



Introduction to Term Rewriting

lecture 7



Overview

Aart Middeldorp and Femke van Raamsdonk

Institute of Computer Science
University of Innsbruck

Department of Computer Science
VU Amsterdam



Sunday

introduction, examples, abstract rewriting, equational reasoning, term rewriting

Monday

termination, completion

Tuesday

completion, termination

Wednesday

confluence, modularity, strategies

Thursday

exam, advanced topics

Outline

- Knuth-Bendix Order
- Dependency Pairs
- Further Reading

Definition

rewrite system is **terminating** if there are no infinite rewrite sequences

Termination Methods

Knuth-Bendix order, polynomial interpretations, multiset order, simple path order, lexicographic path order, semantic path order, recursive decomposition order, multiset path order, recursive path order, transformation order, elementary interpretations, type introduction, well-founded monotone algebras, general path order, semantic labeling, dummy elimination, dependency pairs, freezing, top-down labeling, monotonic semantic path order, context-dependent interpretations, match-bounds, size-change principle, matrix interpretations, predictive labeling, uncurrying, bounded increase, quasi-periodic interpretations, arctic interpretations, increasing interpretations, root-labeling, ...

Outline

- Knuth-Bendix Order

- Dependency Pairs

- Further Reading

Definitions

- weight function (w, w_0) consists of mapping $w: \mathcal{F} \rightarrow \mathbb{N}$ and constant $w_0 > 0$ such that $w(c) \geq w_0$ for all constants $c \in \mathcal{F}$

- weight of term t is

$$w(t) = \begin{cases} w_0 & \text{if } t \in \mathcal{V} \\ w(f) + \sum_{i=1}^n w(t_i) & \text{if } t = f(t_1, \dots, t_n) \end{cases}$$

- weight function (w, w_0) is admissible for precedence $>$ if

$$f > g \quad \forall g \in \mathcal{F} \setminus \{f\}$$

whenever f is unary function symbol in \mathcal{F} with $w(f) = 0$

Example

- rewrite rules

$$\begin{array}{ll}
 e \cdot x \rightarrow x & x \cdot e \rightarrow x \\
 x^- \cdot x \rightarrow e & x \cdot x^- \rightarrow e \\
 (x \cdot y) \cdot z \rightarrow x \cdot (y \cdot z) & x^{--} \rightarrow x \\
 e^- \rightarrow e & (x \cdot y)^- \rightarrow y^- \cdot x^- \\
 x^- \cdot (x \cdot y) \rightarrow y & x \cdot (x^- \cdot y) \rightarrow y
 \end{array}$$

- weight function $w(e) = w(\cdot) = w_0 = 1 \quad w(\neg) = 0$

$$w(e \cdot x) = 3 \quad w(x) = 1 \quad w((x \cdot y)^-) = 3$$

- precedence $\neg > \cdot > e$

- admissible because \neg is maximal in precedence

Definition

- relation $>_{\text{kbo}}$ (Knuth-Bendix order) on terms:

$s >_{\text{kbo}} t$ if $|s|_x \geq |t|_x$ for all $x \in \mathcal{V}$ and either

- ① $w(s) > w(t)$
- ② $w(s) = w(t)$ and either
 - 1 $\exists n > 0$ such that $s = f^n(t)$ and $t \in \mathcal{V}$
 - 2 $s = f(s_1, \dots, s_n)$ and $t = f(t_1, \dots, t_n)$ and $\exists i$
 $\forall j < i \quad s_j = t_j \quad s_i >_{\text{kbo}} t_i$
 - 3 $s = f(s_1, \dots, s_n)$ and $t = g(t_1, \dots, t_m)$ and $f > g$

Theorem

$>_{\text{kbo}}$ is reduction order if precedence $>$ is well-founded

Example (1)

- rewrite rules

$$\begin{array}{ll}
 e \cdot x \rightarrow x & x \cdot e \rightarrow x \\
 x^- \cdot x \rightarrow e & x \cdot x^- \rightarrow e \\
 (x \cdot y) \cdot z \rightarrow x \cdot (y \cdot z) & x^{--} \rightarrow x \\
 e^- \rightarrow e & (x \cdot y)^- \rightarrow y^- \cdot x^- \\
 x^- \cdot (x \cdot y) \rightarrow y & x \cdot (x^- \cdot y) \rightarrow y
 \end{array}$$

