



Computability Theory

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Outline

- 1. Summary of Previous Lecture**
- 2. Strategies**
- 3. Normalization Theorem**
- 4. CL-Representability**
- 5. Summary**

Definition (Parallel Reduction)

- ▶ $t \twoheadrightarrow t$ for all $t \in \{\mathbf{S}, \mathbf{K}, \mathbf{I}\} \cup \mathcal{V}$
- ▶ $\mathbf{I}t \twoheadrightarrow t$ $\mathbf{K}tu \twoheadrightarrow t$ $\mathbf{S}tuv \twoheadrightarrow tv(uv)$ for all CL-terms t, u, v
- ▶ $t_1 t_2 \twoheadrightarrow u_1 u_2$ if $t_1 \twoheadrightarrow u_1$ and $t_2 \twoheadrightarrow u_2$ for all CL-terms t_1, t_2, u_1, u_2

Lemmata

- ▶ $\rightarrow \subseteq \twoheadrightarrow \subseteq \rightarrow^*$
- ▶ \twoheadrightarrow has diamond property

Corollary

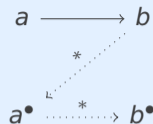
CL is confluent

Definition

ARS $\mathcal{A} = \langle A, \rightarrow \rangle$ has **Z property** if

$$a \rightarrow b \implies b \rightarrow^* \bullet(a) \rightarrow^* \bullet(b)$$

for some function \bullet on A



Lemma (Monotonicity)

$a \rightarrow^* b \implies a^\bullet \rightarrow^* b^\bullet$ for every ARS $\langle A, \rightarrow \rangle$ with Z property for \bullet

Definition

functions \diamond and \star on CL-terms:

$$t^\diamond = \begin{cases} u^\diamond \star v^\diamond & \text{if } t = uv \\ t & \text{otherwise} \end{cases} \quad s \star t = \begin{cases} ut(vt) & \text{if } s = \mathbf{S}uv \\ u & \text{if } s = \mathbf{K}u \\ t & \text{if } s = \mathbf{I} \\ st & \text{otherwise} \end{cases}$$

Lemma

every ARS with Z property is confluent

Theorem

CL has Z property for \diamond

Definition

recursion combinator is combinator R such that

$$R x y \underline{0} \leftrightarrow^* x$$

$$R x y \underline{n+1} \leftrightarrow^* y \underline{n} (R x y \underline{n})$$

Lemma

if R is recursion combinator then

$$F = \langle z y_1 \dots y_n \rangle (R (G y_1 \dots y_n) \langle u v \rangle (H v u y_1 \dots y_n) z)$$

represents primitive recursive function f based on g and h

Definitions

$D = \langle xyz \rangle (z (K y) x) = C(BC(B(CI)K))$ (pairing combinator)

$Q = \langle xy \rangle (D (\text{succ } y \underline{0}) (x (y \underline{0}) (y \underline{1})))$

$R = \langle xyz \rangle (z (Q y) (D \underline{0} x) \underline{1})$

Lemmata

- ▶ $D x y \underline{0} \rightarrow^+ x$
- ▶ $D x y \underline{n} \rightarrow^+ y$ for all $n > 0$
- ▶ $Q x (D \underline{n} y) \rightarrow^+ D \underline{n+1} (x \underline{n} y)$
- ▶ R is recursion combinator

Lemma

CL-representable functions are closed under minimization

Part I: Recursive Function Theory

Ackermann function, bounded minimization, bounded recursion, course-of-values recursion, diagonalization, diophantine sets, elementary functions, fixed point theorem, Fibonacci numbers, Gödel numbering, Gödel's β function, Grzegorzcyk hierarchy, loop programs, minimization, normal form theorem, partial recursive functions, primitive recursion, recursive enumerability, recursive inseparability, s-m-n theorem, total recursive functions, undecidability, while programs, ...

Part II: Combinatory Logic and Lambda Calculus

α -equivalence, abstraction, arithmetization, β -reduction, CL-representability, combinators, combinatorial completeness, Church numerals, Church-Rosser theorem, Curry-Howard isomorphism, de Bruijn notation, η -reduction, fixed point theorem, intuitionistic propositional logic, λ -definability, normalization theorem, termination, typing, undecidability, Z property, ...

