



Computability Theory

Aart Middeldorp

Outline

1. **Summary of Previous Lecture**
2. β -**Reduction**
3. **Church-Rosser Theorem**
4. λ -**Definability**
5. η -**Reduction**
6. **Normalization Theorem**
7. **Test Practice**
8. **Summary**

Definition

Hilbert system (for implication fragment) consists of two axioms and modus ponens:

$$\frac{}{\varphi \rightarrow \psi \rightarrow \varphi}$$

$$\frac{}{(\varphi \rightarrow \psi \rightarrow \chi) \rightarrow (\varphi \rightarrow \psi) \rightarrow \varphi \rightarrow \chi}$$

$$\frac{\varphi \quad \varphi \rightarrow \psi}{\psi}$$

Deduction Theorem

$$\Gamma \cup \{\varphi\} \vdash_h \psi \iff \Gamma \vdash_h \varphi \rightarrow \psi$$

Theorem

Hilbert system is sound and complete with respect to Kripke models for implication fragment:

$$\Gamma \vdash_h \varphi \iff \Gamma \Vdash \varphi$$

Theorem (Curry-Howard)

- 1 if $\Gamma \vdash t : \tau$ then $\text{types}(\Gamma) \vdash_h \tau$
- 2 if $\Gamma \vdash_h \varphi$ then $\Delta \vdash t : \varphi$ for some t and Δ with $\text{types}(\Delta) = \Gamma$

Definition

Hilbert system for intuitionistic propositional logic consists of modus ponens and axioms

- 1 $\varphi \rightarrow \psi \rightarrow \varphi$
- 2 $(\varphi \rightarrow \psi \rightarrow \chi) \rightarrow (\varphi \rightarrow \psi) \rightarrow \varphi \rightarrow \chi$
- 3 $\varphi \vee \psi \rightarrow \varphi$
- 4 $\varphi \vee \psi \rightarrow \psi$
- 5 $\varphi \rightarrow \psi \rightarrow \varphi \wedge \psi$
- 6 $\varphi \rightarrow \varphi \vee \psi$
- 7 $\psi \rightarrow \varphi \vee \psi$
- 8 $(\varphi \rightarrow \chi) \rightarrow (\psi \rightarrow \chi) \rightarrow \varphi \vee \psi \rightarrow \chi$
- 9 $\perp \rightarrow \varphi$

Remarks

- ▶ $\neg\varphi$ is shortcut for $\varphi \rightarrow \perp$
- ▶ adding axiom $\varphi \vee \neg\varphi$ (law of excluded middle) gives (classical) propositional logic

Theorem

Hilbert system is sound and complete with respect to Kripke models:

$$\Gamma \vdash_h \varphi \iff \Gamma \Vdash \varphi$$

Theorem (Finite Model Property)

$\vdash_h \varphi \iff \mathcal{C} \Vdash \varphi$ for all **finite** Kripke models \mathcal{C}

Theorem (Glivenko 1929)

$$\vdash \varphi \iff \vdash_h \neg\neg\varphi$$

Theorem

problem

instance: formula φ

question: $\vdash_h \varphi$?

is decidable and PSPACE-complete

Definition (Gödel's Negative Translation)

▶ $p^n = \neg\neg p$ for propositional atoms p

▶ $(\varphi \wedge \psi)^n = \varphi^n \wedge \psi^n$

▶ $(\varphi \vee \psi)^n = \neg(\neg\varphi^n \wedge \neg\psi^n)$

▶ $(\varphi \rightarrow \psi)^n = \varphi^n \rightarrow \psi^n$

▶ $\perp^n = \perp$

Theorem

$\vdash \varphi \iff \vdash_h \varphi^n$

Part I: Recursive Function Theory

Ackermann function, bounded minimization, bounded recursion, course-of-values recursion, diagonalization, diophantine sets, elementary functions, fixed point theorem, Fibonacci numbers, Gödel numbering, Gödel's β function, Grzegorzcyk hierarchy, loop programs, minimization, normal form theorem, partial recursive functions, primitive recursion, recursive enumerability, recursive inseparability, s-m-n theorem, total recursive functions, undecidability, while programs, ...

Part II: Combinatory Logic and Lambda Calculus

α -equivalence, abstraction, arithmetization, β -reduction, CL-representability, combinators, combinatorial completeness, Church numerals, Church-Rosser theorem, Curry-Howard isomorphism, de Bruijn notation, η -reduction, fixed point theorem, intuitionistic propositional logic, λ -definability, normalization theorem, termination, typing, undecidability, Z property, ...

