



# Computability Theory

**Aart Middeldorp**

# Outline

- 1. Summary of Previous Lecture**
- 2. Combinatory Logic**
- 3. Combinators**
- 4. Church Numerals**
- 5. Combinatorial Completeness**
- 6. CL-Representability**
- 7. Confluence**
- 8. Summary**

## Definitions

- ▶ set  $A \subseteq \mathbb{N}$  is **recursive** if its characteristic function  $\chi_A$  is recursive
- ▶ disjoint sets  $A, B \subseteq \mathbb{N}$  are **recursively separable** if there exists  $f: \mathbb{N} \rightarrow \{0, 1\} \in R$  such that

$$x \in A \implies f(x) = 0 \qquad x \in B \implies f(x) = 1$$

- ▶ set  $A \subseteq \mathbb{N}$  is **recursively enumerable** if  $A = \emptyset$  or  $A$  is range of unary recursive function
- ▶ set  $A \subseteq \mathbb{N}$  is **index set** if  $d \in A \wedge \varphi_e \simeq \varphi_d \implies e \in A$  for all  $d, e \in \mathbb{N}$

## Lemmata

- ▶ if  $A$  and  $B$  are recursively inseparable then  $A$  and  $B$  are not recursive
- ▶ set  $A$  is recursive if and only if  $A$  and  $\mathbb{N} \setminus A$  are recursively enumerable

## Rice's Theorem

non-trivial index sets are not recursive

## Theorem

- ▶ sets  $A = \{x \mid \varphi_x(x) = 0\}$  and  $B = \{x \mid \varphi_x(x) = 1\}$  are recursively inseparable
- ▶ following statements are equivalent for any set  $A \subseteq \mathbb{N}$ :
  - 1  $A$  is recursively enumerable
  - 2  $A$  is range of unary partial recursive function
  - 3  $A$  is domain of unary partial recursive function

## Definition

set  $A \subseteq \mathbb{N}$  is **diophantine** if  $\exists$  polynomial  $P(x, y_1, \dots, y_n)$  with integer coefficients such that

$$x \in A \iff \exists y_1 \cdots \exists y_n \ P(x, y_1, \dots, y_n) = 0$$

## Lemma

$A$  is diophantine  $\iff A = \{P(x_1, \dots, x_n) \mid x_1, \dots, x_n \in \mathbb{N} \text{ and } P(x_1, \dots, x_n) \geq 0\}$   
for some polynomial  $P(x_1, \dots, x_n)$  with integer coefficients

## Lemma

diophantine sets are recursively enumerable

## Theorem (Matiyasevich)

recursively enumerable sets are diophantine

## Corollary (MRDP Theorem)

Hilbert's 10th problem is unsolvable

## Theorem (Jones 1975)

- ▶  $P(x, y) = 2x + 2y^3x^2 + y^2x^3 - 2yx^4 - x^5 - y^4x$  generates set of Fibonacci numbers
- ▶ there exists no polynomial  $Q(x_1, \dots, x_n)$  such that

$$\{Q(x_1, \dots, x_n) \mid x_1, \dots, x_n \geq 0\}$$

is set of Fibonacci numbers

## Part I: Recursive Function Theory

Ackermann function, bounded minimization, bounded recursion, course-of-values recursion, diagonalization, diophantine sets, elementary functions, fixed point theorem, Fibonacci numbers, Gödel numbering, Gödel's  $\beta$  function, Grzegorzcyk hierarchy, loop programs, minimization, normal form theorem, partial recursive functions, primitive recursion, recursive enumerability, recursive inseparability, s-m-n theorem, total recursive functions, undecidability, while programs, ...

## Part II: Combinatory Logic and Lambda Calculus

$\alpha$ -equivalence, abstraction, arithmetization,  $\beta$ -reduction, CL-representability, combinators, combinatorial completeness, Church numerals, Church-Rosser theorem, Curry-Howard isomorphism, de Bruijn notation,  $\eta$ -reduction, fixed point theorem, intuitionistic propositional logic,  $\lambda$ -definability, normalization theorem, termination, typing, undecidability, Z property, ...

