



# Automata and Logic

**Aart Middeldorp** and Johannes Niederhauser

# Outline

- 1. Summary of Previous Lecture**
- 2. Presburger Arithmetic**
- 3. Intermezzo**
- 4. Presburger Arithmetic**
- 5. WMSO**
- 6. Further Reading**

## Definitions

- ▶  $FV(\varphi)$  denotes list of free variables in  $\varphi$  in fixed order with first-order variables preceding second-order ones
- ▶ assignment for  $\varphi$  with  $FV(\varphi) = (x_1, \dots, x_m, X_1, \dots, X_n)$  is tuple  $(i_1, \dots, i_m, I_1, \dots, I_n)$  such that  $i_1, \dots, i_m$  are elements of  $\mathbb{N}$  and  $I_1, \dots, I_n$  are finite subsets of  $\mathbb{N}$
- ▶ assignments are identified with strings over  $\{0, 1\}^{m+n}$
- ▶ string over  $\{0, 1\}^{m+n}$  is  **$m$ -admissible** if first  $m$  rows contain exactly one 1 each

## Remarks

- ▶ every  $m$ -admissible string  $x$  induces assignment  $\underline{x}$
- ▶ every assignment is induced by (**not necessarily unique**)  $m$ -admissible string:  
if  $x$  is  $m$ -admissible then  $x\mathbf{0}$  is  $m$ -admissible and  $\underline{x} = \underline{x\mathbf{0}}$

## Lemma

set of  $m$ -admissible strings over  $\{0, 1\}^{m+n}$  is regular and accepted by DFA  $\mathcal{A}_{m,n}$

## Definition

$$L_a(\varphi) = \{x \in (\{0, 1\}^{m+n})^* \mid x \text{ is } m\text{-admissible and } \underline{x} \models \varphi\}$$

## Theorem

$L_a(\varphi)$  is regular for every WMSO formula  $\varphi$

## Definitions

- ▶ homomorphism  $\text{drop}_i: (\{0, 1\}^k)^* \rightarrow (\{0, 1\}^{k-1})^*$  is defined for  $1 \leq i \leq k$  by dropping  $i$ -th component from vectors in  $\{0, 1\}^k$
- ▶  $\text{stz}(A) = \{x \mid x\mathbf{0} \cdots \mathbf{0} \in A\} \supseteq A$  for  $A \subseteq (\{0, 1\}^{m+n})^*$  "shorten trailing zeros"

## Lemma

$A \subseteq (\{0, 1\}^k)^*$  is regular  $\implies$   $\text{stz}(A)$  is regular

## Final Task

transform  $L_a(\varphi)$  into  $L(\varphi)$  for WMSO formula  $\varphi$  with  $\text{FV}(\varphi) = \{P_a \mid a \in \Sigma^*\}$  using regularity preserving operations

## Procedure

- ① eliminate assignments which do not correspond to string in  $\Sigma^*$
- ② map strings in  $0^k 10^l$  to elements of  $\Sigma$  using homomorphism  $h: \{0, 1\}^{|\Sigma|} \rightarrow \Sigma$  which maps  $0^k 10^l$  to  $k+1$ -th element of  $\Sigma$

## Lemma

$L(\varphi) = h(L_a(\varphi) \cap \{0^k 10^l \mid k + 1 + l = |\Sigma|\}^*)$  is regular

## Corollary

WMSO definable sets are regular

## MONA

- ▶ MONA is state-of-the-art tool that implements decision procedures for **WS1S** and WS2S
- ▶ WS1S is weak monadic second-order theory of 1 successor = WMSO

## Automata

- ▶ (deterministic, non-deterministic, alternating) finite automata
- ▶ regular expressions
- ▶ (alternating) Büchi automata

## Logic

- ▶ (weak) monadic second-order logic
- ▶ **Presburger arithmetic**
- ▶ linear-time temporal logic

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$$nx := \underbrace{x + \dots + x}_n \quad \text{for } n > 1$$



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$$\alpha \not\models \perp$$

$$\alpha \models \neg\varphi \iff \alpha \not\models \varphi$$

$$\alpha \models \varphi_1 \vee \varphi_2 \iff \alpha \models \varphi_1 \text{ or } \alpha \models \varphi_2$$

$$\alpha \models \exists x. \varphi \iff \alpha[x \mapsto n] \models \varphi \text{ for some } n \in \mathbb{N}$$

