

Automata and Logic

Aart Middeldorp and Samuel Frontull

Definition

- ▶ **generalized Büchi automaton (GBA)** is automaton $M = (Q, \Sigma, \Delta, S, \{F_1, \dots, F_k\})$ with $F_1, \dots, F_k \subseteq Q$
- ▶ $\infty(r) = \{q \in Q \mid q \text{ occurs infinitely often in run } r\}$
- ▶ run r of GBA is accepting if $\infty(r) \cap F_i \neq \emptyset$ for all $1 \leq i \leq k$

Remark

NBA $(Q, \Sigma, \Delta, S, F)$ is equivalent to GBA $(Q, \Sigma, \Delta, S, \{F\})$

Lemma

every GBA can be transformed into equivalent NBA

Remark

minimal DBAs are not unique

Outline

1. Summary of Previous Lecture
2. LTL Model Checking
3. Intermezzo
4. LTL Model Checking
5. Further Reading

Definition

LTL (linear-time temporal logic) formulas are built from propositional atoms, logical connectives and temporal connectives X, F, G, U, W, R, according to following grammar:

$$\varphi ::= \perp \mid \top \mid p \mid \neg\varphi \mid \varphi \wedge \varphi \mid \varphi \vee \varphi \mid \varphi \rightarrow \varphi \mid X\varphi \mid F\varphi \mid G\varphi \mid \varphi U\varphi \mid \varphi W\varphi \mid \varphi R\varphi$$

Definitions

- ▶ **transition system (model)** is triple $\mathcal{M} = (S, \rightarrow, L)$ with
 - ① set of states S
 - ② transition relation $\rightarrow \subseteq S \times S$ such that $\forall s \in S \exists t \in S$ with $s \rightarrow t$ ("no deadlock")
 - ③ labeling function $L: S \rightarrow 2^{\text{atoms}}$
- ▶ **path** in model $\mathcal{M}$ is infinite sequence $s_1 \rightarrow s_2 \rightarrow \dots$
- ▶ $\forall$ paths $\pi = s_1 \rightarrow s_2 \rightarrow \dots \forall i \geq 1 \pi^i = s_i \rightarrow s_{i+1} \rightarrow \dots$

Definition

satisfaction of LTL formula φ ($\pi \models \varphi$) with respect to path $\pi = s_1 \rightarrow s_2 \rightarrow \dots$ in model $\mathcal{M} = (S, \rightarrow, L)$ is defined by induction on φ :

$\pi \models \top$	$\pi \not\models \perp$	$\pi \models \varphi \wedge \psi \iff \pi \models \varphi \text{ and } \pi \models \psi$
$\pi \models p$	$\iff p \in L(s_1)$	$\pi \models \varphi \vee \psi \iff \pi \models \varphi \text{ or } \pi \models \psi$
$\pi \models \neg \varphi$	$\iff \pi \not\models \varphi$	$\pi \models \varphi \rightarrow \psi \iff \pi \not\models \varphi \text{ or } \pi \models \psi$
$\pi \models X\varphi$	$\iff \pi^2 \models \varphi$	$\pi \models F\varphi \iff \exists i \geq 1 \pi^i \models \varphi$
	$\pi \models G\varphi \iff \forall i \geq 1 \pi^i \models \varphi$	
$\pi \models \varphi U \psi$	$\iff \exists i \geq 1 \pi^i \models \psi \text{ and } \forall j < i \pi^j \models \varphi$	
$\pi \models \varphi W \psi$	$\iff (\exists i \geq 1 \pi^i \models \psi \text{ and } \forall j < i \pi^j \models \varphi) \text{ or } \forall i \geq 1 \pi^i \models \varphi$	
$\pi \models \varphi R \psi$	$\iff (\exists i \geq 1 \pi^i \models \varphi \text{ and } \forall j \leq i \pi^j \models \psi) \text{ or } \forall i \geq 1 \pi^i \models \psi$	

Automata

- ▶ (deterministic, nondeterministic, alternating) finite automata
- ▶ regular expressions
- ▶ (alternating) **Büchi automata**

Logic

- ▶ (weak) monadic second-order logic
- ▶ Presburger arithmetic
- ▶ **linear-time temporal logic**

