



# Introduction to Term Rewriting

## lecture 3

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## Sunday

introduction, examples, abstract rewriting, **equational reasoning**, **term rewriting**

## Monday

termination, completion

## Tuesday

completion, termination

## Wednesday

confluence, modularity, strategies

## Thursday

exam, advanced topics

# Outline

- Equational Reasoning
- Algebras
- Term Rewriting
- Further Reading



## Definition

**equational system (ES)** is pair  $(\mathcal{F}, \mathcal{E})$  consisting of

- $\mathcal{F}$  signature
- $\mathcal{E}$  set of equations between terms in  $\mathcal{T}(\mathcal{F}, \mathcal{V})$



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- $\mathcal{F}$       signature
- $\mathcal{E}$       set of equations between terms in  $\mathcal{T}(\mathcal{F}, \mathcal{V})$

## Example

ES  $(\mathcal{F}, \mathcal{E})$  with signature  $\mathcal{F}$

0 (constant)      s (unary)      + (binary, infix)

and equations  $\mathcal{E}$

$$0 + y \approx y$$

$$s(x) + y \approx s(x + y)$$

## Inference Rules

[r] reflexivity

$$\frac{}{t \approx t}$$

$\forall t$

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[t] **transitivity**

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[a] application

$$\frac{}{l\sigma \approx r\sigma}$$

 $\forall l \approx r \in \mathcal{E} \forall \sigma$

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$$\frac{s_1 \approx t_1, \dots, s_n \approx t_n}{f(s_1, \dots, s_n) \approx f(t_1, \dots, t_n)}$$

 $\forall n\text{-ary } f$

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$\mathcal{E} \vdash s \approx t$  ( $s \approx_{\mathcal{E}} t$ ) if equation  $s \approx t$  is derivable

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$$\begin{array}{c}
 \frac{\frac{[a] \quad \frac{}{s(0) + s(0) \approx s(0 + s(0))} \quad \frac{0 + s(0) \approx s(0)}{s(0 + s(0)) \approx s(s(0))} [a]}{s(0) + s(0) \approx s(s(0))} [c] \quad [c]}{s(s(0) + s(0)) \approx s(s(s(0)))} [c] \\
 [t]
 \end{array}$$

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two  $\{0, s, +\}$ -algebras

- $\mathcal{A} = (\mathbb{N}, \{0_{\mathcal{A}}, s_{\mathcal{A}}, +_{\mathcal{A}}\})$  with  $0_{\mathcal{A}} = 0$ ,  $s_{\mathcal{A}}(x) = x + 1$ ,  $+_{\mathcal{A}}(x, y) = x + y$

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interpretation function  $[\cdot]_{\mathcal{A}}: \mathcal{T}(\mathcal{F}) \rightarrow A$

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$$[s(s(0)) + s(0 + s(0))]_{\mathcal{B}} = 11$$

## Definitions

- **assignment**

$$\alpha: \mathcal{V} \rightarrow A \quad (\alpha \in A^{\mathcal{V}})$$



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$$[\alpha]_{\mathcal{B}}(t) = 15$$

$$[\beta]_{\mathcal{B}}(t) = 12$$

## Definitions

- equation  $s \approx t$  is **valid** in algebra  $\mathcal{A}$  ( $\mathcal{A} \models s \approx t$ ,  $s =_{\mathcal{A}} t$ ) if

$$[\alpha]_{\mathcal{A}}(s) = [\alpha]_{\mathcal{A}}(t)$$

for all assignments  $\alpha$



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- $\mathcal{F}$ -algebra  $\mathcal{A}$  is **model** of ES  $(\mathcal{F}, \mathcal{E})$  if  $s =_{\mathcal{A}} t$  for all equations  $s \approx t \in \mathcal{E}$



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- ES  $\mathcal{E}$

$$\begin{aligned} 0 + y &\approx y \\ s(x) + y &\approx s(x + y) \end{aligned}$$

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- $\mathcal{B} = (\mathbb{N}, \{0_{\mathcal{B}}, s_{\mathcal{B}}, +_{\mathcal{B}}\})$  with  $0_{\mathcal{B}} = 1$ ,  $s_{\mathcal{B}}(x) = x + 1$ ,  $+_{\mathcal{B}}(x, y) = 2x + y$
- ES  $\mathcal{E}$

$$\begin{aligned} 0 + y &\approx y \\ s(x) + y &\approx s(x + y) \end{aligned}$$

$\mathcal{A}$  is model of  $\mathcal{E}$



## Definitions

- equation  $s \approx t$  is valid in algebra  $\mathcal{A}$  ( $\mathcal{A} \models s \approx t$ ,  $s =_{\mathcal{A}} t$ ) if

$$[\alpha]_{\mathcal{A}}(s) = [\alpha]_{\mathcal{A}}(t)$$

for all assignments  $\alpha$

- $\mathcal{F}$ -algebra  $\mathcal{A}$  is model of ES  $(\mathcal{F}, \mathcal{E})$  if  $s =_{\mathcal{A}} t$  for all equations  $s \approx t \in \mathcal{E}$

