



Computability Theory

Aart Middeldorp

Outline

1. Summary of Previous Lecture
2. Church–Rosser Theorem
3. Z Property
4. CL–Representability
5. Summary

Definitions (Combinatory Logic)

- CL-terms are built from
 - infinite set of **variables** $\mathcal{V} = \{x, y, z, \dots\}$
 - **constants** $I \ K \ S$
 - **application** st for CL-terms s and t
- **combinator** is CL-term t without variables
- **(weak) reduction** is smallest relation \rightarrow on CL-terms such that

$$\overline{It \rightarrow t} \quad \overline{Ktu \rightarrow t} \quad \overline{Stuv \rightarrow tv(uv)} \quad \frac{t \rightarrow u}{tv \rightarrow uv} \quad \frac{t \rightarrow u}{vt \rightarrow vu}$$

for all CL-terms t, u, v

- **normal form** is CL-term t such that $t \rightarrow u$ for no CL-term u
- \rightarrow^+ is transitive closure of \rightarrow
- \rightarrow^* is transitive and reflexive closure of \rightarrow

Definitions

- $t \rightarrow^! u$ if $t \rightarrow^* u$ for normal form u
- CL-term t is **normalizing** if $t \rightarrow^! u$ for some CL-term u
- **infinite reduction** is sequence $(t_i)_{i \geq 0}$ such that $t_i \rightarrow t_{i+1}$ for all $i \geq 0$
- CL-term t is **terminating** if there are no infinite reductions starting at t
- $B = S(KS)K$ $C = S(BBS)(KK)$ $Y = B(SI)(SII)(B(SI)(SII))$
- for all $n \geq 0$ **Church numeral** \underline{n} is combinator $(SB)^n(KI)$
- function $f: \mathbb{N}^n \rightarrow \mathbb{N}$ is **CL-representable** if there exists combinator F such that

$$f(x_1, \dots, x_n) = y \implies F\underline{x}_1 \dots \underline{x}_n \rightarrow^* y$$

$$f(x_1, \dots, x_n) \text{ is undefined} \implies F\underline{x}_1 \dots \underline{x}_n \text{ is not normalizing}$$

for all $x_1, \dots, x_n, y \in \mathbb{N}$

Lemma

$$Bxyz \rightarrow^+ x(yz) \quad Cxyz \rightarrow^+ xzy \quad Yx \rightarrow^+ x(Yx)$$

Definition (Bracket Abstraction)

CL-term $[x]t$ is defined for all CL-terms t and variables x :

$$[x]t = \begin{cases} I & \text{if } t = x \\ Kt & \text{if } x \notin \text{Var}(t) \\ S([x]t_1)([x]t_2) & \text{if } t = t_1t_2 \text{ and } x \in \text{Var}(t) \end{cases}$$

Lemma

$x \notin \text{Var}([x]t)$ and $([x]t)x \rightarrow^* t$ for all CL-terms t and variables x

Corollary (Combinatorial Completeness)

for every CL-term t with $\text{Var}(t) = \{x_1, \dots, x_n\}$

- ① \exists combinator C such that $C x_1 \dots x_n \rightarrow^* t$
- ② \exists combinator D such that $D x_2 \dots x_n \rightarrow^* t[D/x_1]$

Definition (Bracket Abstraction, Optimized)

► CL-term $\langle x \rangle t$ is defined for all CL-terms t and variables x :

$$\langle x \rangle t = \begin{cases} I & \text{if } t = x \\ Kt & \text{if } x \notin \text{Var}(t) \\ u & \text{if } t = ux \text{ and } x \notin \text{Var}(u) \\ Bu(\langle x \rangle v) & \text{if } t = uv \text{ and } x \notin \text{Var}(u) \\ C(\langle x \rangle u)v & \text{if } t = uv \text{ and } x \in \text{Var}(v) \\ S(\langle x \rangle u)(\langle x \rangle v) & \text{if } t = uv \text{ and } x \in \text{Var}(u) \cap \text{Var}(v) \end{cases}$$

► $\langle x_1 \dots x_n \rangle t$ abbreviates $\langle x_1 \rangle (\dots \langle x_n \rangle t \dots)$

Lemma

$x \notin \text{Var}(\langle x \rangle t)$ and $(\langle x \rangle t)x \rightarrow^* t$ for all CL-terms t and variables x

