



Automata and Logic

Aart Middeldorp and Samuel Frontull

Outline

- 1. Summary of Previous Lecture**
- 2. Minimization**
- 3. Intermezzo**
- 4. Weak Monadic Second-Order Logic**
- 5. Further Reading**

Definitions

- ▶ **regular expression** α over alphabet Σ :

$$a \in \Sigma$$

$$\epsilon$$

$$\emptyset$$

$$\beta + \gamma$$

$$\beta\gamma$$

$$\beta^*$$

- ▶ set of strings $L(\alpha) \subseteq \Sigma^*$ matched by regular expression α :

$$L(a) = \{a\}$$

$$L(\emptyset) = \emptyset$$

$$L(\beta\gamma) = L(\beta)L(\gamma)$$

$$L(\epsilon) = \{\epsilon\}$$

$$L(\beta + \gamma) = L(\beta) \cup L(\gamma)$$

$$L(\beta^*) = L(\beta)^*$$

- ▶ regular expressions α and β are **equivalent** ($\alpha \equiv \beta$) if $L(\alpha) = L(\beta)$

Theorem

finite automata and regular expressions are **equivalent**:

for all $A \subseteq \Sigma^*$ A is regular $\iff A = L(\alpha)$ for some regular expression α

Definitions

- ▶ **homomorphism** is mapping $h: \Sigma^* \rightarrow \Gamma^*$ such that $h(\epsilon) = \epsilon$ and $h(xy) = h(x)h(y)$
- ▶ if $A \subseteq \Sigma^*$ then $h(A) = \{h(x) \mid x \in A\} \subseteq \Gamma^*$ "image of A under h "
- ▶ if $B \subseteq \Gamma^*$ then $h^{-1}(B) = \{x \mid h(x) \in B\} \subseteq \Sigma^*$ "preimage of B under h "

Theorem

regular sets are effectively closed under **homomorphic image** and **preimage**

Theorem

problems

instance: DFA M and string x

question: $x \in L(M)$?

instance: DFA M

question: $L(M) = \emptyset$?

instance: DFAs M and N

question: $L(M) = L(N)$?

are decidable

Automata

- ▶ (deterministic, nondeterministic, alternating) **finite automata**
- ▶ regular expressions
- ▶ (alternating) Büchi automata

Logic

- ▶ **(weak) monadic second-order logic**
- ▶ Presburger arithmetic
- ▶ linear-time temporal logic

