



Automata and Logic

Aart Middeldorp and Samuel Frontull

Outline

- 1. Summary of Previous Lecture**
- 2. Büchi Automata**
- 3. Intermezzo**
- 4. Model Checking**
- 5. Linear-Time Temporal Logic (LTL)**
- 6. Further Reading**

Theorem

every DBA M can be effectively transformed into NBA M' such that $L(M') = \sim L(M)$

Theorem

ω -regular sets are closed under complement

Notation

for NBA $M = (Q, \Sigma, \Delta, S, F)$ and states $p, q \in Q$

$$L_{pq} = \{x \in \Sigma^* \mid q \in \hat{\Delta}(\{p\}, x)\}$$

$$L_{pq}^f = \bigcup_{f \in F} L_{pf} \cdot L_{fq}$$

Definition

relation $\sim_M$ on Σ^* for NBA $M = (Q, \Sigma, \Delta, S, F)$: $u \sim_M v$ if for all $p, q \in Q$

$$u \in L_{pq} \iff v \in L_{pq} \quad \text{and} \quad u \in L_{pq}^f \iff v \in L_{pq}^f$$

Lemma

- ① $\sim_M$ is equivalence relation of finite index
- ② each equivalence class of $\sim_M$ is regular

Lemma

for all $x \in U \cdot V^\omega$ with equivalence classes U and V of $\sim_M$

$$x \in L(M) \implies U \cdot V^\omega \subseteq L(M) \qquad x \notin L(M) \implies U \cdot V^\omega \subseteq \sim L(M)$$

Lemma

for all $x \in \Sigma^\omega$ there exist equivalence classes U and V of $\sim_M$ such that $x \in U \cdot V^\omega$

Corollary

$$\sim L(M) = \bigcup \{ U \cdot V^\omega \mid U \text{ and } V \text{ are equivalence classes of } \sim_M \text{ such that } U \cdot V^\omega \cap L(M) = \emptyset \}$$

Definitions

- ▶ first-order variables $V_1 = \{x, y, \dots\}$ ranging over natural numbers
- ▶ second-order variables $V_2 = \{X, Y, \dots\}$ ranging over **sets of natural numbers**
- ▶ formulas of **monadic second-order logic (MSO)**

$$\varphi ::= \perp \mid x < y \mid X(x) \mid \neg \varphi \mid \varphi_1 \vee \varphi_2 \mid \exists x. \varphi \mid \exists X. \varphi$$

with $x, y \in V_1$ and $X \in V_2$

- ▶ assignment α is mapping from variables $x \in V_1$ to $\mathbb{N}$ and $X \in V_2$ to **subsets of $\mathbb{N}$**
- ▶ assignment α satisfies formula φ ($\alpha \models \varphi$):

$$\alpha \not\models \perp$$

$$\alpha \models x < y \iff \alpha(x) < \alpha(y) \qquad \alpha \models \neg \varphi \iff \alpha \not\models \varphi$$

$$\alpha \models X(x) \iff \alpha(x) \in \alpha(X) \qquad \alpha \models \varphi_1 \vee \varphi_2 \iff \alpha \models \varphi_1 \text{ or } \alpha \models \varphi_2$$

$$\alpha \models \exists x. \varphi \iff \alpha[x \mapsto n] \models \varphi \text{ for some } n \in \mathbb{N}$$

$$\alpha \models \exists X. \varphi \iff \alpha[X \mapsto N] \models \varphi \text{ for some subset } N \subseteq \mathbb{N}$$

Definitions

- assignment α for MSO formula φ with $FV(\varphi) = (x_1, \dots, x_m, X_1, \dots, X_n)$ is encoded as infinite string $\underline{\alpha} \in (\{0, 1\}^{m+n})^\omega$:

$$\alpha(x_i) = j \quad \text{if } i\text{-th entry of } j\text{-th symbol in } \underline{\alpha} \text{ is } 1$$

$$\alpha(X_i) = \{j \mid (m+i)\text{-th entry of } j\text{-th symbol in } \underline{\alpha} \text{ is } 1\}$$

- infinite string over $\{0, 1\}^{m+n}$ is **m -admissible** if first m rows contain exactly one 1 each
- $L_a(\varphi) = \{x \in (\{0, 1\}^{m+n})^\omega \mid x \text{ is } m\text{-admissible and } \underline{x} \models \varphi\}$

Theorem

$L_a(\varphi)$ is ω -regular for every MSO formula φ

Theorem

set $A \subseteq \Sigma^\omega$ is ω -regular if and only if A is MSO definable

Automata

- ▶ (deterministic, nondeterministic, alternating) finite automata
- ▶ regular expressions
- ▶ (alternating) **Büchi automata**

Logic

- ▶ (weak) monadic second-order logic
- ▶ Presburger arithmetic
- ▶ **linear-time temporal logic**

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minimal DBAs are not unique

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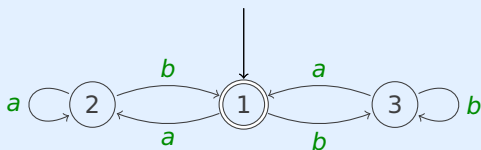
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$$\blacktriangleright L = \{x \in \{a, b\}^\omega \mid |x|_a = \infty \text{ and } |x|_b = \infty\}$$

