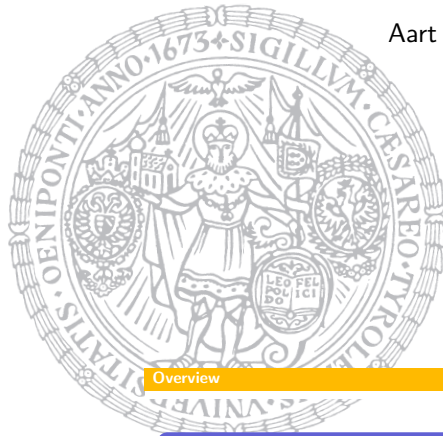




Introduction to Term Rewriting

lecture 6

Aart Middeldorp and Femke van Raamsdonk



Institute of Computer Science
University of Innsbruck
Department of Computer Science
VU Amsterdam



Overview

Sunday

introduction, examples, abstract rewriting, equational reasoning, term rewriting

Monday

termination, completion

Tuesday

completion, termination

Wednesday

confluence, modularity, strategies

Thursday

exam, advanced topics

Outline

- Efficient Completion
- Cola Gene Puzzle
- Abstract Completion
- Proof Orders
- Critical Pair Criteria
- Further Reading

Efficient Completion

Example

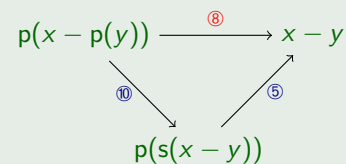
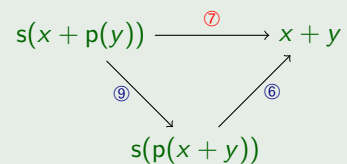
TRS $\mathcal{R} = \{\textcircled{1}, \textcircled{2}, \textcircled{3}, \textcircled{4}, \textcircled{5}, \textcircled{6}\}$

- ① $x + 0 \rightarrow x$
- ③ $x + s(y) \rightarrow s(x + y)$
- ⑤ $p(s(x)) \rightarrow x$
- ⑦ $s(x + p(y)) \rightarrow x + y$
- ⑨ $x + p(y) \rightarrow p(x + y)$

TRS $\mathcal{S} = \{\textcircled{1}, \textcircled{2}, \textcircled{3}, \textcircled{4}, \textcircled{5}, \textcircled{6}, \textcircled{7}, \textcircled{8}, \textcircled{9}, \textcircled{10}\}$

- ② $x - 0 \rightarrow x$
- ④ $x - s(y) \rightarrow p(x - y)$
- ⑥ $s(p(x)) \rightarrow x$
- ⑧ $p(x - p(y)) \rightarrow x - y$
- ⑩ $x - p(y) \rightarrow s(x - y)$

rewrite rules ⑦ and ⑧ are redundant:



Observation

- less rewrite rules \implies less critical pairs
- TRS without redundancy = **reduced** TRS

Definition

TRS \mathcal{R} is **reduced** if for all $\ell \rightarrow r \in \mathcal{R}$

- 1 r is normal form with respect to \mathcal{R}
- 2 ℓ is normal form with respect to $\mathcal{R} \setminus \{\ell \rightarrow r\}$

Example

TRS $\mathcal{R} = \{\textcircled{1}, \textcircled{2}, \textcircled{3}, \textcircled{4}, \textcircled{5}, \textcircled{6}\}$

- ① $x + 0 \rightarrow x$
- ③ $x + s(y) \rightarrow s(x + y)$
- ⑤ $p(s(x)) \rightarrow x$
- ⑦ $s(x + p(y)) \rightarrow x + y$
- ⑨ $x + p(y) \rightarrow p(x + y)$

TRS $\mathcal{S} = \{\textcircled{1}, \textcircled{2}, \textcircled{3}, \textcircled{4}, \textcircled{5}, \textcircled{6}, \textcircled{7}, \textcircled{8}, \textcircled{9}, \textcircled{10}\}$

- ② $x - 0 \rightarrow x$
- ④ $x - s(y) \rightarrow p(x - y)$
- ⑥ $s(p(x)) \rightarrow x$
- ⑧ $p(x - p(y)) \rightarrow x - y$
- ⑩ $x - p(y) \rightarrow s(x - y)$