Definition

$$T = K \quad F = KI \quad \text{zero?} = C(B(CIK))(K(KI))$$

Lemma

- ① initial functions are CL-representable
- ② CL-representable functions are closed under composition and primitive recursion

Theorem

CL is confluent: $\forall s \forall t \forall u [s \rightarrow^* t \wedge s \rightarrow^* u \implies \exists v (t \rightarrow^* v \wedge u \rightarrow^* v)]$

Corollary

CL has unique normal forms

Part I: Recursive Function Theory

Ackermann function, bounded minimization, bounded recursion, course-of-values recursion, diagonalization, diophantine sets, elementary functions, fixed point theorem, Fibonacci numbers, Gödel numbering, Gödel's β function, Grzegorczyk hierarchy, loop programs, minimization, normal form theorem, partial recursive functions, primitive recursion, recursive enumerability, recursive inseparability, s-m-n theorem, total recursive functions, undecidability, while programs, ...

Part II: Combinatory Logic and Lambda Calculus

α -equivalence, abstraction, arithmetization, β -reduction, CL-representability, combinators, combinatorial completeness, Church numerals, Church-Rosser theorem, Curry-Howard isomorphism, de Bruijn notation, η -reduction, fixed point theorem, intuitionistic propositional logic, λ -definability, normalization theorem, termination, typing, undecidability, Z property, ...

Outline

1. Summary of Previous Lecture

2. Church-Rosser Theorem

3. Z Property

4. CL-Representability

5. Summary

Definition (Parallel Reduction)

$$\overline{t \not\rightarrow t}$$

(1)

for all $t \in \{\text{S, K, I}\} \cup \mathcal{V}$

$$\overline{t \not\rightarrow t}$$

$$\overline{Ktu \not\rightarrow t}$$

$$\overline{Stuv \not\rightarrow tv(uv)}$$

(2)

for all CL-terms t, u, v

$$\frac{t_1 \not\rightarrow u_1 \quad t_2 \not\rightarrow u_2}{t_1 t_2 \not\rightarrow u_1 u_2}$$

(3)

for all CL-terms t_1, t_2, u_1, u_2

Lemma

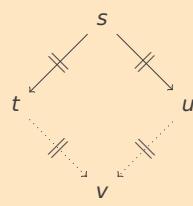
$$\rightarrow \subseteq \not\rightarrow \subseteq \rightarrow^*$$

Example

$$\begin{array}{cccc} \overline{K \not\rightarrow K} & \overline{IK \not\rightarrow K} & \overline{IK \not\rightarrow K} & \overline{KSI \not\rightarrow S} \\ K(IK) \not\rightarrow KK & & IK(KSI) \not\rightarrow KS & \\ \hline K(IK)(IK(KSI)) \not\rightarrow KK(KS) & K(IK)(IK(KSI)) \not\rightarrow KK(KS) & & \end{array}$$

Lemma

parallel reduction has **diamond property**: \forall terms $s, t, u \exists$ term v



Lemma

$$\forall s \forall t \forall u [s \not\rightarrow t \wedge s \not\rightarrow u \implies \exists v (t \not\rightarrow v \wedge u \not\rightarrow v)]$$

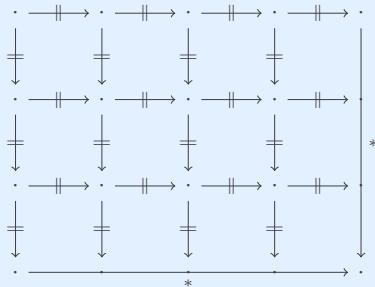
Proof

- induction on derivation of $s \not\rightarrow t$ and $s \not\rightarrow u$
- easy cases: $s \not\rightarrow^{(1)} t$ or $s \not\rightarrow^{(1)} u$ or both $s \not\rightarrow^{(2)} t$ and $s \not\rightarrow^{(2)} u$
or both $s \not\rightarrow^{(3)} t$ and $s \not\rightarrow^{(3)} u$
- interesting case (modulo symmetry): $s \not\rightarrow^{(2)} t$ and $s \not\rightarrow^{(3)} u$
 $s = s_1 s_2$ and $u = u_1 u_2$ with $s_1 \not\rightarrow u_1$ and $s_2 \not\rightarrow u_2$
 - ① $s_1 = I \quad t = s_2 \quad u_1 = I \quad u \not\rightarrow u_2 \quad t \not\rightarrow u_2$
 - ② $s_1 = Ks' \quad t = s' \quad u_1 = Ku' \text{ with } s' \not\rightarrow u' \quad u \not\rightarrow u' \quad t \not\rightarrow u'$
 - ③ $s_1 = Ss's'' \quad t = s's_2(s''s_2) \quad u_1 = Su'u'' \text{ with } s' \not\rightarrow u' \text{ and } s'' \not\rightarrow u''$
 $u \not\rightarrow u_2(u''u_2) \quad t \not\rightarrow u_2(u''u_2)$