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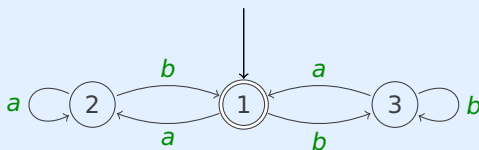
- ▶ $L = \{x \in \{a, b\}^\omega \mid |x|_a = \infty \text{ and } |x|_b = \infty\}$
- ▶ $L = L(M_1)$ for DBA M_1



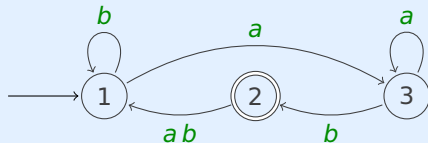
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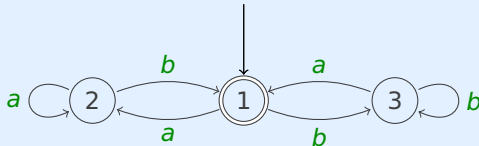
- ▶ $L = L(M_2)$ for DBA M_2



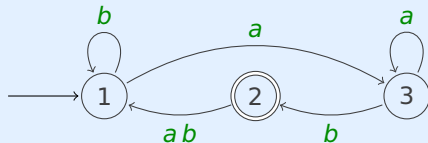
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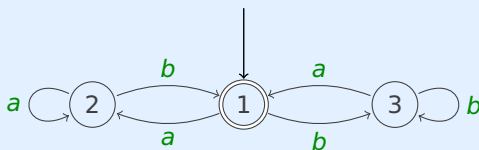


- ▶ M_1 and M_2 are not isomorphic

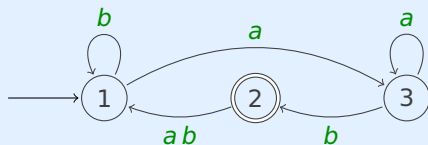
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- ▶ $L = L(M_2)$ for DBA M_2



- ▶ M_1 and M_2 are not isomorphic
- ▶ no DBA with 2 states accepts L

Definition

- **generalized Büchi automaton (GBA)** is automaton $M = (Q, \Sigma, \Delta, S, \{F_1, \dots, F_k\})$ with $F_1, \dots, F_k \subseteq Q$

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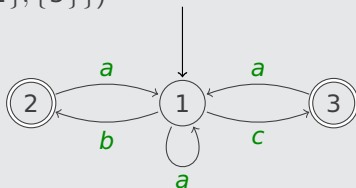
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Example

GBA $M = (\{1, 2, 3\}, \{a, b, c\}, \Delta, \{1\}, \{\{2\}, \{3\}\})$

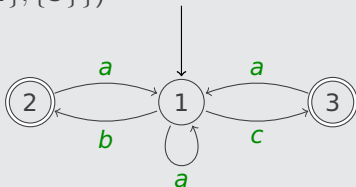


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$L(M) = \{x \in \{a, b, c\}^\omega \mid |x|_b = |x|_c = \infty \text{ and each } b \text{ and } c \text{ in } x \text{ is followed by } a\}$

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NBA $(Q, \Sigma, \Delta, S, F)$ is equivalent to GBA $(Q, \Sigma, \Delta, S, \{F\})$

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- ▶ GBA $M = (Q, \Sigma, \Delta, S, \{F_1, \dots, F_k\})$
- ▶ $L(M) = L(M')$ for NBA $M' = (Q', \Sigma, \Delta', S', F')$

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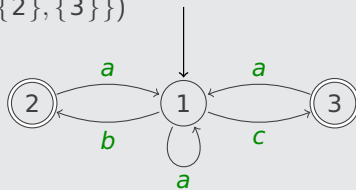
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 - ▶ $F' = F_1 \times \{1\}$

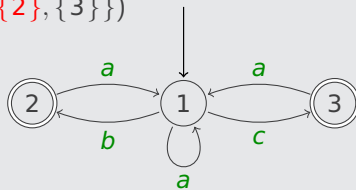
Example

GBA $M = (\{1, 2, 3\}, \{a, b, c\}, \Delta, \{1\}, \{\{2\}, \{3\}\})$

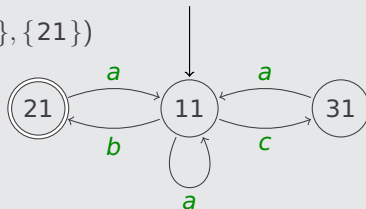


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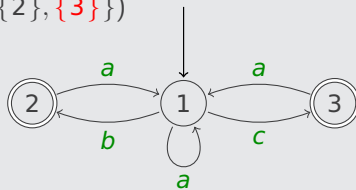


NBA $M' = (\{11, 21, 31, 12, 22, 32\}, \{a, b, c\}, \Delta', \{11\}, \{21\})$

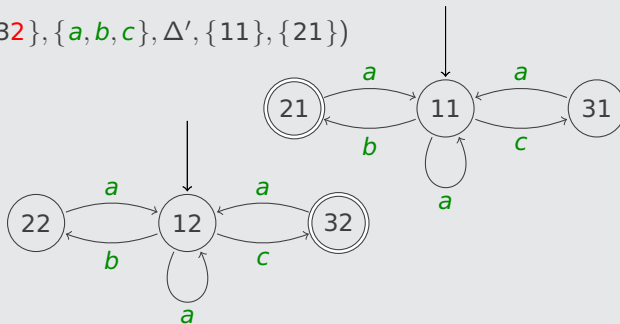


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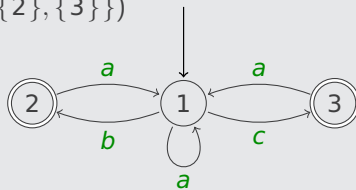