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Definitions

► (many-step) strategy \mathcal{S} for ARS $\mathcal{A} = \langle A, \rightarrow \rangle$ is relation $\rightarrow_{\mathcal{S}}$ such that

① $\rightarrow_{\mathcal{S}} \subseteq \rightarrow^+$

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- ▶ strategy \mathcal{S} is **deterministic** if $a = b$ whenever $a \rightarrow_{\mathcal{S}} \cdot \rightarrow_{\mathcal{S}} b$

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- ▶ strategy \mathcal{S} for ARS \mathcal{A} is **normalizing** if every normalizing element is \mathcal{S} -terminating

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- ▶ strategy \mathcal{S} for ARS \mathcal{A} is **hyper-normalizing** if every normalizing element is terminating with respect to $\rightarrow^* \cdot \rightarrow_{\mathcal{S}} \cdot \rightarrow^*$

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- ▶ strategy \mathcal{S} for ARS \mathcal{A} is **hyper-normalizing** if every normalizing element is terminating with respect to $\rightarrow^* \cdot \rightarrow_{\mathcal{S}} \cdot \rightarrow^*$

Lemma

hyper-normalization \implies normalization

Definition

strategy \mathcal{S}_\bullet for ARS \mathcal{A} with Z property for \bullet : $a \dashrightarrow b$ if $a \notin \text{NF}(\mathcal{A})$ and $b = a^\bullet$

Definition

strategy \mathcal{S}_\bullet for ARS \mathcal{A} with Z property for \bullet : $a \twoheadrightarrow b$ if $a \notin \text{NF}(\mathcal{A})$ and $b = a^\bullet$

Theorem

\mathcal{S}_\bullet is normalizing for every ARS with Z property for \bullet

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Proof

① $a \rightarrow^n b$ and $n > 0 \implies b \rightarrow^* \bullet^n(a)$

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$$a \rightarrow c \rightarrow^{n-1} b$$

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$a \rightarrow c \rightarrow^{n-1} b \implies c \rightarrow^* \bullet(a)$ (Z property)

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▶ $n = 1 \implies b = c$

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$\bullet^{n-1}(c) \rightarrow^* \bullet^n(a)$ ($n - 1$ applications of monotonicity)

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$a \rightarrow c \rightarrow^{n-1} b \implies c \rightarrow^* \bullet(a)$ (Z property)

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$\bullet^{n-1}(c) \rightarrow^* \bullet^n(a) \implies b \rightarrow^* \bullet^n(a)$ ($n - 1$ applications of monotonicity)

Theorem

\mathcal{S}_\bullet is normalizing strategy for every ARS with Z property for \bullet

Proof (cont'd)

① $a \rightarrow^n b$ and $n > 0 \implies b \rightarrow^* \bullet^n(a)$

② $a \rightarrow^{\leq n} \bullet^n(a)$ for all $n \geq 0$

Theorem

\mathcal{S}_\bullet is normalizing strategy for every ARS with Z property for \bullet

Proof (cont'd)

① $a \rightarrow^n b$ and $n > 0 \implies b \rightarrow^* \bullet^n(a)$

② $a \rightarrow^{\leq n} \bullet^n(a)$ for all $n \geq 0$ by induction on n

▶ $n = 0 \implies a = \bullet^n(a)$

Theorem

\mathcal{S}_\bullet is normalizing strategy for every ARS with Z property for \bullet

Proof (cont'd)

$$\textcircled{1} \quad a \rightarrow^n b \text{ and } n > 0 \quad \Longrightarrow \quad b \rightarrow^* \bullet^n(a)$$

$$\textcircled{2} \quad a \rightarrow^{\leq n} \bullet^n(a) \text{ for all } n \geq 0 \quad \text{by induction on } n$$

$$\blacktriangleright \quad n = 0 \quad \Longrightarrow \quad a = \bullet^n(a)$$

$$\blacktriangleright \quad n > 0 \quad \Longrightarrow \quad a \rightarrow^{\leq n-1} \bullet^{n-1}(a)$$

(induction hypothesis)

Theorem

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① $a \rightarrow^n b$ and $n > 0 \implies b \rightarrow^* \bullet^n(a)$