- weight function $w(e) = w(\cdot) = w_0 = 1$ $w(-) = 0$
- precedence $- > \cdot > e$

$$e \cdot x >_{\text{kbo}} x \quad x^{--} >_{\text{kbo}} x \quad (x \cdot y)^- >_{\text{kbo}} y^- \cdot x^-$$

Example (2)

- rewrite rules

$$aa \rightarrow bbb \quad bbbbb \rightarrow aaa$$

- weight function and precedence

$$w(a) = 3 \quad w(b) = 2 \quad a > b$$

$$w(a) = 5 \quad w(b) = 3 \quad b > a$$

$$w(a) = 13 \quad w(b) = 8$$

Example (3)

- rewrite rules

$$\begin{array}{llll}
 0 + 0 \rightarrow 0 & 1 + 0 \rightarrow 1 & \dots & 9 + 0 \rightarrow 9 \\
 0 + 1 \rightarrow 1 & 1 + 1 \rightarrow 2 & \dots & 9 + 1 \rightarrow 1 : 0 \\
 \vdots & \vdots & & \vdots \\
 0 + 9 \rightarrow 9 & 1 + 9 \rightarrow 1 : 0 & \dots & 9 + 9 \rightarrow 1 : 8 \\
 x + (y : z) \rightarrow y : (x + z) & & 0 : x \rightarrow x \\
 (x : y) + z \rightarrow x : (y + z) & x : (y : z) \rightarrow (x + y) : z
 \end{array}$$

- weight function

$$\begin{aligned}
 w(0) &= w(1) = w(2) = w(3) = w(4) = w(+) = w_0 = 1 \\
 w(:) &= 2 \quad w(5) = w(6) = w(7) = w(8) = w(9) = 3
 \end{aligned}$$

- precedence $+$ > $:$ $+ > 5$ $+ > 6$ $+ > 7$ $+ > 8$

Example (4)

- rewrite rules

$$\begin{array}{lll}
 11 \rightarrow 43 & 33 \rightarrow 56 & 55 \rightarrow 62 \\
 12 \rightarrow 21 & 34 \rightarrow 11 & 56 \rightarrow 12 \\
 22 \rightarrow 111 & 44 \rightarrow 3 & 66 \rightarrow 21
 \end{array}$$

- weight function and precedence

$$\begin{array}{lll}
 w(1) = 31 & w(2) = 47 & w(3) = 41 \\
 w(4) = 21 & w(5) = 43 & w(6) = 3
 \end{array}$$

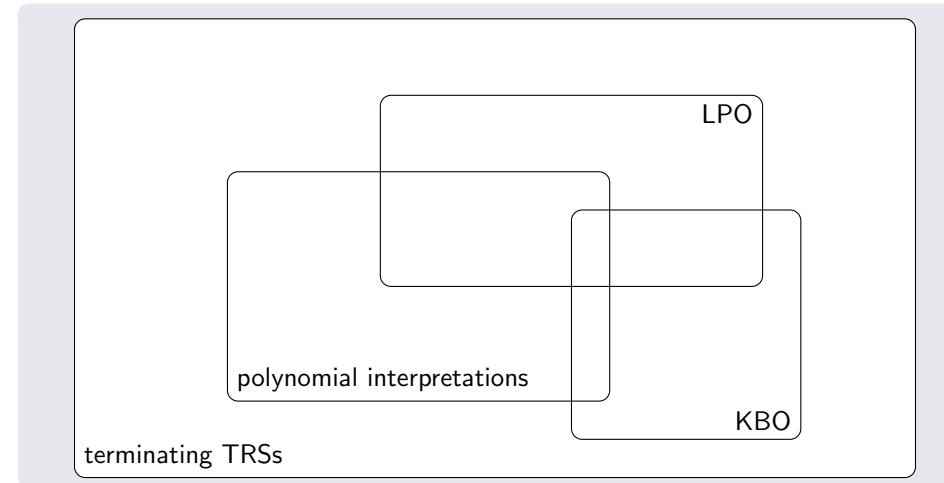
$$3 > 5 > 6 > 1 > 4 \quad 1 > 2$$

Theorem

- if $> \subseteq \sqsupseteq$ then $>_{kbo} \subseteq \sqsupseteq_{kbo}$ (*incrementality*)
- if $>$ is total then $>_{kbo}$ is *total on ground terms*
- following two problems are *decidable*:
 - 1 instance: terms s, t weight function (w, w_0) precedence $>$
question: $s >_{kbo} t ?$
 - 2 instance: terms s, t
question: \exists weight function (w, w_0) such that $s >_{kbo} t ?$
 \exists precedence $>$

