



Computability Theory

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Definitions

- ▶ $\langle x_1, \dots, x_n \rangle = p_0^n \times p_1^{x_1} \times \dots \times p_n^{x_n}$ **Gödel number**
- ▶ $(x)_i = (\mu j < x) \neg (p_i^{j+1} \mid x)$
- ▶ $\text{len}(x) = (x)_0$
- ▶ $\text{seq}(x) \iff x > 0 \wedge (\forall i \leq x) [(x)_i \neq 0 \implies i \leq \text{len}(x)]$
- ▶ $x ; y = p_0^{\text{len}(x)+\text{len}(y)} \times \prod_{i < \text{len}(x)} p_{i+1}^{(x)_{i+1}} \times \prod_{i < \text{len}(y)} p_{\text{len}(x)+i+1}^{(y)_{i+1}}$

Lemma

PR is closed under **course-of-values recursion**:

if $g: \mathbb{N}^n \rightarrow \mathbb{N}$ and $h: \mathbb{N}^{n+2} \rightarrow \mathbb{N}$ are primitive recursive then

$$f(0, \vec{y}) = g(\vec{y}) \qquad f(x+1, \vec{y}) = h(\tilde{f}(x, \vec{y}), x, \vec{y})$$

with $\tilde{f}(x, \vec{y}) = \langle f(0, \vec{y}), \dots, f(x, \vec{y}) \rangle$ is primitive recursive

Outline

1. Summary of Previous Lecture
2. Loop Programs
3. Elementary Functions
4. Grzegorzcyk Hierarchy
5. Summary

Definition (Ackermann function)

$$\begin{aligned} \text{ack}(0, y) &= y + 1 \\ \text{ack}(x + 1, 0) &= \text{ack}(x, 1) \\ \text{ack}(x + 1, y + 1) &= \text{ack}(x, \text{ack}(x + 1, y)) \end{aligned}$$

Lemma

\forall primitive recursive function $f: \mathbb{N}^n \rightarrow \mathbb{N} \exists$ constant $c \in \mathbb{N}$ such that

$$f(x_1, \dots, x_n) < \text{ack}(c, \max\{x_1, \dots, x_n\})$$

Theorem

Ackermann function is **not** primitive recursive

Definition

class **R** of **recursive functions** is smallest class of **total** functions that contains all initial functions and is closed under composition, primitive recursion and **(unbounded) minimization**:

$$(\mu i) P(i, \vec{y}) = \min \{i \mid P(i, \vec{y})\} \in R$$

for all $P: \mathbb{N}^{n+1} \rightarrow \mathbb{B}$ such that $\chi_P \in R$ and $\forall \vec{y} \exists x P(x, \vec{y})$

Theorem

▶ \exists primitive recursive predicate $P: \mathbb{N} \rightarrow \mathbb{B}$ such that

$$P(x) \iff x \text{ encodes correct Ackermann computation tree}$$

▶ $\text{ack}(x, y) = z \iff \exists t$ such that $P(t)$ and $(t)_1 = \langle x, y, z \rangle$

▶ $\text{ack}(x, y) = ((\mu t) (P(t) \wedge (t)_{1,1} = x \wedge (t)_{1,2} = y))_{1,3}$

▶ Ackermann function is recursive

Definition

index $\ulcorner f \urcorner \in \mathbb{N}$ of derivation of primitive recursive function f is defined inductively:

▶ $\ulcorner z \urcorner = \langle 0 \rangle$

▶ $\ulcorner s \urcorner = \langle 1 \rangle$

▶ $\ulcorner \pi_i^n \urcorner = \langle 2, n, i \rangle$

▶ $\ulcorner f \urcorner = \langle 3, \ulcorner g \urcorner, \ulcorner h_1 \urcorner, \dots, \ulcorner h_m \urcorner \rangle$ if f is obtained by composing g and h_1, \dots, h_m

▶ $\ulcorner f \urcorner = \langle 4, \ulcorner g \urcorner, \ulcorner h \urcorner \rangle$ if f is obtained by primitive recursion from g and h

Part I: Recursive Function Theory

Ackermann function, bounded minimization, **bounded recursion**, course-of-values recursion, diagonalization, diophantine sets, **elementary functions**, fixed point theorem, Fibonacci numbers, Godel numbering, Godel's β function, **Grzegorzcyk hierarchy**, **loop programs**, minimization, normal form theorem, partial recursive functions, primitive recursion, recursive enumerability, recursive inseparability, s–m–n theorem, total recursive functions, undecidability, while programs, ...

