



Automata and Logic

Aart Middeldorp and Samuel Frontull

Outline

- 1. Summary of Previous Lecture**
- 2. Alternation – Finite Automata**
- 3. Intermezzo**
- 4. Alternation – Büchi Automata**
- 5. LTL Model Checking**
- 6. Further Reading**
- 7. Exam**

Basic Strategy

$\mathcal{M}, s \models \varphi$?

- ▶ construct **GBA** $A_{\neg\varphi}$ for $\neg\varphi$
- ▶ combine $A_{\neg\varphi}$ and $\mathcal{M}, s$ into single automaton $A_{\neg\varphi} \times A_{\mathcal{M}, s}$
- ▶ test emptiness of $L(A_{\neg\varphi} \times A_{\mathcal{M}, s})$

Notation

AP is (finite) set of propositional atoms used in $\mathcal{M}$ and φ

Definition

trace is infinite string over alphabet 2^{AP}

formula φ in LTL fragment with U and X as only temporal operators

Definitions

► $L(\varphi) = \{x \in (2^{\text{AP}})^\omega \mid x \models \varphi\}$

► $x \models \varphi$ is defined inductively ($x = x_0 x_1 x_2 \dots$)

$$x \models \top$$

$$x \models p \iff p \in x_0$$

$$x \models \neg \varphi \iff x \not\models \varphi$$

$$x \models \varphi \wedge \psi \iff x \models \varphi \text{ and } x \models \psi$$

$$x \models X\varphi \iff x_1 x_2 \dots \models \varphi$$

$$x \models \varphi \text{ U } \psi \iff \exists i \geq 0 \ (x_i x_{i+1} \dots \models \psi \text{ and } \forall j < i \ x_j x_{j+1} \dots \models \varphi)$$

Definition

closure $\mathcal{C}(\varphi)$ of φ consists of all subformulas of φ and their negations, identifying $\neg\neg\psi$ and ψ

Definitions

► set $B \subseteq \mathcal{C}(\varphi)$ is **elementary** if it is

① **consistent with respect to propositional logic**: for all $\varphi_1 \wedge \varphi_2 \in \mathcal{C}(\varphi)$ and $\psi \in \mathcal{C}(\varphi)$

$$\text{► } \varphi_1 \wedge \varphi_2 \in B \iff \varphi_1 \in B \text{ and } \varphi_2 \in B$$

$$\text{► } \psi \in B \implies \neg\psi \notin B$$

$$\text{► } \top \in \mathcal{C}(\varphi) \implies \top \in B$$

② **locally consistent with respect to U**: for all $\varphi_1 \mathbf{U} \varphi_2 \in \mathcal{C}(\varphi)$

$$\text{► } \varphi_2 \in B \implies \varphi_1 \mathbf{U} \varphi_2 \in B$$

$$\text{► } \varphi_1 \mathbf{U} \varphi_2 \in B \text{ and } \varphi_2 \notin B \implies \varphi_1 \in B$$

③ **maximal**: for all $\psi \in \mathcal{C}(\varphi)$

$$\text{► } \psi \notin B \implies \neg\psi \in B$$

Lemma

$$\varphi \mathbf{U} \psi \equiv \psi \vee (\varphi \wedge \mathbf{X}(\varphi \mathbf{U} \psi))$$

Definition

GBA $A_\varphi = (Q, 2^{\text{AP}}, \Delta, S, F)$ for LTL formula φ with atoms in AP:

► $Q = \{B \subseteq \mathcal{C}(\varphi) \mid B \text{ is elementary}\}$

► $S = \{B \in Q \mid \varphi \in B\}$

► $\Delta(B, A) = \begin{cases} \emptyset & \text{if } A \neq B \cap \text{AP} \\ \{C \mid C \in Q \text{ and } \dots\} & \text{if } A = B \cap \text{AP} \end{cases}$ with