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$y$	$S$	$(I \cdot K)$	$(x \cdot (S \cdot y))$	$((S \cdot I) \cdot I)$	functional notation
$y$	$S$	$IK$	$x(Sy)$	$SII$	applicative notation

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$$\mathcal{V}\text{ar}(t) = \begin{cases} \emptyset & \text{if } t \in \{\mathbf{I}, \mathbf{K}, \mathbf{S}\} \\ \{t\} & \text{if } t \in \mathcal{V} \\ \mathcal{V}\text{ar}(t_1) \cup \mathcal{V}\text{ar}(t_2) & \text{if } t = t_1 t_2 \end{cases}$$

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$$Bxyz \rightarrow KSx(Kx)yz \rightarrow S(Kx)yz \rightarrow Kxz(yz) \rightarrow x(yz)$$

$$Cxyz \rightarrow BBSx(KKx)yz \rightarrow BBSxKyz \rightarrow^+ B(Sx)Kyz \rightarrow^+ Sx(Ky)z \rightarrow xz(Kyz) \rightarrow xzy$$

$$Yx \rightarrow^+ SI(SII(B(SI)(SII)))x$$

## Definition

$$B = S(KS)K$$

$$C = S(BBS)(KK)$$

$$Y = B(SI)(SII)(B(SI)(SII))$$

## Lemma

$$Bxyz \rightarrow^+ x(yz)$$

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$$\begin{aligned} Yx &\rightarrow^+ SI(SII(B(SI)(SII)))x \rightarrow Ix(SII(B(SI)(SII)))x \rightarrow^+ x(I(B(SI)(SII))(I(B(SI)(SII)))x) \\ &\rightarrow^+ x(B(SI)(SII)(B(SI)(SII)))x = x(Yx) \end{aligned}$$

# Outline

1. Summary of Previous Lecture
2. Combinatory Logic
3. Combinators
- 4. Church Numerals**
5. Combinatorial Completeness
6. CL-Representability
7. Confluence
8. Summary

## Definition

for all  $n \geq 0$  Church numeral  $\underline{n}$  is combinator

$$\underline{n} = \begin{cases} \text{KI} & \text{if } n = 0 \\ \text{SB } \underline{n-1} & \text{if } n > 0 \end{cases}$$



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## Definition

function  $f: \mathbb{N}^n \rightarrow \mathbb{N}$  is **CL-representable** if there exists combinator  $F$  such that

$$f(x_1, \dots, x_n) = y \quad \implies \quad F \underline{x_1} \cdots \underline{x_n} \rightarrow^* \underline{y}$$

for all  $x_1, \dots, x_n, y \in \mathbb{N}$

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$$\begin{aligned} f(x_1, \dots, x_n) = y & \implies F \underline{x_1} \cdots \underline{x_n} \rightarrow^* \underline{y} \\ f(x_1, \dots, x_n) \text{ is undefined} & \implies F \underline{x_1} \cdots \underline{x_n} \text{ is not normalizing} \end{aligned}$$

for all  $x_1, \dots, x_n, y \in \mathbb{N}$

$$\underline{n} = (\text{SB})^n(\text{KI})$$

## Lemma

$$\underline{n}xy \rightarrow^+ x^n y$$

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induction on  $n \geq 0$

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induction on  $n \geq 0$

$$\underline{0}xy = \text{KI}xy$$

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induction on  $n \geq 0$

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$$\underline{0}xy = \text{KI}xy \rightarrow \text{I}y \rightarrow y = x^0 y$$

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induction on  $n \geq 0$

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induction on  $n \geq 0$

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$$\underline{n} = (\text{SB})^n(\text{KI})$$

## Lemma

initial functions are CL-representable

$$\underline{n} = (\text{SB})^n(\text{KI})$$

## Lemma

initial functions are CL-representable

## Proof

$$\text{zero} = \text{K}(\text{KI})$$



$$\underline{n} = (\text{SB})^n(\text{KI})$$

## Lemma

initial functions are CL-representable

## Proof

$$\text{zero} = \text{K}(\text{KI}):$$

$$\text{zero } \underline{x} \rightarrow \text{KI} = \underline{0}$$

$$\underline{n} = (\text{SB})^n(\text{KI})$$

## Lemma

initial functions are CL-representable

## Proof

$$\text{zero} = \text{K}(\text{KI}):$$

$$\text{succ} = \text{SB}$$

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initial functions are CL-representable