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Example 1



Example 1



$$A = \{1\}$$

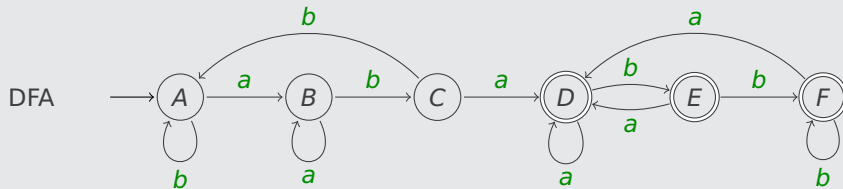
$$C = \{1, 3\}$$

$$E = \{1, 3, 4\}$$

$$B = \{1, 2\}$$

$$D = \{1, 2, 4\}$$

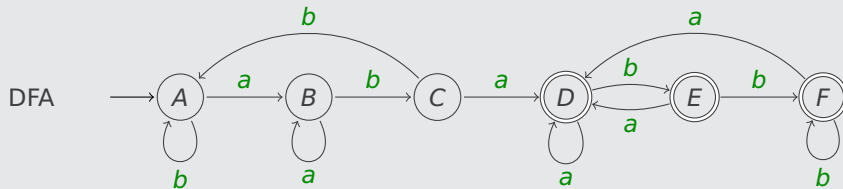
$$F = \{1, 4\}$$



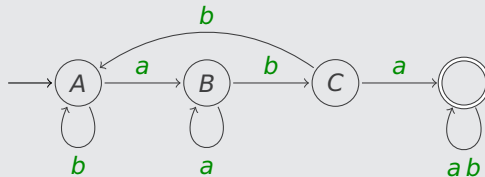
Example 1



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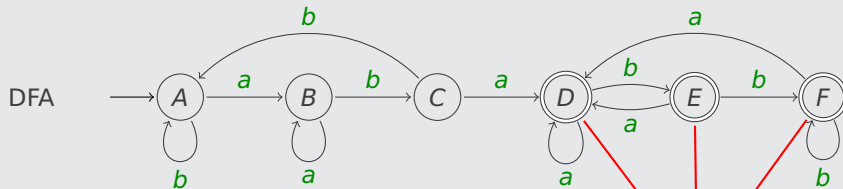
not minimal:



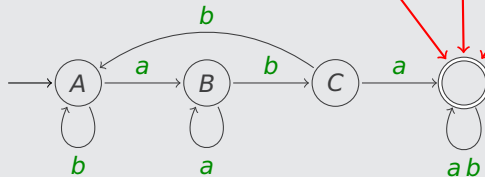
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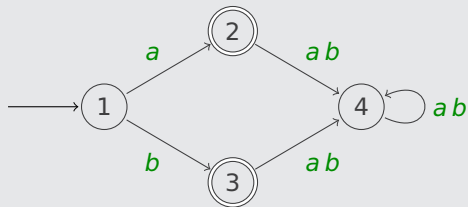


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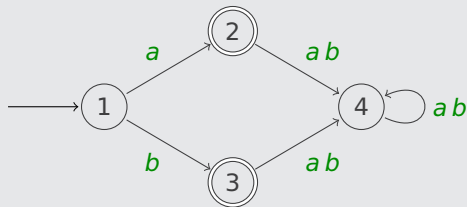
Example ②

DFA



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DFA

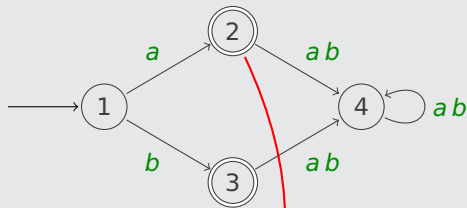


not **minimal**: states 2 and 3 can be collapsed

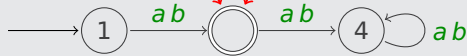


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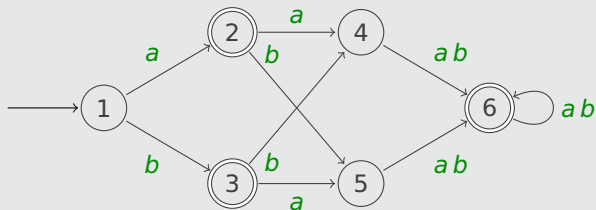


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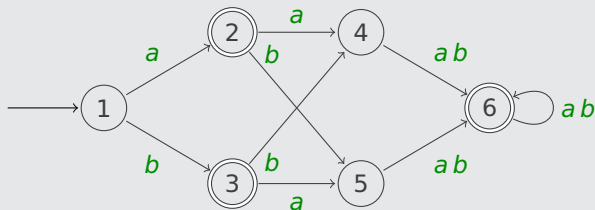
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DFA

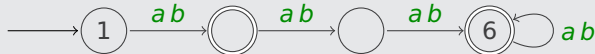


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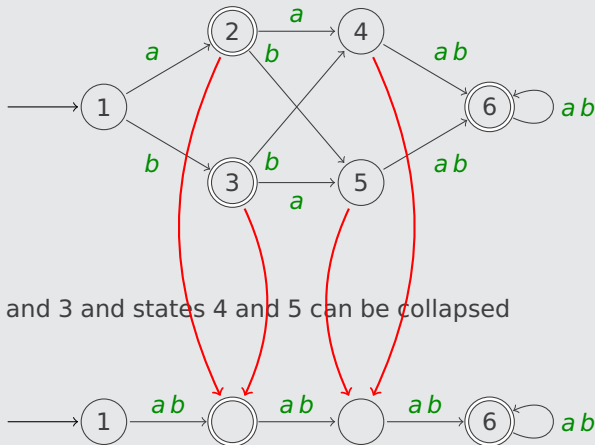


not **minimal**: states 2 and 3 and states 4 and 5 can be collapsed



Example ③

DFA



Definitions

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- ▶ state p is **inaccessible** if $\hat{\delta}(s, x) \neq p$ for all $x \in \Sigma^*$