- \mathcal{R} is reduced
- \mathcal{S} is **not** reduced

simplification **after** completion

Theorem

$$\forall \text{ complete TRS } \mathcal{R} \quad \exists \text{ complete reduced TRS } \mathcal{S} \quad \text{such that } \xrightarrow{*}_{\mathcal{R}} = \xrightarrow{*}_{\mathcal{S}}$$

Proof Sketch (construction)

- 1 $\mathcal{R}' = \{ \ell \rightarrow r \downarrow_{\mathcal{R}} \mid \ell \rightarrow r \in \mathcal{R} \}$
- 2 $\mathcal{S} = \{ \ell \rightarrow r \in \mathcal{R}' \mid \ell \in \text{NF}(\mathcal{R}' \setminus \{ \ell \rightarrow r \}) \}$

more efficient: simplification **during** completion

Knuth-Bendix Completion Procedure (More Efficient Version)

input ES \mathcal{E} and reduction order $>$

output complete **reduced** TRS \mathcal{R} such that $\xrightarrow{*}_{\mathcal{E}} = \xrightarrow{*}_{\mathcal{R}}$

$\mathcal{R} := \emptyset \quad C := \mathcal{E}$

while $C \neq \emptyset$ **do**

choose $s \approx t \in C \quad C := C \setminus \{s \approx t\} \quad s' := s \downarrow_{\mathcal{R}} \quad t' := t \downarrow_{\mathcal{R}}$

if $s' \neq t'$ **then**

if $s' > t'$ **then** $\alpha := s' \quad \beta := t'$

else if $t' > s'$ **then** $\alpha := t' \quad \beta := s'$

else *failure*

$\mathcal{R}' := \mathcal{R} \cup \{ \alpha \rightarrow \beta \}$

for all $\ell \rightarrow r \in \mathcal{R}$ **do**

$\mathcal{R}' := \mathcal{R}' \setminus \{ \ell \rightarrow r \} \quad \ell' := \ell \downarrow_{\mathcal{R}'} \quad r' := r \downarrow_{\mathcal{R}'}$

if $\ell = \ell'$ **then** $\mathcal{R}' := \mathcal{R}' \cup \{ \ell' \rightarrow r' \}$ **else** $C := C \cup \{ \ell' \approx r' \}$

$\mathcal{R} := \mathcal{R}'$

$C := C \cup \{ e \in \text{CP}(\mathcal{R}) \mid \alpha \rightarrow \beta \text{ was used to generate } e \}$

Example

$$\begin{array}{ll}
 g(b) \approx g(b) & f(f(x)) \rightarrow g(x) \\
 f(b) \approx g(f(a)) & g(a) \rightarrow b \\
 & f(g(x)) \rightarrow g(f(x)) \\
 & f(b) \rightarrow g(f(a))
 \end{array}$$

- LPO with precedence $f > g > b > a$
- **complete** and **reduced** TRS

Example

$$\begin{array}{ll}
 f(f(x)) \approx g(x) & g(x) \rightarrow f(f(x)) \\
 g(a) \approx b & b \rightarrow f(f(a))
 \end{array}$$

- LPO with precedence $b > g > f > a$
- **complete** and **reduced** TRS

Example

$$\begin{aligned} f(f(a)) &\approx b \\ g(a) &\approx b \end{aligned}$$

$$\begin{aligned} g(x) &\rightarrow f(f(x)) \\ f(f(a)) &\rightarrow b \end{aligned}$$

- LPO with precedence $g > f > b > a$
- **complete** and **reduced** TRS

Theorem

if complete reduced TRSs \mathcal{R} and \mathcal{S} satisfy

$$\mathbf{1} \quad \xrightarrow[\mathcal{R}]{}^* = \xrightarrow[\mathcal{S}]{}^*$$

$\mathbf{2}$ \mathcal{R} and \mathcal{S} are compatible with **same reduction order**

then $\mathcal{R} = \mathcal{S}$ (modulo variable renaming)

Outline

- Efficient Completion
- Cola Gene Puzzle
- Abstract Completion
- Proof Orders
- Critical Pair Criteria
- Further Reading

Example (Cola Gene Puzzle)

ES \mathcal{E} TCAT \approx T GAG \approx AG CTC \approx TC AGTA \approx A TAT \approx CTTRS \mathcal{R} GA \rightarrow A AGT \rightarrow AT ATA \rightarrow A CT \rightarrow T TAT \rightarrow T TCA \rightarrow TA