① for all $X\psi \in \mathcal{C}(\varphi)$ $X\psi \in B \iff \psi \in C$

② for all $\varphi_1 \mathbf{U} \varphi_2 \in \mathcal{C}(\varphi)$ $\varphi_1 \mathbf{U} \varphi_2 \in B \iff \varphi_2 \in B \text{ or both } \varphi_1 \in B \text{ and } \varphi_1 \mathbf{U} \varphi_2 \in C$

► $F = \{\{B \in Q \mid \varphi_1 \mathbf{U} \varphi_2 \notin B \text{ or } \varphi_2 \in B\} \mid \varphi_1 \mathbf{U} \varphi_2 \in \mathcal{C}(\varphi)\}$

Theorem

$$L(A_\varphi) = L(\varphi)$$

Definition

GBA $A_{\mathcal{M},s} = (S, 2^{\text{AP}}, \Delta, \{s\}, \emptyset)$ for model $\mathcal{M} = (S, \rightarrow, L)$ and state $s \in S$

► $\Delta(p, A) = \{q \mid L(p) = A \text{ and } p \rightarrow q\}$

Lemma

GBAs are effectively closed under intersection

Theorem

emptiness problem for GBAs is decidable

Theorem

$$\mathcal{M}, s \models \varphi \iff L(A_{\neg\varphi} \times A_{\mathcal{M},s}) = \emptyset$$

Automata

- ▶ (deterministic, nondeterministic, **alternating**) **finite automata**
- ▶ regular expressions
- ▶ (**alternating**) **Büchi automata**

Logic

- ▶ (weak) monadic second-order logic
- ▶ Presburger arithmetic
- ▶ **linear-time temporal logic**

Online Evaluation in Presence

`https://lv-analyse.uibk.ac.at/evasys/public/online/index`



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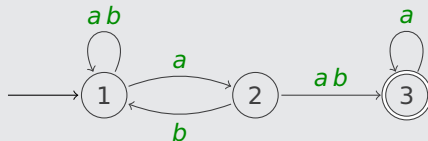
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Example

NFA $M = (Q, \Sigma, \Delta, S, F)$



1 $Q = \{1, 2, 3\}$

2 $\Sigma = \{a, b\}$

3 $\Delta: Q \times \Sigma \rightarrow 2^Q$

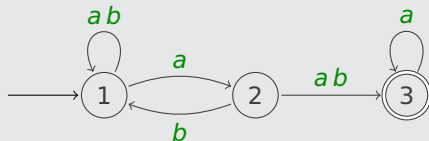
4 $S = \{1\}$

5 $F = \{3\}$

Δ	a	b
1	$\{1, 2\}$	$\{1\}$
2	$\{3\}$	$\{1, 3\}$
3	$\{3\}$	$\emptyset$

Example

AFA $M = (Q, \Sigma, \Delta, s, F)$ alternating finite automaton



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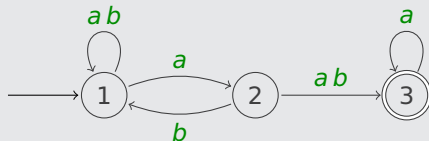
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- ▶ **alternating finite automaton (AFA)** is quintuple $M = (Q, \Sigma, \Delta, s, F)$ with
 - ① Q : finite set of states
 - ② Σ : input alphabet
 - ③ $\Delta: Q \times \Sigma \rightarrow \mathbb{B}^+(Q)$: transition function
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$$\{p, q\} \models \varphi$$

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- ▶ if $\Delta(\bar{v}, a_{i+1}) = \top$ then v need not have children
- ▶ runs cannot use transitions $\Delta(\bar{v}, a) = \perp$

Example

AFA $M = (\{0, 1, 2\}, \{a, b\}, \Delta, 0, \{2\})$ with

Δ	a	b
0	$(0 \vee 1) \wedge 2$	1
1	$\perp$	2
2	$\top$	$0 \vee 1$

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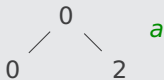
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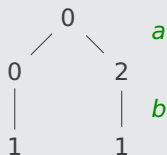