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Literature (Combinatory Logic and Lambda Calculus)

- ▶ Henk Barendregt
The Lambda Calculus, Its Syntax and Semantics
North Holland, 1984
- ▶ Henk Barendregt, Wil Dekkers and Richard Statman
Lambda Calculus with Types
Cambridge University Press, 2013
- ▶ Herman Geuvers and Rob Nederpelt
Type Theory and Formal Proof
Cambridge University Press, 2014
- ▶ Chris Hankin
An Introduction to Lambda Calculi for Computer Scientists
King's College Publications, 2000
- ▶ J. Roger Hindley and Jonathan P. Seldin
Lambda-Calculus and Combinators, an Introduction
Cambridge University Press, 2008

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► infinite set of **variables** $\mathcal{V} = \{x, y, z, \dots\}$ $x \in \mathcal{V} \implies x \in \Lambda$

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Examples

$(\lambda x.x)$

$((\lambda x.(xx))(\lambda y.(yy)))$

$(\lambda f.(\lambda x.(f(fx))))$

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Backus-Naur Form

$M, N ::= x \mid (MN) \mid (\lambda x.M)$

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- ▶ M is scope of binder λx
- ▶ occurrence of x in $\lambda x.M$ is **bound**

Notation

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► set $FV(M)$ of **free variables** of lambda term M is inductively defined:

$$FV(x) = \{x\}$$

$$FV(MN) = FV(M) \cup FV(N)$$

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Example

$$M \equiv (\lambda x.xy)(\lambda y.yz)$$

$$FV(M) = \{y, z\}$$

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$$\frac{y \notin M}{\lambda x.M \equiv_{\alpha} \lambda y.(M\{y/x\})} \quad (\alpha)$$

α -equivalence

(reflexivity)

$$\overline{M \equiv_{\alpha} M}$$

(symmetry)

$$\frac{M \equiv_{\alpha} N}{N \equiv_{\alpha} M}$$

(transitivity)

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free variables are different from bound variables in lambda terms occurring in certain context

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Convention

lambda terms are identified up to α -equivalence

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Definitions

► **β -normal form** is lambda term without β -redexes

Definition

one-step β -reduction is smallest relation \rightarrow_β on lambda terms satisfying

$$\begin{array}{l} (\beta) \quad \frac{}{(\lambda x.M)N \rightarrow_\beta M[N/x]} \qquad \frac{M \rightarrow_\beta M'}{MN \rightarrow_\beta M'N} \quad (\text{congruence}) \\ (\xi) \quad \frac{M \rightarrow_\beta M'}{\lambda x.M \rightarrow_\beta \lambda x.M'} \qquad \frac{N \rightarrow_\beta N'}{MN \rightarrow_\beta MN'} \quad (\text{congruence}) \end{array}$$

Example

$$\begin{aligned} (\lambda x.y)((\lambda z.zz)(\lambda w.w)) &\rightarrow_\beta (\lambda x.y)((\lambda w.w)(\lambda w.w)) \\ &\rightarrow_\beta (\lambda x.y)(\lambda w.w) \rightarrow_\beta y \end{aligned}$$

Definitions

- ▶ β -normal form is lambda term without β -redexes
- ▶ β -conversion ($=_\beta$) is transitive symmetric reflexive closure of \rightarrow_β

Definition

lambda term N is **fixed point** of lambda term F if $FN =_{\beta} N$

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$\Theta \equiv AA$ with $A = \lambda xy.y(xxy)$

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Theorem

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Proof

$N \equiv \Theta F$ is fixed point of F :

$$N \equiv (\lambda xy.y(xxy))AF$$

Definition

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$N \equiv \Theta F$ is fixed point of F :

$$N \equiv (\lambda xy.y(xxy))AF \rightarrow_{\beta} (\lambda y.y(AAy))F$$

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$N \equiv \Theta F$ is fixed point of F :

$$N \equiv (\lambda xy.y(xxy))AF \rightarrow_{\beta} (\lambda y.y(AAy))F \rightarrow_{\beta} F(AAF) \equiv F(\Theta F)$$

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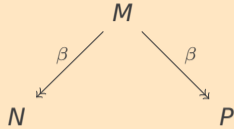
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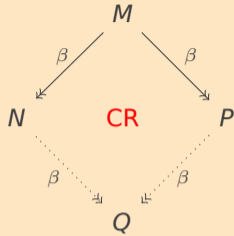
Outline