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## Remark

$t_1 < t_2$  can be modeled as  $\exists x. x \neq 0 \wedge t_1 + x = t_2$

## Remark

every  $t_1 = t_2$  can be written as  $a_1x_1 + \dots + a_nx_n = b$  with  $a_1, \dots, a_n, b \in \mathbb{Z}$

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- ▶ induction

$$\psi(0) \wedge \forall x. (\psi(x) \rightarrow \psi(x + 1)) \rightarrow \forall x. \psi(x)$$

for every formula  $\psi(x)$  with single free variable  $x$

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- ▶  $\forall x. x + 0 = x$
- ▶  $\forall x. \forall y. x + (y + 1) = (x + y) + 1$

## Example

given natural numbers  $a_1, \dots, a_n > 0$

$$(\forall y. x < y \rightarrow \exists x_1. \dots \exists x_n. a_1 x_1 + \dots + a_n x_n = y) \wedge \neg(\exists x_1. \dots \exists x_n. a_1 x_1 + \dots + a_n x_n = x)$$

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expresses largest number  $x$  that does not satisfy  $a_1 x_1 + \dots + a_n x_n = x$  for some  $x_1, \dots, x_n \in \mathbb{N}$

## Example (Frobenius Coin Problem)

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Presburger arithmetic is decidable

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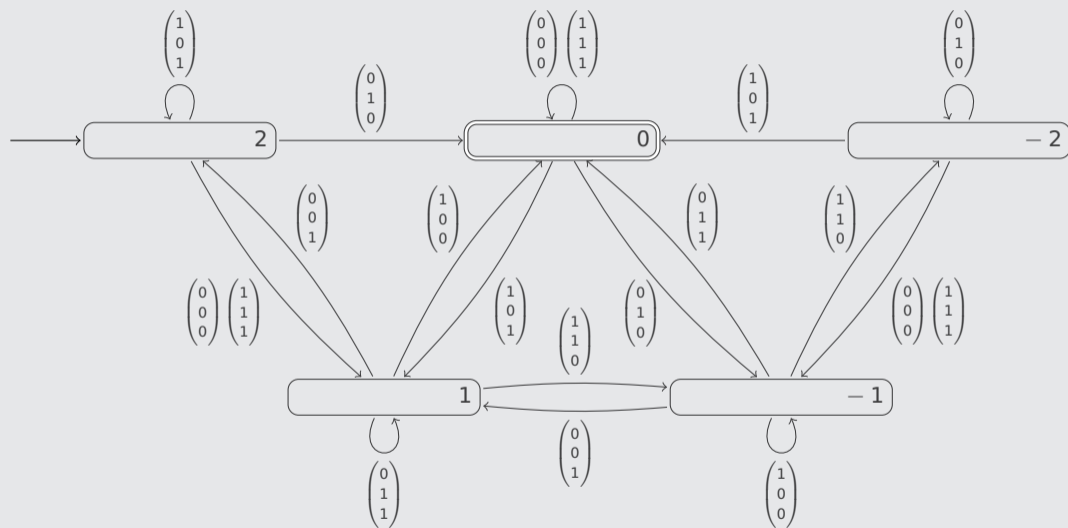
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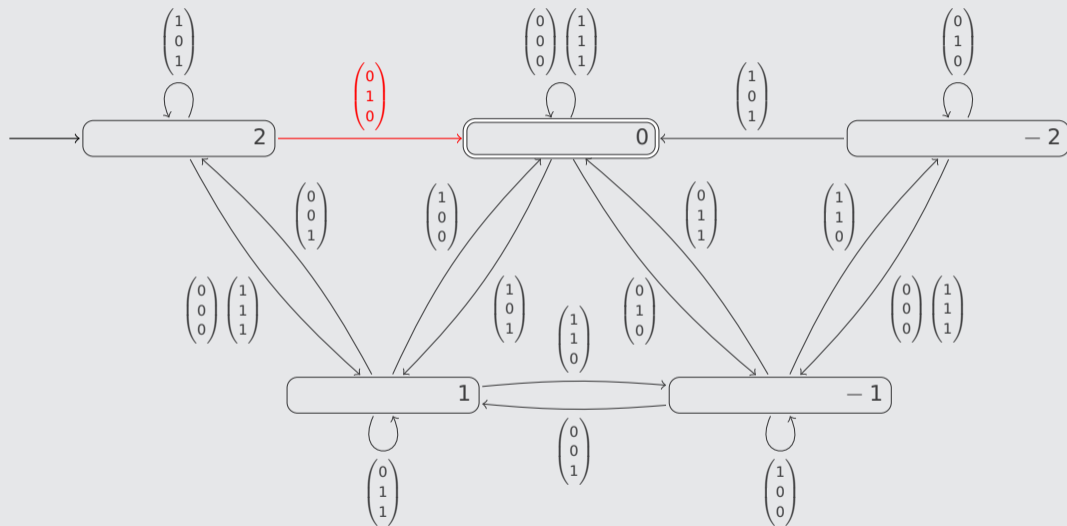
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Presburger arithmetic formula  $\varphi: x + 2y - 3z = 2$