- \mathcal{R} is reduced and complete
- $\overset{*}{\leftarrow}_{\mathcal{E}} = \overset{*}{\leftarrow}_{\mathcal{R}}$
- (milk gene) TAGCTAGCTAGCT $\overset{*}{\leftarrow}_{\mathcal{E}}$ CTGACTGACT (cola gene)

$$\text{TAGCTAGCTAGCT} \xrightarrow{\downarrow_{\mathcal{R}}} \text{T} \xleftarrow{\downarrow_{\mathcal{R}}} \text{CTGACTGACT}$$
- (milk gene) TAGCTAGCTAGCT $\overset{*}{\leftarrow}_{\mathcal{E}}$ CTGCTACTGACT (mad cow retrovirus)

$$\text{TAGCTAGCTAGCT} \xrightarrow{\downarrow_{\mathcal{R}}} \text{T} \neq \text{TGT} \xleftarrow{\downarrow_{\mathcal{R}}} \text{CTGCTACTGACT}$$

Outline

- Efficient Completion
- Cola Gene Puzzle
- **Abstract Completion**
- Proof Orders
- Critical Pair Criteria
- Further Reading

Definition

set of equations \mathcal{E} set of rewrite rules \mathcal{R} reduction order $>$

inference system SC (**standard completion**) consists of six rules

delete	$\frac{\mathcal{E} \cup \{s \approx s\}, \mathcal{R}}{\mathcal{E}, \mathcal{R}}$	
compose	$\frac{\mathcal{E}, \mathcal{R} \cup \{s \rightarrow t\}}{\mathcal{E}, \mathcal{R} \cup \{s \rightarrow u\}}$	if $t \rightarrow_{\mathcal{R}} u$
simplify	$\frac{\mathcal{E} \cup \{s \approx t\}, \mathcal{R}}{\mathcal{E} \cup \{s \approx u\}, \mathcal{R}}$	if $t \rightarrow_{\mathcal{R}} u$
orient	$\frac{\mathcal{E} \cup \{s \approx t\}, \mathcal{R}}{\mathcal{E}, \mathcal{R} \cup \{s \rightarrow t\}}$	if $s > t$
collapse	$\frac{\mathcal{E}, \mathcal{R} \cup \{t \rightarrow s\}}{\mathcal{E} \cup \{u \approx s\}, \mathcal{R}}$	if $t \rightarrow_{\mathcal{R}} u$ using $l \rightarrow r \in \mathcal{R}$ with $t \triangleright l$
deduce	$\frac{\mathcal{E}, \mathcal{R}}{\mathcal{E} \cup \{s \approx t\}, \mathcal{R}}$	if $s \leftarrow_{\mathcal{R}} u \rightarrow_{\mathcal{R}} t$

Definitions

- ▷ **encompassment**

$$s \triangleright t \iff \exists \text{ position } p \exists \text{ substitution } \sigma: s|_p = t\sigma$$

- ▷ **strict encompassment**

$$s \triangleright t \iff s \triangleright t \wedge \neg(t \triangleright s)$$

Example

$$s(x) + s(y + 0) \triangleright s(x) + y \quad x + x \triangleright x + y \quad x + y \not\triangleright x + x$$

Definitions

- **completion procedure** is program that takes as input set of equations \mathcal{E} and reduction order $>$ and generates (finite or infinite) **run**

$$(\mathcal{E}_0, \mathcal{R}_0) \vdash_{sc} (\mathcal{E}_1, \mathcal{R}_1) \vdash_{sc} (\mathcal{E}_2, \mathcal{R}_2) \vdash_{sc} \dots$$

with $\mathcal{E}_0 = \mathcal{E}$ and $\mathcal{R}_0 = \emptyset$

- \mathcal{E}_ω is set of **persistent** equations: $\mathcal{E}_\omega = \bigcup_{i \geq 0} \bigcap_{j \geq i} \mathcal{E}_j$
- \mathcal{R}_ω is set of persistent rules
- run **succeeds** if $\mathcal{E}_\omega = \emptyset$ and \mathcal{R}_ω is confluent and terminating
- run **fails** if $\mathcal{E}_\omega \neq \emptyset$
- completion procedure is **correct** if every run that does not fail succeeds

Question

how to guarantee correctness ?