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$$(\widehat{\delta}(p, x) \in F \wedge \widehat{\delta}(q, x) \notin F) \vee (\widehat{\delta}(p, x) \notin F \wedge \widehat{\delta}(q, x) \in F)$$

for some $x \in \Sigma^*$

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Minimization Algorithm

DFA $M = (Q, \Sigma, \delta, s, F)$

- ① remove inaccessible states
- ② for every two different states determine whether they are distinguishable (marking)
- ③ **collapse** indistinguishable states

Marking Algorithm

given DFA $M = (Q, \Sigma, \delta, s, F)$ without inaccessible states

- ① tabulate all unordered pairs $\{p, q\}$ with $p, q \in Q$, initially unmarked

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- ③ repeat until no change:
mark $\{p, q\}$ if $\{\delta(p, a), \delta(q, a)\}$ is marked for some $a \in \Sigma$

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Notation

$p \approx q \iff$ states p and q are indistinguishable

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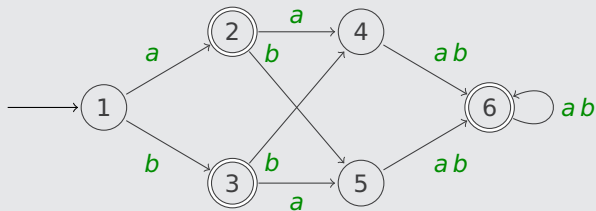
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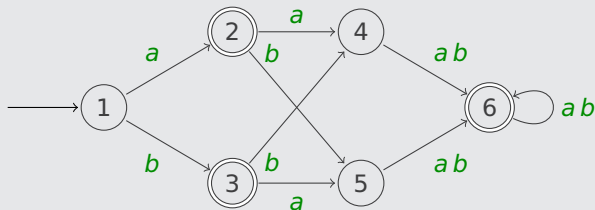
Lemma

$p \approx q \iff \{p, q\}$ is unmarked

Example



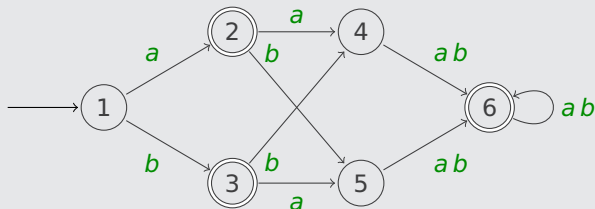
Example



1
✓ 2
✓ 3
✓ ✓ 4
✓ ✓ 5
✓ ✓ ✓ 6

① final/nonfinal states are distinguishable

Example

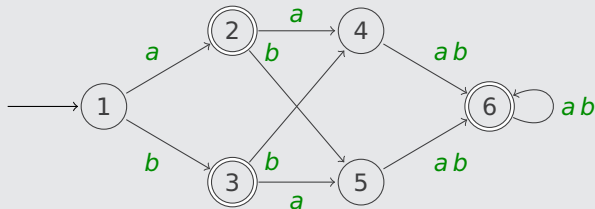


1					
✓	2				
✓		3			
	✓	✓	4		
	✓	✓		5	
✓	✓	✓	✓	✓	6

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② $\{2, 6\} \xrightarrow{a} \{4, 6\}$ $\{3, 6\} \xrightarrow{a} \{5, 6\}$

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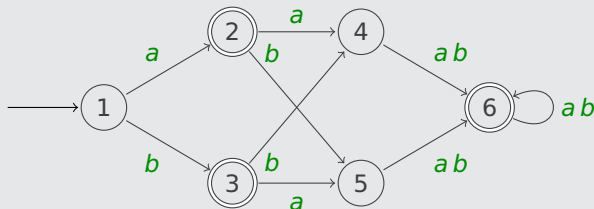
1					
✓	2				
✓		3			
✓	✓	✓	4		
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Example