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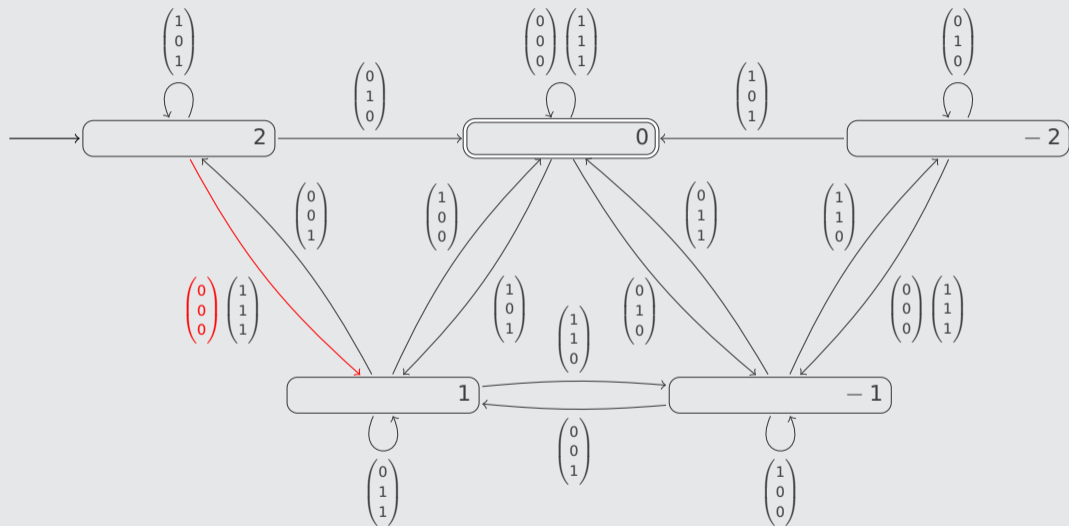
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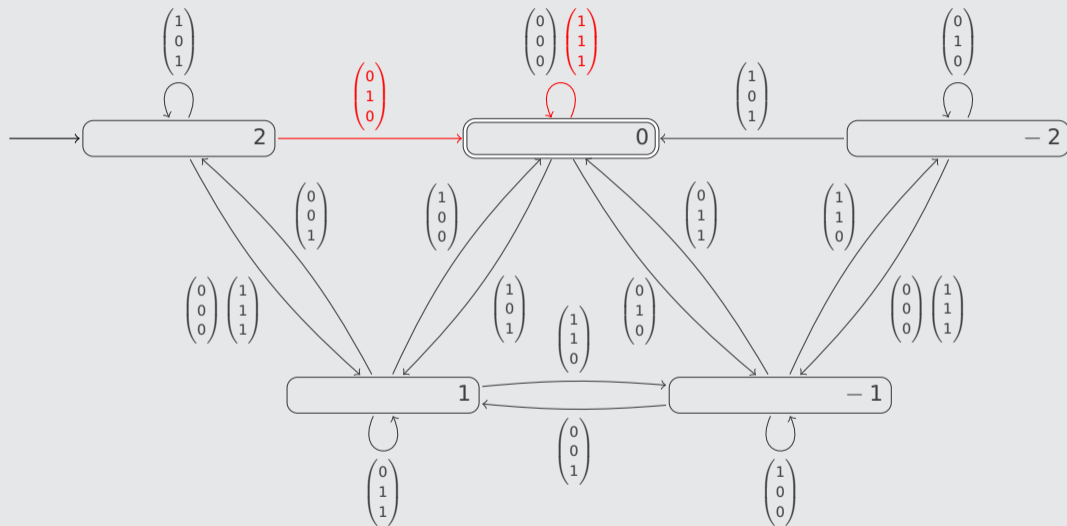