Part II: Combinatory Logic and Lambda Calculus

α -equivalence, abstraction, arithmetization, β -reduction, CL-representability, combinators, combinatorial completeness, Church numerals, Church–Rosser theorem, Curry–Howard isomorphism, de Bruijn notation, η -reduction, fixed point theorem, intuitionistic propositional logic, λ -definability, normalization theorem, termination, typing, undecidability, Z property, ...

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Loop Programs

simple programming language

▶ natural numbers are only data type

▶ variables x, y, z, \dots

▶ commands

▶ assignment $x := 0$ $x := y$

▶ increment $x++$

▶ composition $P; Q$

▶ loop

▶ **LOOP x DO P OD**

execute P exactly n times, where n is value of x before entering loop

Examples

program P :

```
z := x;  
LOOP y DO  
  z++  
OD
```

computes addition: $z = x + y$

notation: $P(x, y; z)$

multiplication $(x, y; z)$:

```
z := 0;  
LOOP x DO  
  LOOP y DO  
    z++  
  OD  
OD
```

Examples

program $P(x; y)$:

```
y := 0;  
z := 0;  
LOOP x DO  
  y := z;  
  z++  
OD
```

computes predecessor: $y = p(x)$

program $Q(x; y)$:

```
y := 0;  
z := 0;  
z++;  
LOOP x DO  
  y := z  
OD
```

computes sign function: $y = sg(x)$

Lemma

LOOP programs **terminate**

Definition

function $f: \mathbb{N}^n \rightarrow \mathbb{N}$ is **LOOP computable** if \exists LOOP program $P(x_1, \dots, x_n; y)$ such that

$$y = f(x_1, \dots, x_n)$$

after execution of P

Theorem

primitive recursive functions are LOOP computable

Proof

- ▶ zero $y = z(x)$ $y := 0$
- ▶ successor $y = s(x)$ $y := x; y++$
- ▶ projection $y = \pi_i^n(x_1, \dots, x_n)$ $y := x_i$
- ▶ composition $y = g(h_1(x_1, \dots, x_m), \dots, h_n(x_1, \dots, x_m))$
 $P_{h_1}(x_1, \dots, x_m; y_1); \dots; P_{h_n}(x_1, \dots, x_m; y_n); P_g(y_1, \dots, y_n; y)$
- ▶ primitive recursion
 $f(0, \vec{y}) = g(\vec{y})$
 $f(x + 1, \vec{y}) = h(f(x, \vec{y}), x, \vec{y})$
 $P_g(y_1, \dots, y_n; z);$
 $v := 0;$
LOOP x **DO**
 $P_h(z, v, y_1, \dots, y_n; w);$
 $z := w;$
 $v++$
OD

Theorem

LOOP computable functions are primitive recursive

Proof

- ▶ LOOP program P with variables x_1, \dots, x_n
- ▶ claim: \exists primitive recursive functions $f_1, \dots, f_n: \mathbb{N}^n \rightarrow \mathbb{N}$ such that
if x_1, \dots, x_n have values a_1, \dots, a_n before execution of P
then x_i has value $f_i(a_1, \dots, a_n)$ after execution of P , for all $1 \leq i \leq n$
- ▶ claim is proved by induction on structure of P (details left as exercise)

Example

$f_P(x, y) = f_3(x, y, 0, 0, 0)$ for LOOP program P with input variables x_1 and x_2 , output variable x_3 , and auxiliary variables x_4 and x_5