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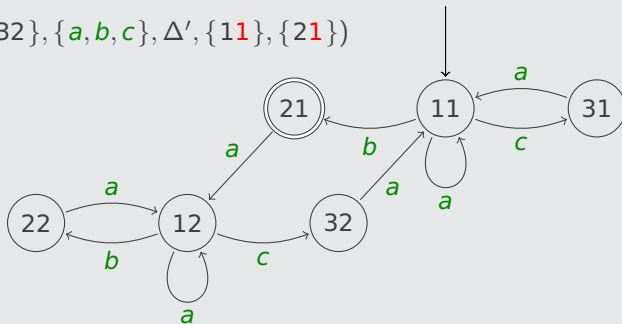


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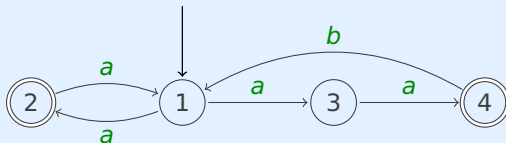


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Question

Which statements about the following GBA $M = (\{1, 2, 3, 4\}, \{a, b\}, \Delta, \{1\}, F)$ are true ?



- A** $L(M) = \{aa, aab\}^\omega$ if $F = \{\{2\}, \{3\}\}$
- B** $L(M) = \{aa, aab\}^\omega$ if $F = \{\{2, 3\}\}$
- C** it does not matter whether state 3 or 4 is final
- D** there is only one possible choice for F such that $L(M) = \{aa, aab\}^\omega$



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- ▶ models of temporal logic contain several states and truth is **dynamic**
- ▶ formula can be true in some states and false in others

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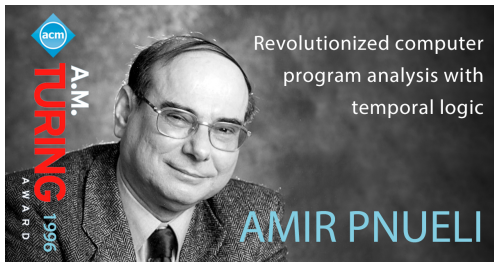
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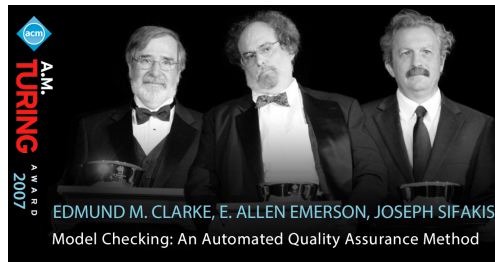
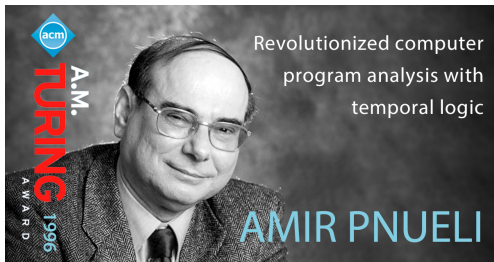
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ACM Turing Awards

1996 Amir Pnueli



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2007 Edmund M. Clarke, E. Allen Emerson, Joseph Sifakis

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Syntax

Semantics

Adequacy

6. Further Reading

Definitions

- ▶ **LTL (linear-time temporal logic)** formulas are built from
 - ▶ atoms $p, q, r, p_1, p_2, \dots$
 - ▶ logical connectives $\perp, \top, \neg, \wedge, \vee, \rightarrow$

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 - ▶ **temporal connectives** X, F, G, U, W, R

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according to following BNF grammar:

$$\varphi ::= \perp \mid \top \mid p \mid \neg\varphi \mid \varphi \wedge \varphi \mid \varphi \vee \varphi \mid \varphi \rightarrow \varphi \mid X\varphi \mid F\varphi \mid G\varphi \mid \varphi U \varphi \mid \varphi W \varphi \mid \varphi R \varphi$$

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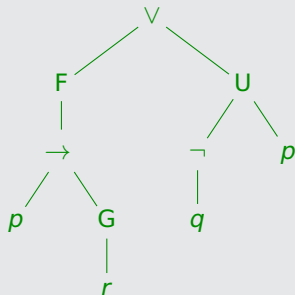
- ▶ notational conventions:

- ▶ binding precedence $\neg, X, F, G > U, W, R > \wedge, \vee > \rightarrow$
- ▶ omit outer parentheses
- ▶ $\rightarrow, \wedge, \vee$ are right-associative

