



# Advanced Functional Programming

Week 10 - Introduction to Parallelism and Concurrency

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# Parallelism and Concurrency

- parallelism
  - aim: speed up some computation by using multiplicity of computational hardware (multicore CPU, GPU, multiprocessor machine, . . . )
  - effect of using multiple cores is visible in execution time, but not on result
  - example: parallel sorting algorithm, parallel matrix-multiplication algorithm, ...
- concurrency
  - program structuring technique with multiple threads of control
  - threads are executed at the same time (interleaved or on multicore systems)
  - effects of interleaving are visible
  - example: webbrowser has separate thread for user interface, and spawns separate threads for each download
  - example: termination prover for TRSs tries several termination techniques in parallel threads and takes result of first successful technique
- Haskell offers support for both parallelism and threads

#### Last Week

- lazy I/O, file access via handles
- spawning external processes
- communication via (temporary) files
- communication via pipes with interactive processes
- exception handling
  - throw everywhere, catch in IO-monad
  - force evaluation, so that try and catch have an effect

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Introduction to Parallelism

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#### Parallelism in Haskell

- for understanding parallelism in Haskell it is crucial to understand Haskell's lazy evaluation strategy
- situation is very similar to exception handling
- both try and parallel evaluation should somehow enforce evaluation within the try-block, or within the parallel execution block
- bad example with try:

```
let p = try (return (f x, f y)) in p
this code will not evaluate f x and f y within the try-block due to lazy evaluation
```

• bad example with parallelism:

```
let p = \text{runEval} (rpar (f x, f y)) in p
this code will not evaluate f x and f y in parallel due to lazy evaluation
```

- last week: use DeepSeq to enforce full evaluation to normal form
- upcoming: more fine-grained control how to evaluate expressions

```
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```

## Parallelism via Control.Parallel.Strategies

 this module lets user design a strategy how to evaluate expressions data Eval a -- not revealed

```
runEval :: Eval a -> a

rpar :: a -> Eval a
rseq :: a -> Eval a
```

instance Monad Eval

- parallelism is expressed via Eval monad
- rpar creates parallelism
  - rpar expr says that expr should be evaluated, perhaps in parallel
  - argument to rpar should be a thunk (otherwise, no work needs to be done)
- rseq enforces sequential evaluation: wait until argument is evaluated
- both rpar and rseq refer to WHNF in evaluation
- the r in rpar and rseq refers to rewrite to WNHF (in parallel or sequential)

### Inspecting Evaluation with :sprint

- recall
  - by default, evaluation of expressions is only trigged on demand
  - using seq, one can enforce evaluation to WHNF (outermost constructor)
  - using force of DeepSeq, one can enforce evaluation to full normal form
- with ghci command : sprint expr one can observe current evaluation status
- example

```
ghci> let xs = map (+1) [1 .. 10 :: Int]
     ghci> :sprint xs
     xs = _
                                -- _ represents a thunk: not yet evaluated
     ghci> seq xs () -- or: null xs
                     -- or: False
     ()
     ghci> :sprint xs
     _ : _
     ghci> length xs
                                     ghci> seq (force xs) () -- or: sum xs
     10
                                                               -- or: 65
     ghci> :sprint xs
                                     ghci> :sprint xs
     xs = [,,,,,,,,,,,,,,,,]
                                     xs = [2,3,4,5,6,7,8,9,10,11]
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```

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#### Examples

• we assume that **f** is some costly operation

```
runEval $ do { a <- rpar (f x); b <- rpar (f y); return (a, b) }
runEval $ do { a <- rpar (f x); b <- rseq (f y); return (a, b) }
runEval $ do { a <- rpar (f x); b <- rpar (f y);
rseq a; rseq b; return (a, b) }</pre>
(1)
```

- in (1), the return happens immediately; remaining program continues evaluation while f x and f y are evaluated in parallel
- in (2), the return happens after f y has been evaluated to WHNF; evaluation of f x and f y happen in parallel, and evaluation of f x continues in parallel after return
- in (3), the evaluation of f x and f y are in parallel; however, the return is only executed after both f x and f y are evaluated to WHNF