Corollary

CL is confluent

Proof



Definition (Conversion)

\leftrightarrow^* is transitive, reflexive and symmetric closure of \rightarrow

Church–Rosser Theorem

CL has **Church–Rosser property**: $\forall t \forall u [t \leftrightarrow^* u \implies \exists v (t \rightarrow^* v \wedge u \rightarrow^* v)]$

Proof

easy consequence of confluence

Outline

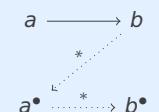
1. Summary of Previous Lecture
2. Church–Rosser Theorem
3. Z Property
4. CL–Representability
5. Summary

Definition

ARS $\mathcal{A} = \langle A, \rightarrow \rangle$ has **Z property** if

$$a \rightarrow b \implies b \rightarrow^* \bullet(a) \rightarrow^* \bullet(b)$$

for some function \bullet on A

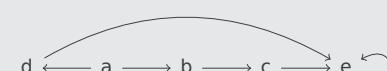


Notation

a^\bullet for $\bullet(a)$

Example

ARS



- ▶ define $a^\bullet = b^\bullet = c^\bullet = d^\bullet = e^\bullet = e$
- ▶ every element rewrites to $e \implies$ Z property is trivially satisfied

Lemma (Monotonicity)

$a \rightarrow^* b \implies a^\bullet \rightarrow^* b^\bullet$ for every ARS $\langle A, \rightarrow \rangle$ with Z property for \bullet

Proof

induction on number of steps in $a \rightarrow^* b$

Lemma

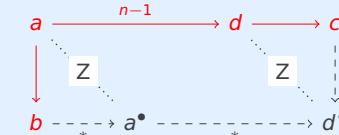
every ARS with Z property is confluent

Proof

$b \leftarrow a \rightarrow^n c \implies b \downarrow c$ by induction on n :

► $n = 0 \implies c = a \rightarrow b$

► $n > 0 \implies a \rightarrow^{n-1} d \rightarrow c$ for some element d



$\leftarrow \cdot \rightarrow^* \subseteq \downarrow$ (semi-confluence) $\implies * \leftarrow \cdot \rightarrow^* \subseteq \downarrow$ (confluence)

Question

how to find suitable bullet function \bullet for CL?

Definition

functions \diamond and \star on CL-terms:

$$t^\diamond = \begin{cases} u^\diamond * v^\diamond & \text{if } t = uv \\ t & \text{otherwise} \end{cases}$$

$$s \star t = \begin{cases} t & \text{if } s = I \\ u & \text{if } s = Ku \\ ut(vt) & \text{if } s = Su \\ st & \text{otherwise} \end{cases}$$

Example

$$\begin{aligned} (\text{SK}(\text{IK})(\text{IIS}))^{\diamond\diamond} &= ((\text{SK}(\text{IK}))^\diamond * (\text{IIS})^\diamond)^\diamond = (((\text{SK})^\diamond * (\text{IK})^\diamond) * ((\text{I})^\diamond * \text{S}^\diamond))^\diamond \\ &= (((\text{S}^\diamond * \text{K}^\diamond) * (\text{I}^\diamond * \text{K}^\diamond)) * ((\text{I}^\diamond * \text{I}^\diamond) * \text{S}))^\diamond = (((\text{S} * \text{K}) * (\text{I} * \text{K})) * ((\text{I} * \text{I}) * \text{S}))^\diamond \\ &= ((\text{SK} * \text{K}) * (\text{I} * \text{S}))^\diamond = (\text{SKK} * \text{S})^\diamond = (\text{KS} * \text{KS})^\diamond = \text{KS} * \text{KS} = \text{S} \end{aligned}$$

Example (cont'd)