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▶ $n > 0 \implies a \rightarrow^{\leq n-1} \bullet^{n-1}(a) \rightarrow^{\leq n-1} \bullet^{n-1}(a) \bullet$ (induction hypothesis)

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③ $a \rightarrow^n b$ with $n > 0$ and $b \in \text{NF}(\rightarrow)$

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Proof (cont'd)

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③ $a \rightarrow^n b$ with $n > 0$ and $b \in \text{NF}(\rightarrow)$

$a \rightarrow^{\leq n} \bullet^n(a) \ast \leftarrow b$

Theorem

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Proof (cont'd)

$$\textcircled{1} \quad a \rightarrow^n b \text{ and } n > 0 \implies b \rightarrow^* \bullet^n(a)$$

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$$\textcircled{3} \quad a \rightarrow^n b \text{ with } n > 0 \text{ and } b \in \text{NF}(\rightarrow)$$

$$a \rightarrow^{\leq n} \bullet^n(a) \xrightarrow{*} b \implies a \rightarrow^{\leq n} b$$

Theorem

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Proof (cont'd)

① $a \rightarrow^n b$ and $n > 0 \implies b \rightarrow^* \bullet^n(a)$

② $a \rightarrow^{\leq n} \bullet^n(a)$ for all $n \geq 0$ by induction on n

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③ $a \rightarrow^n b$ with $n > 0$ and $b \in \text{NF}(\rightarrow)$

$a \rightarrow^{\leq n} \bullet^n(a) \xrightarrow{*} b \implies a \rightarrow^{\leq n} b \implies \mathcal{S}_\bullet$ is normalizing

Theorem

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Proof (cont'd)

$$\textcircled{1} \quad a \rightarrow^n b \text{ and } n > 0 \implies b \rightarrow^* \bullet^n(a)$$

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$$a \rightarrow^{\leq n} \bullet^n(a) \xleftarrow{*} b \implies a \rightarrow^{\leq n} b \implies \mathcal{S}_\bullet \text{ is normalizing}$$

Theorem

\mathcal{S}_\bullet is hyper-normalizing strategy for every ARS with Z property for \bullet

Theorem

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Proof (sketch)

$$\textcircled{1} \quad \rightarrow^* \cdot \rightarrow \subseteq \rightarrow \cdot \rightarrow^*$$

Theorem

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Proof (sketch)

① $\rightarrow^* \cdot \rightarrow \subseteq \rightarrow \cdot \rightarrow^*$

▶ suppose $a \rightarrow^* b \rightarrow c$

Theorem

\mathcal{S}_\bullet is hyper-normalizing strategy for every ARS with Z property for \bullet

Proof (sketch)

① $\rightarrow^* \cdot \rightarrow \subseteq \rightarrow \cdot \rightarrow^*$

▶ suppose $a \rightarrow^* b \rightarrow c$

▶ $b \notin \text{NF}$

Theorem

\mathcal{S}_\bullet is hyper-normalizing strategy for every ARS with Z property for \bullet

Proof (sketch)

$$\textcircled{1} \quad \rightarrow^* \cdot \dashrightarrow \subseteq \dashrightarrow \cdot \rightarrow^*$$

▶ suppose $a \rightarrow^* b \dashrightarrow c$

▶ $b \notin \text{NF} \implies a \notin \text{NF}$

Theorem

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Proof (sketch)

$$\textcircled{1} \quad \rightarrow^* \cdot \rightarrow \subseteq \rightarrow \cdot \rightarrow^*$$

▶ suppose $a \rightarrow^* b \rightarrow c$

▶ $b \notin \text{NF} \implies a \notin \text{NF} \implies a \rightarrow \bullet(a)$

Theorem

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Proof (sketch)

$$\textcircled{1} \quad \rightarrow^* \cdot \rightarrow \subseteq \rightarrow \cdot \rightarrow^*$$

▶ suppose $a \rightarrow^* b \rightarrow c$

▶ $b \notin \text{NF} \implies a \notin \text{NF} \implies a \rightarrow \bullet(a) \rightarrow^* \bullet(b)$ (monotonicity)

