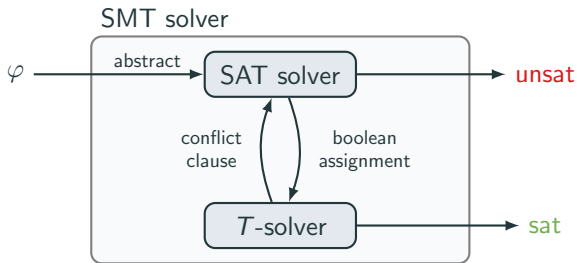
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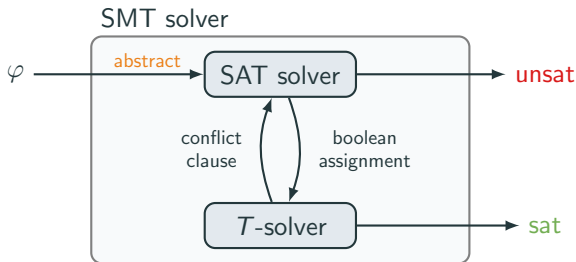
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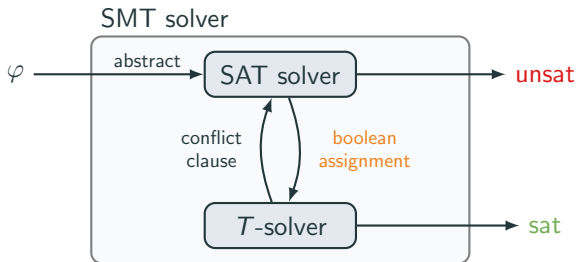
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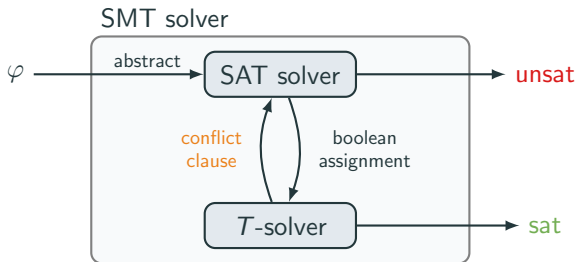
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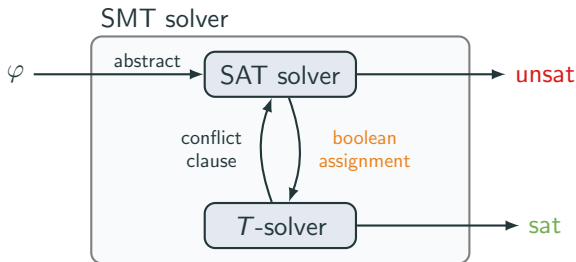
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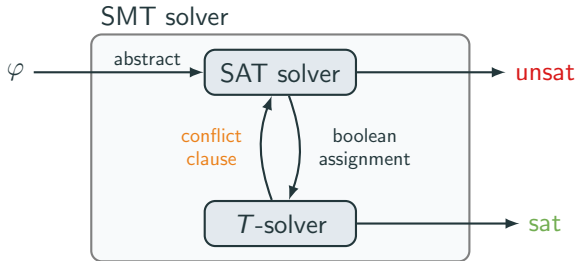
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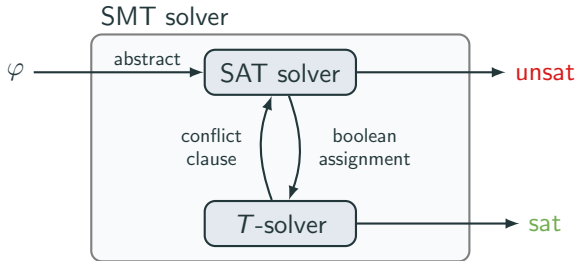
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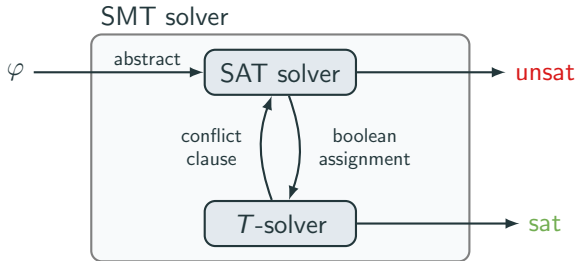
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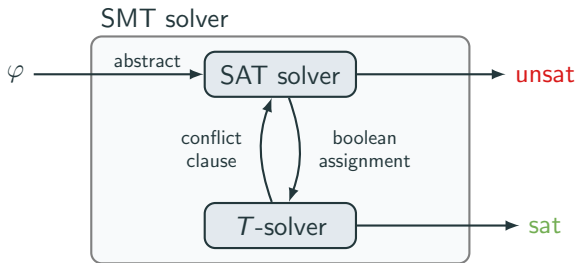
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- ▶ SAT solver: **unsat**



