



# Introduction to Term Rewriting

## lecture 8

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## Sunday

introduction, examples, abstract rewriting, equational reasoning, term rewriting

## Monday

termination, completion

## Tuesday

completion, termination

## Wednesday

confluence, modularity, strategies

## Thursday

exam, advanced topics

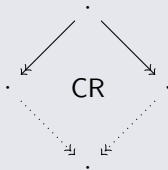
# Outline

- Orthogonality
  - Definitions
  - Descendants
  - Parallel Moves Lemma
- Beyond Orthogonality
- Modularity
- Further Reading



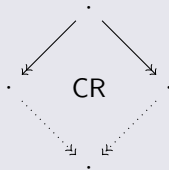
## Confluence

every two coinitial rewrite sequences can be joined



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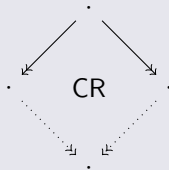
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- ... yields uniqueness of normal forms

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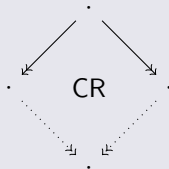
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- ... yields uniqueness of normal forms
- ... is decidable for terminating TRSs

## Confluence

every two coinitial rewrite sequences can be joined



- ... yields uniqueness of normal forms
- ... is decidable for terminating TRSs
- ... what about nonterminating TRSs ?

## Examples (Non-Confluence)



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$$f(x, x) \rightarrow a$$

$$g(x) \rightarrow f(x, g(x))$$

$$c \rightarrow g(c)$$

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## Exercises (Non-Confluence)

1  $f(f(x)) \rightarrow a$

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2  $f(g(x), y) \rightarrow x \quad g(a) \rightarrow b$



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1  $f(f(x)) \rightarrow a$

2  $f(g(x), y) \rightarrow x \quad g(a) \rightarrow b$

3  $or(x, y) \rightarrow x \quad or(x, y) \rightarrow y$

## Confluence via Critical Pairs

**control interference** of rewrite rules



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control interference of rewrite rules

- Critical Pair Lemma (lecture 5):

**WCR**  $\iff \leftarrow \times \rightarrow \subseteq \downarrow$  (all critical pairs are convergent)



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forbid interference of rewrite rules



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## Confluence via Orthogonality

forbid interference of rewrite rules

- **no critical pairs**

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## Confluence via Orthogonality

forbid interference of rewrite rules

- no critical pairs
- **no equality checks**

## Definitions

- term  $t$  is **linear** if each variable in  $\mathcal{V}\text{ar}(t)$  occurs exactly once in  $t$



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- rewrite rule  $\ell \rightarrow r$  is **left-linear** if  $\ell$  is linear



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- rewrite rule  $\ell \rightarrow r$  is **linear** if  $\ell$  and  $r$  are linear



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- $g(x) \rightarrow f(x, g(x))$   
left-linear but not right-linear

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## Examples

- $g(x) \rightarrow f(x, g(x))$   
left-linear but not right-linear
- $f(x, x) \rightarrow a$   
right-linear but not left-linear

## Definition

**orthogonal** TRS is left-linear and lacks critical pairs



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orthogonal TRS is left-linear and lacks critical pairs

## Examples

$$I \cdot x \rightarrow x$$

$$(K \cdot x) \cdot y \rightarrow x$$

$$((S \cdot x) \cdot y) \cdot z \rightarrow (x \cdot z) \cdot (y \cdot z)$$

## Definition

orthogonal TRS is left-linear and lacks critical pairs

## Examples

$$I \cdot x \rightarrow x$$

$$(K \cdot x) \cdot y \rightarrow x$$

$$((S \cdot x) \cdot y) \cdot z \rightarrow (x \cdot z) \cdot (y \cdot z)$$

$$\text{ack}(0, y) \rightarrow s(y)$$

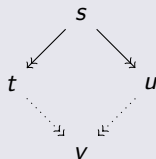
$$\text{ack}(s(x), 0) \rightarrow \text{ack}(x, s(0))$$

$$\text{ack}(s(x), s(y)) \rightarrow \text{ack}(x, \text{ack}(s(x), y))$$

## Theorem

orthogonal TRSs are *confluent*

$\forall s, t, u$

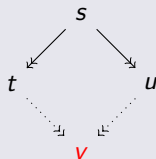


$\exists v$

## Theorem

*orthogonal TRSs are confluent*

$\forall s, t, u$



$\exists v$

## Observation

for orthogonal TRSs there is canonical way to compute common reduct  $v$

## Lemma

*orthogonal TRSs are locally confluent*





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## Proof Idea

distinguish two cases:

- 1 two disjoint redexes
- 2 two nested redexes



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- 1  $a \rightarrow c$   
 $b \rightarrow d$

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distinguish two cases:

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- 1  $a \rightarrow c$                        $f(c,b) \leftarrow f(a,b) \rightarrow f(a,d)$   
 $b \rightarrow d$

## Lemma

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distinguish two cases:

- 1 two disjoint redexes
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## Examples

$$1 \quad a \rightarrow c \qquad f(c,b) \leftarrow f(a,b) \rightarrow f(a,d)$$

$$b \rightarrow d$$

$$2 \quad f(x) \rightarrow g(x, x)$$

$$a \rightarrow b$$

## Lemma

*orthogonal TRSs are locally confluent*

## Proof Idea

distinguish two cases:

- 1 two disjoint redexes
- 2 two nested redexes

## Examples

$$1 \quad \begin{array}{l} a \rightarrow c \\ b \rightarrow d \end{array} \quad f(c,b) \leftarrow f(a,b) \rightarrow f(a,d)$$

$$b \rightarrow d$$

$$2 \quad \begin{array}{l} f(x) \rightarrow g(x,x) \\ a \rightarrow b \end{array} \quad f(b) \leftarrow f(a) \rightarrow g(a,a)$$

$$a \rightarrow b$$

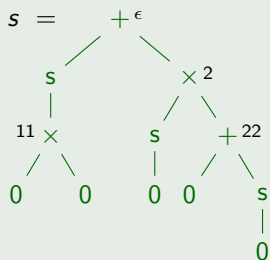
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  - Definitions
  - Results
- Further Reading



## Example

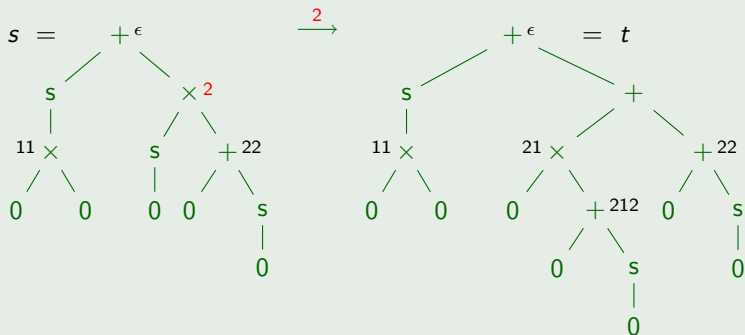
$$0 + y \rightarrow y \quad s(x) + y \rightarrow s(x + y) \quad 0 \times y \rightarrow 0 \quad s(x) \times y \rightarrow (x \times y) + y$$



redex positions in  $s$ :  $\epsilon$  | 11 | 2 | 22 |

## Example

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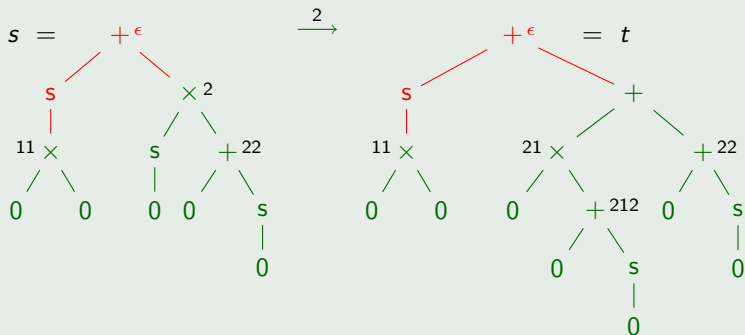


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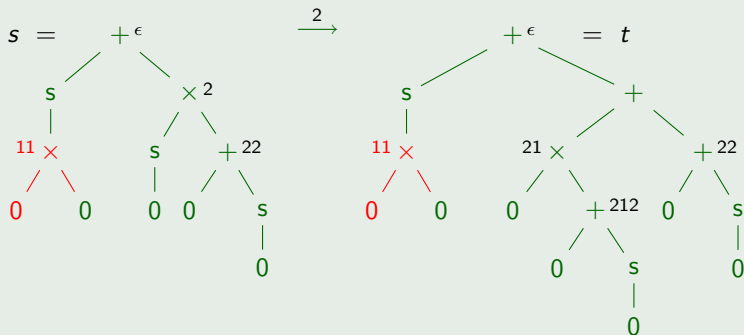