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$\mathcal{A}$  is model of  $\mathcal{E}$        $\mathcal{B}$  is no model of  $\mathcal{E}$

## Definition

- $\mathcal{E} \models s \approx t$  ( $s =_{\mathcal{E}} t$ ) if equation  $s \approx t$  is valid in all models of  $\mathcal{E}$



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- model  $\mathcal{C} = (\mathbb{N}, \{0_{\mathcal{C}}, s_{\mathcal{C}}, +_{\mathcal{C}}\})$  with  $0_{\mathcal{C}} = 0$ ,  $s_{\mathcal{C}}(x) = x$ ,  $+_{\mathcal{C}}(x, y) = y$

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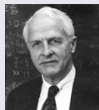
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## Theorem (Birkhoff)

equational reasoning is *sound* and *complete*

$$\forall ES \mathcal{E}: \quad \approx_{\mathcal{E}} = =_{\mathcal{E}}$$





## Definition

ES  $\mathcal{E}$  is **consistent** if  $\exists$  terms  $s, t$  such that  $s \not\approx_{\mathcal{E}} t$

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## Validity Problem

instance: ES  $(\mathcal{F}, \mathcal{E})$  terms  $s, t \in \mathcal{T}(\mathcal{F}, \mathcal{V})$

question:  $s =_{\mathcal{E}} t$  ?



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question:  $s \approx_{\mathcal{E}} t$  ?

## Theorem

*validity problem is undecidable*

## Example (Combinatory Logic)

$$I \cdot x \approx x$$

$$(K \cdot x) \cdot y \approx x$$

$$((S \cdot x) \cdot y) \cdot z \approx (x \cdot z) \cdot (y \cdot z)$$

# Outline

- Equational Reasoning
- Algebras
- **Term Rewriting**
- Further Reading



## Definition (Positions)

- $\mathcal{P}os(\cdot)$

$$\mathcal{P}os(t) = \begin{cases} \{\epsilon\} & \text{if } t \in \mathcal{V} \\ \{\epsilon\} \cup \{ip \mid p \in \mathcal{P}os(t_i)\} & \text{if } t = f(t_1, \dots, t_n) \end{cases}$$



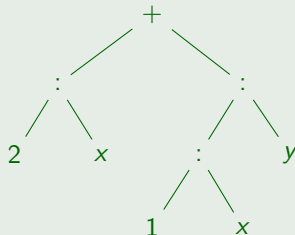
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## Example

$(2 : x) + ((1 : x) : y)$



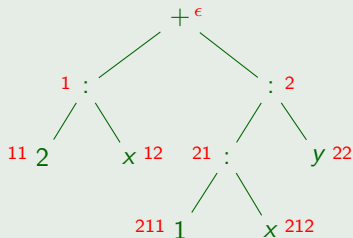
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## Example

$(2 : x) + ((1 : x) : y)$





## Definition

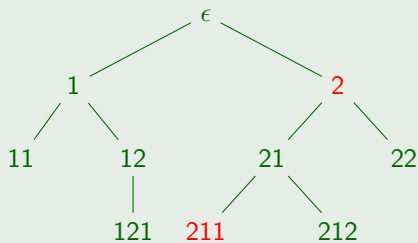
- $p < q$  if  $\exists r \neq \epsilon: pr = q$     “ $p$  is strictly above  $q$ ”    “ $q$  is strictly below  $q$ ”



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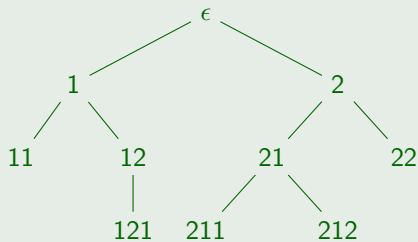