Remark

KBO, LPO and polynomial interpretations are incomparable



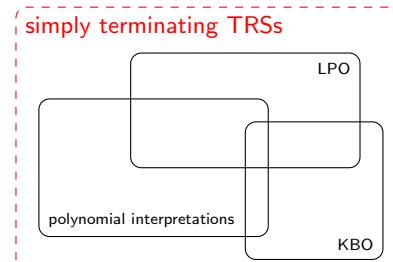
Outline

- Knuth-Bendix Order

- Dependency Pairs

- Further Reading

More Realistic View



terminating TRSs

dependency pairs make direct termination methods much more powerful

Definitions

TRS \mathcal{R} over signature \mathcal{F}

- $\mathcal{F}^\sharp = \mathcal{F} \cup \{f^\sharp \mid f \text{ is defined symbol of } \mathcal{R}\}$
- if $t = f(t_1, \dots, t_n)$ with f defined then $t^\sharp = f^\sharp(t_1, \dots, t_n)$
- dependency pair $\ell^\sharp \rightarrow u^\sharp$ of rewrite rule $\ell \rightarrow r$ satisfies
 - $u \trianglelefteq r$ and $u \not\triangleright \ell$
 - $\text{root}(u)$ is defined symbol
- $\text{DP}(\mathcal{R})$ is set of all dependency pairs of \mathcal{R}

Theorem

\forall non-terminating TRS \mathcal{R} \exists infinite rewrite sequence

$$t_1 \xrightarrow[\mathcal{R}]{*} t_2 \xrightarrow[\text{DP}(\mathcal{R})]{\epsilon} t_3 \xrightarrow[\mathcal{R}]{*} t_4 \xrightarrow[\text{DP}(\mathcal{R})]{\epsilon} \cdots$$

such that t_1 is terminating with respect to \mathcal{R}

Example

rewrite rule

$$f(g(x)) \rightarrow g(g(f(f(x)))) \quad \mathcal{R}$$

infinite rewrite sequence in \mathcal{R}

$$f(g(g(x))) \xrightarrow{\mathcal{R}} g(g(f(f(g(x))))) \xrightarrow{\mathcal{R}} g(g(f(g(g(f(f(x))))))) \xrightarrow{\mathcal{R}} \cdots$$

dependency pairs

$$\begin{aligned} f^\sharp(g(x)) &\rightarrow f^\sharp(f(x)) \\ f^\sharp(g(x)) &\rightarrow f^\sharp(x) \end{aligned} \quad \text{DP}(\mathcal{R})$$

infinite sequence in $\mathcal{R} \cup \text{DP}(\mathcal{R})$

$$f^\sharp(g(g(x))) \xrightarrow{\text{DP}(\mathcal{R})} f^\sharp(f(g(x))) \xrightarrow{\mathcal{R}} f^\sharp(g(g(f(f(x))))) \xrightarrow{\text{DP}(\mathcal{R})} \cdots$$

Example

rewrite rules

$$\begin{array}{ll} 0 + y \rightarrow y & 0 \times y \rightarrow 0 \\ s(x) + y \rightarrow s(x + y) & s(x) \times y \rightarrow (x \times y) + y \end{array}$$

dependency pairs

$$\begin{array}{l} s(x) +^\# y \rightarrow x +^\# y \\ s(x) \times^\# y \rightarrow (x \times y) +^\# y \\ s(x) \times^\# y \rightarrow x \times^\# y \end{array}$$

polynomial interpretation

$$\begin{array}{l} 0_{\mathbb{N}} = 0 \\ s_{\mathbb{N}}(x) = x + 1 \\ +_{\mathbb{N}}(x, y) = x + y \\ \times_{\mathbb{N}}(x, y) = +_{\mathbb{N}}^\#(x, y) = \times_{\mathbb{N}}^\#(x, y) = x \end{array}$$