Theorem

\mathcal{S}_\bullet is hyper-normalizing strategy for every ARS with Z property for \bullet

Proof (sketch)

① $\rightarrow^* \cdot \rightarrow \subseteq \rightarrow \cdot \rightarrow^*$

▶ suppose $a \rightarrow^* b \rightarrow c$

▶ $b \notin \text{NF} \implies a \notin \text{NF} \implies a \rightarrow \bullet(a) \rightarrow^* \bullet(b) = c$ (monotonicity)

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Proof (sketch)

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② \mathcal{S}_\bullet is normalizing strategy

Theorem

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$\textcircled{2} \quad \mathcal{S}_\bullet$ is normalizing strategy $\implies \mathcal{S}_\bullet$ is hyper-normalizing strategy

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Definition

► **root reduction** $\xrightarrow{\epsilon}$: **I** $t \xrightarrow{\epsilon} t$ **K** $tu \xrightarrow{\epsilon} t$ **S** $tuv \xrightarrow{\epsilon} tv(uv)$

for all CL-terms t, u, v

Definition

▶ root reduction $\xrightarrow{\epsilon}$: $I t \xrightarrow{\epsilon} t$ $K t u \xrightarrow{\epsilon} t$ $S t u v \xrightarrow{\epsilon} t v(uv)$

▶ leftmost outermost reduction \xrightarrow{lo} :

$$\frac{t \xrightarrow{\epsilon} u}{t \xrightarrow{lo} u}$$

for all CL-terms t, u, v

Definition

▶ root reduction $\xrightarrow{\epsilon}$: $I t \xrightarrow{\epsilon} t$ $K t u \xrightarrow{\epsilon} t$ $S t u v \xrightarrow{\epsilon} t v(uv)$

▶ leftmost outermost reduction \xrightarrow{lo} :

$$\frac{t \xrightarrow{\epsilon} u}{t \xrightarrow{lo} u} \quad \frac{t \xrightarrow{lo} u \quad t v \in \text{NF}(\xrightarrow{\epsilon})}{t v \xrightarrow{lo} u v}$$

for all CL-terms t, u, v

Definition

▶ root reduction $\xrightarrow{\epsilon}$: $I t \xrightarrow{\epsilon} t$ $K t u \xrightarrow{\epsilon} t$ $S t u v \xrightarrow{\epsilon} t v(u v)$

▶ leftmost outermost reduction \xrightarrow{lo} :

$$\frac{t \xrightarrow{\epsilon} u}{t \xrightarrow{lo} u} \quad \frac{t \xrightarrow{lo} u \quad t v \in \text{NF}(\xrightarrow{\epsilon})}{t v \xrightarrow{lo} u v}$$

$$\frac{t \xrightarrow{lo} u \quad v t \in \text{NF}(\xrightarrow{\epsilon}) \quad v \in \text{NF}(\rightarrow)}{v t \xrightarrow{lo} v u}$$

for all CL-terms t, u, v

Definition

▶ root reduction $\xrightarrow{\epsilon}$: $I t \xrightarrow{\epsilon} t$ $K t u \xrightarrow{\epsilon} t$ $S t u v \xrightarrow{\epsilon} t v (u v)$

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$$\frac{t \xrightarrow{lo} u \quad v t \in \text{NF}(\xrightarrow{\epsilon}) \quad v \in \text{NF}(\rightarrow)}{v t \xrightarrow{lo} v u}$$

for all CL-terms t, u, v

Example

$$\overline{KI(IS)} \xrightarrow{\epsilon} I$$

$$\overline{KI(IS)} \xrightarrow{lo} I \quad \overline{KI(IS)I} \in \text{NF}(\xrightarrow{\epsilon})$$

$$\overline{KI(IS)I} \xrightarrow{lo} II$$

$$\overline{K(KI(IS)I)} \in \text{NF}(\xrightarrow{\epsilon})$$

$$\overline{K} \in \text{NF}(\rightarrow)$$

$$\overline{K(KI(IS)I)} \xrightarrow{lo} K(II)$$

Definition

$t \xrightarrow{-\text{lo}} u$ if $t \rightarrow u$ but not $t \xrightarrow{\text{lo}} u$