$(\text{SK}(\text{IK})(\text{IIS}))^{\diamond\diamond}$ is common reduct of IIS and $\text{SKK}(\text{IS})$

$$\text{IIS} \leftarrow \text{K}(\text{IIS})(\text{IK}(\text{IIS})) \leftarrow \text{SK}(\text{IK})(\text{IIS}) \rightarrow \text{SKK}(\text{IIS}) \rightarrow \text{SKK}(\text{IS})$$

Theorem

CL has Z property for \diamond

Proof (sketch)

for all CL-terms s, t, u, v

- ① $st \rightarrow^* s \star t$
- ② $t \rightarrow^* t^\diamond$
- ③ $s \rightarrow^* t$ and $u \rightarrow^* v \implies s \star u \rightarrow^* t \star v$
- ④ $s \rightarrow^* t \implies t \rightarrow^* s^\diamond \rightarrow^* t^\diamond$

Outline

1. Summary of Previous Lecture
2. Church–Rosser Theorem
3. Z Property
4. CL–Representability
5. Summary

Lemma

CL–representable functions are closed under primitive recursion

Proof

$$\begin{aligned}f(0, y_1, \dots, y_n) &= g(y_1, \dots, y_n) \\f(x+1, y_1, \dots, y_n) &= h(f(x, y_1, \dots, y_n), x, y_1, \dots, y_n)\end{aligned}$$

with G, H representing g, h

$$\begin{aligned}F x y_1, \dots, y_n &= (\text{zero? } x) (G y_1 \dots y_n) (H (F (\text{P } x) y_1 \dots y_n) (\text{P } x) y_1 \dots y_n) \\F &= \text{Y} (\langle f x y_1 \dots y_n \rangle (\text{zero? } x) (G y_1 \dots y_n) (H (f (\text{P } x) y_1 \dots y_n) (\text{P } x) y_1 \dots y_n))\end{aligned}$$

Observation

Y has no normal form

Definition

recursion combinator is combinator R such that

$$R x y \underline{0} \leftrightarrow^* x \quad R x y \underline{n+1} \leftrightarrow^* y \underline{n} (R x y \underline{n})$$

Lemma

if R is recursion combinator then

$$F = \langle z y_1 \dots y_n \rangle (R (G y_1 \dots y_n) \langle u v \rangle (H v u y_1 \dots y_n) z)$$

represents primitive recursive function f based on g and h

Proof

$$\begin{aligned}F \underline{0} \vec{y} &\rightarrow^* R (G \vec{y}) \langle u v \rangle (H v u \vec{y}) \underline{0} \leftrightarrow^* G \vec{y} \\F \underline{m+1} \vec{y} &\rightarrow^* R (G \vec{y}) \langle u v \rangle (H v u \vec{y}) \underline{m+1} \leftrightarrow^* \langle u v \rangle (H v u \vec{y}) \underline{m} (R (G \vec{y}) \langle u v \rangle (H v u \vec{y}) \underline{m}) \\&\rightarrow^* H (R (G \vec{y}) \langle u v \rangle (H v u \vec{y}) \underline{m}) \underline{m} \vec{y} \leftrightarrow^* H (F \underline{m} \vec{y}) \underline{m} \vec{y}\end{aligned}$$

Definition

$$D = \langle x y z \rangle (z (\text{K } y) x) = \text{C}(\text{BC}(\text{B}(\text{CI})) \text{K}) \quad \text{pairing combinator}$$

Lemmas

- ① $D x y \underline{0} \rightarrow^+ x$
- ② $D x y \underline{n} \rightarrow^+ y$ for all $n > 0$

Proof

- ① $D x y \underline{0} = \langle x y z \rangle (z (\text{K } y) x) x y \underline{0} \rightarrow^+ \underline{0} (\text{K } y) x \rightarrow^+ x$
- ② $D x y \underline{n} \rightarrow^* \underline{n} (\text{K } y) x = \text{S } \underline{n-1} (\text{K } y) x$
 $\rightarrow \text{B } (\text{K } y) (\underline{n-1} (\text{K } y)) x$
 $\rightarrow^* \text{K } y (\underline{n-1} (\text{K } y) x)$
 $\rightarrow y$

Definition

$$Q = \langle xy \rangle (\text{D} (\text{succ} (y \underline{0})) (x (\underline{y} \underline{0}) (\underline{y} \underline{1})))$$