Definitions

- ▶ model $\mathcal{M} = (S, \rightarrow, L)$, state $s \in S$, LTL formula φ

$\mathcal{M}, s \models \varphi \iff \forall \text{ paths } \pi = s \rightarrow \dots \pi \models \varphi$ "formula φ holds in state s of $\mathcal{M}$ "

- ▶ LTL formulas φ and ψ are **semantically equivalent** ($\varphi \equiv \psi$) if $\pi \models \varphi \iff \pi \models \psi$ for all models $\mathcal{M} = (S, \rightarrow, L)$ and paths π in $\mathcal{M}$

Theorem

- ▶

$\neg X\varphi \equiv X\neg\varphi$	$\varphi U \psi \equiv \neg(\neg\psi U (\neg\varphi \wedge \neg\psi)) \wedge F\psi$	
$\neg F\varphi \equiv G\neg\varphi$	$F\varphi \equiv \top U \varphi$	$F(\varphi \vee \psi) \equiv F\varphi \vee F\psi$
$\neg G\varphi \equiv F\neg\varphi$	$G\varphi \equiv \perp R \varphi$	$G(\varphi \wedge \psi) \equiv G\varphi \wedge G\psi$
$\neg(\varphi U \psi) \equiv \neg\varphi R \neg\psi$	$\varphi W \psi \equiv \psi R (\varphi \vee \psi)$	$\varphi U \psi \equiv \varphi W \psi \wedge F\psi$
$\neg(\varphi R \psi) \equiv \neg\varphi U \neg\psi$	$\varphi R \psi \equiv \psi W (\varphi \wedge \psi)$	$\varphi W \psi \equiv \varphi U \psi \vee G\varphi$
- ▶ **$\{X, U\}$, $\{X, W\}$ and $\{X, R\}$** are **adequate** sets of temporal connectives for LTL

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Basic Strategy

$\mathcal{M}, s \models \varphi$?

- ① construct **GBA** $A_{\neg\varphi}$ for $\neg\varphi$
- ② combine $A_{\neg\varphi}$ and $\mathcal{M}, s$ into single automaton $A_{\neg\varphi} \times A_{\mathcal{M}, s}$
- ③ test emptiness of $L(A_{\neg\varphi} \times A_{\mathcal{M}, s})$

Notation

AP is (finite) set of propositional atoms used in $\mathcal{M}$ and φ

Definition

trace is infinite string over alphabet 2^{AP}

Plan

GBA $A_{\neg\varphi}$ accepts all traces that violate φ

formula φ in LTL fragment with U and X as only temporal operators

Definitions

- ▶ $L(\varphi) = \{x \in (2^{\text{AP}})^\omega \mid x \models \varphi\}$
- ▶ $x \models \varphi$ is defined inductively ($x = x_0 x_1 x_2 \dots$)

$x \models \top$

$x \models p \iff p \in x_0$

$x \models \neg\varphi \iff x \not\models \varphi$

$x \models \varphi \wedge \psi \iff x \models \varphi \text{ and } x \models \psi$

$x \models X\varphi \iff x_1 x_2 \dots \models \varphi$

$x \models \varphi U \psi \iff \exists i \geq 0 \ (x_i x_{i+1} \dots \models \psi \text{ and } \forall j < i \ x_j x_{j+1} \dots \models \varphi)$

Plan

GBA A_φ will accept $L(\varphi)$

Basic Idea

- ▶ given infinite string $x = x_0 x_1 x_2 \dots \in L(\varphi)$
- expand $x_i \subseteq \text{AP}$ by subformulas ψ of φ to obtain infinite string $y = y_0 y_1 y_2 \dots$ such that

$$\psi \in y_i \iff x_i x_{i+1} x_{i+2} \dots \models \psi$$

negations of subformulas are also considered

- ▶ $y_0, y_1, \dots$ are states in A_φ
- ▶ transition relation and acceptance conditions of A_φ ensure that y is accepting run for x

Example

$\varphi = a U (\neg a \wedge b)$ and $x = \{a\}\{a, b\}\{b\} \dots$

$$y_0 = \{a, \neg b, \neg(\neg a \wedge b), \varphi\} \quad y_1 = \{a, b, \neg(\neg a \wedge b), \varphi\} \quad y_2 = \{\neg a, b, \neg a \wedge b, \varphi\}$$