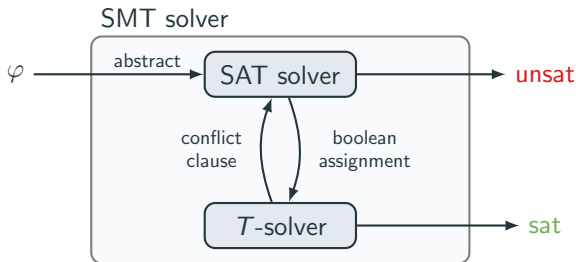
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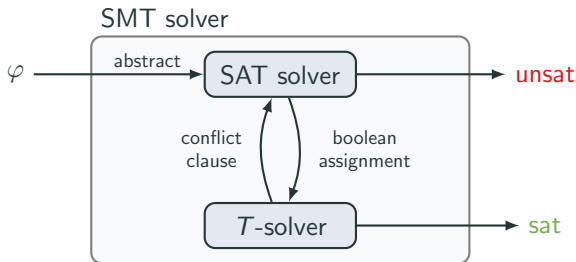
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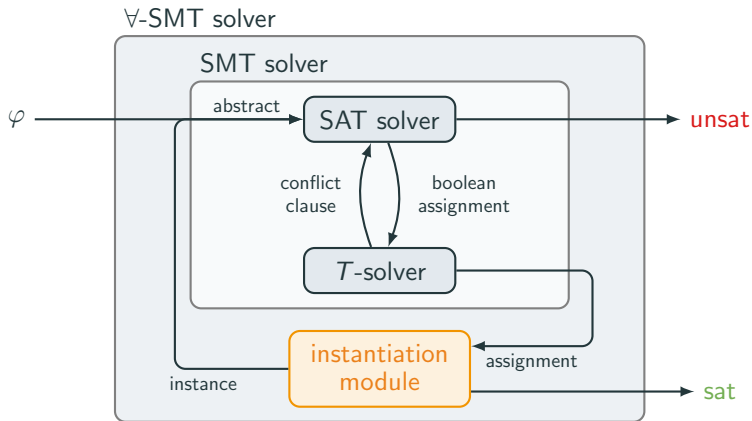
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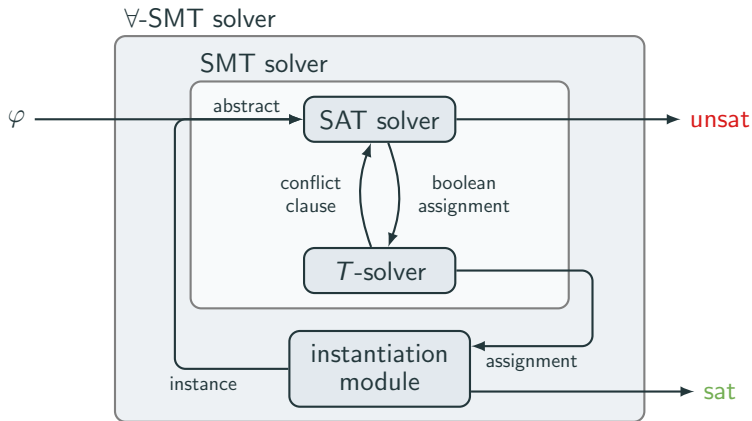
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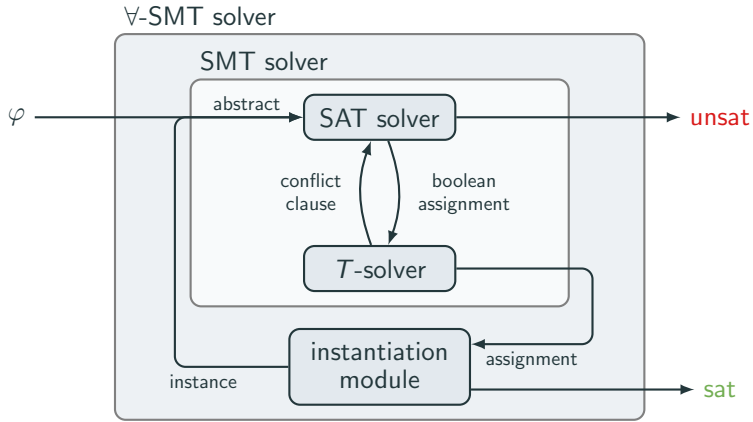
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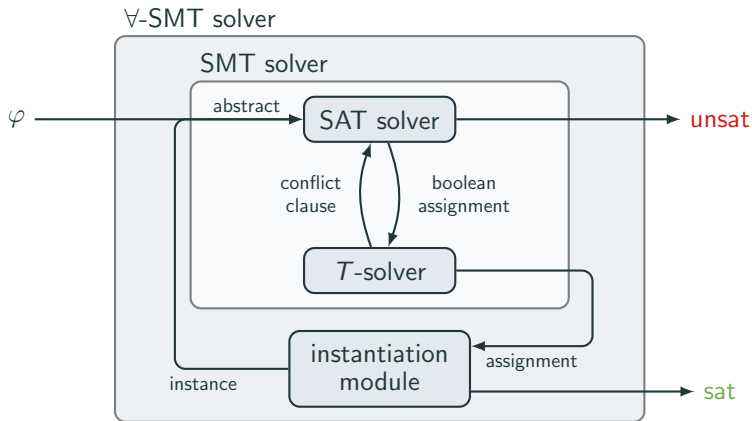