1

✓ 2

✓ 3

✓ ✓ ✓ 4

✓ ✓ ✓ 5

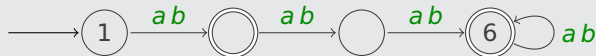
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collapse states 2 and 3 and states 4 and 5:



Definition

states p and q of DFA $M = (Q, \Sigma, \delta, s, F)$ are **indistinguishable** ($p \approx q$) if for all $x \in \Sigma^*$

$$\hat{\delta}(p, x) \in F \iff \hat{\delta}(q, x) \in F$$

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$$\textcircled{1} \quad \forall p \in Q \quad p \approx p \quad \text{(reflexivity)}$$

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Notation

$[p]_{\approx} = \{q \in Q \mid p \approx q\}$ denotes **equivalence class** of p

Definition (Collapsing Indistinguishable States)

DFA $M/\approx$ is defined as $(Q', \Sigma, \delta', s', F')$ with

$$\triangleright Q' = \{[p]_{\approx} \mid p \in Q\}$$

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② $p \in F \iff [p]_{\approx} \in F'$

for all $p \in Q$

Theorem

$$L(M/\approx) = L(M)$$

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Question

is $M/\approx$ minimum-state DFA for $L(M)$?

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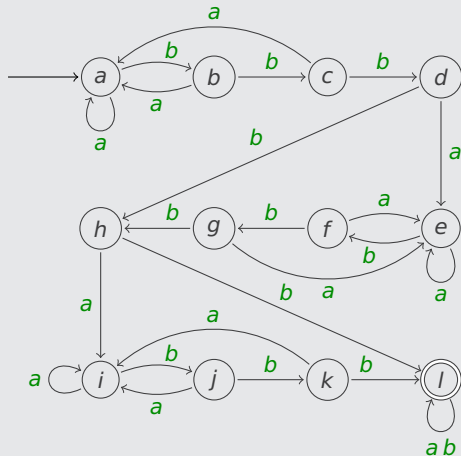
is $M/\approx$ minimum-state DFA for $L(M)$?

Lemma

$M/\approx$ cannot be collapsed further

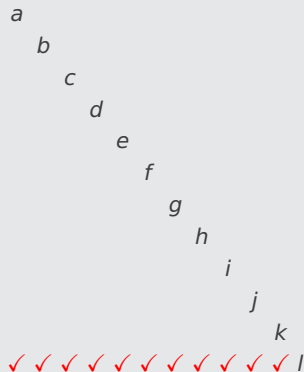
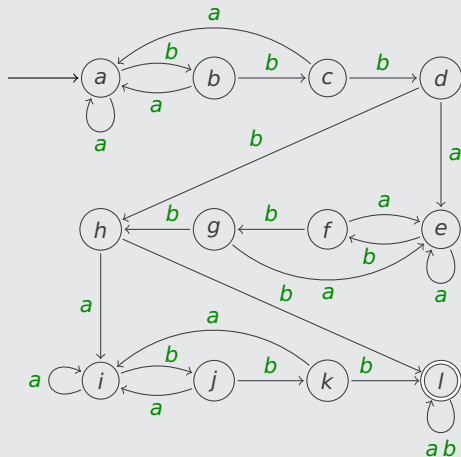
Example

DFA for set of strings over $\{a, b\}$ containing at least three occurrences of three consecutive b 's, overlapping permitted:



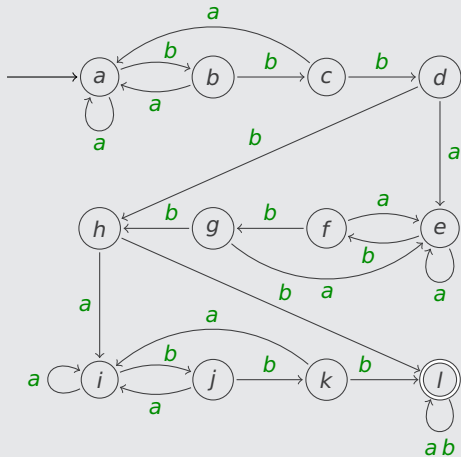
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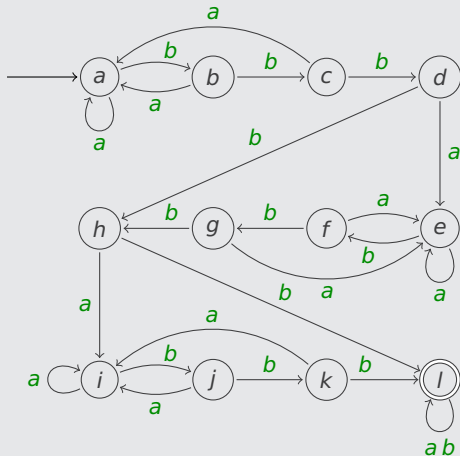
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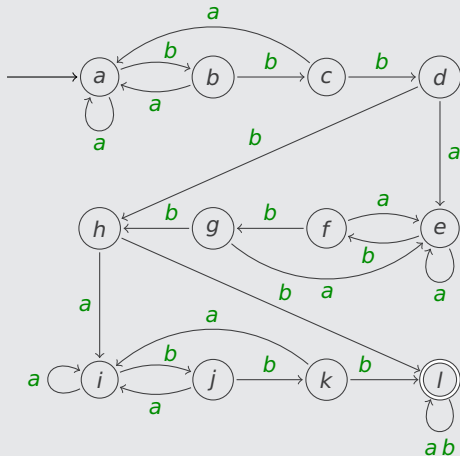
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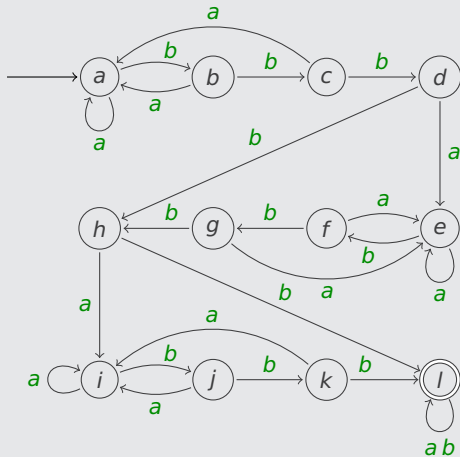
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	a	b			
✓ ✓			c		
✓ ✓ ✓			d		
		✓ ✓	e		
✓ ✓			✓ ✓	f	
✓ ✓ ✓			✓ ✓ ✓	g	
✓ ✓ ✓			✓ ✓ ✓ ✓	h	
✓ ✓			✓ ✓	✓ ✓	i
✓ ✓ ✓			✓ ✓	✓ ✓	j
✓ ✓ ✓			✓ ✓ ✓	✓ ✓	k
✓ ✓ ✓			✓ ✓ ✓ ✓	✓ ✓ ✓ ✓	l