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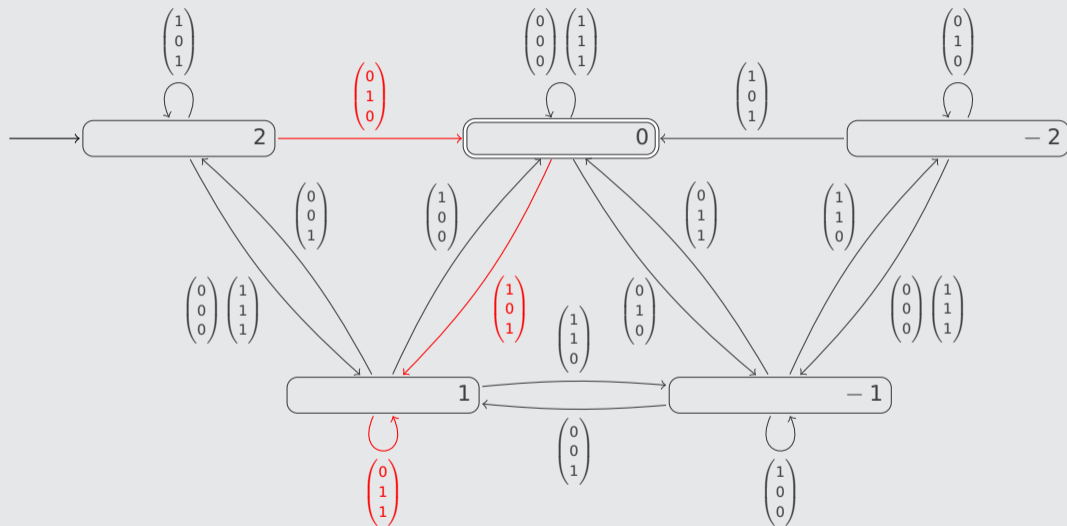
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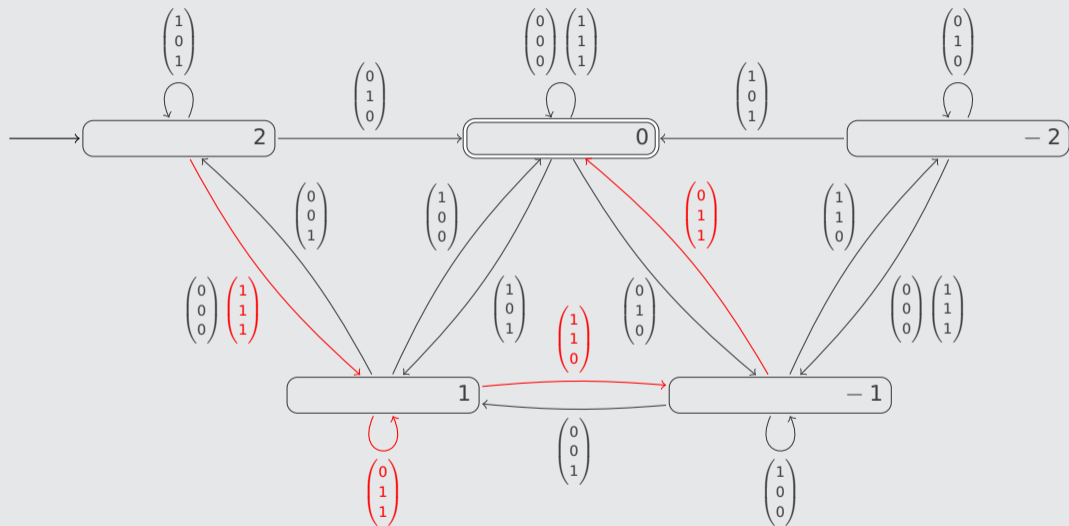
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