



Introduction to Term Rewriting

lecture 4

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Sunday

introduction, examples, abstract rewriting, equational reasoning, term rewriting

Monday

termination, completion

Tuesday

completion, termination

Wednesday

confluence, modularity, strategies

Thursday

exam, advanced topics

Outline

- Introduction
- Well-Founded Monotone Algebras
- Polynomial Interpretations
- Lexicographic Path Order
- Further Reading



Definition

rewrite system is **terminating** if there are no infinite rewrite sequences



Definition

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Termination Methods 1967

Knuth-Bendix order

Definition

rewrite system is terminating if there are no infinite rewrite sequences

Termination Methods 1975

Knuth-Bendix order, polynomial interpretations

Definition

rewrite system is terminating if there are no infinite rewrite sequences

Termination Methods 1979

Knuth-Bendix order, polynomial interpretations, multiset order, simple path order

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Termination Methods 1980s

Knuth-Bendix order, polynomial interpretations, multiset order, simple path order, lexicographic path order, semantic path order, recursive decomposition order, multiset path order, recursive path order, transformation order

Definition

rewrite system is terminating if there are no infinite rewrite sequences

Termination Methods 1990s

Knuth-Bendix order, polynomial interpretations, multiset order, simple path order, lexicographic path order, semantic path order, recursive decomposition order, multiset path order, recursive path order, transformation order, elementary interpretations, type introduction, well-founded monotone algebras, general path order, semantic labeling, dummy elimination, dependency pairs, freezing, top-down labeling

Definition

rewrite system is terminating if there are no infinite rewrite sequences

Termination Methods 2000s

Knuth-Bendix order, polynomial interpretations, multiset order, simple path order, lexicographic path order, semantic path order, recursive decomposition order, multiset path order, recursive path order, transformation order, elementary interpretations, type introduction, well-founded monotone algebras, general path order, semantic labeling, dummy elimination, dependency pairs, freezing, top-down labeling, monotonic semantic path order, context-dependent interpretations, match-bounds, size-change principle, matrix interpretations, predictive labeling, uncurrying, bounded increase, quasi-periodic interpretations, arctic interpretations, increasing interpretations, root-labeling, ...

Definition

rewrite system is terminating if there are no infinite rewrite sequences

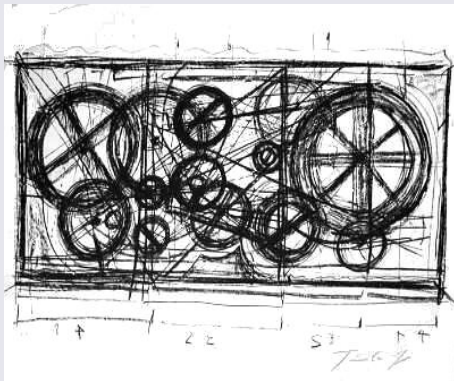
Termination Methods

Knuth-Bendix order, polynomial interpretations, multiset order, simple path order, lexicographic path order, semantic path order, recursive decomposition order, multiset path order, recursive path order, transformation order, elementary interpretations, type introduction, well-founded monotone algebras, general path order, semantic labeling, dummy elimination, dependency pairs, freezing, top-down labeling, monotonic semantic path order, context-dependent interpretations, match-bounds, size-change principle, matrix interpretations, predictive labeling, uncurrying, bounded increase, quasi-periodic interpretations, arctic interpretations, increasing interpretations, root-labeling, ...

Termination Research



Termination Research



Termination Tools

AProVE, Cariboo, CiME, Jambox, Termptation, Matchbox, MuTerm, NTI, Torpa, TPA, T_1T_2 , VMTL, ...

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Lemma

TRS \mathcal{R} is terminating

\iff

\exists *well-founded order $>$ on terms such that $s > t$ whenever $s \rightarrow_{\mathcal{R}} t$*



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$$0 + y \rightarrow y$$

$$s(x) + y \rightarrow s(x + y)$$

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- well-founded order $>$

$$s > t \iff \varphi(s) >_{\mathbb{N}} \varphi(t) \text{ with } \varphi(u) = \begin{cases} 1 & \text{if } u = 0 \\ \varphi(v) + 1 & \text{if } u = s(v) \\ 2\varphi(v) + \varphi(w) & \text{if } u = v + w \\ 0 & \text{otherwise} \end{cases}$$