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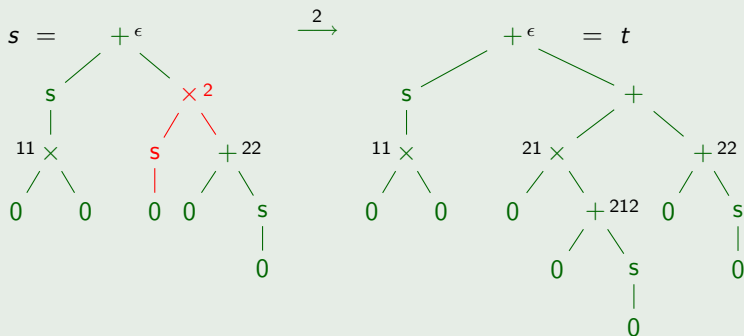


redex positions in  $s$ :  $\epsilon \mid 11 \mid 2 \mid 22 \mid$

redex positions in  $t$ :  $\epsilon \mid 11 \mid 212 \mid$

## Example

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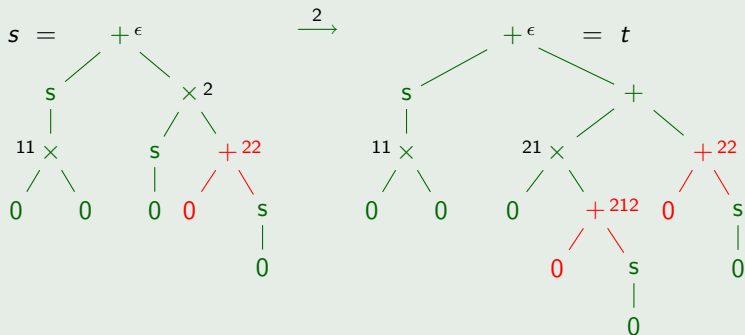


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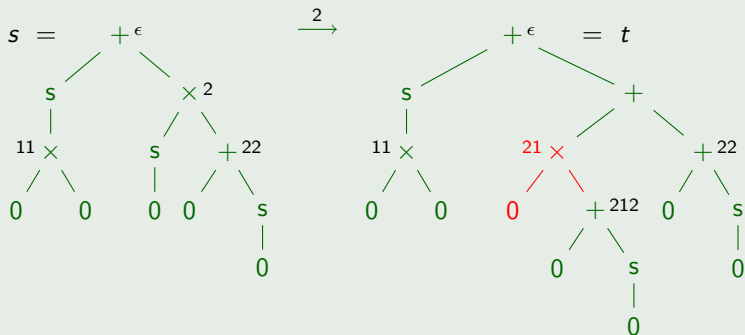


redex positions in  $s$ :  $\epsilon \mid 11 \mid 2 \mid \color{red}{22} \mid$   
 redex positions in  $t$ :  $\epsilon \mid 11 \mid \color{red}{212} \mid \color{red}{22} \mid$

redex at position 22 is  **duplicated**

## Example

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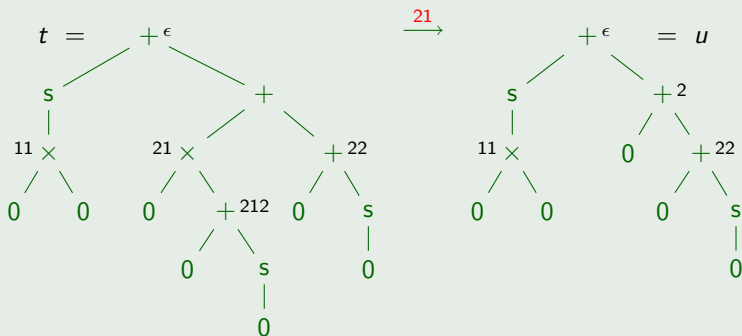
redex positions in  $s$ :  $\epsilon \mid 11 \mid 2 \mid 22$   
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redex at position 22 is duplicated

redex at position 21 is **created**

## Example

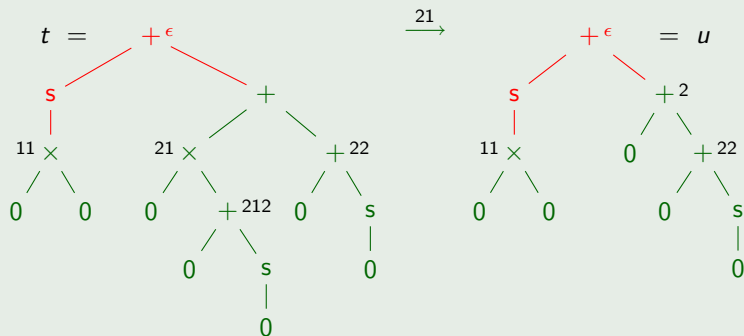
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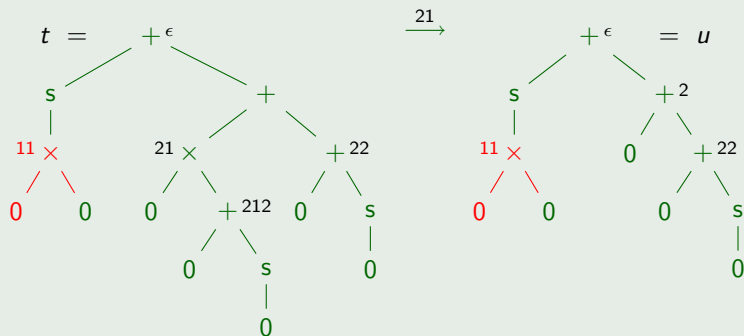
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redex positions in  $u$ :  $\epsilon$