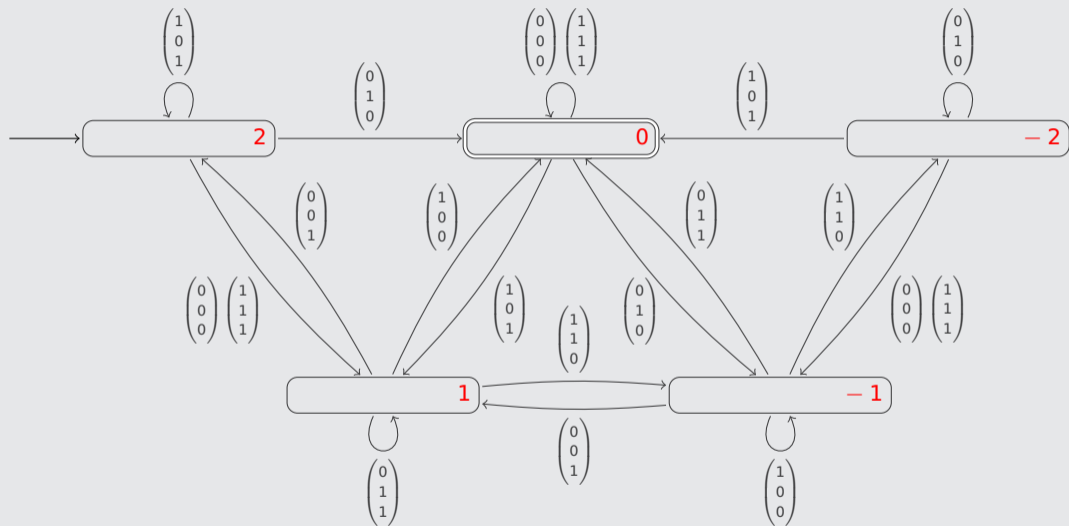
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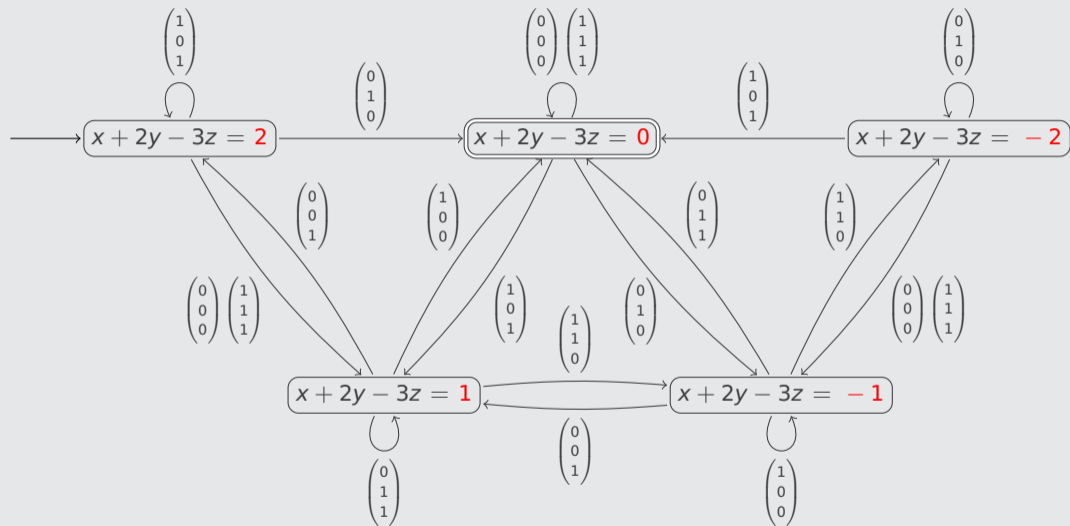
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## Example