Example

formula $F(p \rightarrow Gr) \vee \neg q U p$

parse tree



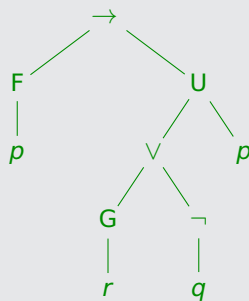
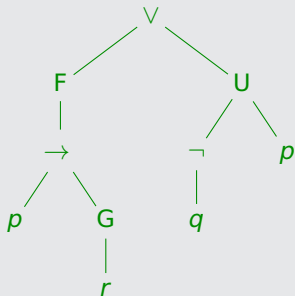
Example

formula

$$F(p \rightarrow Gr) \vee \neg q Up$$

$$Fp \rightarrow (Gr \vee \neg q) Up$$

parse tree



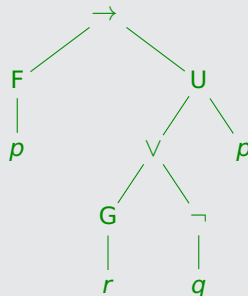
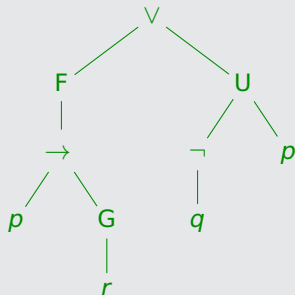
Example

formula

$$F(p \rightarrow Gr) \vee \neg q U p$$

$$Fp \rightarrow (Gr \vee \neg q) U p$$

parse tree



X next state

U until

F $\exists$ future state

G $\forall$ states globally

W weak until

R release

Outline

1. Summary of Previous Lecture

2. Büchi Automata

3. Intermezzo

4. Model Checking

5. Linear-Time Temporal Logic (LTL)

Syntax

Semantics

Adequacy

6. Further Reading

Definition

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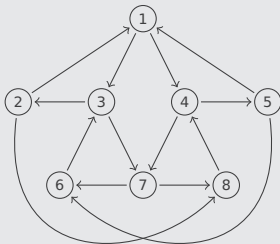
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model $\mathcal{M} = (S, \rightarrow, L)$

$S = \{1, 2, 3, 4, 5, 6, 7, 8\}$

$L(1) = \{I_A, I_B\}$

$L(5) = \{I_A, P_B\}$

$L(2) = \{P_A, I_B\}$

$L(6) = \{R_A, P_B\}$

$L(3) = \{R_A, I_B\}$

$L(7) = \{R_A, R_B\}$

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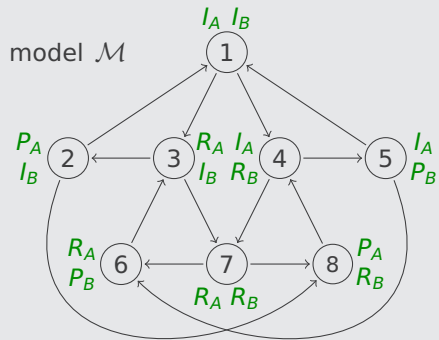
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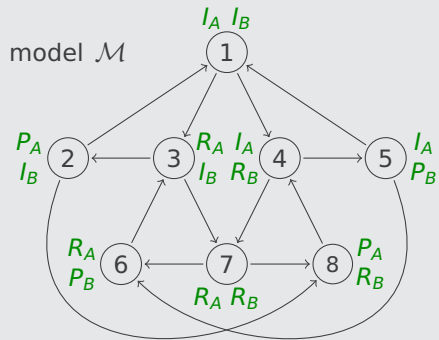
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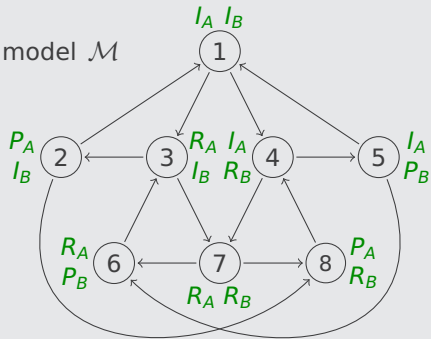