Definition

closure $\mathcal{C}(\varphi)$ of φ consists of all subformulas of φ and their negations, identifying $\neg\neg\psi$ and ψ

Example

$\mathcal{C}(a U (\neg a \wedge b)) = \{a, b, \neg a, \neg b, \neg a \wedge b, \neg(\neg a \wedge b), a U (\neg a \wedge b), \neg(a U (\neg a \wedge b))\}$

- ▶ $\{a, b, \neg a \wedge b, a U (\neg a \wedge b)\}$ not elementary
- ▶ $\{a, b, a U (\neg a \wedge b)\}$ not elementary
- ▶ $\{a, b, \neg(\neg a \wedge b), a U (\neg a \wedge b)\}$ elementary
- ▶ $\{\neg a, \neg b, \neg(\neg a \wedge b), a U (\neg a \wedge b)\}$ not elementary
- ▶ $\{a, b, \neg(\neg a \wedge b), \neg(a U (\neg a \wedge b))\}$ elementary
- ▶ $\{a, \neg b, \neg(\neg a \wedge b), \neg(a U (\neg a \wedge b))\}$ elementary

Definition

set $B \subseteq \mathcal{C}(\varphi)$ is **elementary** if it is

① **consistent with respect to propositional logic**: for all $\varphi_1 \wedge \varphi_2 \in \mathcal{C}(\varphi)$ and $\psi \in \mathcal{C}(\varphi)$

▶ $\varphi_1 \wedge \varphi_2 \in B \iff \varphi_1 \in B \text{ and } \varphi_2 \in B$

▶ $\psi \in B \implies \neg\psi \notin B$

▶ $\top \in \mathcal{C}(\varphi) \implies \top \in B$

② **locally consistent with respect to U**: for all $\varphi_1 \mathbf{U} \varphi_2 \in \mathcal{C}(\varphi)$

▶ $\varphi_2 \in B \implies \varphi_1 \mathbf{U} \varphi_2 \in B$

▶ $\varphi_1 \mathbf{U} \varphi_2 \in B \text{ and } \varphi_2 \notin B \implies \varphi_1 \in B$

③ **maximal**: for all $\psi \in \mathcal{C}(\varphi)$

▶ $\psi \notin B \implies \neg\psi \in B$

Lemma

$$\varphi \mathbf{U} \psi \equiv \psi \vee (\varphi \wedge X(\varphi \mathbf{U} \psi))$$

Definition

GBA $A_\varphi = (Q, 2^{\text{AP}}, \Delta, S, F)$ for LTL formula φ with atoms in AP:

▶ $Q = \{B \subseteq \mathcal{C}(\varphi) \mid B \text{ is elementary}\}$

▶ $S = \{B \in Q \mid \varphi \in B\}$

▶ $\Delta(B, A) = \begin{cases} \emptyset & \text{if } A \neq B \cap \text{AP} \\ \{C \mid C \in Q \text{ and } \dots\} & \text{if } A = B \cap \text{AP} \end{cases} \quad \text{with}$

① for all $X\psi \in \mathcal{C}(\varphi) \quad X\psi \in B \iff \psi \in C$

② for all $\varphi_1 \mathbf{U} \varphi_2 \in \mathcal{C}(\varphi) \quad \varphi_1 \mathbf{U} \varphi_2 \in B \iff \varphi_2 \in B \text{ or both } \varphi_1 \in B \text{ and } \varphi_1 \mathbf{U} \varphi_2 \in C$

▶ $F = \{\{B \in Q \mid \varphi_1 \mathbf{U} \varphi_2 \notin B \text{ or } \varphi_2 \in B\} \mid \varphi_1 \mathbf{U} \varphi_2 \in \mathcal{C}(\varphi)\}$

Example

$\varphi = Xa$

▶ $\mathcal{C}(\varphi) = \{a, \neg a, Xa, \neg Xa\}$

▶ states ① $\{a, Xa\}$ ② $\{a, \neg Xa\}$ ③ $\{\neg a, Xa\}$ ④ $\{\neg a, \neg Xa\}$