Theorem

\forall non-terminating TRS \mathcal{R} \exists infinite rewrite sequence

$$t_1 \gtrsim t_2 > t_3 \gtrsim t_4 > \dots$$

such that t_1 is terminating with respect to \mathcal{R}

Definition

reduction pair $(>, \gtrsim)$ consists of well-founded order $>$ and preorder \gtrsim such that

- 1 $>$ is closed under substitutions
- 2 \gtrsim is closed under contexts and substitutions
- 3 $> \cdot \gtrsim \subseteq >$ or $\gtrsim \cdot > \subseteq >$

Theorem

TRS \mathcal{R} is terminating $\iff DP(\mathcal{R}) \subseteq >$ and $\mathcal{R} \subseteq \gtrsim$ for reduction pair $(>, \gtrsim)$

Example

rewrite rules

$$\begin{array}{ll} x - 0 \rightarrow 0 & 0 \div s(y) \rightarrow 0 \\ s(x) - s(y) \rightarrow x - y & s(x) \div s(y) \rightarrow s((x - y) \div s(y)) \end{array}$$

dependency pairs

$$\begin{array}{l} s(x) - \sharp s(y) \rightarrow x - \sharp y \\ s(x) \div \sharp s(y) \rightarrow (x - y) \div \sharp s(y) \\ s(x) \div \sharp s(y) \rightarrow x - \sharp y \end{array}$$

polynomial interpretation

$$\begin{aligned} 0_{\mathbb{N}} &= 0 \\ s_{\mathbb{N}}(x) &= x + 1 \\ -_{\mathbb{N}}(x, y) &= -_{\mathbb{N}}^{\sharp}(x, y) = \div_{\mathbb{N}}(x, y) = \div_{\mathbb{N}}^{\sharp}(x, y) = x \end{aligned}$$

Definitions

- well-founded weakly monotone \mathcal{F} -algebra $(\mathcal{A}, >)$ consists of nonempty algebra $\mathcal{A} = (A, \{f_A\}_{f \in \mathcal{F}})$ together with well-founded order $>$ on A such that every f_A is weakly monotone in all coordinates:

$$f_A(a_1, \dots, a_i, \dots, a_n) \geq f_A(a_1, \dots, b, \dots, a_n)$$

for all $a_1, \dots, a_n, b \in A$ and $i \in \{1, \dots, n\}$ with $a_i > b$

- relation $>_{\mathcal{A}}$ on terms: $s >_{\mathcal{A}} t$ if $[\alpha]_{\mathcal{A}}(s) > [\alpha]_{\mathcal{A}}(t)$ for all assignments α
- relation $\geq_{\mathcal{A}}$ on terms: $s \geq_{\mathcal{A}} t$ if $[\alpha]_{\mathcal{A}}(s) \geq [\alpha]_{\mathcal{A}}(t)$ for all assignments α

Lemma

$(>_{\mathcal{A}}, \geq_{\mathcal{A}})$ is reduction pair for every well-founded weakly monotone algebra $(\mathcal{A}, >)$

Example

rewrite rules

$$\begin{array}{ll} \text{average}(0, 0) \rightarrow 0 & \text{average}(s(x), y) \rightarrow \text{average}(x, s(y)) \\ \text{average}(0, s(0)) \rightarrow 0 & \text{average}(x, s(s(s(y)))) \rightarrow s(\text{average}(s(x), y)) \\ \text{average}(0, s(s(0))) \rightarrow s(0) & \end{array}$$

dependency pairs

$$\begin{array}{l} \text{average}^\sharp(s(x), y) \rightarrow \text{average}^\sharp(x, s(y)) \\ \text{average}^\sharp(x, s(s(s(y)))) \rightarrow \text{average}^\sharp(s(x), y) \end{array}$$

polynomial interpretation

$$\begin{array}{ll} 0_{\mathbb{N}} = 0 & \text{average}_{\mathbb{N}}(x, y) = x + y \\ s_{\mathbb{N}}(x) = x + 1 & \text{average}_{\mathbb{N}}^\sharp(x, y) = 2x + y \end{array}$$

Outline

- Knuth-Bendix Order
- Dependency Pairs
- Further Reading



KBO Orientability

Harald Zankl, Nao Hirokawa and Aart Middeldorp
JAR 43(2), pp. 173 – 201, 2009



Termination of Term Rewriting using Dependency Pairs

Thomas Arts and Jürgen Giesl
TCS 236(1,2), pp. 133 – 178, 2000