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### Running the Examples

- running parallel programs requires
  - compilation with -threaded flag
  - execution with +RTS -Nn -RTS where n is maximal number of cores
  - example: run test 1 with at most 2 cores via cabal: cabal run Demo10 -- fib 1 +RTS -N2 -RTS
- execution times

```
n = 1: 0.0s, 0.47s (1) 0.19s, 0.47s (2) 0.47s, 0.47s (3)
n = 2: 0.0s, 0.30s (1) 0.19s, 0.30s (2) 0.30s, 0.30s (3)
```

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### Setup in Haskell

```
sortAlgs :: [(String, [Int] -> [Int])]
sortFile :: FilePath

mainSort :: String -> IO ()
mainSort algName = do
    case lookup algName sortAlgs of
    Nothing -> error $ "unknown sorting algorithm"
    Just sortAlg -> do
        input <- lines <$> readFile sortFile
        let numbers = force $ map read input
        putStrLn $ "We have " ++ show (length numbers) ++ " elements to sort."
        start <- getCurrentTime
        let sorted = sortAlg numbers
        putStrLn $ "Sorted all " ++ show (length sorted) ++ " elements."
        end <- getCurrentTime
        putStrLn $ show (end `diffUTCTime` start) ++ " elapsed."</pre>
```

#### Parallelization of Quicksort

```
• consider sequential quicksort (without randomization)
```

```
qsortSeq (x : xs) = let
  (low, high) = partition (< x) xs
  sLow = qsortSeq low
  sHigh = qsortSeq high
  in sLow ++ [x] ++ sHigh
  qsortSeq [] = []</pre>
```

- integrate parallelization: evaluate both recursive invocations in parallel
- setup for evaluating effect of parallelization
  - read list of 5 million random numbers from file (generated by Demo10 numbers 5000000)
  - force that reading is fully completed by using force from DeepSeq (so reading from file and parsing is done purely sequentially)
  - start timing
  - run sorting algorithm and print length of sorted list
  - stop timinig

```
• code of parallel quicksort, version 1
```

Parallelized Version of Quicksort - Try 1

```
qsortPar1 (x : xs) = let
  (low, high) = partition (< x) xs
in runEval $ do
        sLow <- rpar $ qsortPar1 low
        sHigh <- rpar $ qsortPar1 high
        rseq $ sLow
        rseq $ sHigh
        return $ sLow ++ [x] ++ sHigh
        qsortPar1 [] = []</pre>
```

• time sequential: 8.39 seconds

• time parallel (-N1): 8.77 seconds

• time parallel (-N2): 5.89 seconds

• time parallel (-N4): 5.20 seconds

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#### Observations

- minimal overhead in making algorithm parallel
  - no I/O required
  - no explicit creation of threads, etc.
  - no explicit synchronization, communication, etc.
  - no detection of finalized computations
- debugging of parallel code can done by running it sequentially (not: runtime analysis)
- remark: Haskell gives no guarantee on how parallelization is executed
  - quicksort on test input invokes rpar a million times
  - spawning a thread for each of this invocations would be far too expensive (overhead of thread creation)
  - instead the argument to rpar is called a spark
  - sparks are cheap to create and are stored in a pool
  - whenever there is a spare core available, it starts to evaluate some sparks
  - overhead of spark handling is small:
  - 8.39 seconds (sequential algorithm) vs. 8.77 seconds (parallel algorithm with 1 core)
- algorithm is not optimal, since parallelization stops after evaluation to WHNF, i.e., after first element of recursive calls has been determined

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#### Parallelized Version of Quicksort - Version 3

- although overhead of sparks is small, there is some overhead
- in particular it does not pay off to run quicksort in parallel when recursion reaches small lists
- problem of granularity: divide work into reasonable chunks that are solved in parallel
  - too large chunks: several cores might become idle
  - too small chunks: overhead for each spark becomes more significant
- parallel quicksort version 3 uses simple depth limit to switch to sequential version

```
qsortPar3 = qsortPar3Main 10
gsortPar3Main d xs
  | d == 0 = gsortSeg xs
qsortPar3Main d (x : xs) = let
  (low, high) = partition (< x) xs
 in runEval $ do
       sLow <- rpar $ qsortPar3Main (d-1) low</pre>
       sHigh <- rpar $ qsortPar3Main (d-1) high</pre>
       rseq $ spine sLow
                                                     ... 4.50 seconds
```