▶ start states ① ③

▶ transitions

	①	②	③	④
①	✓	✓		
②			✓	✓
③	✓	✓		
④			✓	✓

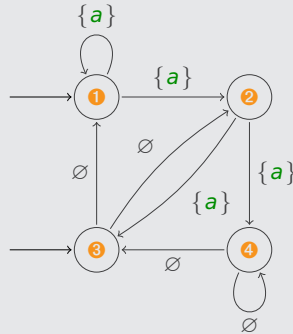
$\{a\}$

$\{a\}$

$\emptyset$

$\emptyset$

▶ acceptance condition $\emptyset \implies$ all runs are accepting



Example

$\varphi = a \mathbf{U} b$

▶ $\mathcal{C}(\varphi) = \{a, \neg a, b, \neg b, a \mathbf{U} b, \neg(a \mathbf{U} b)\}$

▶ states ① $\{a, b, \varphi\}$ ② $\{\neg a, b, \varphi\}$ ③ $\{a, \neg b, \varphi\}$ ④ $\{a, \neg b, \neg\varphi\}$ ⑤ $\{\neg a, \neg b, \neg\varphi\}$

▶ start states ① ② ③

▶ transitions

	①	②	③	④	⑤
①	✓	✓	✓	✓	✓
②	✓	✓	✓	✓	✓
③	✓	✓	✓		
④				✓	✓
⑤	✓	✓	✓	✓	✓

$\{a, b\}$

$\{b\}$

$\{a\}$

$\{a\}$

$\emptyset$

▶ acceptance condition $\{\{1, 2, 4, 5\}\} \implies$ runs cycling in state ③ are not accepting

▶ $\{a\}^\omega \notin L(A_\varphi)$ and $\{b\} \emptyset \{a\}^\omega \in L(A_\varphi)$

Theorem

$$L(\varphi) \subseteq L(A_\varphi)$$

Proof

- ▶ GBA $A_\varphi = (Q, 2^{\text{AP}}, \Delta, S, F)$
- ▶ let $x = x_0 x_1 x_2 \dots \in L(\varphi)$ and define $y_i = \{\psi \in \mathcal{C}(\varphi) \mid x_i x_{i+1} \dots \models \psi\}$ for all $i \geq 0$
- ▶ $y_0, y_1, y_2, \dots \in Q$ and $y_0 y_1 y_2 \dots$ is accepting **run** for x
 $y_{i+1} \in \Delta(y_i, x_i)$: $x_i = y_i \cap \text{AP}$ and for all $X \psi \in \mathcal{C}(\varphi)$

$$X \psi \in y_i \iff x_i x_{i+1} \dots \models X \psi \iff x_{i+1} \dots \models \psi \iff \psi \in y_{i+1}$$
and for all $\varphi_1 \cup \varphi_2 \in \mathcal{C}(\varphi)$

$$\begin{aligned} \varphi_1 \cup \varphi_2 \in y_i &\iff x_i x_{i+1} \dots \models \varphi_1 \cup \varphi_2 \\ &\iff x_i x_{i+1} \dots \models \varphi_2 \text{ or } (x_i x_{i+1} \dots \models \varphi_1 \text{ and } x_{i+1} \dots \models \varphi_1 \cup \varphi_2) \\ &\iff \varphi_2 \in y_i \text{ or } (\varphi_1 \in y_i \text{ and } \varphi_1 \cup \varphi_2 \in y_{i+1}) \end{aligned}$$

Theorem

$$L(\varphi) \subseteq L(A_\varphi)$$

Proof (cont'd)

- ▶ GBA $A_\varphi = (Q, 2^{\text{AP}}, \Delta, S, F)$
- ▶ let $x = x_0 x_1 x_2 \dots \in L(\varphi)$ and define $y_i = \{\psi \in \mathcal{C}(\varphi) \mid x_i x_{i+1} \dots \models \psi\}$ for all $i \geq 0$
- ▶ $y_0, y_1, y_2, \dots \in Q$ and $y_0 y_1 y_2 \dots$ is **accepting** run for x
let $\varphi_1 \cup \varphi_2 \in \mathcal{C}(\varphi)$ and suppose $y_i \notin \{B \in Q \mid \varphi_1 \cup \varphi_2 \notin B \text{ or } \varphi_2 \in B\}$ for some i