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Example

model $\mathcal{M}$

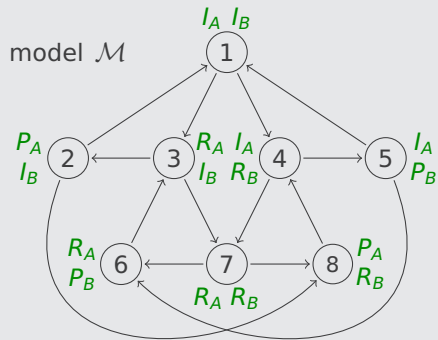


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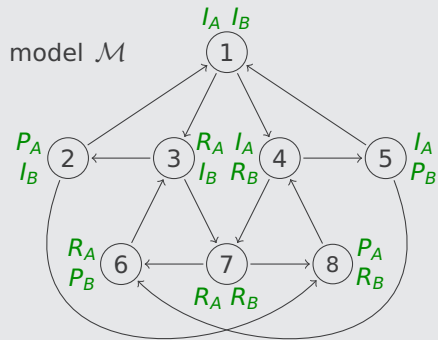
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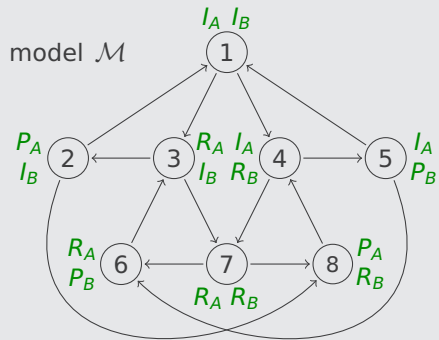
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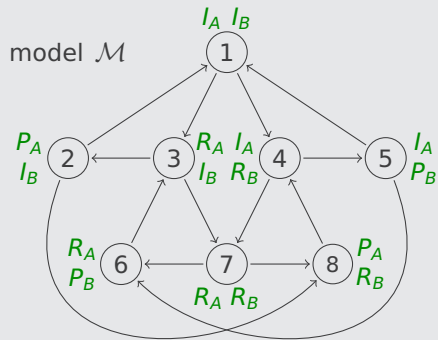
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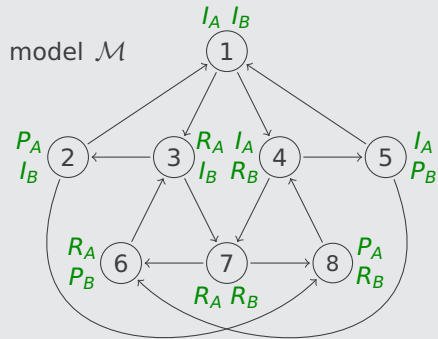
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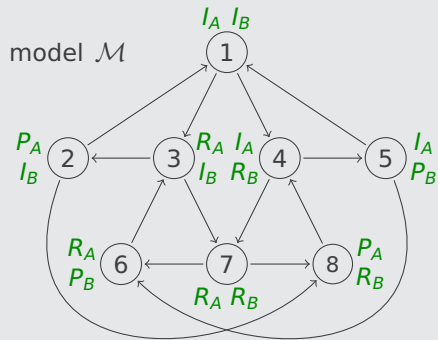
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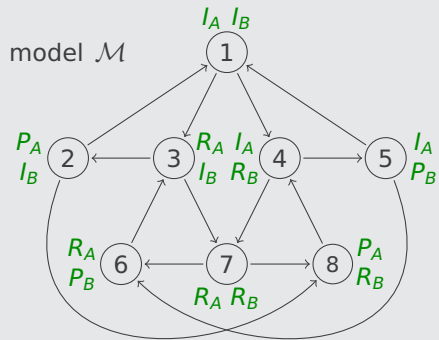
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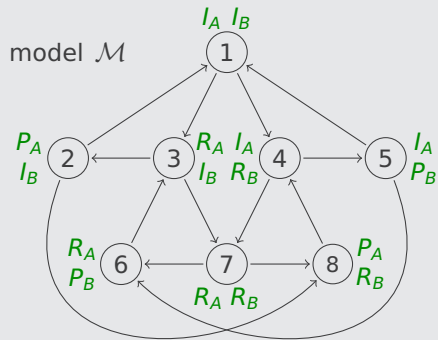
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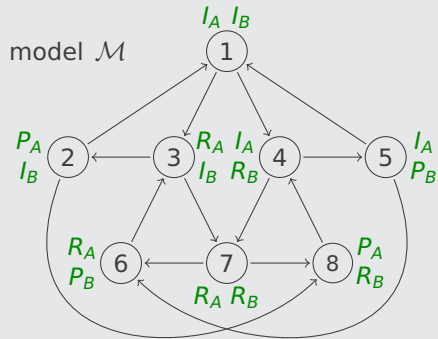
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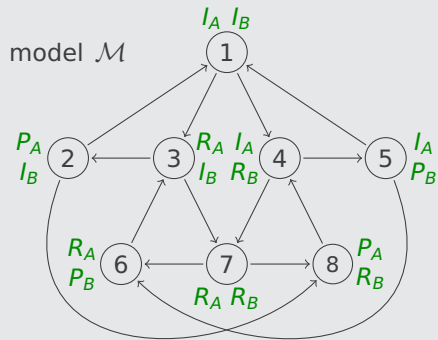
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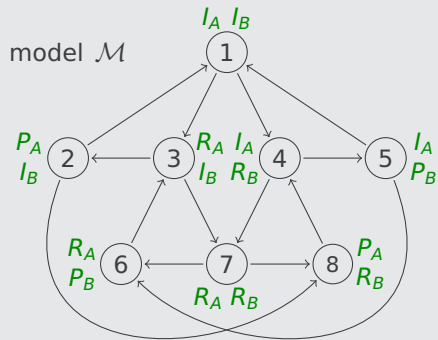