### Parallelized Version of Quicksort - Try 2

```
• code of parallel quicksort, version 2
  spine (_: xs) = spine xs
  spine [] = ()
  ... runEval $ do
         sLow <- rpar $ qsortPar2 low</pre>
         sHigh <- rpar $ qsortPar2 high</pre>
         rseq $ spine sLow
         rseq $ spine sHigh
         return $ sLow ++ [x] ++ sHigh
```

- only difference, use spine to force evaluation of list structure
- effect: both recursive calls are fully evaluated in parallel
- time parallel (-N1) shows overhead of spine:

9.45 seconds

• time parallel (-N4) shows improved parallelization:

4.88 seconds

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• note: using force instead of spine would slow down the computation, since force also ensures that all list arguments are fully evaluated

Final Remarks on Parallelization

• there is a lot more to explore, e.g., to have more control over parallelization via strategies or via explicit forks of sparks and dataflow parallelism

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strategies in brief

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- separate what is computed to how it is evaluated
- examples: in the timing code, replace line let numbers = force \$ map read input by the following one to get a parallel map let numbers = force \$ (map read input `using` parList rseq)
- note that while sparks are cheap to create, beware on how data is distributed
  - without the force in the definition of numbers, there might be dependent thunks in the input list which are distributed over several cores and trigger a ping-pong effect: evaluating parts of the input on one core has to ask an evaluation of another core, etc.
  - result without force: sorting takes 19.41 seconds with 4 cores

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# Introduction to Concurrency in Haskell

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# A First Concurrent Program

```
• start with: cabal run Demo10 -- td1 +RTS -N2 -RTS
mainThreadDemo1 = do
   hSetBuffering stdout NoBuffering
   _ <- forkIO (replicateM_ 100000 (putChar 'a')) -- ThreadId is ignored
   replicateM_ 100000 (putChar 'b')</pre>
```

- buffering is turned off so that printing is immediate
- forkIO :: IO () -> IO ThreadId
   forkIO a spawns a new thread that executes action a,
   the current thread gets an identifier to the thread (similar to process handle)
- - most of the time strict alternation of a and b
  - reason: fairness when trying to access shared resource stdout

### Concurrency

- concurrent Haskell: facilities of Haskell for programming with multiple threads of control
- threads run independently concurrently
  - execution in parallel on multiple cores,
  - execution using time-slicing via some scheduling algorithm, or
  - combined algorithm
- threads may be put to sleep and waked up at any time
  - by scheduling algorithm (Haskell runtime or OS)
  - if some shared resource is occupied or is getting available
- overhead of thread-creation, scheduling, etc. is small (lightweight threads), but not as small as creating sparks in previous section
- viewpoint of concurrency in Haskell
  - concurrency permits us to increase modularity, e.g. separate threads for different tasks
  - Haskell provides simple, but versatile features for concurrency
    - user can stay at low-level interface to tune performance
    - user can program more high-level abstractions
- here: start with low-level interface, show how to advance to higher-level interfaces

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### A Second Example: Reminders

```
• start with: cabal run Demo10 -- td2
mainThreadDemo2 = do
s <- getLine
if s == "exit"
    then return ()
    else do
        _ <- forkIO $ setReminder s
        mainThreadDemo2

setReminder s = do
    let t = read s :: Int
    putStrLn $ "Reminder in " ++ show t ++ " seconds"
    threadDelay $ 10^(6 :: Int) * t
    putStrLn $ "Reminder of " ++ show t ++ " seconds is over! \BEL"
• threadDelay :: Int -> IO () puts current thread to sleep (number of microseconds)
```

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#### Observations

- when typing "exit", the initial thread is done
- if this happens, the runtime system stops the complete program, i.e., also all running reminder-threads are terminated
- hence, the starting thread has a special role
  - termination of a spawned thread (any of the reminder-threads) does not lead to termination of the complete program
- note: this effect does not show up when running mainThreadDemo2 within ghci

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### Simple Communication Between Threads