$$\begin{aligned} \varphi_1 \cup \varphi_2 \in y_i \text{ and } \varphi_2 \notin y_i &\implies x_i x_{i+1} \dots \models \varphi_1 \cup \varphi_2 \text{ and } x_i x_{i+1} \dots \not\models \varphi_2 \\ &\implies x_k x_{k+1} \dots \models \varphi_2 \text{ for some } k > i \\ &\implies \varphi_2 \in y_k \text{ for some } k > i \\ &\implies y_k \in \{B \in Q \mid \varphi_1 \cup \varphi_2 \notin B \text{ or } \varphi_2 \in B\} \text{ for some } k > i \end{aligned}$$
 $y_k \in \{B \in Q \mid \varphi_1 \cup \varphi_2 \notin B \text{ or } \varphi_2 \in B\}$ for infinitely many k

Theorem

$$L(A_\varphi) \subseteq L(\varphi)$$

Proof

- ▶ GBA $A_\varphi = (Q, 2^{\text{AP}}, \Delta, S, F)$
- ▶ let $x = x_0 x_1 x_2 \dots \in L(A_\varphi)$ with accepting run $y = y_0 y_1 y_2 \dots$
- ▶ $\Delta(B, A) = \emptyset$ if $A \neq B \cap \text{AP} \implies x = (y_0 \cap \text{AP})(y_1 \cap \text{AP})(y_2 \cap \text{AP}) \dots$
- ▶ claim: $x \models \varphi$
more general claim: if y is "accepting run" (without requiring y_0 is start state) for x then
$$\psi \in y_0 \iff x \models \psi$$
for all $\psi \in \mathcal{C}(\varphi)$
- ▶ y_0 is start state $\implies \varphi \in y_0 \implies x \models \varphi$

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Question

Which of the following statements hold for $\varphi = (a \wedge Xb) \cup \neg a$?

- A** $\neg Xa \in C(\varphi)$
- B** $\{b, a \wedge Xb, \varphi\}$ is locally consistent with respect to U
- C** $\{\neg a, b, \neg Xb, \neg(a \wedge Xb), \varphi\} \xrightarrow{\{b\}} \{a, \neg b, Xb, a \wedge Xb, \neg\varphi\}$ in A_φ
- D** $\{\neg a, b, \neg Xb, \neg(a \wedge Xb), \varphi\} \xleftarrow{\emptyset} \{a, b, Xb, a \wedge Xb, \neg\varphi\}$ in A_φ



Remark

bit vectors can be used to represent 2^{AP} : if $AP = \{a, b, c\}$ then

$$\{a\} \emptyset \{a, c\} \{b, c\} \dots = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix} \dots$$

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Basic Strategy

$\mathcal{M}, s \models \varphi$?

- ① construct GBA $A_{\neg\varphi}$ for $\neg\varphi$
- ② combine $A_{\neg\varphi}$ and $\mathcal{M}, s$ into single automaton $A_{\neg\varphi} \times A_{\mathcal{M}, s}$
- ③ test emptiness of $L(A_{\neg\varphi} \times A_{\mathcal{M}, s})$

Remark

model $\mathcal{M}$ is not GBA

Definition

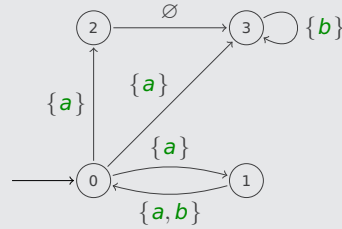
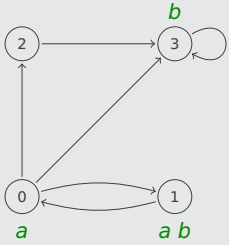
GBA $A_{\mathcal{M}, s} = (S, 2^{AP}, \Delta, \{s\}, \emptyset)$ for model $\mathcal{M} = (S, \rightarrow, L)$ and state $s \in S$

► $\Delta(p, A) = \{q \mid L(p) = A \text{ and } p \rightarrow q\}$

Example

model $\mathcal{M}$

GBA $A_{\mathcal{M},0}$



Intersection of GBAs

► $A = L(M_1)$ for GBA $M_1 = (Q_1, \Sigma, \Delta_1, S_1, F_1)$ and $B = L(M_2)$ for GBA $M_2 = (Q_2, \Sigma, \Delta_2, S_2, F_2)$