Definition

$t \xrightarrow{\neg lo} u$ if $t \rightarrow u$ but not $t \xrightarrow{lo} u$

Example

$SSSSSSSS \longrightarrow SS(SS)SSSS \longrightarrow SS(SSS)SSS \longrightarrow SS(SSSS)SS$
 $\longrightarrow SS(SS(SS))SS \longrightarrow SS(SS(SS)S)S \longrightarrow SS(SS(SSS))S$
 $\longrightarrow SS(SS(SSS)S) \longrightarrow SS(SS(SSSS)) \longrightarrow SS(SS(SS(SS)))$

Definition

$t \xrightarrow{\neg lo} u$ if $t \rightarrow u$ but not $t \xrightarrow{lo} u$

Example

$SSSSSSSS \xrightarrow{lo} SS(SS)SSSS \longrightarrow SS(SSS)SSS \longrightarrow SS(SSSS)SS$
 $\longrightarrow SS(SS(SS))SS \longrightarrow SS(SS(SS)S)S \longrightarrow SS(SS(SSS))S$
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Definition

$t \xrightarrow{\neg lo} u$ if $t \rightarrow u$ but not $t \xrightarrow{lo} u$

Example

$SSSSSSSS \xrightarrow{lo} SS(SS)SSSS \xrightarrow{lo} SS(SSS)SSS \longrightarrow SS(SSSS)SS$
 $\longrightarrow SS(SS(SS))SS \longrightarrow SS(SS(SS)S)S \longrightarrow SS(SS(SSS))S$
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Theorem (Factorization)

$$\rightarrow^* \subseteq \xrightarrow{lo}^* \cdot \xrightarrow{\neg lo}^*$$

Theorem

leftmost outermost reduction is **normalizing**

Theorem

leftmost outermost reduction is normalizing

Proof

▶ assume $t \rightarrow^! u$

Theorem

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▶ assume $t \rightarrow^! u \implies t \xrightarrow{\text{lo}^*} \cdot \xrightarrow{\neg\text{lo}^*} u$ by factorization

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- ▶ assume $t \rightarrow^! u \implies t \xrightarrow{\text{lo}}^* \cdot \xrightarrow{\neg\text{lo}}^* u$ by factorization
- ▶ u is normal form $\implies v \xrightarrow{\neg\text{lo}} u$ is impossible

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Theorem

leftmost outermost reduction is **hyper**-normalizing

Theorem

leftmost outermost reduction is normalizing

Proof

- ▶ assume $t \rightarrow^! u \implies t \xrightarrow{\text{lo}}^* \cdot \xrightarrow{\neg\text{lo}}^* u$ by factorization
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Theorem

leftmost outermost reduction is hyper-normalizing

Proof

infinite reduction

$$t \xrightarrow{\neg\text{lo}}^* \cdot \xrightarrow{\text{lo}} \cdot \xrightarrow{\neg\text{lo}}^* \cdot \xrightarrow{\text{lo}} \cdot \xrightarrow{\neg\text{lo}}^* \dots$$

Theorem

leftmost outermost reduction is normalizing

Proof

- ▶ assume $t \rightarrow^! u$ $\implies t \xrightarrow{\text{lo}}^* \cdot \xrightarrow{\neg\text{lo}}^* u$ by factorization
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leftmost outermost reduction is hyper-normalizing

Proof

infinite reduction

$$t \xrightarrow{\neg\text{lo}}^* \cdot \xrightarrow{\text{lo}} \cdot \xrightarrow{\neg\text{lo}}^* \cdot \xrightarrow{\text{lo}} \cdot \xrightarrow{\neg\text{lo}}^* \dots$$

gives rise to infinite $\xrightarrow{\text{lo}}$ reduction starting from t by **factorization**

Example

combinator $SII(SII)$ is not terminating:

$$SII(SII) \rightarrow I(SII)(I(SII)) \rightarrow SII(I(SII)) \rightarrow SII(SII)$$

Example

combinator $SII(SII)$ is not **normalizing**:

$$SII(SII) \xrightarrow{lo} I(SII)(I(SII)) \xrightarrow{lo} SII(I(SII)) \longrightarrow SII(SII)$$

