



Computability Theory

Aart Middeldorp

Outline

- 1. Summary of Previous Lecture**
- 2. Normal Form Theorem**
- 3. Undecidability**
- 4. s-m-n or Parameterization Theorem**
- 5. Fixed Point Theorem**
- 6. Summary**

Theorem

class **R** of recursive functions is smallest class of total functions that contains all projection functions, addition and multiplication, characteristic function $\chi_{=}$ of equality predicate, and is closed under composition and minimization

Definition

$$\beta(a, i) = \pi_1(a) \bmod (1 + (i + 1) \pi_2(a))$$

Gödel's β function

Definition

class **PA** of **partial recursive functions** is smallest class of **partial** functions that contains all initial functions and is closed under composition, primitive recursion and unbounded minimization:

$$(\mu i) (f(i, \vec{y}) = 0) = \min \{i \mid f(i, \vec{y}) = 0 \text{ and } f(j, \vec{y}) > 0 \text{ for all } j < i\}$$

belongs to PA whenever $f: \mathbb{N}^{n+1} \rightarrow \mathbb{N}$ belongs to PA

Definition

function $f: \mathbb{N}^n \rightarrow \mathbb{N}$ is **WHILE computable** if \exists WHILE program $P(x_1, \dots, x_n; y)$ such that $y = f(x_1, \dots, x_n)$ after execution of P

Theorem

function φ is partial recursive $\iff \varphi$ is WHILE computable

Definition

index $\ulcorner f \urcorner \in \mathbb{N}$ of derivation of partial recursive function f is defined inductively:

- ▶ $\ulcorner z \urcorner = \langle 0 \rangle$ $\ulcorner s \urcorner = \langle 1 \rangle$ $\ulcorner \pi_i^n \urcorner = \langle 2, n, i \rangle$
- ▶ $\ulcorner f \urcorner = \langle 3, \ulcorner g \urcorner, \ulcorner h_1 \urcorner, \dots, \ulcorner h_m \urcorner \rangle$ if f is obtained by composing g and h_1, \dots, h_m
- ▶ $\ulcorner f \urcorner = \langle 4, \ulcorner g \urcorner, \ulcorner h \urcorner \rangle$ if f is obtained by primitive recursion from g and h
- ▶ $\ulcorner f \urcorner = \langle 5, \ulcorner g \urcorner \rangle$ if f is obtained by minimizing g

Kleene's Normal Form Theorem

\exists primitive recursive function $u \quad \forall n \geq 1 \quad \exists$ primitive recursive predicate T_n
 \forall partial recursive function $\varphi: \mathbb{N}^n \rightarrow \mathbb{N} \quad \varphi(x_1, \dots, x_n) \simeq u((\mu y) T_n(\ulcorner \varphi \urcorner, x_1, \dots, x_n, y))$

Corollary

partial recursiveness and recursiveness coincide for **total** functions

Part I: Recursive Function Theory

Ackermann function, bounded minimization, bounded recursion, course-of-values recursion, diagonalization, diophantine sets, elementary functions, fixed point theorem, Fibonacci numbers, Gödel numbering, Gödel's β function, Grzegorzcyk hierarchy, loop programs, minimization, normal form theorem, partial recursive functions, primitive recursion, recursive enumerability, recursive inseparability, s-m-n theorem, total recursive functions, undecidability, while programs, ...

Part II: Combinatory Logic and Lambda Calculus

α -equivalence, abstraction, arithmetization, β -reduction, CL-representability, combinators, combinatorial completeness, Church numerals, Church-Rosser theorem, Curry-Howard isomorphism, de Bruijn notation, η -reduction, fixed point theorem, intuitionistic propositional logic, λ -definability, normalization theorem, termination, typing, undecidability, Z property, ...

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Ackermann function, bounded minimization, bounded recursion, course-of-values recursion, diagonalization, diophantine sets, elementary functions, **fixed point theorem**, Fibonacci numbers, Gödel numbering, Gödel's β function, Grzegorzcyk hierarchy, loop programs, minimization, **normal form theorem**, partial recursive functions, primitive recursion, recursive enumerability, recursive inseparability, **s-m-n theorem**, total recursive functions, **undecidability**, while programs, ...