• pass one value between two threads

```
comm1 = do
  m <- newEmptyMVar
  _ <- forkIO $ putMVar m 'x'
  r <- takeMVar m
  print r</pre>
```

scheduling does not matter: main thread waits until forked thread has filled m

pass two values between two threads

single MVar m is used as a channel: multiple writer, single reader RT (DCS @ UIBK) Week 10

Communication: MVars

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• most basic primitive to communicate via threads is via some MVar

```
data MVar a -- not revealed
newEmptyMVar :: IO (MVar a)
newMVar :: a -> IO (MVar a)
takeMVar :: MVar a -> IO a
putMVar :: MVar a -> a -> IO ()
```

- an MVar a is similar to Maybe a:
   it is a box that can store one value of type a or nothing
- the newXXX operations create an empty or full MVar
- takeMVar first waits (blocks) until there is a value in the MVar, and then removes the value from the MVar and returns it
- similarly, putMVar waits until the MVar is empty and then stores a value in it

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### Simple Communication Between Threads: Deadlocks

• consider situation where all threads wait on change of some MVar

```
comm3 = do
  m <- newEmptyMVar
  n <- newEmptyMVar
  _ <- forkIO $ do { s <- takeMVar m; putMVar n (s + 1) }
  r <- takeMVar n
  putMVar m (42 :: Int)
  print r</pre>
```

- such a situation is called a deadlock and should be avoided
- invoking comm3 in ghci
  - deadlock looks like a non-terminating computation
  - abort with CTRL-C
- standalone-program (cabal run Demo10 -- comm3)
  - described deadlock w.r.t. MVars results in runtime exception
  - can be used for debugging

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### Usages of MVar

- MVars are quite basic, but also versatile
- use case 1: one-element channel
  - pass messages around threads
  - limitation: one message at a time
- use case 2: container for shared mutable state (see exercise)
  - choose a in MVar a as some normal immutable data
  - thread can take a (and acquire a lock), and then write back the modified a
  - if a = (), then MVar is just used as lock
- use case 3: building block for larger concurrent data structures (next lecture)

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## The Logger

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```
initLogger = do
                                        newtype Logger = Logger (MVar LogCommand)
 m <- newEmptyMVar</pre>
 let 1 = Logger m
                                        data LogCommand =
  _ <- forkIO (logger 1)</pre>
                                          Message String | Stop (MVar ())
  return 1
                                        logMessage (Logger m) s =
                                          putMVar m (Message s)
logger :: Logger -> IO ()
logger (Logger m) = loop where
 loop = do
                                        logStop (Logger m) = do
    cmd <- takeMVar m</pre>
                                          s <- newEmptyMVar</pre>
    case cmd of
                                          putMVar m (Stop s)
                                          takeMVar s
      Message msg -> do
        putStrLn msg
        loop
      Stop s -> do
        putStrLn "logger: stop"
        putMVar s ()
```

Use Case 1: Example Application of a Logger

- develop concurrent logging service
- for simplicity, we log to stdout, but it could be a file, a database, etc.
- logging is a service in a larger application, which can be programmed independently
- closely related applications: fire-and-forget writing services to a shared resource, e.g., printer spooler
- we implement a logger with the following capabilities

```
initLogger :: IO Logger
logMessage :: Logger -> String -> IO ()
logStop :: Logger -> IO ()
```

logStop is required so that logger can log all pending log-messages before stopping

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ending main thread without invoking logStop would result in killing the logger

Remarks on Logger

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- datatypes reveal that logger is basically a single MVar that stores log commands
- application for logger can result in arbitrary sequence of log messages

- depending on scheduler, not all 100 log-messages of the forked messages will materialize
- because logger can store only single message at a time, logger might become bottleneck
- fairness of MVar and other blocking operations:
   if some thread requests a resource and this resource is getting available infinitely often,
   then the thread will eventually get access to that resource

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### Literature

- Simon Marlow, Parallel and Concurrent Programming in Haskell, 2013, O'Reilly, Chapters 2 and 7
- Real World Haskell, Chapter 24
- https://hackage.haskell.org/package/parallel/docs/ Control-Parallel-Strategies.html
- https://hackage.haskell.org/package/base/docs/Control-Concurrent.html

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