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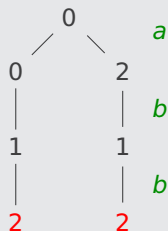


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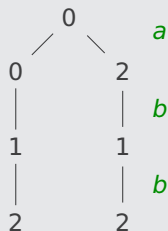


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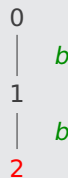
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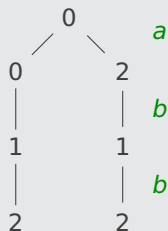


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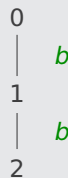
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- ▶ $A \subseteq L(M')$: $x = a_1 \cdots a_n \in A \implies \exists$ accepting run $q_0, \dots, q_n$ of $M \implies q_0, \dots, q_n$, viewed as tree, is accepting run of M'

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Definition

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Proof

homework exercise

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1. Summary of Previous Lecture
2. Alternation – Finite Automata
- 3. Intermezzo**
4. Alternation – Büchi Automata
5. LTL Model Checking
6. Further Reading
7. Exam

Question

Which of the following statements holds for the AFA $M = (\{0, 1, 2\}, \{a, b\}, \Delta, 0, \{0, 2\})$?

Δ	a	b
0	0	$1 \wedge 2$
1	$1 \vee 2$	0
2	2	$\perp$

- A** $abba \in L(\bar{M})$
- B** $L(M) = \{x \mid x \text{ contains at most one } b\}$
- C** if Δ is redefined such that $\Delta(2, b) = 2$ then $L(M) = \Sigma^*$
- D** $L(M) = \{a\}^* \cup \{x \mid \text{every } b \text{ in } x \text{ is eventually followed by an } a\}$



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Definition

► **alternating Büchi automaton (ABA)** is quintuple $M = (Q, \Sigma, \Delta, s, F)$ with

- ① Q : finite set of states
- ② Σ : input alphabet
- ③ $\Delta: Q \times \Sigma \rightarrow \mathbb{B}^+(Q)$: transition function
- ④ $s \in Q$: start state
- ⑤ $F \subseteq Q$: final (accept) states

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- ▶ $L(M) = \{x \in \Sigma^\omega \mid x \text{ has accepting run}\}$

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Theorem

ABAs are effectively closed under complement, intersection and union

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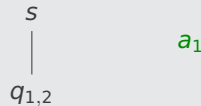
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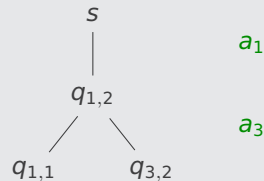
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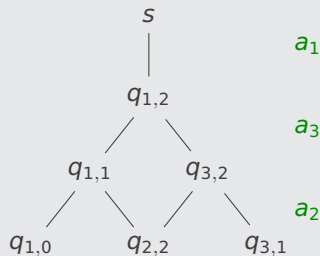
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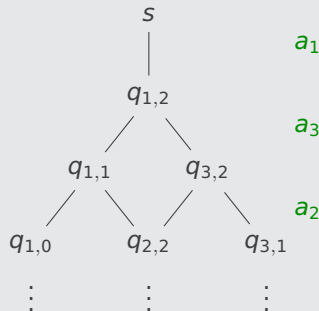
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Outline

1. Summary of Previous Lecture
2. Alternation – Finite Automata
3. Intermezzo
4. Alternation – Büchi Automata
- 5. LTL Model Checking**
6. Further Reading
7. Exam

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LTL formula φ in negation normal form with atoms in AP

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$$\Delta(\varphi_1 \text{ U } \varphi_2, A) = \Delta(\varphi_2, A) \vee (\Delta(\varphi_1, A) \wedge \varphi_1 \text{ U } \varphi_2)$$