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Example

$$0 + y = \pi_1^1(y)$$

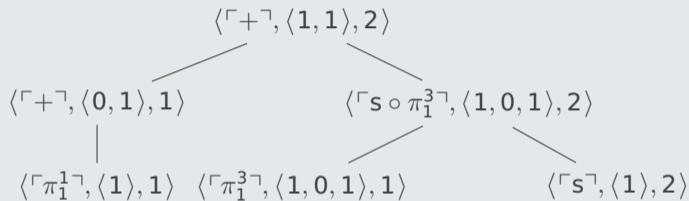
$$(x + 1) + y = s(\pi_1^3(x + y, x, y))$$

$$1 + 1 = 2$$

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$$(x + 1) + y = s(\pi_1^3(x + y, x, y))$$

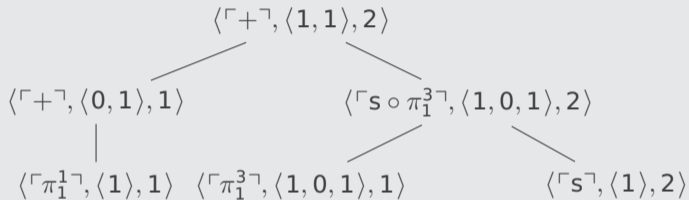
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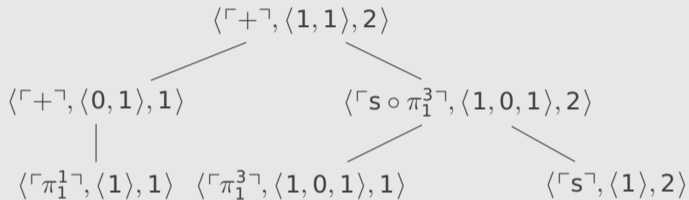


$\langle \ulcorner f \urcorner, \langle x_1, \dots, x_n \rangle, z \rangle$ represents $f(x_1, \dots, x_n) = z$

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$\langle \ulcorner f \urcorner, \langle x_1, \dots, x_n \rangle, z \rangle$ represents $f(x_1, \dots, x_n) = z$

Notation

$$\text{triple}(x) = (\text{seq}(x) \wedge \text{len}(x) = 3)$$

Key Idea

► encode computation tree $T = \langle x, y, z \rangle$ as number $\ulcorner T \urcorner = \langle \langle x, y, z \rangle, \ulcorner T_1 \urcorner, \dots, \ulcorner T_n \urcorner \rangle$



Key Idea

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- ▶ dependencies between node $\langle x, y, z \rangle$ and root nodes of its children T_1, \dots, T_n can be checked by primitive recursive predicate

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Definitions

- ▶ $T(x) \iff D(x) \wedge [\text{len}(x) > 1 \iff (\forall i \leq \text{len}(x) \div 2) T((x)_{i+2})]$

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- ▶ encode computation tree $T = \langle x, y, z \rangle$ as number $\ulcorner T \urcorner = \langle \langle x, y, z \rangle, \ulcorner T_1 \urcorner, \dots, \ulcorner T_n \urcorner \rangle$



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- ▶ $T_n(e, \vec{x}, y) \iff T(y) \wedge (y)_{1,1} = e \wedge (y)_{1,2} = \langle \vec{x} \rangle$

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- ▶ $u(x) = (x)_{1,3}$

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Theorem

$$\varphi(x_1, \dots, x_n) \simeq u((\mu y) T_n(\ulcorner \varphi \urcorner, x_1, \dots, x_n, y))$$

$$\text{initial}(x) \iff \text{seq}(x) \wedge \text{len}(x) = 1 \wedge \text{triple}((x)_1) \wedge \text{seq}((x)_{1,1}) \wedge \text{seq}((x)_{1,2}) \wedge \\ \left[\text{zero}((x)_1) \vee \text{successor}((x)_1) \vee \text{projection}((x)_1) \right]$$