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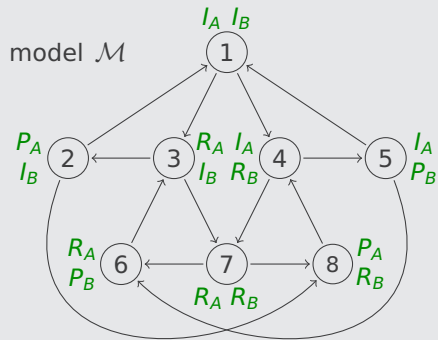
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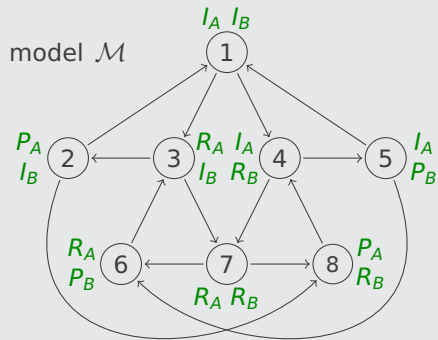
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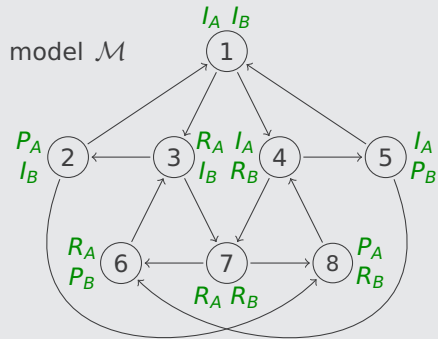
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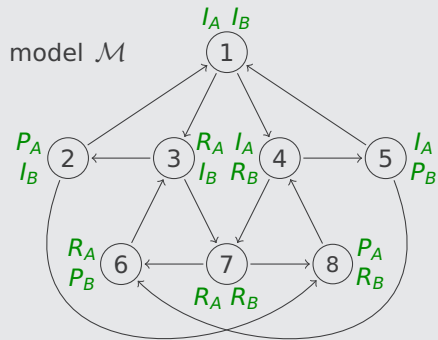
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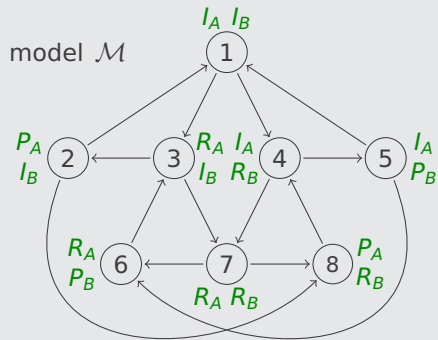
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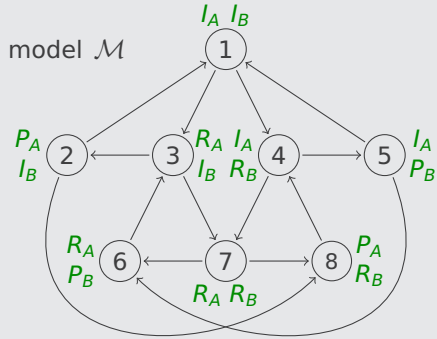
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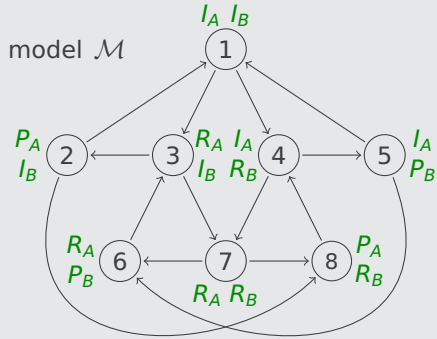
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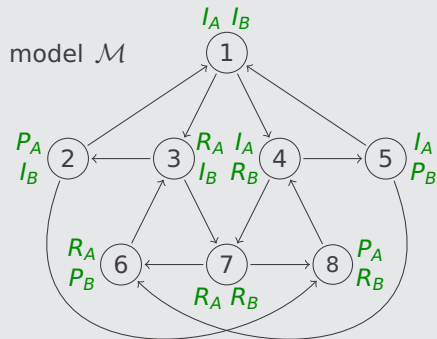
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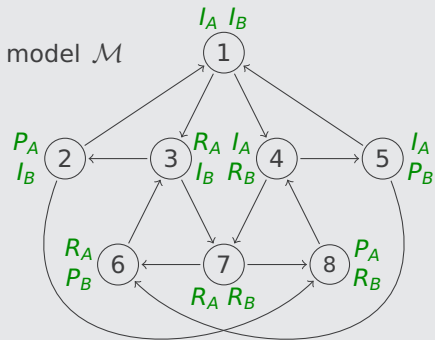
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$\mathcal{M}, s \models \varphi \iff \forall \text{ paths } \pi = s \rightarrow \dots \quad \pi \models \varphi$ "formula φ holds in state s of model $\mathcal{M}$ "