## Proof

$$\text{zero} = \text{K}(\text{KI}):$$

$$\text{succ} = \text{SB}:$$

$$\text{zero } \underline{x} \rightarrow \text{KI} = \underline{0}$$

$$\text{succ } \underline{x} = \text{SB } \underline{x} = \underline{x + 1}$$

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## Lemma

initial functions are CL-representable

## Proof

$$\text{zero} = \text{K}(\text{KI}):$$

$$\text{succ} = \text{SB}:$$

$$\pi_i^n = \text{K}^{i-1}((\text{BK})^{n-i} \text{I})$$

$$\text{zero } \underline{x} \rightarrow \text{KI} = \underline{0}$$

$$\text{succ } \underline{x} = \text{SB } \underline{x} = \underline{x + 1}$$

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## Definition (Bracket Abstraction)

- ▶ CL-term  $[x]t$  is defined for all CL-terms  $t$  and variables  $x$ :

$$[x]t = \begin{cases} I & \text{if } t = x \\ Kt & \text{if } x \notin \text{Var}(t) \\ S([x]t_1)([x]t_2) & \text{if } t = t_1t_2 \text{ and } x \in \text{Var}(t) \end{cases}$$

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## Example

$[xyz](xzy)$



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$$[xyz](xzy) = [xy](S([z](xz))([z]y)) = [xy](S(S([z]x)([z]z))(Ky)) = [xy](S(S(Kx)I)(Ky))$$

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## Example

$$\begin{aligned} [xyz](xzy) &= [xy](S([z](xz))([z]y)) = [xy](S(S([z]x)([z]z))(Ky)) = [xy](S(S(Kx)I)(Ky)) \\ &= [x](S([y](S(S(Kx)I)))([y](Ky))) \end{aligned}$$

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## Example

$$\begin{aligned} [xyz](xzy) &= [xy](S([z](xz))([z]y)) = [xy](S(S([z]x)([z]z))(Ky)) = [xy](S(S(Kx)I)(Ky)) \\ &= [x](S([y](S(S(Kx)I)))([y](Ky))) = [x](S(K(S(S(Kx)I)))(S([y]K)([y]y))) \\ &= [x](S(K(S(S(Kx)I)))(S(KK)I)) = \dots \\ &= S(S(KS)(S(KK)(S(KS)(S(S(KS)(S(KK)I))(KI)))))(K(S(KK)I)) \end{aligned}$$

## Lemma

$$x \notin \text{Var}([x]t)$$



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$([x]t)x \rightarrow^* t$  for all CL-terms  $t$  and variables  $x$

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$([x]t)x \rightarrow^* t$  for all CL-terms  $t$  and variables  $x$

## Proof

induction on  $t$

▶  $t = x \quad \implies ([x]t)x = \lambda x \rightarrow x = t$

## Lemma

$x \notin \text{Var}([x]t)$

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## Proof

induction on  $t$

- ▶  $t = x$   $\implies ([x]t)x = \mathbf{I}x \rightarrow x = t$
- ▶  $x \notin \text{Var}(t)$   $\implies ([x]t)x = \mathbf{K}tx \rightarrow t$

## Lemma

$x \notin \mathcal{V}\text{ar}([x]t)$

## Lemma

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## Proof

induction on  $t$

- ▶  $t = x \implies ([x]t)x = \mathbf{I}x \rightarrow x = t$
- ▶  $x \notin \mathcal{V}\text{ar}(t) \implies ([x]t)x = \mathbf{K}tx \rightarrow t$
- ▶  $t = t_1t_2$  and  $x \in \mathcal{V}\text{ar}(t) \implies ([x]t_1)x \rightarrow^* t_1$  and  $([x]t_2)x \rightarrow^* t_2$  by induction hypothesis

## Lemma

$x \notin \text{Var}([x]t)$

## Lemma

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induction on  $t$

- ▶  $t = x \implies ([x]t)x = \mathbf{I}x \rightarrow x = t$
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- ▶  $t = t_1t_2$  and  $x \in \text{Var}(t) \implies ([x]t_1)x \rightarrow^* t_1$  and  $([x]t_2)x \rightarrow^* t_2$  by induction hypothesis  
 $\implies ([x]t)x = \mathbf{S}([x]t_1)([x]t_2)x \rightarrow ([x]t_1)x(([x]t_2)x) \rightarrow^* t_1t_2 = t$