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Remark

(very) inconvenient to check all rewrite *steps*

Definitions

- **rewrite order** is proper order $>$ on terms which is

- closed under contexts $s > t \implies C[s] > C[t]$

- closed under substitutions $s > t \implies s\sigma > t\sigma$



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 - closed under contexts $s > t \implies C[s] > C[t]$
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- TRS \mathcal{R} and rewrite order $>$ are **compatible** if $\ell > r$ for all rules $\ell \rightarrow r$ in \mathcal{R}



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- **reduction order** is well-founded rewrite order



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Notation

$\mathcal{R} \subseteq >$ if \mathcal{R} and $>$ are compatible



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$\mathcal{R} \subseteq >$ if \mathcal{R} and $>$ are compatible

Theorem

TRS \mathcal{R} is terminating $\iff \mathcal{R} \subseteq >$ for reduction order $>$

Definitions

- **well-founded monotone \mathcal{F} -algebra** $(\mathcal{A}, >)$ consists of nonempty algebra $\mathcal{A} = (A, \{f_{\mathcal{A}}\}_{f \in \mathcal{F}})$ together with well-founded order $>$ on A such that every $f_{\mathcal{A}}$ is strictly monotone in all coordinates:

$$f_{\mathcal{A}}(a_1, \dots, a_i, \dots, a_n) > f_{\mathcal{A}}(a_1, \dots, b, \dots, a_n)$$

for all $a_1, \dots, a_n, b \in A$ and $i \in \{1, \dots, n\}$ with $a_i > b$



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- relation $>_{\mathcal{A}}$ on terms: $s >_{\mathcal{A}} t$ if $[\alpha]_{\mathcal{A}}(s) > [\alpha]_{\mathcal{A}}(t)$ for all assignments α



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Theorem

TRS \mathcal{R} is terminating $\iff \mathcal{R} \subseteq >_{\mathcal{A}}$ for well-founded monotone algebra $(\mathcal{A}, >)$

Well-Founded Monotone Algebras

used in termination proofs/tools:

- polynomial interpretations over \mathbb{N}

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- polynomial interpretations over \mathbb{Q} and \mathbb{R}



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- polynomial interpretations over \mathbb{N}
- polynomial interpretations over \mathbb{Q} and \mathbb{R}
- matrix interpretations over \mathbb{N}



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Example

- TRS

$$0 + y \rightarrow y$$

$$s(x) + y \rightarrow s(x + y)$$

$$0 \times y \rightarrow 0$$

$$s(x) \times y \rightarrow y + (x \times y)$$

Example

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- interpretations in \mathbb{N}

$$0_{\mathcal{A}} = 1$$

$$s_{\mathcal{A}}(x) = x + 1$$

$$+_{\mathcal{A}}(x, y) = 2x + y$$

$$\times_{\mathcal{A}}(x, y) = 2xy + x + y + 1$$

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- constraints $\forall x, y \in \mathbb{N}$

$$y + 2 > y$$

$$2x + y + 2 > 2x + y + 1$$

$$3y + 2 > 1$$

$$2xy + x + 3y + 2 > 2xy + x + 3y + 1$$

Example

- TRS

$$\begin{aligned} 0 + y &\rightarrow y \\ s(x) + y &\rightarrow s(x + y) \end{aligned}$$

$$\begin{aligned} 0 \times y &\rightarrow 0 \\ s(x) \times y &\rightarrow y + (x \times y) \end{aligned}$$

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$$2 > 0$$

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$$1 > 0$$

$$1 > 0$$

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- constraints $\forall x, y \in \mathbb{N}$

$$\begin{aligned} 2 &> 0 & 3y + 1 &> 0 \\ 1 &> 0 & 1 &> 0 \end{aligned}$$

- $s(0) \times s(s(0)) \rightarrow s(s(0)) + (0 \times s(s(0))) \rightarrow s(s(0)) + 0 \rightarrow s(s(0) + 0)$
 $\rightarrow s(s(0 + 0)) \rightarrow s(s(0))$