1. Summary of Previous Lecture
2. β -Reduction
- 3. Church-Rosser Theorem**
4. λ -Definability
5. η -Reduction
6. Normalization Theorem
7. Test Practice
8. Summary

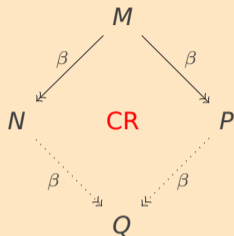
Church–Rosser Theorem



Church-Rosser Theorem



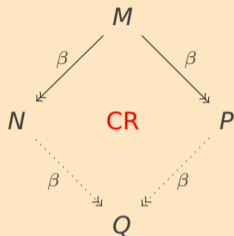
Church–Rosser Theorem



Corollary

► $M =_{\beta} N \implies \exists Q$ such that $M \rightarrow_{\beta}^* Q$ and $N \rightarrow_{\beta}^* Q$

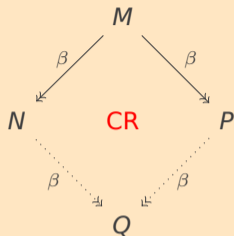
Church-Rosser Theorem



Corollary

- ▶ $M =_{\beta} N \implies \exists Q$ such that $M \rightarrow_{\beta}^* Q$ and $N \rightarrow_{\beta}^* Q$
- ▶ $M =_{\beta} N$ and N is β -normal form $\implies M \rightarrow_{\beta}^* N$

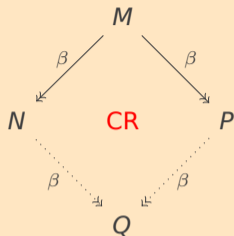
Church-Rosser Theorem



Corollary

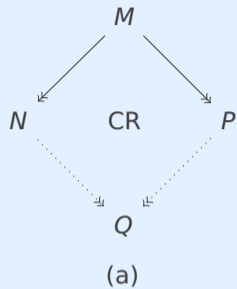
- ▶ $M =_{\beta} N \implies \exists Q$ such that $M \rightarrow_{\beta}^* Q$ and $N \rightarrow_{\beta}^* Q$
- ▶ $M =_{\beta} N$ and N is β -normal form $\implies M \rightarrow_{\beta}^* N$
- ▶ $M =_{\beta} N$ and M, N are β -normal forms $\implies M \equiv_{\alpha} N$

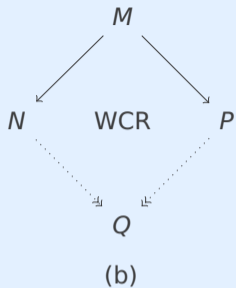
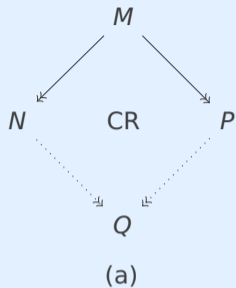
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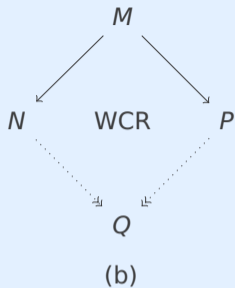
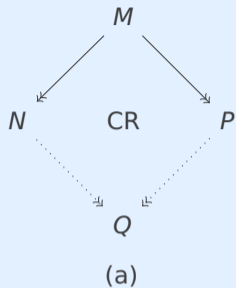
Corollary

- ▶ $M =_{\beta} N \implies \exists Q$ such that $M \rightarrow_{\beta}^* Q$ and $N \rightarrow_{\beta}^* Q$
- ▶ $M =_{\beta} N$ and N is β -normal form $\implies M \rightarrow_{\beta}^* N$
- ▶ $M =_{\beta} N$ and M, N are β -normal forms $\implies M \equiv_{\alpha} N$
- ▶ $M =_{\beta} N \implies$ both or neither of M, N have β -normal form