- ▶  $\begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$  represents  $x_1 = 10, x_2 = 7, x_3 = 6$

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$$\Sigma_n = \{(b_1 \cdots b_n)^T \mid b_1, \dots, b_n \in \{0, 1\}\}$$

- ▶  $x = \begin{pmatrix} b_1^1 \\ \vdots \\ b_n^1 \end{pmatrix} \begin{pmatrix} b_1^2 \\ \vdots \\ b_n^2 \end{pmatrix} \cdots \begin{pmatrix} b_1^m \\ \vdots \\ b_n^m \end{pmatrix} \in \Sigma_n^*$  represents  $x_1 = (b_1^m \cdots b_1^2 b_1^1)_2, \dots, x_n = (b_n^m \cdots b_n^2 b_n^1)_2$
- ▶  $\underline{x} = (x_1, \dots, x_n)$

## Example

- ▶  $\begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$  represents  $x_1 = 10, x_2 = 7, x_3 = 6$
- ▶  $x_1 = 1, x_2 = 2, x_3 = 3$  is represented by  $\begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}$

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## Definition

for Presburger arithmetic formula  $\varphi$  with  $FV(\varphi) = (x_1, \dots, x_n)$

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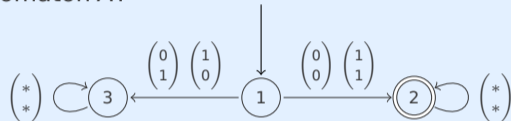
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# Outline

1. Summary of Previous Lecture
2. Presburger Arithmetic
- 3. Intermezzo**
4. Presburger Arithmetic
5. WMSO
6. Further Reading

## Question

Consider the following automaton  $A$ :



For which of the following formulas  $\varphi$  does  $L(A) = L(\varphi)$  hold ?

- A**  $x = y$
- B**  $x + y > 0$
- C**  $\exists z. x + y = 2z$
- D**  $(\exists z. x = 2z \wedge \forall z. \neg(y = 2z)) \vee (\exists z. y = 2z \wedge \forall z. \neg(x = 2z))$



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Atomic Formulas

Boolean Operations

Quantifiers

5. WMSO

6. Further Reading

## Definition (Automaton for Atomic Formula)

DFA  $A_\varphi = (Q, \Sigma_n, \delta, s, F)$  for  $\varphi(x_1, \dots, x_n): a_1x_1 + \dots + a_nx_n = b$

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if  $\delta(i, (b_1 \dots b_n)^T) = j$  then  $a_1x_1 + \dots + a_nx_n = j \iff a_1(2x_1 + b_1) + \dots + a_n(2x_n + b_n) = i$

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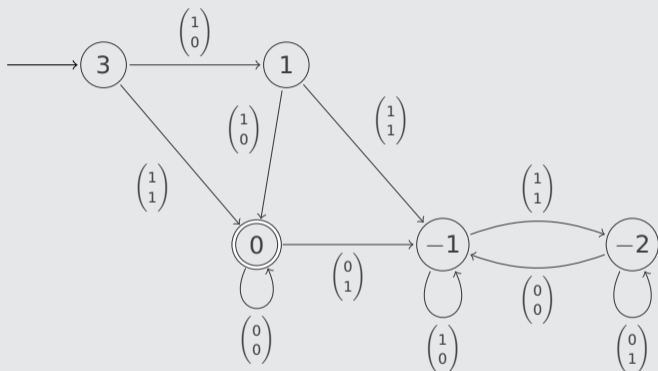
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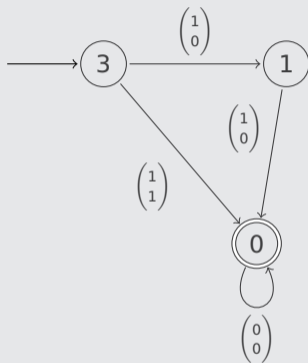
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▶ if  $q = \perp$  or  $i - (a_1b_1 + \dots + a_nb_n)$  is odd then  $\delta(q, b') = \perp$

▶ suppose  $q = i$  with  $|i| \leq |b| + |a_1| + \dots + |a_n|$  and  $i - (a_1b_1 + \dots + a_nb_n)$  is even

$$\delta(i, b') = \frac{i - (a_1b_1 + \dots + a_nb_n)}{2}$$

$$|i - (a_1b_1 + \dots + a_nb_n)| \leq |i| + |a_1b_1| + \dots + |a_nb_n|$$

## Theorem

①  $A_\varphi$  is well-defined

②  $L(A_\varphi) = L(\varphi)$

## Proof

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# Outline

## 1. Summary of Previous Lecture

## 2. Presburger Arithmetic

## 3. Intermezzo

## 4. Presburger Arithmetic

Atomic Formulas

Boolean Operations

Quantifiers

## 5. WMSO

## 6. Further Reading

boolean operation

automata construction

$\neg$

complement

## Boolean Operations

boolean operation

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## Boolean Operations

boolean operation	automata construction
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## Example