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Definition

class **E** of **elementary functions** is smallest class of (total) functions $f: \mathbb{N}^n \rightarrow \mathbb{N}$ that contains all initial functions, $+$, $-$ and is closed under composition, bounded summation and bounded product

Examples

$x \times y$, x^y and $x!$ are elementary functions:

$$\text{▶ } x \times y = \sum_{i < x} y = \sum_{i < x} \pi_2^2(i, y)$$

$$\text{▶ } x^y = \prod_{i < y} x = \prod_{i < y} \pi_2^2(i, x)$$

$$\text{▶ } x! = \prod_{i < x} i + 1 = \prod_{i < x} s(i)$$

Lemma

E is closed under bounded minimization

Proof

- ▶ consider $f(x, \vec{y}) = (\mu i \leq x) (g(i, \vec{y}) = 0)$ with $g \in E$
- ▶ elementary function

$$f'(x, \vec{y}) = \sum_{i \leq x} 1 \dot{-} g(i, \vec{y})$$

counts how many values $i \in \{0, \dots, x\}$ satisfy $g(i, \vec{y}) = 0$

- ▶ $f(x, \vec{y}) = \sum_{i \leq x} 1 \dot{-} f'(i, \vec{y})$ is elementary

Lemma

elementary functions are primitive recursive

Definition

binary function $2_x(y)$ is defined by primitive recursion

$$2_0(y) = y \qquad 2_{x+1}(y) = 2^{2_x(y)}$$

Example

$$2_0(3) = 3 \quad 2_1(3) = 2^3 = 8 \quad 2_2(3) = 2^8 = 256$$

Lemma

\forall elementary function $f: \mathbb{N}^n \rightarrow \mathbb{N} \exists$ constant $c \in \mathbb{N}$ such that

$$f(x_1, \dots, x_n) < 2_c(\max\{x_1, \dots, x_n\})$$

Proof

induction on definition of elementary functions

▶ initial functions

$$z(x) = 0 < 2^x = 2_1(x)$$

$$s(x) = x + 1 < 2^{2^x} = 2_2(x)$$

$$\pi_i^n(x_1, \dots, x_n) = x_i \leq \max\{x_1, \dots, x_n\} < 2_1(\max\{x_1, \dots, x_n\})$$

- ▶ **+ and $\dot{-}$** $x \dot{-} y \leq x + y \leq 2 \times \max\{x, y\} < 2_2(\max\{x, y\})$

- ▶ **composition** $f(\vec{x}) = g(h_1(\vec{x}), \dots, h_m(\vec{x}))$

$$g(\vec{y}) < 2_c(\max\{\vec{y}\}) \quad h_i(\vec{x}) < 2_{c_i}(\max\{\vec{x}\}) \quad \text{for all } 1 \leq i \leq m$$

$$\begin{aligned} f(\vec{x}) &< 2_c(\max\{h_1(\vec{x}), \dots, h_m(\vec{x})\}) < 2_c(\max\{2_{c_1}(\max\{\vec{x}\}), \dots, 2_{c_m}(\max\{\vec{x}\})\}) \\ &= 2_c(2_{\max\{c_1, \dots, c_m\}}(\max\{\vec{x}\})) = 2_{c+\max\{c_1, \dots, c_m\}}(\max\{\vec{x}\}) \end{aligned}$$

Proof (cont'd)

induction on definition of elementary functions

- ▶ **bounded summation** $f(x, \vec{y}) = \sum_{i=0}^x g(i, \vec{y})$ with $g(i, \vec{y}) < 2_c(\max\{i, \vec{y}\})$

$$f(x, \vec{y}) < (x + 1) \times 2_c(\max\{x, \vec{y}\}) \leq 2_2(\max\{x, \vec{y}\}) \times 2_c(\max\{x, \vec{y}\}) \leq 2_{c+3}(\max\{x, \vec{y}\})$$

Lemma

$$x^y \leq 2_3(\max\{x, y\})$$

Proof

$$x^y \leq 2^{xy} \leq 2^{2^{x+y}} \leq 2^{2^{\max\{x, y\}}} = 2_3(\max\{x, y\})$$