- $2 < 211$

## Definition

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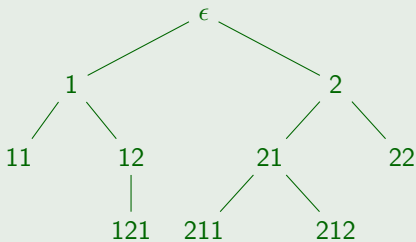


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- $p \parallel q$  if  $p \not\leq q$  and  $q \not\leq p$     “ $p$  and  $q$  are **parallel**”

## Example

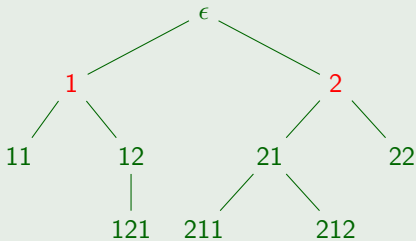


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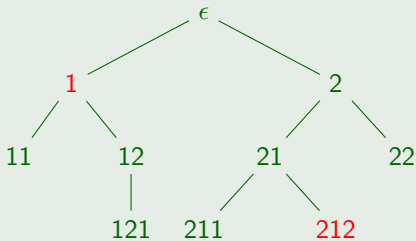


- $2 < 211$
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## Example



- $2 < 211$
- $1 \parallel 2$
- $1 \parallel 212$

## Definitions

- $t|_p$  subterm of  $t$  at position  $p$

$$t|_p = \begin{cases} t & \text{if } p = \epsilon \\ t_i|_q & \text{if } t = f(t_1, \dots, t_n) \text{ and } p = iq \end{cases}$$

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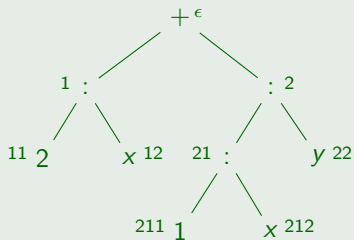
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- $t[s]_p$  replace subterm in  $t$  at position  $p$  by  $s$

$$t[s]_p = \begin{cases} s & \text{if } p = \epsilon \\ f(t_1, \dots, t_i[s]_q, \dots, t_n) & \text{if } t = f(t_1, \dots, t_n) \text{ and } p = iq \end{cases}$$

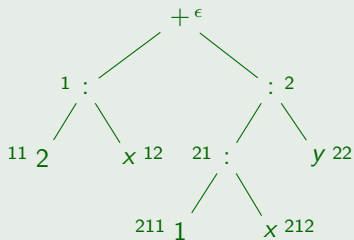
## Example

$$t = (2 : x) + ((1 : x) : y)$$



## Example

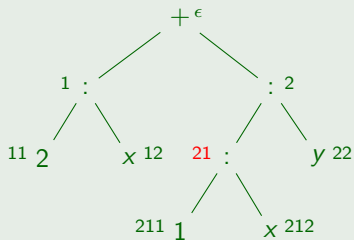
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- $t|_{21} = ?$

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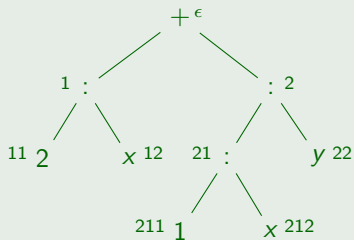
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- $t|_{21} = 1 : x$

## Example

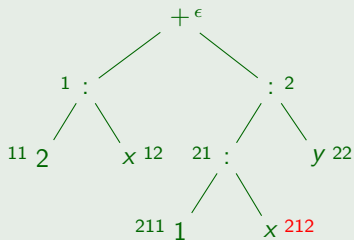
$$t = (2 : x) + ((1 : x) : y)$$



- $t|_{21} = 1 : x$
- $t(212) = ?$

## Example

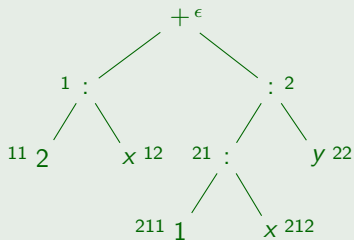
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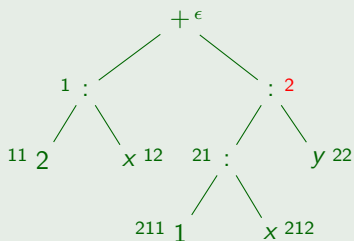
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- $t(212) = x$
- $t[x + 3]_2 = ?$