► $A \cap B = L(M)$ for GBA $M = (Q, \Sigma, \Delta, S, F)$ with

- ① $Q = Q_1 \times Q_2$
- ② $S = S_1 \times S_2$
- ③ $\Delta((p, q), a) = \{(p', q') \mid p' \in \Delta_1(p, a) \text{ and } q' \in \Delta_2(q, a)\}$
- ④ $F = \{X \times Q_2 \mid X \in F_1\} \cup \{Q_1 \times Y \mid Y \in F_2\}$

Theorem

problem

instance: GBA M

question: $L(M) = \emptyset$?

is decidable

Proof

- ① consider transition graph of GBA $M = (Q, \Sigma, \Delta, S, \{F_1, \dots, F_k\})$
- ② eliminate unreachable states
- ③ compute non-trivial strongly connected components (SCCs) $C_1, \dots, C_n$
- ④ return **no** (**yes**) if there exists (no) SCC C_i such that $C_i \cap F_j \neq \emptyset$ for all $1 \leq j \leq k$

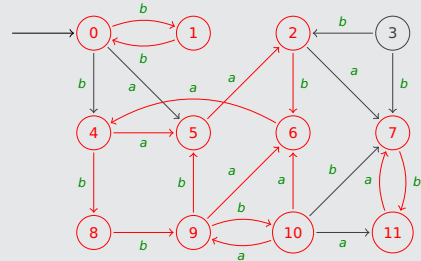
Example

► GBA M with acceptance sets
 $\{0, 9\}$, $\{0, 3, 10\}$, $\{5, 10, 11\}$

► three SCCs:

- ① $C_1 = \{0, 1\}$
- ② $C_2 = \{2, 4, 5, 6, 8, 9, 10\}$
- ③ $C_3 = \{7, 11\}$

► $L(M) \neq \emptyset$



Basic Strategy

$\mathcal{M}, s \models \varphi$?

- ① construct GBA $A_{\neg\varphi}$ for $\neg\varphi$
- ② combine $A_{\neg\varphi}$ and $\mathcal{M}, s$ into single automaton $A_{\neg\varphi} \times A_{\mathcal{M},s}$
- ③ **test emptiness of $L(A_{\neg\varphi} \times A_{\mathcal{M},s})$**

Theorem

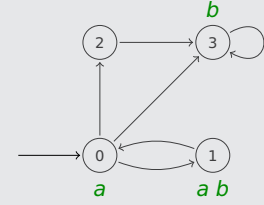
$\mathcal{M}, s \models \varphi \iff L(A_{\neg\varphi} \times A_{\mathcal{M},s}) = \emptyset$

Example

GBA $A_{\neg\varphi}$ for $\varphi = a \cup b$

model $\mathcal{M}$

	1	2	3	4	5
$\{a, b, \varphi\}$ 1	✓	✓	✓	✓	✓
$\{\neg a, b, \varphi\}$ 2	✓	✓	✓	✓	✓
$\{a, \neg b, \varphi\}$ 3	✓	✓	✓		
$\rightarrow \{a, \neg b, \neg\varphi\}$ 4				✓	✓
$\rightarrow \{\neg a, \neg b, \neg\varphi\}$ 5	✓	✓	✓	✓	✓



acceptance condition $\{\{1, 2, 4, 5\}\}$

▶ product automaton $A_{\neg\varphi} \times A_{\mathcal{M},0}$

$\rightarrow 4 0$	{		5 2	}	5 2	{	2 3	}
$\rightarrow 5 0$	∅				2 3	{	2 3	}

▶ accepting run $4 0 \xrightarrow{\{a\}} 5 2 \xrightarrow{\emptyset} 2 3 \xrightarrow{\{b\}} 2 3 \xrightarrow{\{b\}} \dots \Rightarrow \mathcal{M}, 0 \not\models \varphi$

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Baier and Katoen

▶ Section 5.2 of [Principles of Model Checking](#) (MIT Press 2008)

Important Concepts

- ▶ $A_{\mathcal{M},s}$
- ▶ A_{φ}
- ▶ elementary set

homework for January 16

next lecture (January 19): online evaluation in presence $\Rightarrow$ bring device