Proof (cont'd)

induction on definition of elementary functions

▶ **bounded product** $f(x, \vec{y}) = \prod_{i=0}^x g(i, \vec{y})$ with $g(i, \vec{y}) < 2_c(\max\{i, \vec{y}\})$

$$\begin{aligned}
f(x, \vec{y}) &< 2_c(\max\{x, \vec{y}\})^{x+1} \leq 2_c(\max\{x, \vec{y}\})^{2_1(\max\{x, \vec{y}\})} \\
&\leq 2_3(\max\{2_c(\max\{x, \vec{y}\}), 2_1(\max\{x, \vec{y}\})\}) = 2_3(2_{\max\{c, 1\}}(\max\{x, \vec{y}\})) \\
&= 2_{3+\max\{c, 1\}}(\max\{x, \vec{y}\})
\end{aligned}$$

Corollary

$E \subsetneq PR$

Proof

if primitive recursive function $2_x(y)$ is elementary then $2_x(y) < 2_c(\max\{x, y\})$ for some constant c and thus $2_c(c) < 2_c(\max\{c, c\}) = 2_c(c)$ ⚡

Definition (bounded recursion)

class C of numeric functions is closed under **bounded recursion** if $f: \mathbb{N}^{n+1} \rightarrow \mathbb{N}$ defined by primitive recursion from $g: \mathbb{N}^n \rightarrow \mathbb{N} \in C$ and $h: \mathbb{N}^{n+2} \rightarrow \mathbb{N} \in C$ and satisfying

$$f(x, \vec{y}) \leq i(x, \vec{y})$$

for some $i: \mathbb{N}^{n+1} \rightarrow \mathbb{N} \in C$ different from f belongs to C

Definitions

- ▶ $e_0(x, y) = x + y$ $e_1(x) = x^2 + 2$
- ▶ $e_{n+2}(x) = \begin{cases} 2 & \text{if } x = 0 \\ e_{n+1}(e_{n+2}(x-1)) & \text{if } x > 0 \end{cases}$

Example

$$e_2(0) = 2 \quad e_2(1) = 6 \quad e_2(3) = e_1(e_2(2)) = e_1(38) = 1446$$

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Definition

$$e_{n+2}(x) = \begin{cases} 2 & \text{if } x = 0 \\ e_{n+1}(e_{n+2}(x-1)) & \text{if } x > 0 \end{cases} \quad f^{(x)}(y) = \begin{cases} y & \text{if } x = 0 \\ f(f^{(x-1)}(y)) & \text{if } x > 0 \end{cases}$$

Lemma

$$e_{n+2}(x) = e_{n+1}^{(x)}(2)$$

Proof

induction on x

- ▶ $e_{n+2}(0) = 2 = e_{n+1}^{(0)}(2)$
- ▶ $e_{n+2}(x+1) = e_{n+1}(e_{n+2}(x)) = e_{n+1}(e_{n+1}^{(x)}(2)) = e_{n+1}^{(x+1)}(2)$

Definitions

- ▶ E_0 is smallest class of functions that contains all initial functions and is closed under composition and bounded recursion
- ▶ E_{n+1} is smallest class of functions that contains all initial functions, e_0, e_n and is closed under composition and bounded recursion

Examples

- ▶ E_0 contains $f(x) = x + 2$
- ▶ E_1 contains $f(x) = 4x$
- ▶ E_2 contains $f(x) = x^4$
- ▶ E_3 contains $f(x) = 2^{2^x}$

Lemma

$\forall f: \mathbb{N}^n \rightarrow \mathbb{N} \in E_0 \exists c \in \mathbb{N}$ such that $f(x_1, \dots, x_n) \leq \max\{x_1, \dots, x_n\} + c$