## Example

$$t = (2 : x) + ((1 : x) : y)$$



- $t|_{21} = 1 : x$
- $t(212) = x$
- $t[x + 3]_2 = (2 : x) + (x + 3)$



## Definition

binary relation  $\rightarrow_{\mathcal{E}}$  on  $\mathcal{T}(\mathcal{F}, \mathcal{V})$  for every ES  $(\mathcal{F}, \mathcal{E})$ :

$$s \rightarrow_{\mathcal{E}} t \iff \begin{array}{l} \exists p \in \text{Pos}(s) \\ \exists l \approx r \in \mathcal{E} \\ \exists \text{ substitution } \sigma \end{array} \quad \text{with} \quad \begin{array}{l} s|_p = l\sigma \\ t = s[r\sigma]_p \end{array}$$

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ES  $\mathcal{E} = \{0 + y \approx y, s(x) + y \approx s(x + y)\}$

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	position	equation	substitution
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$\downarrow_{\mathcal{E}}$	11	$0 + y \approx y$	$\{y \mapsto s(0)\}$
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$\rightarrow_{\mathcal{E}}$  is *smallest* relation that contains  $\mathcal{E}$  and is closed under contexts and substitutions





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## Remark

with every ES  $(\mathcal{F}, \mathcal{E})$  we associate ARS  $\langle T(\mathcal{F}, \mathcal{V}), \rightarrow_{\mathcal{E}} \rangle$

- notation ( $\rightarrow_{\mathcal{E}}^*$ ,  $\leftrightarrow_{\mathcal{E}}^*$ ,  $\text{NF}(\mathcal{E})$ , ...)
- properties (SN, CR, ...)

are obtained for free



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- properties (SN, CR, ...)

are obtained for free

## Theorem

$$\forall \text{ ES } \mathcal{E} \quad \leftrightarrow_{\mathcal{E}}^* = \approx_{\mathcal{E}} = =_{\mathcal{E}}$$

## Example

ES  $\mathcal{E}$ 

$$0 + y \approx y$$

$$s(x) + y \approx s(x + y)$$

 $\mathcal{E} \vdash s(s(0) + s(0)) \approx s(s(s(0)))$ 

$$\begin{array}{c}
 \frac{[a] \frac{\frac{\frac{}{0 + s(0) \approx s(0)}{[a]} \quad \frac{}{s(0) + s(0) \approx s(0 + s(0))} [a]}{s(0) + s(0) \approx s(s(0))} \quad \frac{\frac{}{0 + s(0) \approx s(0)}{[a]} \quad \frac{}{s(0) + s(0) \approx s(s(0))} [c]}{s(0) + s(0) \approx s(s(0))} [t]}{s(s(0) + s(0)) \approx s(s(s(0)))} [c]}
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$\mathcal{E} \vdash s(s(0) + s(0)) \approx s(s(s(0)))$

$$\begin{array}{c}
 \frac{[a] \frac{\frac{}{0 + s(0) \approx s(0)}{[a]} \quad \frac{}{s(0) + s(0) \approx s(0 + s(0))} [a]}{s(0 + s(0)) \approx s(s(0))} [c]}{s(s(0) + s(0)) \approx s(s(s(0)))} [t]}
 \end{array}$$

$s(s(0) + s(0)) \leftrightarrow_{\mathcal{E}}^* s(s(s(0)))$

$s(s(0) + s(0)) \rightarrow_{\mathcal{E}} s(s(0 + s(0))) \rightarrow_{\mathcal{E}} s(s(s(0)))$

## Definitions

- **rewrite rule** ( $l \rightarrow r$ ) is equation  $l \approx r$  such that
  - $l \notin \mathcal{V}$
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## Lemma

*TRSs are ESs*

## Validity Problem

instance:  $ES \mathcal{E}$  terms  $s, t$

question:  $s =_{\mathcal{E}} t ?$

## Theorem

*validity problem is **undecidable***



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validity problem is *decidable* for ES  $\mathcal{E}$  if  $\exists$  finite TRS  $\mathcal{R}$  such that

- 1  $\mathcal{R}$  is *complete* (*confluent* and *terminating*)

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**1**  $\mathcal{R}$  is complete (confluent and terminating)

**2**  $\overset{*}{\leftarrow} \underset{\mathcal{E}}{\rightarrow} = \overset{*}{\leftarrow} \underset{\mathcal{R}}{\rightarrow}$

## Example (Group Theory)

signature     $e$  (constant)     $^-$  (unary, postfix)     $\cdot$  (binary, infix)