Presburger arithmetic formula

$$\neg((x + 2y - 3z \neq 2 \wedge 2x - y + z = 3) \vee x - 3y - z \neq 1))$$

## Boolean Operations

boolean operation	automata construction
$\neg$	complement C
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## Example

Presburger arithmetic formula

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boolean operation	automata construction
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### Example

Presburger arithmetic formula

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## Definition (Cylindrification)

$C_i(R) \subseteq \Sigma_{n+1}^*$  is defined for  $R \subseteq \Sigma_n^*$  and index  $1 \leq i \leq n+1$  as

$$C_i(R) = \{x_1 \cdots x_m \in \Sigma_{n+1}^* \mid \text{drop}_i(x_1) \cdots \text{drop}_i(x_m) \in R\}$$

with  $\text{drop}_i((b_1 \cdots b_{n+1})^T) = (b_1 \cdots b_{i-1} b_{i+1} \cdots b_{n+1})^T$

## Example

$$\triangleright L(A_{x+2y=3}) = \left\{ \begin{pmatrix} 1 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \right\} \left\{ \begin{pmatrix} 0 \\ 0 \end{pmatrix} \right\}^*$$

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$$\triangleright L(C_3(A_{x+2y=3})) = \left\{ \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} \right\}$$

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$$\blacktriangleright L(A_{x+2y=3}) = \left\{ \begin{pmatrix} 1 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \right\} \left\{ \begin{pmatrix} 0 \\ 0 \end{pmatrix} \right\}^*$$

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## Lemma

if  $R \subseteq \Sigma_n^*$  is regular then  $C_i(R) \subseteq \Sigma_{n+1}^*$  is regular for every  $1 \leq i \leq n+1$

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## Remark

$\triangleright \text{drop}_i$  is homomorphism from  $\Sigma_{n+1}^*$  to  $\Sigma_n^*$



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- $\triangleright$   $\text{drop}_i$  is homomorphism from  $\Sigma_{n+1}^*$  to  $\Sigma_n^*$
- $\triangleright C_i(R) = \text{drop}_i^{-1}(R)$

# Outline

1. Summary of Previous Lecture

2. Presburger Arithmetic

3. Intermezzo

**4. Presburger Arithmetic**

Atomic Formulas

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6. Further Reading

## Definition (Projection)

$\Pi_i(R) \subseteq \Sigma_n^*$  is defined for  $R \subseteq \Sigma_{n+1}^*$  and index  $1 \leq i \leq n+1$  as

$$\Pi_i(R) = \{\text{drop}_i(x_1) \cdots \text{drop}_i(x_m) \in \Sigma_n^* \mid x_1 \cdots x_m \in R\}$$

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## Example

① solutions of  $\exists y. x + 2y - 3z = 2$  correspond to  $\text{stz}(\Pi_2(A_{x+2y-3z=2}))$

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- 1 solutions of  $\exists y. x + 2y - 3z = 2$  correspond to  $\text{stz}(\Pi_2(A_{x+2y-3z=2}))$
- 2 solutions of  $\forall y. x + 2y - 3z = 2$  correspond to  $C(\text{stz}(\Pi_2(C(A_{x+2y-3z=2}))))$