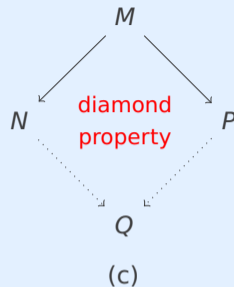
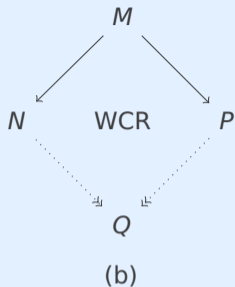
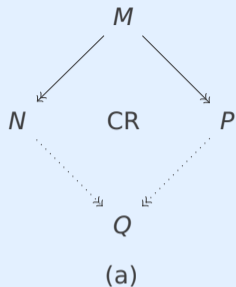




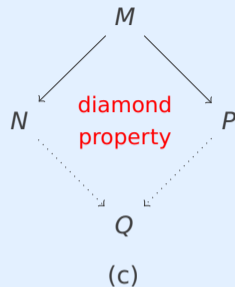
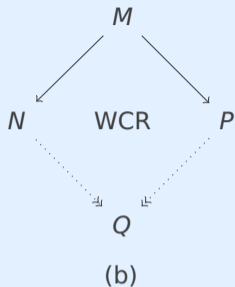
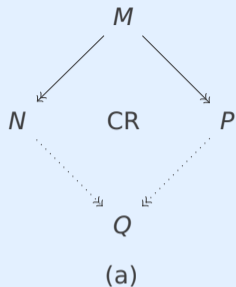
► β -reduction satisfies (b)



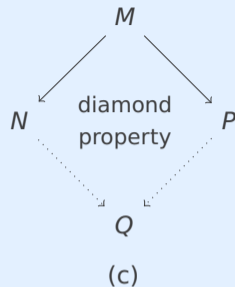
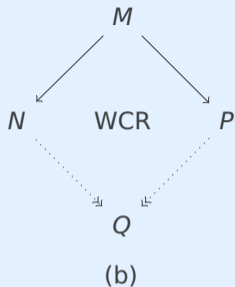
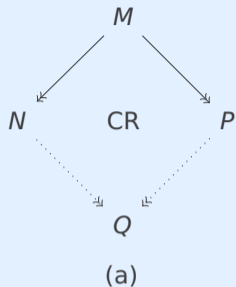
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- ▶ (c) \Rightarrow (a)

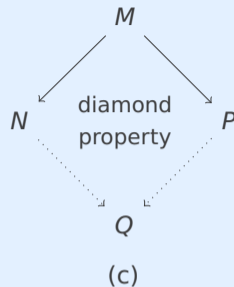
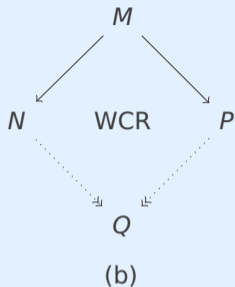
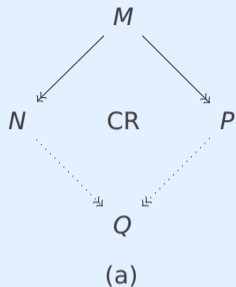


- ▶ β -reduction satisfies (b)
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- ▶ (c) \Rightarrow (a)
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$$(\lambda x. xx)((\lambda y. y)z)$$

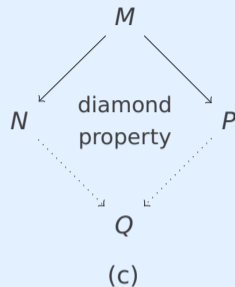
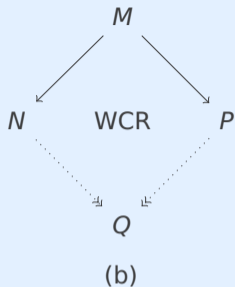
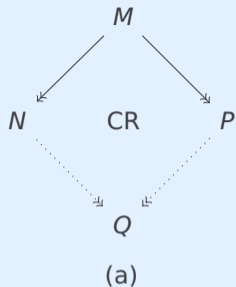


▶ β -reduction satisfies (b)

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▶ (b) $\not\Rightarrow$ (a)

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▶ β -reduction does not satisfy (c):

$$(\lambda x. xx)z \xrightarrow{\beta} (\lambda x. xx)((\lambda y. y)z) \rightarrow_{\beta} (\lambda y. y)z((\lambda y. y)z)$$

Definition (Parallel Reduction)

$$\overline{M \dashrightarrow_{\beta} M}$$

Definition (Parallel Reduction)

$$\overline{M} \twoheadrightarrow_{\beta} \overline{M} \quad \overline{(\lambda x.M)N} \twoheadrightarrow_{\beta} \overline{M[N/x]}$$