ES             $e \cdot x \approx x$      $x^- \cdot x \approx e$      $(x \cdot y) \cdot z \approx x \cdot (y \cdot z)$              $\mathcal{E}$

theorems             $e^- \approx_{\mathcal{E}} e$      $(x \cdot y)^- \approx_{\mathcal{E}} y^- \cdot z^-$

TRS                             $e \cdot x \rightarrow x$                              $x \cdot e \rightarrow x$                              $\mathcal{R}$

$x^- \cdot x \rightarrow e$                              $x \cdot x^- \rightarrow e$

$(x \cdot y) \cdot z \rightarrow x \cdot (y \cdot z)$                              $x^{--} \rightarrow x$

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- $\mathcal{R}$  is complete and  $\overset{*}{\leftarrow}_{\mathcal{E}} = \overset{*}{\leftarrow}_{\mathcal{R}} \implies \mathcal{E}$  has decidable validity problem
- how to compute  $\mathcal{R}$  ?                            **completion**                            (lectures 5 & 6)
- how to prove termination of  $\mathcal{R}$  ?





## Example

TRS  $\mathcal{R}$  modeling **Sieve of Eratostheness** for generating list of prime numbers

$$\begin{array}{ll}
 \text{primes} \rightarrow \text{sieve}(\text{from}(\text{s}(\text{s}(0)))) & \text{sieve}(0 : y) \rightarrow \text{sieve}(y) \\
 \text{from}(x) \rightarrow x : \text{from}(\text{s}(x)) & \text{sieve}(\text{s}(x) : y) \rightarrow \text{s}(x) : \text{sieve}(\text{filter}(x, y, x)) \\
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## Example (Combinatory Logic)

$$I \cdot x \approx x$$

$$(K \cdot x) \cdot y \approx x$$

$$((S \cdot x) \cdot y) \cdot z \approx (x \cdot z) \cdot (y \cdot z)$$

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## Example (Combinatory Logic)

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$$I x \rightarrow x$$

$$(K \cdot x) \cdot y \rightarrow x$$

$$(K x) y \rightarrow x$$

$$((S \cdot x) \cdot y) \cdot z \rightarrow (x \cdot z) \cdot (y \cdot z) \quad ((S x) y) z \rightarrow (x z) (y z)$$

- **applicative notation**: suppress  $\cdot$

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$$S \not\rightarrow^* K$$

## Definition

TRS  $\mathcal{R}$  over signature  $\mathcal{F}$  is **string rewrite system (SRS)** if  $\mathcal{F}$  consists of unary function symbols



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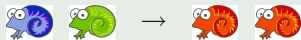
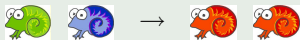
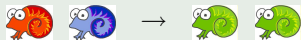
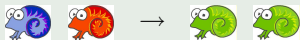
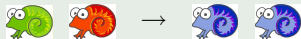
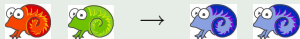
$$\begin{array}{l}
 \text{Red} ( \text{Green} (x) ) \rightarrow \text{Blue} ( \text{Blue} (x) ) \quad \text{Green} ( \text{Red} (x) ) \rightarrow \text{Blue} ( \text{Blue} (x) ) \\
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## Definition

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## Example



## Theorem

term rewriting is *Turing-complete* hence all non-trivial questions are undecidable



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## Undecidable Problems

instance: (finite) TRS  $\mathcal{R}$   
question: is  $\mathcal{R}$  terminating ?



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instance: TRS  $\mathcal{R}$  term  $t$   
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## Theorem

*term rewriting is Turing-complete hence all non-trivial questions are undecidable*

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- *confluence is decidable for terminating TRSs*

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- *confluence is decidable for terminating TRSs*
- *termination is undecidable for confluent TRSs*



## Theorem

*most problems for **ground** TRSs are decidable*



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## Definitions

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## Theorem

*validity problem is decidable for **ground** ESs*

## Theorem

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## Theorem

validity problem is decidable for ground ESs

*congruence closure*

# Outline

- Equational Reasoning
- Algebras
- Term Rewriting
- **Further Reading**





### On the Uniform Halting Problem for Term Rewriting Systems

G rard Huet and Dallas Lankford  
technical report 283, INRIA, 1978



### Termination of Linear Rewriting Systems (Preliminary Version)

Nachum Dershowitz  
Proc. 8th ICALP, pp. 448–458, 1981



### Fast Congruence Closure and Extensions

Robert Nieuwenhuis and Albert Oliveras  
I&C 205(4), pp. 557–580, 2007