## Corollary (Combinatorial Completeness)

for every CL-term  $t$  with  $\text{Var}(t) = \{x_1, \dots, x_n\}$

①  $\exists$  combinator  $C$  such that  $C x_1 \cdots x_n \rightarrow^* t$

## Corollary (Combinatorial Completeness)

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## Proof

①  $C = [x_1 \dots x_n]t$

## Corollary (Combinatorial Completeness)

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## Proof

①  $C = [x_1 \dots x_n]t$ :  $C x_1 \cdots x_n \rightarrow^+ ([x_2 \dots x_n]t) x_2 \cdots x_n \rightarrow^+ \dots \rightarrow^+ t$



## Corollary (Combinatorial Completeness)

for every CL-term  $t$  with  $\text{Var}(t) = \{x_1, \dots, x_n\}$

- 1  $\exists$  combinator  $C$  such that  $C x_1 \cdots x_n \rightarrow^* t$
- 2  $\exists$  combinator  $D$  such that  $D x_2 \cdots x_n \rightarrow^* t[D/x_1]$

## Proof

①  $C = [x_1 \dots x_n]t$ :  $C x_1 \cdots x_n \rightarrow^+ ([x_2 \dots x_n]t) x_2 \cdots x_n \rightarrow^+ \dots \rightarrow^+ t$

## Corollary (Combinatorial Completeness)

for every CL-term  $t$  with  $\text{Var}(t) = \{x_1, \dots, x_n\}$

- ①  $\exists$  combinator  $C$  such that  $C x_1 \cdots x_n \rightarrow^* t$
- ②  $\exists$  combinator  $D$  such that  $D x_2 \cdots x_n \rightarrow^* t[D/x_1]$

## Proof

- ①  $C = [x_1 \dots x_n]t: C x_1 \cdots x_n \rightarrow^+ ([x_2 \dots x_n]t) x_2 \cdots x_n \rightarrow^+ \dots \rightarrow^+ t$
- ②  $D = YC$

## Corollary (Combinatorial Completeness)

for every CL-term  $t$  with  $\text{Var}(t) = \{x_1, \dots, x_n\}$

- ①  $\exists$  combinator  $C$  such that  $C x_1 \cdots x_n \rightarrow^* t$
- ②  $\exists$  combinator  $D$  such that  $D x_2 \cdots x_n \rightarrow^* t[D/x_1]$

## Proof

$$\textcircled{1} \quad C = [x_1 \dots x_n]t: \quad C x_1 \cdots x_n \rightarrow^+ ([x_2 \dots x_n]t) x_2 \cdots x_n \rightarrow^+ \cdots \rightarrow^+ t$$

$$\textcircled{2} \quad D = YC: \quad D x_2 \cdots x_n \rightarrow^+ CD x_2 \cdots x_n$$

## Corollary (Combinatorial Completeness)

for every CL-term  $t$  with  $\text{Var}(t) = \{x_1, \dots, x_n\}$

- ①  $\exists$  combinator  $C$  such that  $C x_1 \cdots x_n \rightarrow^* t$
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$$\textcircled{1} \quad C = [x_1 \dots x_n]t: \quad C x_1 \cdots x_n \rightarrow^+ ([x_2 \dots x_n]t) x_2 \cdots x_n \rightarrow^+ \cdots \rightarrow^+ t$$

$$\textcircled{2} \quad D = YC: \quad D x_2 \cdots x_n \rightarrow^+ CD x_2 \cdots x_n \rightarrow^+ t[D/x_1]$$

## Definition (Bracket Abstraction, Optimized)

► CL-term  $\langle x \rangle t$  is defined for all CL-terms  $t$  and variables  $x$ :

$$\langle x \rangle t = \begin{cases} I & \text{if } t = x \\ Kt & \text{if } x \notin \text{Var}(t) \end{cases} \quad \langle x \rangle t = \begin{cases} u & \text{if } t = ux \text{ and } x \notin \text{Var}(u) \\ Bu(\langle x \rangle v) & \text{if } t = uv \text{ and } x \notin \text{Var}(u) \\ C(\langle x \rangle u)v & \text{if } t = uv \text{ and } x \notin \text{Var}(v) \\ S(\langle x \rangle u)(\langle x \rangle v) & \text{if } t = uv \text{ and } x \in \text{Var}(u) \cap \text{Var}(v) \end{cases}$$