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$$\overline{M \twoheadrightarrow_{\beta} M} \quad \overline{(\lambda x.M)N \twoheadrightarrow_{\beta} M[N/x]} \quad \frac{M \twoheadrightarrow_{\beta} M'}{\lambda x.M \twoheadrightarrow_{\beta} \lambda x.M'}$$

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Problem

\twoheadrightarrow lacks diamond property

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\twoheadrightarrow lacks diamond property: $(\lambda x.x)I \xrightarrow{\beta} (\lambda x.(\lambda y.x)I)(II) \twoheadrightarrow_{\beta} (\lambda y.II)I$ with $I = \lambda x.x$

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Lemma

$$\rightarrow_{\beta} \subseteq \twoheadrightarrow_{\beta} \subseteq \rightarrow_{\beta}^*$$

Lemma (Substitution)

if $M \rightarrow_{\beta} M'$ and $U \rightarrow_{\beta} U'$ then $M[U/y] \rightarrow_{\beta} M'[U'/y]$

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① $x^* = x$

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Lemma

if $M \rightarrow_{\beta} N$ then $N \rightarrow_{\beta} M^*$

Lemma (Diamond Property of Parallel Reduction)

$\forall M, N, P \in \Lambda$ such that $M \twoheadrightarrow_{\beta} N$ and $M \twoheadrightarrow_{\beta} P$

$\exists Q \in \Lambda$ such that $N \twoheadrightarrow_{\beta} Q$ and $P \twoheadrightarrow_{\beta} Q$

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take $Q \equiv M^*$

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Corollary

β -reduction has Church–Rosser property

Outline

1. Summary of Previous Lecture
2. β -Reduction
3. Church-Rosser Theorem
- 4. λ -Definability**
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Definitions

► $T \equiv \lambda xy.x$

Definitions

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Lemmata

▶ $\text{and} T T \rightarrow_{\beta}^* T$ $\text{and} T F \rightarrow_{\beta}^* F$ $\text{and} F T \rightarrow_{\beta}^* F$ $\text{and} F F \rightarrow_{\beta}^* F$

Definitions

- ▶ $T \equiv \lambda xy.x$ $F \equiv \lambda xy.y$ $\text{and} \equiv \lambda ab.ab F$
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Definitions (Church Numerals)

- ▶ for every natural number n

$$\underline{n} \equiv \lambda f x.f^n x \quad \text{where} \quad F^n M \equiv \begin{cases} M & \text{if } n = 0 \\ F(F^{n-1}M) & \text{if } n > 0 \end{cases}$$

Definitions

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- ▶ $\text{succ} \equiv \lambda nfx.f(nfx)$

Lemma

$$\text{succ } \underline{n} \rightarrow_{\beta}^* \underline{n+1}$$

Lemma

$$\text{succ } \underline{n} \rightarrow_{\beta}^* \underline{n+1}$$

Proof

$$\text{succ } \underline{n} \equiv (\lambda n f x. f(n f x))(\lambda f x. f^n x)$$

Lemma

$$\text{succ } \underline{n} \rightarrow_{\beta}^* \underline{n+1}$$

Proof

$$\text{succ } \underline{n} \equiv (\lambda n f x. f(n f x))(\lambda f x. f^n x) \rightarrow_{\beta} \lambda f x. f((\lambda f x. f^n x) f x)$$

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$$\text{succ } \underline{n} \rightarrow_{\beta}^* \underline{n+1}$$

Proof

$$\begin{aligned} \text{succ } \underline{n} &\equiv (\lambda n f x. f(n f x))(\lambda f x. f^n x) \rightarrow_{\beta} \lambda f x. f((\lambda f x. f^n x) f x) \rightarrow_{\beta} \lambda f x. f((\lambda x. f^n x) x) \\ &\rightarrow_{\beta} \lambda f x. f(f^n x) \equiv \lambda f x. f^{n+1} x \equiv \underline{n+1} \end{aligned}$$

Definitions

► $\text{zero?} \equiv \lambda n. n(\lambda x. F) T$

Lemma

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► $\text{zero?} \equiv \lambda n. n(\lambda x. F) T$

Lemmata

► $\text{zero? } \underline{n} \rightarrow_{\beta}^* \begin{cases} T & \text{if } n = 0 \\ F & \text{if } n > 0 \end{cases}$

Lemma

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Definitions

$$\text{▶ zero?} \equiv \lambda n. n(\lambda x. F) T \quad \text{add} \equiv \lambda n m f x. n f (m f x)$$