Definition of D (cont'd)

▶ zero $\langle\langle 0 \rangle, \langle x \rangle, 0 \rangle\rangle$

$$\text{initial}(x) \iff \text{seq}(x) \wedge \text{len}(x) = 1 \wedge \text{triple}(\langle x \rangle_1) \wedge \text{seq}(\langle x \rangle_{1,1}) \wedge \text{seq}(\langle x \rangle_{1,2}) \wedge \\ \left[\text{zero}(\langle x \rangle_1) \vee \text{successor}(\langle x \rangle_1) \vee \text{projection}(\langle x \rangle_1) \right]$$

with

$$\text{zero}(x) \iff \langle x \rangle_1 = \langle 0 \rangle \wedge \text{len}(\langle x \rangle_2) = 1 \wedge \langle x \rangle_3 = 0$$

Definition of D (cont'd)

- ▶ zero $\langle \langle \langle 0 \rangle, \langle x \rangle, 0 \rangle \rangle$
- ▶ successor $\langle \langle \langle 1 \rangle, \langle x \rangle, x + 1 \rangle \rangle$

$$\text{initial}(x) \iff \text{seq}(x) \wedge \text{len}(x) = 1 \wedge \text{triple}(\langle x \rangle_1) \wedge \text{seq}(\langle x \rangle_{1,1}) \wedge \text{seq}(\langle x \rangle_{1,2}) \wedge \\ \left[\text{zero}(\langle x \rangle_1) \vee \text{successor}(\langle x \rangle_1) \vee \text{projection}(\langle x \rangle_1) \right]$$

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$$\text{successor}(x) \iff \langle x \rangle_1 = \langle 1 \rangle \wedge \text{len}(\langle x \rangle_2) = 1 \wedge \langle x \rangle_3 = s(\langle x \rangle_{2,1})$$

Definition of D (cont'd)

- ▶ zero $\langle \langle \langle 0 \rangle, \langle x \rangle, 0 \rangle \rangle$
- ▶ successor $\langle \langle \langle 1 \rangle, \langle x \rangle, x + 1 \rangle \rangle$
- ▶ **projection** $\langle \langle \langle 2, n, i \rangle, \langle x_1, \dots, x_n \rangle, x_i \rangle \rangle$

$$\text{initial}(x) \iff \text{seq}(x) \wedge \text{len}(x) = 1 \wedge \text{triple}(\langle x \rangle_1) \wedge \text{seq}(\langle x \rangle_{1,1}) \wedge \text{seq}(\langle x \rangle_{1,2}) \wedge \\ \left[\text{zero}(\langle x \rangle_1) \vee \text{successor}(\langle x \rangle_1) \vee \text{projection}(\langle x \rangle_1) \right]$$

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$$\text{projection}(x) \iff \text{len}(\langle x \rangle_1) = 3 \wedge \langle x \rangle_{1,1} = 2 \wedge \langle x \rangle_{1,2} = \text{len}(\langle x \rangle_2) \wedge \langle x \rangle_{1,3} \geq 1 \wedge \\ \langle x \rangle_{1,2} \geq \langle x \rangle_{1,3} \wedge \langle x \rangle_3 = \langle x \rangle_{2, \langle x \rangle_{1,3}}$$

Definition of D (cont'd)

► composition $f(x_1, \dots, x_n) = g(h_1(x_1, \dots, x_n), \dots, h_m(x_1, \dots, x_n))$

$$\langle \langle \ulcorner f \urcorner, \langle x_1, \dots, x_n \rangle, z \rangle, T_1, \dots, T_m, T_{m+1} \rangle$$

with

$$\ulcorner f \urcorner = \langle 3, \ulcorner g \urcorner, \ulcorner h_1 \urcorner, \dots, \ulcorner h_m \urcorner \rangle$$

$$T_1 = \langle \langle \ulcorner h_1 \urcorner, \langle x_1, \dots, x_n \rangle, z_1 \rangle, \dots \rangle \quad \dots \quad T_m = \langle \langle \ulcorner h_m \urcorner, \langle x_1, \dots, x_n \rangle, z_m \rangle, \dots \rangle$$

$$T_{m+1} = \langle \langle \ulcorner g \urcorner, \langle z_1, \dots, z_m \rangle, z \rangle, \dots \rangle$$