Lemmas

- ① $\text{Q } x (\text{D} \underline{n} y) \rightarrow^+ \text{D} \underline{n+1} (x \underline{n} y)$
- ② $(\text{Q } x)^n (\text{D} \underline{0} y) \rightarrow^+ \text{D} \underline{n} x_n$ for some term x_n

Proof

$$\textcircled{1} \quad \text{Q } x (\text{D} \underline{n} y) \rightarrow^+ \text{D} (\text{succ} (\text{D} \underline{n} y \underline{0})) (x (\text{D} \underline{n} y \underline{0}) (\text{D} \underline{n} y \underline{1})) \rightarrow^+ \text{D} \underline{n+1} (x \underline{n} y)$$

$$\underline{n} x y \rightarrow^* x^n y$$

$$\begin{aligned} Q y (\text{D} \underline{n} x) &\rightarrow^+ \text{D} \underline{n+1} (y \underline{n} x) \\ (\text{Q } y)^n (\text{D} \underline{0} x) &\rightarrow^+ \text{D} \underline{n} x_n \text{ for some term } x_n \end{aligned}$$

Definition

$$R = \langle xyz \rangle (z (\text{Q } y) (\text{D} \underline{0} x) \underline{1})$$

Lemma

R is recursion combinator

Proof

$$\begin{aligned} R x y \underline{0} &\rightarrow^* \underline{0} (\text{Q } y) (\text{D} \underline{0} x) \underline{1} \rightarrow^* \text{D} \underline{0} x \underline{1} \rightarrow^* x \\ R x y \underline{n+1} &\rightarrow^* \underline{n+1} (\text{Q } y) (\text{D} \underline{0} x) \underline{1} \rightarrow^* (\text{Q } y)^{n+1} (\text{D} \underline{0} x) \underline{1} = Q y ((\text{Q } y)^n (\text{D} \underline{0} x)) \underline{1} \\ &\rightarrow^* Q y (\text{D} \underline{n} x_n) \underline{1} \rightarrow^* \text{D} \underline{n+1} (y \underline{n} x_n) \underline{1} \rightarrow^* y \underline{n} x_n * \leftarrow y \underline{n} (\text{D} \underline{n} x_n \underline{1}) \\ &* \leftarrow y \underline{n} ((\text{Q } y)^n (\text{D} \underline{0} x) \underline{1}) * \leftarrow y \underline{n} (n (\text{Q } y) (\text{D} \underline{0} x) \underline{1}) * \leftarrow y \underline{n} (R x y n) \end{aligned}$$

Remark

$R \underline{0} K$ represents predecessor function

Lemma

CL-representable functions are closed under minimization

Proof

$$f(x_1, \dots, x_n) = (\mu i) (g(\underline{i}, x_1, \dots, x_n) = 0)$$

with G representing g

$$F = H \underline{0}$$

with

$$\begin{aligned} H &= \langle i x_1 \dots x_n \rangle (\text{zero?} (G i x_1 \dots x_n) i (H (\text{succ} i) x_1 \dots x_n)) \\ &= Y (\langle h i x_1 \dots x_n \rangle (\text{zero?} (G i x_1 \dots x_n) i (h (\text{succ} i) x_1 \dots x_n))) \end{aligned}$$

Theorem

partial recursive functions are CL-representable ?

Problem

- partial recursive function

$$f(x) = z((\mu i) (x + i = 0))$$

is undefined for $x > 0$

- combinator (produced by construction in previous proof)

$$F = \langle x \rangle (\text{zero} (M x)) \text{ with } M = Y (\langle h i x \rangle (\text{zero?} (\text{add} x i) i (h (\text{succ} i) x))) \underline{0}$$

satisfies

$$F x \rightarrow^+ \text{zero} (M x) = K (\text{K} I) (M x) \rightarrow K I = 0$$

for all $x \geq 0$

Outline

1. Summary of Previous Lecture
2. Church–Rosser Theorem
3. Z Property
4. CL–Representability
5. Summary

Important Concepts

- ▶ $\parallel\!\!\rightarrow$
- ▶ $\rightarrow\bullet$
- ▶ bullet function
- ▶ Church–Rosser property
- ▶ Church–Rosser theorem
- ▶ conversion
- ▶ D
- ▶ diamond property
- ▶ parallel reduction
- ▶ pairing combinator
- ▶ R
- ▶ recursion combinator
- ▶ $s \star t$
- ▶ t^\diamond
- ▶ Z property

homework for November 27