set of equations \mathcal{E} set of rewrite rules \mathcal{R} reduction order $>$

run $(\mathcal{E}_0, \mathcal{R}_0) \vdash_{SC} (\mathcal{E}_1, \mathcal{R}_1) \vdash_{SC} (\mathcal{E}_2, \mathcal{R}_2) \vdash_{SC} \dots$

Lemmata

- if $(\mathcal{E}, \mathcal{R}) \vdash_{SC} (\mathcal{E}', \mathcal{R}')$ and $\mathcal{R} \subseteq >$ then $\mathcal{R}' \subseteq >$
- if $(\mathcal{E}, \mathcal{R}) \vdash_{SC} (\mathcal{E}', \mathcal{R}')$ then $\xrightarrow[\mathcal{E} \cup \mathcal{R}]{}^* = \xrightarrow[\mathcal{E}' \cup \mathcal{R}']{}^*$

Definition

$$\mathcal{E}_\infty = \bigcup_{i \geq 0} \mathcal{E}_i \quad \text{and} \quad \mathcal{R}_\infty = \bigcup_{i \geq 0} \mathcal{R}_i$$

Lemmata

- $\mathcal{R}_\omega \subseteq \mathcal{R}_\infty \subseteq >$
- $\xrightarrow[\mathcal{E}]{}^* = \xrightarrow[\mathcal{E}_\infty \cup \mathcal{R}_\infty]{}^*$

Two Questions

non-failing run $(\mathcal{E}_0, \mathcal{R}_0) \vdash_{SC} (\mathcal{E}_1, \mathcal{R}_1) \vdash_{SC} (\mathcal{E}_2, \mathcal{R}_2) \vdash_{SC} \dots$

- 1 is \mathcal{R}_ω confluent ?
- 2 $\xrightarrow[\mathcal{E}_\infty \cup \mathcal{R}_\infty]{}^* = \xrightarrow[\mathcal{R}_\omega]{}^*$?

Definitions

- run $(\mathcal{E}_0, \mathcal{R}_0) \vdash_{SC} (\mathcal{E}_1, \mathcal{R}_1) \vdash_{SC} (\mathcal{E}_2, \mathcal{R}_2) \vdash_{SC} \dots$ is **fair** if

$$CP(\mathcal{R}_\omega) \subseteq \bigcup_{i \geq 0} \mathcal{E}_i$$

- completion procedure is fair if every run that does not fail is fair

Theorem

every fair completion procedure is correct

Remark

strict encompassment condition in collapse rule cannot be dropped

$$\text{collapse} \quad \frac{\mathcal{E}, \mathcal{R} \cup \{t \rightarrow s\}}{\mathcal{E} \cup \{u \approx s\}, \mathcal{R}} \quad \text{if } t \rightarrow_{\mathcal{R}} u \text{ using } \ell \rightarrow r \in \mathcal{R} \text{ with } t \triangleright \ell$$

Example

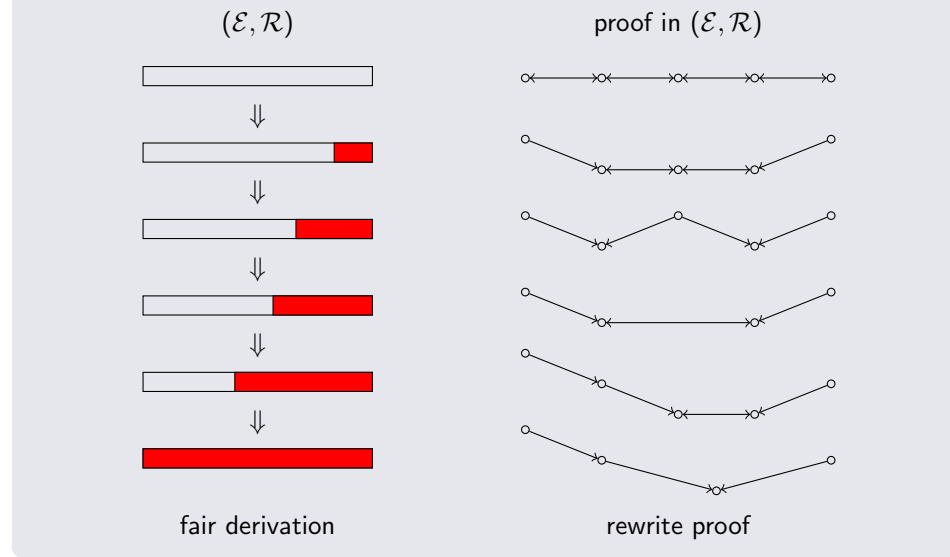
$$\begin{aligned} a &\rightarrow b \\ g(x) &\rightarrow x \\ f(x, c) &\rightarrow x \\ f(x, g(y)) &\rightarrow f(g(x), y) \\ f(c, y) &\rightarrow a \end{aligned}$$