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$$T_{m+1} = \langle \langle \ulcorner g \urcorner, \langle z_1, \dots, z_m \rangle, z \rangle, \dots \rangle$$

$$\begin{aligned} \text{composition}(x) \iff & \text{seq}(x) \wedge \text{len}(x) \geq 3 \wedge \text{triple}((x)_1) \wedge \text{seq}((x)_{1,1}) \wedge (x)_{1,1,1} = 3 \wedge \\ & \text{len}((x)_{1,1}) = \text{len}(x) \wedge (\forall i \leq \text{len}(x) \dot{-} 3) [(x)_{i+2,1,1} = (x)_{1,1,i+3} \wedge \\ & (x)_{i+2,1,2} = (x)_{1,2} \wedge (x)_{i+2,1,3} = (x)_{\text{len}(x),1,2,i+1}] \wedge \\ & (x)_{\text{len}(x),1,1} = (x)_{1,1,2} \wedge (x)_{\text{len}(x),1,3} = (x)_{1,3} \end{aligned}$$

Definition of D (cont'd)

▶ primitive recursion $f(0, \vec{y}) = g(\vec{y})$ $f(x + 1, \vec{y}) = h(f(x, \vec{y}), x, \vec{y})$

$$\langle \langle \ulcorner f \urcorner, \langle 0, y_1, \dots, y_n \rangle, z \rangle, \langle \langle \ulcorner g \urcorner, \langle y_1, \dots, y_n \rangle, z \rangle, \dots \rangle \rangle \quad \langle \langle \ulcorner f \urcorner, \langle x + 1, y_1, \dots, y_n \rangle, z \rangle, T_1, T_2 \rangle$$

with

$$\ulcorner f \urcorner = \langle 4, \ulcorner g \urcorner, \ulcorner h \urcorner \rangle$$

$$T_1 = \langle \langle \ulcorner f \urcorner, \langle x, y_1, \dots, y_n \rangle, z_1 \rangle, \dots \rangle \quad T_2 = \langle \langle \ulcorner h \urcorner, \langle z_1, x, y_1, \dots, y_n \rangle, z \rangle, \dots \rangle$$

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$$\begin{aligned} \text{recursion}(x) \iff & \text{seq}(x) \wedge \text{triple}((x)_1) \wedge \text{triple}((x)_{1,1}) \wedge (x)_{1,1,1} = 4 \wedge \text{seq}((x)_{1,2}) \wedge \\ & [(x)_{1,2,1} = 0 \implies \text{len}(x) = 2 \wedge (x)_{1,1,2} = (x)_{2,1,1} \wedge \\ & (x)_{1,2} = \langle 0 \rangle; (x)_{2,1,2} \wedge (x)_{1,3} = (x)_{2,1,3}] \wedge [(x)_{1,2,1} > 0 \implies \\ & \text{len}(x) = 3 \wedge (x)_{2,1,1} = (x)_{1,1} \wedge (x)_{2,1,2,1} = p((x)_{1,2,1}) \wedge \\ & (\forall i \leq \text{len}((x)_{1,2}) \dot{-} 2) [(x)_{1,2,i+2} = (x)_{2,1,2,i+2}] \wedge (x)_{3,1,1} = (x)_{1,1,3} \wedge \\ & (x)_{3,1,2} = \langle (x)_{2,1,3} \rangle; (x)_{2,1,2} \wedge (x)_{3,1,3} = (x)_{1,3}] \end{aligned}$$

Definition of D (cont'd)