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a

✓ b

✓ ✓ c

✓ ✓ ✓ d

✓ ✓ ✓ e

✓ ✓ ✓ f

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✓ ✓ ✓ ✓ ✓ h

✓ ✓ ✓ ✓ ✓ i

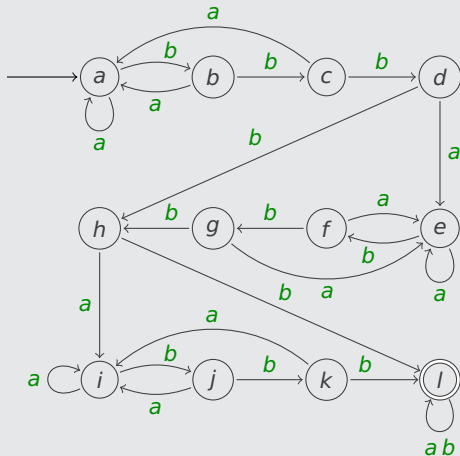
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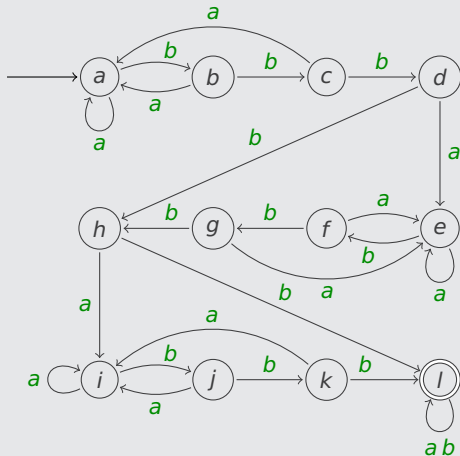
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a
✓ b
✓ ✓ c
✓ ✓ ✓ d
✓ ✓ ✓ ✓ e
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✓ ✓ ✓ ✓ ✓ ✓ ✓ h
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```

a
✓ b
✓ ✓ c
✓ ✓ ✓ d
✓ ✓ ✓ ✓ e
✓ ✓ ✓ ✓ ✓ f
✓ ✓ ✓   ✓ ✓ g
✓ ✓ ✓ ✓ ✓ ✓ ✓ h
✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓ i
✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓ j
✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓   ✓ ✓ k
✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓ ✓ l
  
```

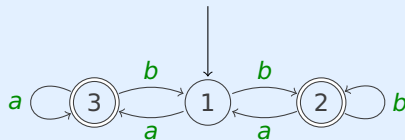
states d, g and h, k can be merged

Outline

1. Summary of Previous Lecture
2. Minimization
- 3. Intermezzo**
4. Weak Monadic Second-Order Logic
5. Further Reading

Question

Which statements about the following DFA A are true ?



- A** the DFA is minimal
- B** states 2 and 3 are distinguishable
- C** $L(A) = L(a^*ba^*)$
- D** all states can be merged



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Remark

$z = y + 1$ abbreviates $y < z \wedge \neg \exists x. (y < x \wedge x < z)$

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Definition

given alphabet Σ

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$$\underline{aaaaa} \models \chi \quad \underline{babab} \not\models \chi$$

Example

$$\Sigma = \{a, b\}$$

$$\triangleright \varphi = \forall x. \neg (P_a(x) \wedge P_b(x))$$

$$\underline{x} \models \varphi \text{ for all } x \in \Sigma^*$$

$$\triangleright \psi = \forall x. \forall y. (P_a(x) \wedge P_b(y)) \rightarrow x < y$$

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Definitions

- given alphabet Σ and WMSO formula φ with free variables (exclusively) in $\{P_a \mid a \in \Sigma\}$

$$L(\varphi) = \{x \in \Sigma^* \mid \underline{x} \models \varphi\}$$

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$$L(\varphi) = \{x \in \Sigma^* \mid \underline{x} \models \varphi\}$$

- ▶ set $A \subseteq \Sigma^*$ is **WMSO definable** if $A = L(\varphi)$ for some WMSO formula φ

Examples

$$\Sigma = \{a, b\}$$

- ▶ regular set $L((a + b)^*ab(a + b)^*)$ is WMSO definable by formula

$$\exists x. \exists y. P_a(x) \wedge P_b(y) \wedge x < y \wedge \neg \exists z. x < z \wedge z < y$$

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Theorem

set $A \subseteq \Sigma^*$ is regular if and only if A is WMSO definable

Outline

1. Summary of Previous Lecture
2. Minimization
3. Intermezzo
4. Weak Monadic Second-Order Logic
- 5. Further Reading**

► Lectures 13 and 14

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Important Concepts

- $\alpha \models \varphi$
- indistinguishable states
- minimization algorithm
- model
- MSO
- satisfiability
- validity
- weak monadic second-order logic (WMSO)
- WMSO definability

- ▶ Lectures 13 and 14

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homework for October 31