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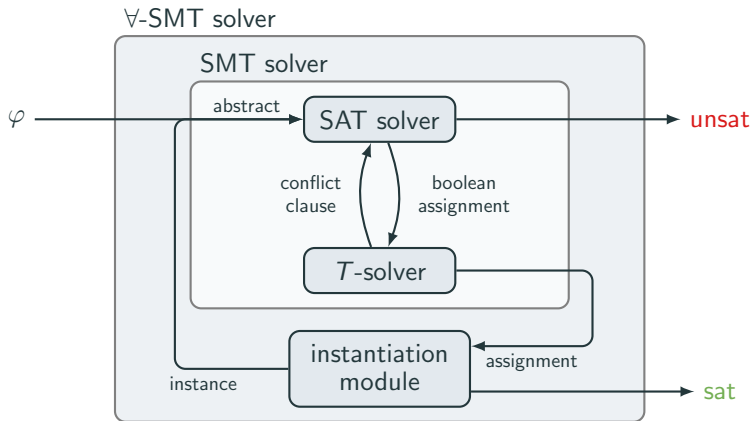
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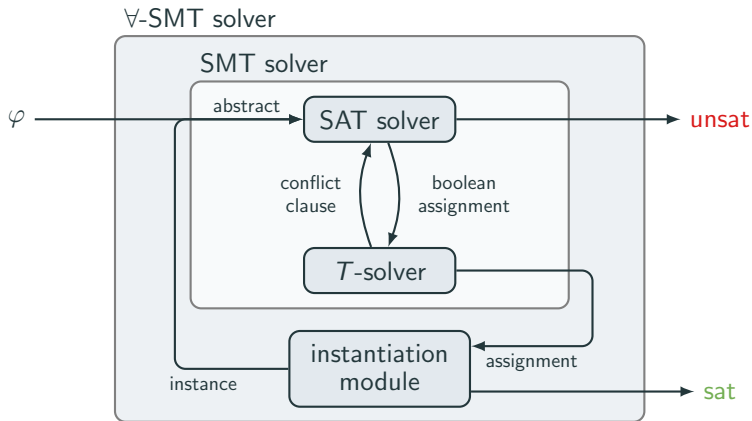
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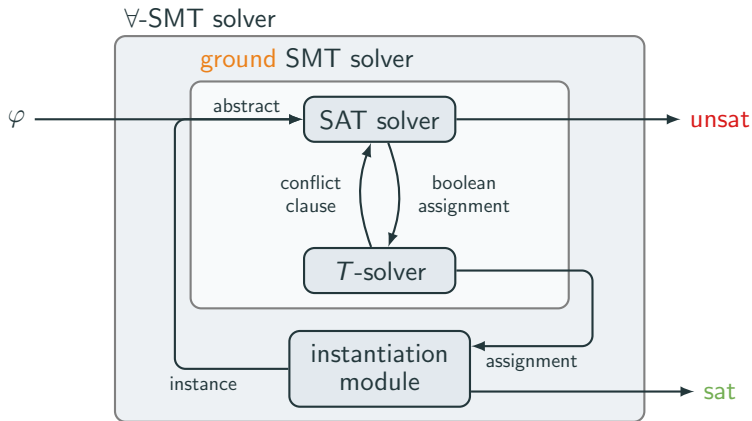
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- ▶ $\varphi\sigma$ gets abstracted to propositional formula:
involved variables have meaning for theory solver