Example



$\mathcal{M}, 1 \not\models G(R_A \rightarrow F P_A)$

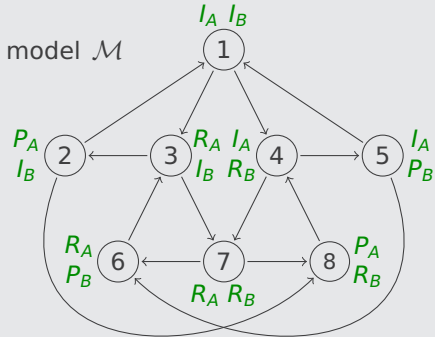
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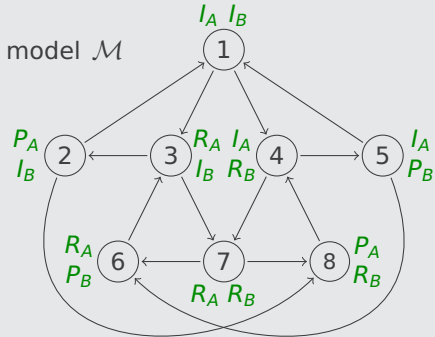
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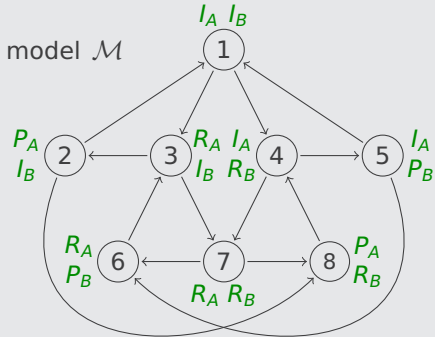
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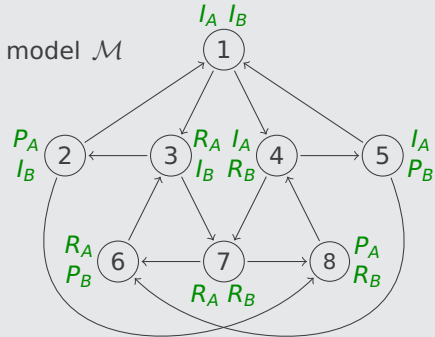
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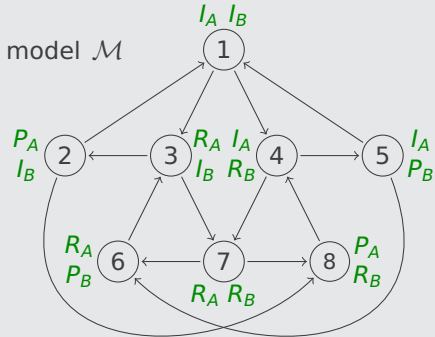
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LTL formulas φ and ψ are **semantically equivalent** ($\varphi \equiv \psi$) if

$\forall$ models $\mathcal{M} = (S, \rightarrow, L)$

$$\pi \models \varphi \iff \pi \models \psi$$

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$$\pi \models \neg(\neg\psi \mathbf{U} (\neg\varphi \wedge \neg\psi)) \iff \pi \not\models \neg\psi \mathbf{U} (\neg\varphi \wedge \neg\psi)$$

Theorem

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$$\begin{aligned} \pi \models \neg(\neg\psi \mathbf{U}(\neg\varphi \wedge \neg\psi)) &\iff \pi \not\models \neg\psi \mathbf{U}(\neg\varphi \wedge \neg\psi) \\ &\iff \text{not } \exists i \geq 1 \ (\pi^i \models \neg\varphi \wedge \neg\psi \text{ and } \forall j < i \ \pi^j \models \neg\psi) \end{aligned}$$

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Proof

- ▶ consider arbitrary path $\pi = s_1 \rightarrow s_2 \rightarrow s_3 \rightarrow \dots$ in arbitrary model $\mathcal{M}$

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Proof

- ▶ consider arbitrary path $\pi = s_1 \rightarrow s_2 \rightarrow s_3 \rightarrow \dots$ in arbitrary model $\mathcal{M}$
- ▶ suppose $\pi \models \varphi \mathbf{U} \psi$

Theorem

$$\varphi \mathbf{U} \psi \equiv \neg(\neg\psi \mathbf{U}(\neg\varphi \wedge \neg\psi)) \wedge \mathbf{F} \psi$$

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Proof

- ▶ consider arbitrary path $\pi = s_1 \rightarrow s_2 \rightarrow s_3 \rightarrow \dots$ in arbitrary model $\mathcal{M}$
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- ▶ consider arbitrary path $\pi = s_1 \rightarrow s_2 \rightarrow s_3 \rightarrow \dots$ in arbitrary model $\mathcal{M}$
- ▶ suppose $\pi \models \varphi \mathbf{U} \psi$ and consider smallest m such that $\pi^m \models \psi$
- ▶ $\pi \models \mathbf{F} \psi$ and $\pi^i \models \varphi$ for all $i < m$

Theorem

$$\varphi \mathbf{U} \psi \equiv \neg(\neg\psi \mathbf{U}(\neg\varphi \wedge \neg\psi)) \wedge \mathbf{F} \psi$$

$$\pi \models \neg(\neg\psi \mathbf{U}(\neg\varphi \wedge \neg\psi)) \iff \forall i \geq 1 (\pi^i \models \varphi \vee \psi \text{ or } \exists j < i \pi^j \models \psi)$$

Proof

- ▶ consider arbitrary path $\pi = s_1 \rightarrow s_2 \rightarrow s_3 \rightarrow \dots$ in arbitrary model $\mathcal{M}$
- ▶ suppose $\pi \models \varphi \mathbf{U} \psi$ and consider smallest m such that $\pi^m \models \psi$
- ▶ $\pi \models \mathbf{F} \psi$ and $\pi^i \models \varphi$ for all $i < m$
- ▶ consider $i \geq 1$

Theorem

$$\varphi \mathbf{U} \psi \equiv \neg(\neg\psi \mathbf{U}(\neg\varphi \wedge \neg\psi)) \wedge \mathbf{F} \psi$$

$$\pi \models \neg(\neg\psi \mathbf{U}(\neg\varphi \wedge \neg\psi)) \iff \forall i \geq 1 \ (\pi^i \models \varphi \vee \psi \text{ or } \exists j < i \ \pi^j \models \psi)$$