► minimization $f(y_1, \dots, y_n) = (\mu x) (g(x, y_1, \dots, y_n) = 0)$

minimization(x) $\iff \dots$ (homework exercise)

Definition of D (cont'd)

- ▶ minimization $f(y_1, \dots, y_n) = (\mu x) (g(x, y_1, \dots, y_n) = 0)$
minimization(x) $\iff \dots$ (homework exercise)
- ▶ $D(x) \iff \text{initial}(x) \vee \text{composition}(x) \vee \text{recursion}(x) \vee \text{minimization}(x)$

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Kleene's Normal Form Theorem

- \exists primitive recursive function $u \quad \forall n \geq 1 \quad \exists$ primitive recursive predicate T_n
- \forall partial recursive function $\varphi: \mathbb{N}^n \rightarrow \mathbb{N}$

$$\varphi(x_1, \dots, x_n) \simeq u((\mu y) T_n(\ulcorner \varphi \urcorner, x_1, \dots, x_n, y))$$

Corollary

\exists primitive recursive function $u \quad \forall n \geq 1 \quad \exists$ primitive recursive **function** t_n
 \forall partial recursive function $\varphi: \mathbb{N}^n \rightarrow \mathbb{N} \quad \varphi(x_1, \dots, x_n) \simeq u((\mu y) (t_n(\ulcorner \varphi \urcorner, x_1, \dots, x_n, y) = 0))$

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Proof

define $t_n = \chi_{\neg T_n}$

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define $t_n = \chi_{\neg T_n}$

Definition

$\varphi_e^n(x_1, \dots, x_n) = u((\mu y) (t_n(e, x_1, \dots, x_n, y) = 0))$

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Lemma

▶ $\forall e \geq 0 \quad \forall n \geq 1 \quad \varphi_e^n$ is partial recursive

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Lemma

- ▶ $\forall e \geq 0 \quad \forall n \geq 1 \quad \varphi_e^n$ is partial recursive
- ▶ e is no index $\implies \varphi_e^n$ is nowhere defined

Corollary

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Lemma

- ▶ $\forall e \geq 0 \quad \forall n \geq 1 \quad \varphi_e^n$ is partial recursive
- ▶ e is no index $\implies \varphi_e^n$ is nowhere defined
- ▶ $\varphi_0^n, \varphi_1^n, \varphi_2^n, \dots$ is enumeration of all n -ary partial recursive functions

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Definition

predicate $P: \mathbb{N}^n \rightarrow \mathbb{B}$ is **decidable** if χ_P is recursive

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Notation

φ_e denotes φ_e^1

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Theorem

following problem is undecidable:

instance: natural number x

question: is $\varphi_x(x)$ defined?

Proof (by contradiction)

► suppose $f(x) = \begin{cases} 1 & \text{if } \varphi_x(x) \downarrow \\ 0 & \text{if } \varphi_x(x) \uparrow \end{cases}$ is recursive

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- ▶ function $g(x) = (\mu i) (f(x) = 0)$ is partial recursive

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- ▶ $\exists e$ such that $g = \varphi_e$

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- ▶ suppose $f(x) = \begin{cases} 1 & \text{if } \varphi_x(x) \downarrow \\ 0 & \text{if } \varphi_x(x) \uparrow \end{cases}$ is recursive
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- ▶ $\exists e$ such that $g = \varphi_e$
- ▶ $g(e) \downarrow$

Proof (by contradiction)

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- 4. s-m-n or Parameterization Theorem**
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Example

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$\forall m, n \geq 1 \exists$ primitive recursive function $s_n^m: \mathbb{N}^{m+1} \rightarrow \mathbb{N} \quad \forall e \in \mathbb{N}$

$$\varphi_e^{m+n}(x_1, \dots, x_m, y_1, \dots, y_n) \simeq \varphi_{s_n^m(e, x_1, \dots, x_m)}^n(y_1, \dots, y_n)$$

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- ▶ φ_e^n
- ▶ Kleene's fixed point theorem
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homework for November 6