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- constraints $\forall x, y \in \mathbb{N}$

$$2 > 0$$

$$3y + 1 > 0$$

$$1 > 0$$

$$1 > 0$$

- $s(0) \times s(s(0)) \rightarrow s(s(0)) + (0 \times s(s(0))) \rightarrow s(s(0)) + 0 \rightarrow s(s(0) + 0)$
 $18 > 17 > 7 > 6$
 $\rightarrow s(s(0 + 0)) \rightarrow s(s(0))$
 $> 5 > 3$

Example

• TRS

$$\partial(x + y) \rightarrow \partial(x) + \partial(y) \qquad \partial(\alpha) = 1$$

$$\partial(x - y) \rightarrow \partial(x) - \partial(y) \qquad \partial(\beta) = 0$$

$$\partial(x \times y) \rightarrow (\partial(x) \times y) + (x \times \partial(y))$$

$$\partial(x \div y) \rightarrow ((\partial(x) \times y) - (x \times \partial(y))) \div (y \times y)$$

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$$\alpha_{\mathcal{A}} = \beta_{\mathcal{A}} = 0_{\mathcal{A}} = 1_{\mathcal{A}} = 1$$

$$+_{\mathcal{A}}(x, y) = -_{\mathcal{A}}(x, y) = \times_{\mathcal{A}}(x, y) = \div_{\mathcal{A}}(x, y) = x + y + 3$$

$$\partial_{\mathcal{A}}(x) = x^2 + 6x + 6$$

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- constraints $\forall x, y \in \mathbb{N}$

$$x^2 + y^2 + 2xy + 12x + 12y + 33 > x^2 + y^2 + 6x + 6y + 15 \qquad 13 > 1$$

$$x^2 + y^2 + 2xy + 12x + 12y + 33 > x^2 + y^2 + 6x + 6y + 15 \qquad 13 > 1$$

$$x^2 + y^2 + 2xy + 12x + 12y + 33 > x^2 + y^2 + 7x + 7y + 21$$

$$x^2 + y^2 + 2xy + 12x + 12y + 33 > x^2 + y^2 + 7x + 9y + 27$$

Example

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$$\partial(x + y) \rightarrow \partial(x) + \partial(y) \qquad \partial(\alpha) = 1$$

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$$2xy + 6x + 6y + 18 > 0 \qquad 13 > 1$$

$$2xy + 6x + 6y + 18 > 0 \qquad 13 > 1$$

$$2xy + 5x + 5y + 12 > 0$$

$$2xy + 5x + 3y + 6 > 0$$

Definition

TRS \mathcal{R} is **polynomially terminating (over \mathbb{N})** if $\mathcal{R} \subseteq >_{\mathcal{A}}$ for well-founded monotone algebra $(\mathcal{A}, >)$ such that

- carrier of \mathcal{A} is \mathbb{N}
- $>$ is standard order on \mathbb{N}
- $f_{\mathcal{A}} \in \mathbb{Z}[x_1, \dots, x_n]$ for every n -ary f



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 - $f_{\mathcal{A}} \in \mathbb{Z}[x_1, \dots, x_n]$ for every n -ary f
- polynomials with coefficients in \mathbb{Z}
and indeterminates x_1, \dots, x_n

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Lemma

\mathcal{R} is polynomially terminating over \mathbb{N}

\iff

\mathcal{R} is polynomially terminating over $\{n \in \mathbb{N} \mid n \geq N\}$ for some $N \geq 0$

Questions

- 1 how to find suitable polynomials ?



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following problem is undecidable:

instance: polynomial $P \in \mathbb{Z}[x_1, \dots, x_n]$

question: $\forall x_1, \dots, x_n \in \mathbb{N}: P(x_1, \dots, x_n) > 0$?



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Sufficient Condition

all coefficients are non-negative and constant is positive

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all coefficients are non-negative and constant is positive (absolute positiveness)

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Proof

reduction from **Hilbert's 10th Problem**

for arbitrary polynomial $Q \in \mathbb{Z}[x_1, \dots, x_n]$

$\exists x_1, \dots, x_n \in \mathbb{Z}: Q(x_1, \dots, x_n) = 0$

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$\iff \exists a_1, \dots, a_n \in \{-1, 1\} \neg \forall x_1, \dots, x_n \in \mathbb{N}: Q(a_1 x_1, \dots, a_n x_n)^2 > 0$

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$\in \mathbb{Z}[x_1, \dots, x_n]$

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- (e) translate resulting diophantine constraints to SAT or SMT problem