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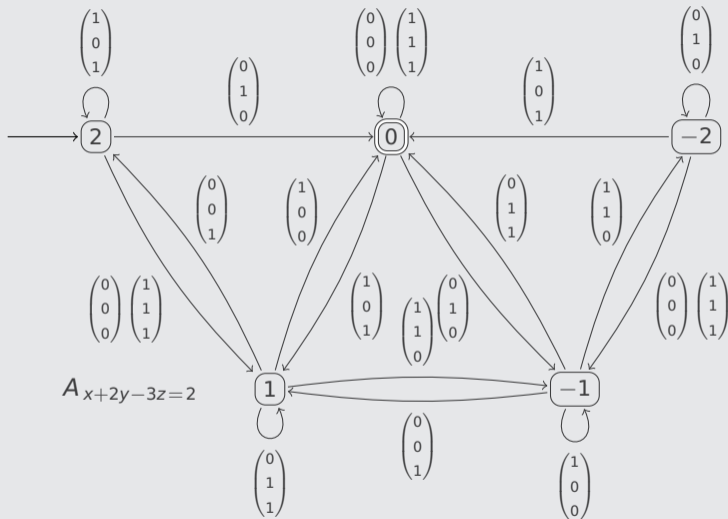
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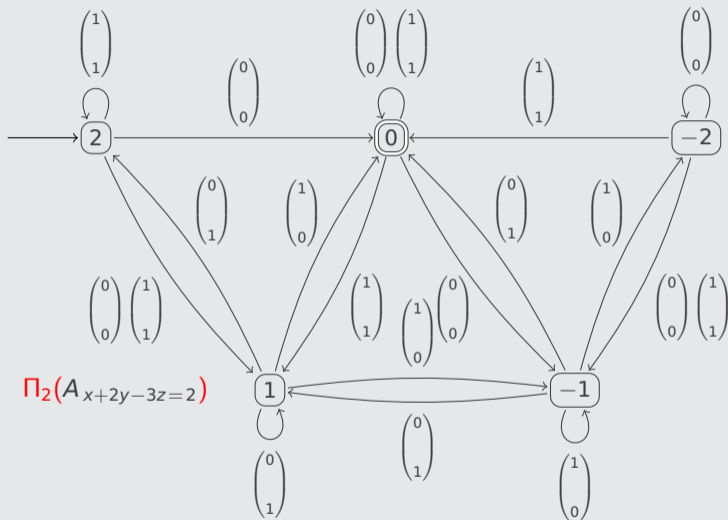
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# Example



# Outline

1. Summary of Previous Lecture
2. Presburger Arithmetic
3. Intermezzo
4. Presburger Arithmetic
- 5. WMSO**
6. Further Reading

## Theorem (Presburger 1929)

Presburger arithmetic is decidable

### Decision Procedures

- ▶ quantifier elimination
- ▶ automata techniques
- ▶ translation to WMSO

- ▶ map variables in Presburger arithmetic formula to second-order variables in WMSO

## Procedure

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- ▶  $n$  is represented as set of "1" positions in reverse binary notation of  $n$

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26 is represented by  $\{1, 3, 4\}$  since  $(26)_2 = 11010$

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- ▶  $n$  is represented as set of "1" positions in reverse binary notation of  $n$
- ▶ 0 and 1 in Presburger arithmetic formulas are translated into ZERO and ONE with

$$\forall x. \neg \text{ZERO}(x)$$

$$\forall x. \text{ONE}(x) \leftrightarrow x = 0$$

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- ▶  $+$  in Presburger arithmetic formula is translated into ternary predicate  $P_+$  with

$$\begin{aligned} P_+(X, Y, Z) := \exists C. \neg C(0) \wedge (\forall x. C(x+1) \leftrightarrow X(x) \wedge Y(x) \vee X(x) \wedge C(x) \vee Y(x) \wedge C(x)) \wedge \\ (\forall x. Z(x) \leftrightarrow X(x) \wedge Y(x) \wedge C(x) \vee X(x) \wedge \neg Y(x) \wedge \neg C(x) \vee \\ \neg X(x) \wedge Y(x) \wedge \neg C(x) \vee \neg X(x) \wedge \neg Y(x) \wedge C(x)) \end{aligned}$$

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## Example

Presburger arithmetic formula  $\exists y. x = y + y + 1$  is transformed into WMSO formula

$$(\forall x. \text{ONE}(x) \leftrightarrow x = 0) \wedge \exists Y. \exists Z. P_+(Y, Y, Z) \wedge P_+(Z, \text{ONE}, X)$$

## Example

Presburger arithmetic formula  $\exists y. x = y + y + 1$  is transformed into WMSO formula

$$(\forall x. \text{ONE}(x) \leftrightarrow x = 0) \wedge \exists Y. \exists Z. P_+(Y, Y, Z) \wedge P_+(Z, \text{ONE}, X)$$

## Corollary

Presburger arithmetic is decidable

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## Boudet and Comon

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## Esparza and Blondin

- ▶ Chapter 9 of **Automata Theory: An Algorithmic Approach** (MIT Press 2023)

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## Important Concepts

- ▶  $A_\varphi$
- ▶  $L(\varphi)$
- ▶ cylindrification
- ▶ projection
- ▶ Presburger arithmetic

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homework for November 22