- LPO with precedence $f > a > g > c > b$

Outline

- Efficient Completion
- Cola Gene Puzzle
- Abstract Completion
- **Proof Orders**
- Critical Pair Criteria
- Further Reading

Completion is Proof Normalization



set of equations \mathcal{E} set of rewrite rules \mathcal{R} reduction order $>$

Definitions

- **proof** of $s \approx t$ is sequence (u_1, \dots, u_n) of terms such that
 - $s = u_1$
 - $t = u_n$
 - for all $1 \leq i < n$ $u_i \rightarrow_{\mathcal{R}} u_{i+1}$ or $u_i \leftarrow_{\mathcal{R}} u_{i+1}$ or $u_i \leftrightarrow_{\mathcal{E}} u_{i+1}$
- **rewrite proof** is proof (u_1, \dots, u_n) such that
 - $u_i \rightarrow_{\mathcal{R}} u_{i+1}$ for all $1 \leq i < j$
 - $u_i \leftarrow_{\mathcal{R}} u_{i+1}$ for all $j \leq i < n$
 for some $1 \leq j \leq n$
- two proofs (s_1, \dots, s_n) and (t_1, \dots, t_n) are **equivalent** if $s_1 = t_1$ and $s_n = t_n$

Definitions

- **complexity** of proof (u_1, \dots, u_n) is multiset $\{c(u_1, u_2), \dots, c(u_{n-1}, u_n)\}$
- complexity of **proof step** (u_i, u_{i+1}) is triple

$$c(u_i, u_{i+1}) = \begin{cases} (\{u_i, u_{i+1}\}, -, -) & \text{if } u_i \leftrightarrow_{\mathcal{E}} u_{i+1} \\ (\{u_i\}, \ell, r) & \text{if } u_i \rightarrow_{\mathcal{R}} u_{i+1} \text{ using rule } \ell \rightarrow r \\ (\{u_{i+1}\}, \ell, r) & \text{if } u_i \leftarrow_{\mathcal{R}} u_{i+1} \text{ using rule } \ell \rightarrow r \end{cases}$$

- order \gg on proof steps: **lexicographic combination** of
 - $>_{mul}$ multiset extension of $>$
 - \triangleright strict encompassment
 - $>$

Lemma

\gg_{mul} is a well-founded order on proofs

non-failing and fair run $\mathcal{S}: (\mathcal{E}_0, \mathcal{R}_0) \vdash_{sc} (\mathcal{E}_1, \mathcal{R}_1) \vdash_{sc} (\mathcal{E}_2, \mathcal{R}_2) \vdash_{sc} \dots$

Lemma

\forall proof P in $\mathcal{E}_\infty \cup \mathcal{R}_\infty$ that is no rewrite proof in \mathcal{R}_ω
 \exists equivalent proof Q in $\mathcal{E}_\infty \cup \mathcal{R}_\infty$ such that $P \gg_{mul} Q$

Proof Sketch

three cases:

- 1 P contains step using equation $\ell \approx r \in \mathcal{E}_\infty$
 $\ell \approx r \notin \mathcal{E}_\omega$: consider how equation $\ell \approx r$ is removed in \mathcal{S}
- 2 P contains step using rule $\ell \rightarrow r \in \mathcal{R}_\infty \setminus \mathcal{R}_\omega$
 $\ell \rightarrow r \notin \mathcal{R}_\omega$: consider how rule $\ell \rightarrow r$ is removed in \mathcal{S}
- 3 P contains peak using rules from \mathcal{R}_ω
 use critical pair lemma

Theorem

\forall *non-failing and fair run* $(\mathcal{E}_0, \mathcal{R}_0) \vdash_{sc} (\mathcal{E}_1, \mathcal{R}_1) \vdash_{sc} (\mathcal{E}_2, \mathcal{R}_2) \vdash_{sc} \dots$

- $\xleftrightarrow[\mathcal{E}_\infty \cup \mathcal{R}_\infty]{*} = \xleftrightarrow[\mathcal{R}_\omega]{*}$
- \mathcal{R}_ω is complete

Corollary

every fair completion procedure is correct

Outline

- Efficient Completion
- Cola Gene Puzzle
- Abstract Completion
- Proof Orders
- **Critical Pair Criteria**
- Further Reading

Fact

$CP(\mathcal{R}_\omega) \subseteq \mathcal{E}_\infty$ ensures correctness

Question

are all critical pairs in $CP(\mathcal{R}_\omega)$ needed ?