Proof

- ▶ $z(x) \leq x$
- ▶ $s(x) \leq x + 1$
- ▶ $\pi_i^n(x_1, \dots, x_n) \leq x_i \leq \max\{x_1, \dots, x_n\}$
- ▶ f is obtained by composing g and h_1, \dots, h_m

$$\begin{aligned} f(\vec{x}) = g(h_1(\vec{x}), \dots, h_m(\vec{x})) &\leq \max\{h_1(\vec{x}), \dots, h_m(\vec{x})\} + c_g && g \in E_0 \\ &\leq \max\{\vec{x}\} + \max\{c_{h_1}, \dots, c_{h_m}\} + c_g && h_1, \dots, h_m \in E_0 \end{aligned}$$

- ▶ f is obtained by bounded recursion from g and h with bound i

$$f(\vec{x}) \leq i(\vec{x}) \leq \max\{x_1, \dots, x_n\} + c_i \quad i \in E_0$$

Lemma

$\forall f: \mathbb{N}^n \rightarrow \mathbb{N} \in E_1 \exists$ linear function $g: \mathbb{N}^n \rightarrow \mathbb{N}$ such that $f(x_1, \dots, x_n) \leq g(x_1, \dots, x_n)$

Proof

- ▶ ...
- ▶ $f = e_0 = x + y$
- ▶ f is obtained by composing g and h_1, \dots, h_m

$$\begin{aligned} f(\vec{x}) = g(h_1(\vec{x}), \dots, h_m(\vec{x})) &\leq l_g(h_1(\vec{x}), \dots, h_m(\vec{x})) && g \in E_1 \\ &\leq l_g(l_{h_1}(\vec{x}), \dots, l_{h_m}(\vec{x})) && h_1, \dots, h_m \in E_1 \end{aligned}$$

linear functions are closed under composition

Lemma

- 1 $e_n(x) > x \quad \forall n \geq 1 \forall x \geq 0$
- 2 $e_n(x+1) > e_n(x) \quad \forall n \geq 1 \forall x \geq 0$
- 3 $e_{n+1}(x) \geq e_n(x) \quad \forall n \geq 1 \forall x \geq 0$
- 4 $e_{n+1}(x+k) \geq e_n^{(k)}(x) \quad \forall n \geq 1 \forall x \geq 0 \forall k \geq 0$

Proof 1

induction on $n > 0$

- ▶ $e_1(x) = x^2 + 2 > x$

▶ induction on $x \geq 0$

- ▶ $e_{n+1}(0) = 2 > 0$
- ▶ $e_{n+1}(x+1) = e_n(e_{n+1}(x)) > e_{n+1}(x) > x \implies e_{n+1}(x+1) > x+1$

Lemma

- 1 $e_n(x) > x$ $\forall n \geq 1 \forall x \geq 0$
- 2 $e_n(x+1) > e_n(x)$ $\forall n \geq 1 \forall x \geq 0$
- 3 $e_{n+1}(x) \geq e_n(x)$ $\forall n \geq 1 \forall x \geq 0$
- 4 $e_{n+1}(x+k) \geq e_n^{(k)}(x)$ $\forall n \geq 1 \forall x \geq 0 \forall k \geq 0$

Proof 2

induction on $n > 0$

- ▶ $e_1(x+1) = (x+1)^2 + 2 > x^2 + 2 = e_1(x)$
- ▶ $e_{n+1}(x+1) = e_n(e_{n+1}(x)) > e_{n+1}(x)$ by 1

Proof 3 4

homework exercise

Lemma

$\forall f: \mathbb{N}^m \rightarrow \mathbb{N} \in E_{n+2} \exists k \in \mathbb{N}$ such that $f(x_1, \dots, x_m) \leq e_{n+1}^{(k)}(\max\{x_1, \dots, x_m\})$

Proof

- ▶ $z(x) = 0 \leq x = e_{n+1}^{(0)}(x)$
- ▶ $s(x) = x+1 \leq x^2+2 = e_1(x) \leq e_{n+1}^{(1)}(x)$
- ▶ $\pi_i^n(x_1, \dots, x_n) = x_i < e_1(x_i) \leq e_1(\max\{\vec{x}\}) \leq e_{n+1}^{(1)}(\max\{\vec{x}\})$
- ▶ $e_0(x, y) = x+y \leq 2 \times \max\{x, y\} \leq (\max\{x, y\})^2 + 2 = e_1(\max\{x, y\}) \leq e_{n+1}^{(1)}(\max\{x, y\})$
- ▶ $e_{n+1}(x) \leq e_{n+1}^{(1)}(x)$