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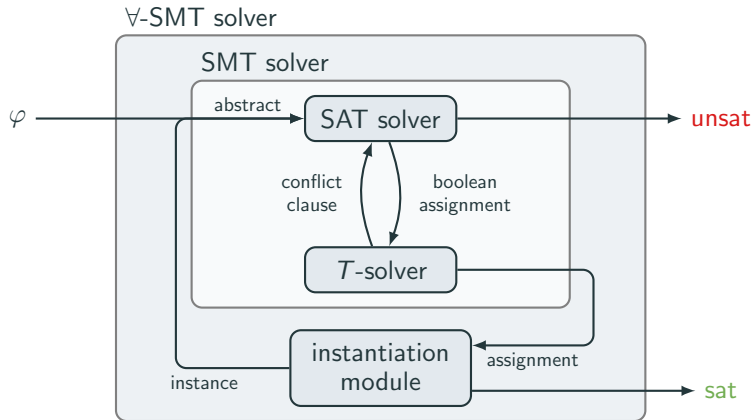
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- ▶ $\varphi\sigma$ gets abstracted to propositional formula: involved variables have meaning for theory solver
- ▶ **idea:** $\varphi\sigma$ gets “activated” if propositional variable $p_{\forall \bar{x} \varphi(\bar{x})}$ is assigned true