Definitions

- **critical pair criterion** is mapping **CPC** on sets of equations such that $CPC(\mathcal{E}) \subseteq CP(\mathcal{E})$
- run $(\mathcal{E}_0, \mathcal{R}_0) \vdash_{SC} (\mathcal{E}_1, \mathcal{R}_1) \vdash_{SC} (\mathcal{E}_2, \mathcal{R}_2) \vdash_{SC} \dots$ is **fair with respect to critical pair criterion CPC** if $CP(\mathcal{R}_\omega) \setminus CPC(\mathcal{E}_\infty \cup \mathcal{R}_\infty) \subseteq \mathcal{E}_\infty$
- critical pair criterion CPC is **correct** if \mathcal{R}_ω is confluent and terminating for every non-failing run $(\mathcal{E}_0, \mathcal{R}_0) \vdash_{SC} (\mathcal{E}_1, \mathcal{R}_1) \vdash_{SC} (\mathcal{E}_2, \mathcal{R}_2) \vdash_{SC} \dots$ that is fair with respect to critical pair criterion CPC

Definitions

- peak $P: s \leftarrow_{\mathcal{R}} u \rightarrow_{\mathcal{R}} t$ is **composite** if there exist proofs

$$Q_1: u_1 \xleftrightarrow{*} u_2 \quad \dots \quad Q_{n-1}: u_{n-1} \xleftrightarrow{*} u_n$$

such that

- $s = u_1$
- $t = u_n$
- $\forall 1 \leq i \leq n \quad u > u_i$
- $\forall 1 \leq i < n \quad P \gg_{\text{mul}} Q_i$
- critical pair $s \leftarrow \times \rightarrow t$ is composite if corresponding peak $s \leftarrow \cdot \rightarrow t$ is composite

Definition

composite critical pair criterion: $CCP(\mathcal{E}) = \{s \approx t \in CP(\mathcal{E}) \mid s \approx t \text{ is composite}\}$

Lemma

critical pair criterion CCP is correct

Question

how to check compositeness ?

Definition

- critical pair $s \leftarrow \times \rightarrow t$ originating from overlap $\langle l_1 \rightarrow r_1, p, l_2 \rightarrow r_2 \rangle$ with mgu σ is **unblocked** if $x\sigma$ is reducible for some $x \in \text{Var}(l_1) \cup \text{Var}(l_2)$
- critical pair $s \leftarrow \times \rightarrow t$ originating from overlap $\langle l_1 \rightarrow r_1, p, l_2 \rightarrow r_2 \rangle$ with mgu σ is **reducible** if proper subterm of $l_1\sigma$ is reducible

Lemma

- every unblocked critical pair is composite
- every reducible critical pair is composite

Example

TRS

$$\begin{array}{ll}
 e^- \rightarrow e & x/e \rightarrow x \\
 x^- \rightarrow x & e/x \rightarrow x \\
 x \cdot (x^- \cdot y) \rightarrow y & (x/y^-)/y \rightarrow x \\
 x^- \rightarrow e/x & z/(z^-/y)^- \rightarrow y^-
 \end{array}$$

critical pair

$$y/e^- \leftarrow \times \rightarrow y$$

originating from overlap

$$\langle x/e \rightarrow x, \epsilon, (y/z^-)/z \rightarrow y \rangle$$

is **reducible** because $(y/e^-)/e$ is reducible at position 12

Outline

- Efficient Completion
- Cola Gene Puzzle
- Abstract Completion
- Proof Orders
- Critical Pair Criteria
- **Further Reading**

Further Reading



Canonical Equational Proofs

Leo Bachmair

Progress in Theoretical Computer Science, Birkhäuser, 1991



Equational Inference, Canonical Proofs, and Proof Orderings

Leo Bachmair and Nachum Dershowitz

J.ACM 41(2), pp. 236–276, 1994

Completion Tools

- Waldmeister
- Slothrop
- mkbTT
- KBCV