Definitions

- ▶ (positive) closure $\mathcal{C}_+(\varphi)$ of φ consists of all subformulas of φ
- ▶ ABA $A_\varphi = (\mathcal{C}_+(\varphi), 2^{\text{AP}}, \Delta, \varphi, F)$ with $F = \{\psi \in \mathcal{C}_+(\varphi) \mid \psi = \varphi_1 \text{ R } \varphi_2\}$ and

$$\Delta(p, A) = \begin{cases} \top & \text{if } p \in A \\ \perp & \text{if } p \notin A \end{cases} \quad \Delta(\neg p, A) = \begin{cases} \top & \text{if } p \notin A \\ \perp & \text{if } p \in A \end{cases}$$

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Theorem

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Proof Sketch

induction on φ

Example 1

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Δ	$\emptyset$	$\{a\}$	$\{b\}$	$\{a, b\}$
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b	$\perp$	$\perp$	$\top$	$\top$
$X a$	a	a	a	a

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Xa	a	a	a	a
$(Xa) \cup b$	$a \wedge ((Xa) \cup b)$	$a \wedge ((Xa) \cup b)$	$\top$	$\top$

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remove inaccessible states

Δ	$\emptyset$	$\{a\}$	$\{b\}$	$\{a, b\}$
a	$\perp$	$\top$	$\perp$	$\top$
$(Xa) \cup b$	$a \wedge ((Xa) \cup b)$	$a \wedge ((Xa) \cup b)$	$\top$	$\top$
$a \wedge ((Xa) \cup b)$	$\perp$	$a \wedge ((Xa) \cup b)$	$\perp$	$\top$

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Δ	$\emptyset$	$\{a\}$	$\{b\}$	$\{a, b\}$
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Example ②

$$\varphi = GF a$$

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$$\varphi = GFa \equiv \perp R (\top U a)$$

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►

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$\perp$	$\perp$	$\perp$
$\top$	$\top$	$\top$
a	$\top$	$\perp$

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Δ	$\{a\}$	$\emptyset$
$\perp$	$\perp$	$\perp$
$\top$	$\top$	$\top$
a	$\top$	$\perp$
Fa	$\top$	Fa

Example 2

$$\varphi = GFa \equiv \perp R (\top U a)$$

$L(\varphi)$ is accepted by ABA $M = (Q, \Sigma, \Delta, s, F)$ with

► $\Sigma = \{\emptyset, \{a\}\}$

► $Q = \{GFa, Fa, a, \perp, \top\}$

► $s = GFa$

► $F = \{GFa\}$

►

Δ	$\{a\}$	$\emptyset$
$\perp$	$\perp$	$\perp$
$\top$	$\top$	$\top$
a	$\top$	$\perp$
Fa	$\top$	Fa
GFa	GFa	$Fa \wedge GFa$

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$L(\varphi)$ is accepted by ABA $M = (Q, \Sigma, \Delta, s, F)$ with

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► $Q = \{GFa, Fa\}$

► $s = GFa$

► $F = \{GFa\}$

►

Δ	$\{a\}$	$\emptyset$
Fa	$\top$	Fa
GFa	GFa	$Fa \wedge GFa$

Remark

not every ω -regular subset of $(2^{AP})^\omega$ is expressible in LTL

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is accepted by NBA but $L = L(\varphi)$ for no LTL formula φ

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Model Checking Tools

- ▶ NuSMV
- ▶ Spin

Outline

1. Summary of Previous Lecture
2. Alternation – Finite Automata
3. Intermezzo
4. Alternation – Büchi Automata
5. LTL Model Checking
- 6. Further Reading**
7. Exam

- ▶ Sections 5 and 6 of **Automata Theory and Model Checking**
(Handbook of Model Checking 2018)

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Important Concepts

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- ▶ AFA
- ▶ alternating Büchi automaton
- ▶ alternating finite automaton
- ▶ $\mathbb{B}^+(Q)$
- ▶ $\mathcal{C}_+(\varphi)$
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homework for January 23

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Possible Topics

- ▶ DFA/NFA, WMSO, Presburger arithmetic, NBA, GBA, LTL model checking, AFA
- ▶ multiple-choice question