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$$\varphi_E: \neg P(a), \neg P(b), \neg R(b)$$

$$\varphi_Q: \forall x. P(x) \vee R(x)$$

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Instantiation via E-matching

for each $\forall \bar{x}. \psi$

- ▶ select set of instantiation patterns $\{t_1, \dots, t_n\}$
- ▶ for each t_i let S_i be set of substitutions σ such that $t_i\sigma$ occurs in φ_E
- ▶ add $\{\psi\sigma \mid \sigma \in S_i\}$ to φ_E

Example

$\forall x \forall y. \text{sibling}(x, y) \longleftrightarrow \text{mother}(x) = \text{mother}(y) \wedge \text{father}(x) = \text{father}(y)$

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- ▶ requires instantiation patterns (manually or heuristically determined)
- ▶ instantiation patterns can be specified in SMT-LIB ✨

Outline

- Summary of Last Week
- **Instantiation Techniques**
 - E-Matching
 - Enumerative Instantiation
- More on SAT and SMT
- Test
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Why not use Herbrand's theorem directly?

Theorem (Herbrand)

Skolem formula φ is unsatisfiable \iff

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Enumerative Instantiation

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Enumerative instantiation

- ▶ instantiation module based on stronger version of Herbrand's theorem
- ▶ efficient implementation techniques

Theorem (Stronger Herbrand)

$\varphi_E \wedge \varphi_Q$ is unsatisfiable if and only if there exist infinite series

- ▶ E_i of finite literals sets
- ▶ Q_i of finite sets of φ_Q instances

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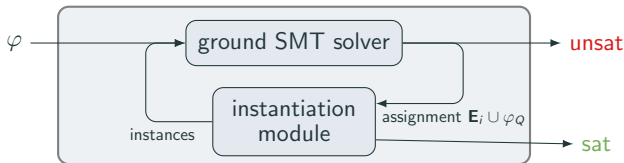
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Direct application in \forall -SMT solver



- ▶ ground solver enumerates assignments $\mathbf{E}_i \cup \varphi_Q$
- ▶ instantiation returns $\forall \bar{x} \psi(\bar{x}) \rightarrow Q$ for all $Q \in \mathbf{Q}_i$ generated from $\forall \bar{x} \psi(\bar{x})$

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Lemma

if there exist infinite series $\mathbf{E}_i, \mathbf{Q}_i$ such that

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- ▶ $\mathbf{E}_0 = \varphi_E$ and $\mathbf{E}_{i+1} \models \mathbf{E}_i \cup \mathbf{Q}_i$
- ▶ and all \mathbf{E}_i are *satisfiable*

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- ▶ $E_0 = \varphi_E$ and $E_{i+1} \models E_i \cup Q_i$
- ▶ and all E_i are satisfiable

then $\varphi_E \wedge \varphi_Q$ is satisfiable

Instantiation via enumeration

Fix ordering $>$ on tuples of terms without quantified variables.

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Given assignment \mathbf{E}_i from T -solver

- ▶ for each $\forall \bar{x}. \psi$ in φ_Q
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If $\mathbf{Q}_i = \emptyset$ then **sat**, otherwise return \mathbf{Q}_i

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$$\varphi_E: P(a) \vee a = b, \neg P(b), \neg P(g(b))$$

$$\varphi_Q: \forall x. P(x) \vee P(f(x)), \forall x. g(x) = f(x)$$

- ▶ suppose order $a < b < f(a) < f(b) < \dots$
- ▶ ground solver: model $P(a), \neg P(b), \neg P(g(b))$ (and φ_Q)
- ▶ instantiation: \mathbf{Q}_1 consists of $P(b) \vee P(f(b))$ and $f(a) = g(a)$

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- ▶ ground solver: **unsat**

Bibliography



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Simplify: A Theorem Prover for Program Checking.

J. ACM, 52(3):365-473, 2005.



Andrew Reynolds, Haniel Barbosa and Pascal Fontaine.

Revisiting Enumerative Instantiation.

Proc. TACAS, pp 112–131, 2018.

Slide material partially taken from Pascal Fontaine's talk at SMT Summer School 2018.

Outline

- Summary of Last Week
- Instantiation Techniques
- More on SAT and SMT
- Test
- Evaluation

Test

- ▶ February 3, 14:15
- ▶ open book
- ▶ material includes weeks 7–12
 - ▶ Simplex and Fourier-Motzkin elimination
 - ▶ Gomory cuts
 - ▶ Nelson-Oppen
 - ▶ bitvectors
- ▶ should take approx 60 minutes (but open end)
- ▶ see test of last year

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- Summary of Last Week
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- ▶ **LV-Code: 703048**
- ▶ **perhaps topics for comments**
 - (a) Should there be more/less theory, or more/fewer applications in the course?
 - (b) Which topics/exercises were interesting, which not?
 - (c) Do you think you might use a SAT/SMT solver in the future?
 - (d) Difficulty level of exercises too easy/too hard?
 - (e) Possible improvements for course organization