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$$\text{▶ zero? } \underline{n} \rightarrow_{\beta}^* \begin{cases} T & \text{if } n = 0 \\ F & \text{if } n > 0 \end{cases}$$

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Definition

$\text{pred} \equiv \lambda n.n(\lambda uv.v(u \text{succ}))(\lambda z.\underline{0})(\lambda z.z)$

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- ▶ $\text{pred } \underline{n+1} \rightarrow_{\beta}^* \underline{n}$ (homework exercise)

Example

representing factorial function in lambda calculus

$$\text{fac } n = \text{ite } (\text{zero? } n) \underline{1} (\text{mul } n (\text{fac } (\text{pred } n)))$$

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Definition

partial function $f: \mathbb{N}^n \rightarrow \mathbb{N}$ is **λ -definable** if \exists combinator F such that

$$f(x_1, \dots, x_n) = y \quad \Longrightarrow \quad F \underline{x_1} \cdots \underline{x_n} \rightarrow_{\beta}^* \underline{y}$$

for all $x_1, \dots, x_n, y \in \mathbb{N}$

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Theorem

partial recursive functions are λ -definable

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► zero function $z(x) = 0$

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Proof

- ▶ zero function $z(x) = 0$ $\mathbf{zero} \equiv \lambda x. \underline{0}$
- ▶ successor function $s(x) = x + 1$ $\mathbf{succ} \equiv \lambda n f x. f(n f x)$

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► composition

$$f(\vec{x}) = g(h_1(\vec{x}), \dots, h_m(\vec{x}))$$

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$$F \equiv \lambda \vec{x}. G (H_1 \vec{x}) \cdots (H_m \vec{x})$$

Proof (cont'd)

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- ▶ primitive recursion

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$$F = \lambda x \vec{y}. \text{ite } (\text{zero? } x) (G \vec{y}) (H (F (\text{pred } x) \vec{y}) (\text{pred } x) \vec{y})$$

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$$= \Theta (\lambda f x \vec{y}. \text{ite} (\text{zero? } x) (G \vec{y}) (H (f (\text{pred } x) \vec{y}) (\text{pred } x) \vec{y}))$$

► minimization

$$f(\vec{x}) = (\mu i) (g(i, x_1, \dots, x_n) = 0)$$

Proof (cont'd)

► minimization

$$f(\vec{x}) = (\mu i) (g(i, x_1, \dots, x_n) = 0)$$

$$F \equiv H \underline{0}$$

with

$$H = \lambda i \vec{x}. \text{ite}(\text{zero?}(G i \vec{x})) i (H(\text{succ } i) \vec{x})$$

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Theorem

λ -definable functions are partial recursive

Proof (cont'd)

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Remark

however, cf. slide 28 of lecture 8 and slides 19–21 of lecture 9

Outline

1. Summary of Previous Lecture
2. β -Reduction
3. Church-Rosser Theorem
4. λ -Definability
- 5. η -Reduction**
6. Normalization Theorem
7. Test Practice
8. Summary

Theorem

β -reduction has Church–Rosser property

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Corollary

$x \neq_{\beta} \lambda y.xy$

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Corollary

λ -calculus is **consistent**

Theorem

β -reduction has Church–Rosser property

Corollary

$x \not\equiv_{\beta} \lambda y.xy$

Corollary

λ -calculus is consistent

Remark

x and $\lambda y.xy$ are **extensionally equivalent**: $xM \equiv_{\beta} (\lambda y.xy)M$ for all $M \in \Lambda$

Definition

one-step η -reduction is smallest relation \rightarrow_{η} on lambda terms satisfying

$$(\eta) \quad \frac{x \notin \text{FV}(M)}{\lambda x. Mx \rightarrow_{\eta} M}$$

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Example

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Example

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Question

how to compute β -normal forms (or $\beta\eta$ -normal forms) ?

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Answer

always select **leftmost** redex

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Normalization Theorem

leftmost reduction strategy is **normalizing**

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Test on January 29

- ▶ 15:15 – 18:00 in HS 10
- ▶ online registration required **before 10 am on January 23**
- ▶ closed book

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Earlier Exams / Tests

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test practice on January 22

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Important Concepts

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- ▶ β -conversion
- ▶ β -reduction
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- ▶ η -reduction
- ▶ lambda calculus
- ▶ λ -definability
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homework for January 22