Outline

1. Summary of Previous Lecture
2. Strategies
3. Normalization Theorem
- 4. CL-Representability**
5. Summary

Definition

$$T = \langle x \rangle (D \underline{0} (\langle uv \rangle (u (x (\text{succ } v)) u (\text{succ } v))))$$

$$P = \langle xy \rangle (T x (x y) (T x) y)$$

Definition

$$T = \langle x \rangle (\underline{D} \underline{0} (\langle uv \rangle (u (x (\text{succ } v)) u (\text{succ } v)))) \quad P = \langle xy \rangle (T x (x y) (T x) y)$$

Lemma

$$P x y \leftrightarrow^* \begin{cases} y & \text{if } x y \rightarrow^* \underline{0} \\ P x (\text{succ } y) & \text{if } x y \rightarrow^* \underline{n+1} \end{cases}$$

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Proof

► $P x y \rightarrow^* D \underline{0} (\langle uv \rangle (u (x (\text{succ } v)) u (\text{succ } v))) (x y) (T x) y$

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- ▶ $x y \rightarrow^* \underline{0} \quad \implies \quad P x y \rightarrow^* \underline{0} (T x) y$

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Proof

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- ▶ $x y \rightarrow^* \underline{n+1} \implies P x y \rightarrow^* (\langle uv \rangle (u (x (\text{succ } v)) u (\text{succ } v))) (T x) y \rightarrow^* T x (x (\text{succ } y)) (T x) (\text{succ } y)$

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- ▶ $x y \rightarrow^* \underline{n+1} \implies P x y \rightarrow^* (\langle uv \rangle (u (x (\text{succ } v)) u (\text{succ } v))) (T x) y$
 $\rightarrow^* T x (x (\text{succ } y)) (T x) (\text{succ } y) \leftrightarrow^* P x (\text{succ } y)$

Theorem

partial recursive functions are CL-representable by combinators in normal form

Proof

partial recursive function $\varphi(x_1, \dots, x_n)$

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Proof

partial recursive function $\varphi(x_1, \dots, x_n) \simeq u((\mu i) (g(x_1, \dots, x_n, i) = 0))$

with primitive recursive functions u and g that are represented by combinators U and G

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$$\triangleright F_1 = \langle x_1 \cdots x_n \rangle (U (P (G x_1 \cdots x_n) \underline{0}))$$

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- ▶ $A = G \underline{x_1} \cdots \underline{x_n}$ and $B = F_1 \underline{x_1} \cdots \underline{x_n}$

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$$\begin{aligned} F_2 \underline{x_1} \cdots \underline{x_n} &\rightarrow^* P A \underline{0} \mid B \leftrightarrow^* P A \underline{y} \mid B \leftrightarrow^* \underline{y} \mid B \rightarrow^* \mid^y B \rightarrow^* B \\ &\rightarrow^* U (P A \underline{0}) \end{aligned}$$

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Theorem

partial recursive functions are CL-representable by combinators in normal form

Proof

partial recursive function $\varphi(x_1, \dots, x_n) \simeq u((\mu i)(g(x_1, \dots, x_n, i) = 0))$

with primitive recursive functions u and g that are represented by combinators U and G

- ▶ $F_1 = \langle x_1 \cdots x_n \rangle (U (P (G x_1 \cdots x_n) \underline{0}))$
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Proof (cont'd)

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Proof (cont'd)

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contains $\xrightarrow{\text{lo}}$ step

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contains $\xrightarrow{\text{lo}}$ step $\implies F_2 \underline{x_1} \cdots \underline{x_n}$ has no normal form by hyper-normalization of $\xrightarrow{\text{lo}}$

Outline

1. Summary of Previous Lecture
2. Strategies
3. Normalization Theorem
4. CL-Representability
- 5. Summary**

Important Concepts

- ▶ $\xrightarrow{\epsilon}$
- ▶ \xrightarrow{lo}
- ▶ $\xrightarrow{\neg lo}$
- ▶ deterministic
- ▶ factorization
- ▶ hyper-normalization
- ▶ normalization
- ▶ leftmost outermost reduction
- ▶ normalization theorem
- ▶ P
- ▶ root reduction
- ▶ \mathcal{S}_\bullet
- ▶ strategy
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homework for December 4