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## Example

$$\langle xyz \rangle (xzy)$$

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$$\langle xy z \rangle (xzy) = \langle xy \rangle (C(\langle z \rangle (xz))y)$$



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## Lemma

$(\langle x \rangle t)x \rightarrow^* t$  for all CL-terms  $t$  and variables  $x$

# Outline

1. Summary of Previous Lecture
2. Combinatory Logic
3. Combinators
4. Church Numerals
5. Combinatorial Completeness
- 6. CL-Representability**
7. Confluence
8. Summary

## Lemma

CL-representable functions are closed under composition

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## Proof

$$f(x_1, \dots, x_n) = g(h_1(x_1, \dots, x_n), \dots, h_m(x_1, \dots, x_n))$$

with  $G, H_1, \dots, H_m$  representing  $g, h_1, \dots, h_m$

$$F = \langle x_1 \dots x_n \rangle (G (H_1 x_1 \dots x_n) \dots (H_m x_1 \dots x_n))$$

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CL-representable functions are closed under primitive recursion

$$\begin{aligned} f(0, y_1, \dots, y_n) &= g(y_1, \dots, y_n) \\ f(x + 1, y_1, \dots, y_n) &= h(f(x, y_1, \dots, y_n), x, y_1, \dots, y_n) \end{aligned}$$

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$$\text{zero?} = C(B(CIK))(K(KI))$$

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## Predecessor

$$P = C(C(CI(B(CI)(CI(SB)))))(K(KI)))I$$

$$f(x, y_1, \dots, y_n) = \begin{cases} g(y_1, \dots, y_n) & \text{if } x = 0 \\ h(f(x-1, y_1, \dots, y_n), x-1, y_1, \dots, y_n) & \text{otherwise} \end{cases}$$

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## Lemma

P represents predecessor function

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CL-representable functions are closed under primitive recursion

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with  $G, H$  representing  $g, h$



## Lemma

CL-representable functions are closed under primitive recursion

## Proof

$$\begin{aligned}f(0, y_1, \dots, y_n) &= g(y_1, \dots, y_n) \\f(x + 1, y_1, \dots, y_n) &= h(f(x, y_1, \dots, y_n), x, y_1, \dots, y_n)\end{aligned}$$

with  $G, H$  representing  $g, h$

$$F x y_1 \cdots y_n = (\text{zero? } x) (G y_1 \cdots y_n) (H (F (P x) y_1 \cdots y_n) (P x) y_1 \cdots y_n)$$

## Lemma

CL-representable functions are closed under primitive recursion

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## Corollary

primitive recursive functions are CL-representable

# Outline

1. Summary of Previous Lecture
2. Combinatory Logic
3. Combinators
4. Church Numerals
5. Combinatorial Completeness
6. CL-Representability
- 7. Confluence**
8. Summary

## Definition

function  $f: \mathbb{N}^n \rightarrow \mathbb{N}$  is **CL-representable** if there exists combinator  $F$  such that

$$f(x_1, \dots, x_n) = y \quad \Longrightarrow \quad F \underline{x_1} \cdots \underline{x_n} \rightarrow^* \underline{y}$$

$$f(x_1, \dots, x_n) \text{ is undefined} \quad \Longrightarrow \quad F \underline{x_1} \cdots \underline{x_n} \text{ is not normalizing}$$

for all  $x_1, \dots, x_n, y \in \mathbb{N}$

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is it possible that both  $F \underline{x_1} \cdots \underline{x_n} \rightarrow^* \underline{y}$  and  $F \underline{x_1} \cdots \underline{x_n} \rightarrow^* \underline{z}$  with  $y \neq z$ ?

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## Answer

no, because CL has **unique normal forms**:  $\forall$  term  $t$   $\forall$  normal forms  $n_1$  and  $n_2$

$$t \rightarrow^* n_1 \quad \wedge \quad t \rightarrow^* n_2 \quad \Longrightarrow \quad n_1 = n_2$$

## Theorem

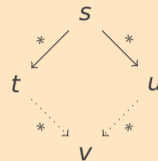
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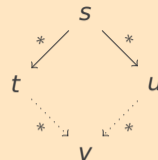
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## Corollary

CL has unique normal forms

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## Important Concepts

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- ▶  $\rightarrow^*$
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- ▶  $[x]t$
- ▶  $\langle x \rangle t$
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- ▶ **C**
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homework for November 20