Lemma

$\forall f: \mathbb{N}^m \rightarrow \mathbb{N} \in E_{n+2} \exists k \in \mathbb{N}$ such that $f(x_1, \dots, x_m) \leq e_{n+1}^{(k)}(\max\{x_1, \dots, x_m\})$

Proof (cont'd)

- ▶ f is obtained by composing g and h_1, \dots, h_j

$$f(\vec{x}) = g(h_1(\vec{x}), \dots, h_j(\vec{x})) \leq e_{n+1}^{(k)}(\max\{h_1(\vec{x}), \dots, h_j(\vec{x})\}) \quad g \in E_{n+2}$$

$$\leq e_{n+1}^{(k)}(e_{n+1}^{(\ell)}(\max\{\vec{x}\})) = e_{n+1}^{(k+\ell)}(\max\{\vec{x}\})$$

$$h_i(\vec{x}) \leq e_{n+1}^{(k_i)}(\max\{\vec{x}\}) \leq e_{n+1}^{(\ell)}(\max\{\vec{x}\}) \quad h_1, \dots, h_j \in E_{n+2}$$

$$\ell = \max\{k_1, \dots, k_j\}$$

- ▶ f is obtained by bounded recursion from g and h with bound i

$$f(\vec{x}) \leq i(\vec{x}) \leq e_{n+1}^{(k)}(\max\{\vec{x}\}) \quad i \in E_{n+2}$$

Lemma

$\forall f: \mathbb{N}^m \rightarrow \mathbb{N} \in E_{n+2} \exists k \in \mathbb{N}$ such that $f(x_1, \dots, x_m) \leq e_{n+1}^{(k)}(\max\{x_1, \dots, x_m\})$

Corollary

$\forall f: \mathbb{N} \rightarrow \mathbb{N} \in E_{n+2} \exists k \in \mathbb{N}$ such that $f(x) \leq e_{n+2}(k+x)$

Proof

$$f(x) \leq e_{n+1}^{(k)}(x) \leq e_{n+2}(k+x)$$

Lemma

$$e_n \in E_{n+1} \setminus E_n$$

Proof

$e_n \notin E_n$ by case analysis on n

- ▶ $e_0(x, y) = x + y \implies \neg \exists c \in \mathbb{N}$ with $x + y \leq \max\{x, y\} + c$
- ▶ $e_1(x) = x^2 + 2 \implies \neg \exists a, b \in \mathbb{N}$ with $x^2 + 2 \leq ax + b$
- ▶ $e_{n+2} \in E_{n+2} \implies e_{n+2}(e_0(x, x)) \in E_{n+2}$ and thus
$$e_{n+2}(x + x) \leq e_{n+2}(k + x)$$

for some $k \in \mathbb{N}$



Theorem (Grzegorzczk Hierarchy)

- 1 $E_0 \subsetneq E_1 \subsetneq E_2 \subsetneq \dots$
- 2 $E_3 = E$
- 3 $\bigcup_{n \geq 0} E_n = \text{PR}$

Proof

- 1 $E_0 \subseteq E_1 \subseteq E_2$ by definition
 $E_{n+2} \subseteq E_{n+3}$ for $n \geq 0$ because $e_{n+1} \in E_{n+3}$ by bounded recursion:
$$e_{n+1}(x) \leq e_{n+2}(x) \in E_{n+3}$$

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Important Concepts

- ▶ $2_x(y)$
- ▶ bounded recursion
- ▶ e_0, e_1, e_2, \dots
- ▶ E_0, E_1, E_2, \dots
- ▶ elementary function
- ▶ Grzegorzczk hierarchy
- ▶ LOOP computable
- ▶ LOOP program

homework for October 23