Example

- rewrite system

$$0 + y \rightarrow y$$

$$s(x) + y \rightarrow s(x + y)$$

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$$a = 0 \quad b = 1 \quad c = 1 \quad d = 2 \quad e = 1 \quad f = 1$$

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numerous terminating TRSs are not polynomially terminating



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polynomial interpretations

terminating TRSs

Outline

- Introduction
- Well-Founded Monotone Algebras
- Polynomial Interpretations
- **Lexicographic Path Order**
- Further Reading



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Theorem

$>_{\text{lpo}}$ is *reduction order* if precedence $>$ is well-founded

Examples

TRS

$$0 + y \rightarrow y$$

$$s(x) + y \rightarrow s(x + y)$$

$$0 \times y \rightarrow 0$$

$$s(x) \times y \rightarrow (x \times y) + y$$

precedence

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 $\times > + > s$

$$\text{ack}(0, 0) \rightarrow 0$$

$$\text{ack}(0, s(y)) \rightarrow s(s(\text{ack}(0, y)))$$

$$\text{ack}(s(x), 0) \rightarrow s(0)$$

$$\text{ack}(s(x), s(y)) \rightarrow \text{ack}(x, \text{ack}(s(x), y))$$

Examples

TRS	precedence
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Examples

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 $x > + > s$

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 $\text{ack} > s$

$$e \cdot x \rightarrow x$$

$$x \cdot e \rightarrow x$$

$$x^- \cdot x \rightarrow e$$

$$x \cdot x^- \rightarrow e$$

$$(x \cdot y) \cdot z \rightarrow x \cdot (y \cdot z)$$

$$x^{--} \rightarrow x$$

$$e^- \rightarrow e$$

$$(x \cdot y)^- \rightarrow y^- \cdot x^-$$

$$x^- \cdot (x \cdot y) \rightarrow y$$

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Examples

TRS	precedence
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Theorem

- $if > \subseteq \sqsupset$ then $>_{lpo} \subseteq \sqsupset_{lpo}$ (*incrementality*)

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- *if $> \subseteq \sqsupset$ then $>_{lpo} \subseteq \sqsupset_{lpo}$ (incrementality)*
- *if $>$ is total then $>_{lpo}$ is **total on ground terms***

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- following two problems are *decidable*:
 - 1 instance: terms s, t precedence $>$
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Theorem

- if $> \subseteq \sqsupset$ then $>_{lpo} \subseteq \sqsupset_{lpo}$ (incrementality)
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- following two problems are *decidable*:
 - 1 instance: terms s, t precedence $>$
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 - 2 instance: terms s, t
question: \exists precedence $>$ such that $s >_{lpo} t ?$

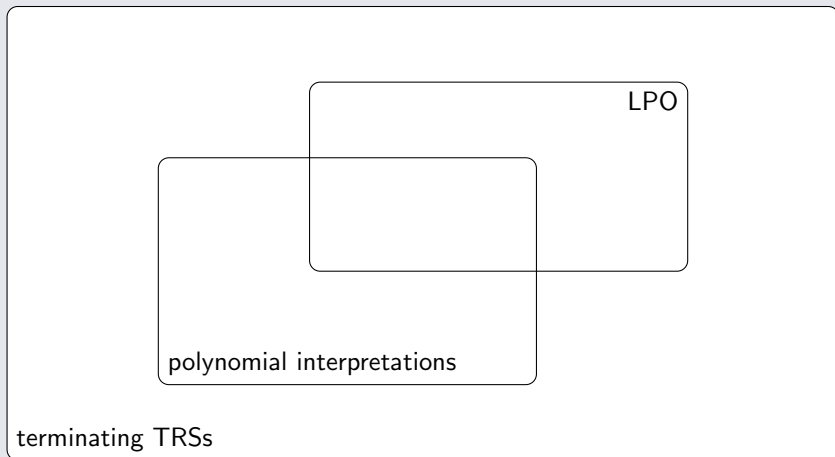
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LPO and polynomial interpretations are incomparable



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Termination of Term Rewriting: Interpretation and Type Elimination

Hans Zantema

JSC 17(1), pp. 23 – 50, 1994



Mechanically Proving Termination Using Polynomial Interpretations

Evelyne Contejean, Claude Marché, Ana Paula Tomás, and Xavier Urbain

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Orderings for Term-Rewriting Systems

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