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- ▶ consider arbitrary path $\pi = s_1 \rightarrow s_2 \rightarrow s_3 \rightarrow \dots$ in arbitrary model $\mathcal{M}$
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- ▶ consider $i \geq 1$
 - ▶ if $i > m$ then $\exists j < i \ \pi^j \models \psi$

Theorem

$$\varphi \mathbf{U} \psi \equiv \neg(\neg\psi \mathbf{U}(\neg\varphi \wedge \neg\psi)) \wedge \mathbf{F} \psi$$

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$$\varphi \mathbf{U} \psi \equiv \neg(\neg\psi \mathbf{U}(\neg\varphi \wedge \neg\psi)) \wedge \mathbf{F} \psi$$

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- ▶ consider $i \geq 1$
 - ▶ if $i > m$ then $\exists j < i \ \pi^j \models \psi$ and if $i \leq m$ then $\pi^i \models \varphi \vee \psi$
- ▶ $\pi \models \neg(\neg\psi \mathbf{U}(\neg\varphi \wedge \neg\psi))$

Theorem

$$\varphi \mathbf{U} \psi \equiv \neg(\neg\psi \mathbf{U}(\neg\varphi \wedge \neg\psi)) \wedge \mathbf{F}\psi$$

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- ▶ consider arbitrary path $\pi = s_1 \rightarrow s_2 \rightarrow s_3 \rightarrow \dots$ in arbitrary model $\mathcal{M}$
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- ▶ consider arbitrary path $\pi = s_1 \rightarrow s_2 \rightarrow s_3 \rightarrow \dots$ in arbitrary model $\mathcal{M}$
- ▶ suppose $\pi \models \neg(\neg\psi \mathbf{U}(\neg\varphi \wedge \neg\psi)) \wedge \mathbf{F}\psi$
- ▶ there exists smallest m such that $\pi^m \models \psi$

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$$\varphi \mathbf{U} \psi \equiv \neg(\neg\psi \mathbf{U}(\neg\varphi \wedge \neg\psi)) \wedge \mathbf{F}\psi$$

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Proof

- ▶ consider arbitrary path $\pi = s_1 \rightarrow s_2 \rightarrow s_3 \rightarrow \dots$ in arbitrary model $\mathcal{M}$
- ▶ suppose $\pi \models \neg(\neg\psi \mathbf{U}(\neg\varphi \wedge \neg\psi)) \wedge \mathbf{F}\psi$
- ▶ there exists **smallest m such that $\pi^m \models \psi$**
- ▶ if $i < m$ then $\pi^i \models \neg\psi$

Theorem

$$\varphi \mathbf{U} \psi \equiv \neg(\neg\psi \mathbf{U}(\neg\varphi \wedge \neg\psi)) \wedge \mathbf{F} \psi$$

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- ▶ consider arbitrary path $\pi = s_1 \rightarrow s_2 \rightarrow s_3 \rightarrow \dots$ in arbitrary model $\mathcal{M}$
- ▶ suppose $\pi \models \neg(\neg\psi \mathbf{U}(\neg\varphi \wedge \neg\psi)) \wedge \mathbf{F} \psi$
- ▶ there exists smallest m such that $\pi^m \models \psi$
- ▶ if $i < m$ then $\pi^i \models \neg\psi$ and hence $\pi^i \models \varphi$

Theorem

$$\varphi \mathbf{U} \psi \equiv \neg(\neg\psi \mathbf{U}(\neg\varphi \wedge \neg\psi)) \wedge \mathbf{F} \psi$$

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- ▶ consider arbitrary path $\pi = s_1 \rightarrow s_2 \rightarrow s_3 \rightarrow \dots$ in arbitrary model $\mathcal{M}$
- ▶ suppose $\pi \models \neg(\neg\psi \mathbf{U}(\neg\varphi \wedge \neg\psi)) \wedge \mathbf{F} \psi$
- ▶ there exists smallest m such that $\pi^m \models \psi$
- ▶ if $i < m$ then $\pi^i \models \neg\psi$ and hence $\pi^i \models \varphi$
- ▶ $\pi \models \varphi \mathbf{U} \psi$

Outline

1. Summary of Previous Lecture

2. Büchi Automata

3. Intermezzo

4. Model Checking

5. Linear-Time Temporal Logic (LTL)

Syntax

Semantics

Adequacy

6. Further Reading

Theorem

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- ▶ $G\varphi \equiv \neg F\neg\varphi$
- ▶ $\varphi R \psi \equiv \neg(\neg\varphi U \neg\psi)$
- ▶ $\varphi W \psi \equiv \varphi U \psi \vee G\varphi$

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Outline

1. Summary of Previous Lecture
2. Büchi Automata
3. Intermezzo
4. Model Checking
5. Linear-Time Temporal Logic (LTL)
- 6. Further Reading**

- ▶ Sections 11.1 and 13.2 of **Automata Theory: An Algorithmic Approach** (MIT Press 2023)

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Important Concepts

- | | | |
|-------------------------------|------------------------------|-----|
| ▶ adequacy | ▶ GBA | ▶ U |
| ▶ generalized Büchi automaton | ▶ linear-time temporal logic | ▶ W |
| ▶ F | ▶ LTL | ▶ X |
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homework for January 9