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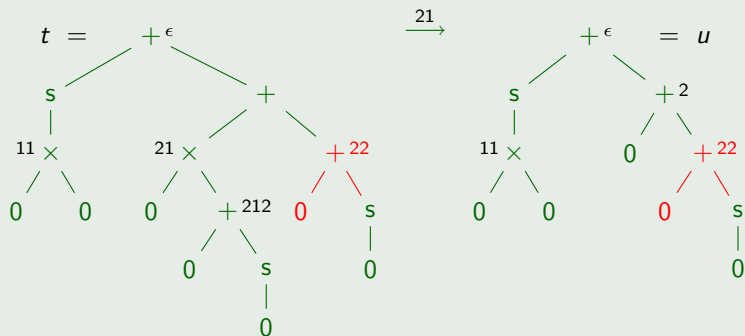


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 redex positions in  $u$ :  $\epsilon \mid 11 \mid 22$



## Example

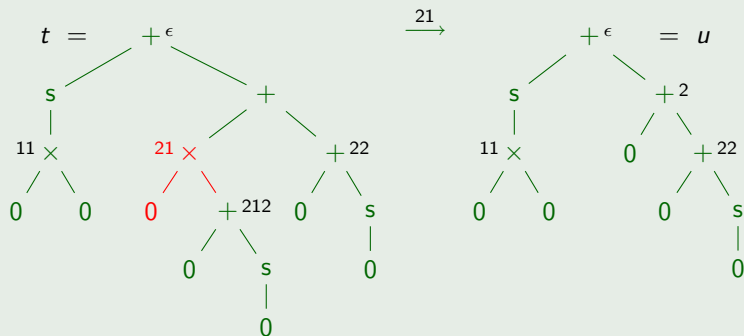
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 redex positions in  $u$ :  $\epsilon \mid 11 \mid \mid \mid 22 \mid \mid$

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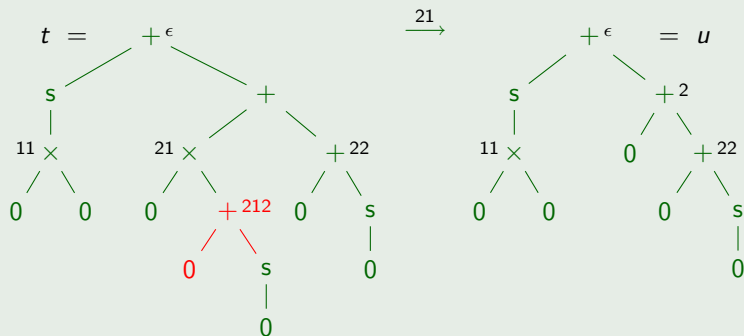
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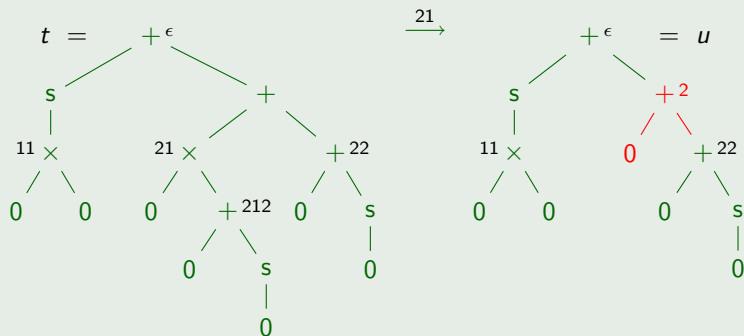


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redex at position 212 is **erased**

## Example

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redex positions in  $s$ :  $\epsilon \mid 11 \mid 2 \mid 22$   
 redex positions in  $t$ :  $\epsilon \mid 11 \mid 212 \mid 22 \mid 21$   
 redex positions in  $u$ :  $\epsilon \mid 11 \mid 22 \mid 2$

redex at position 212 is erased

redex at position 2 is **created**

rewrite step  $A: s \xrightarrow[\ell \rightarrow r]{p} t$  position  $q \in \mathcal{Pos}(s)$

## Definition (Descendants after Rewrite Step)

- **descendants** of  $q$  in  $t$

$$q \setminus A = \begin{cases} \{q\} & \text{if } q < p \text{ or } q \parallel p \\ \{pp_3p_2 \mid r|_{p_3} = \ell|_{p_1}\} & \text{if } q = pp_1p_2 \text{ with } p_1 \in \mathcal{Pos}_V(\ell) \\ \emptyset & \text{otherwise} \end{cases}$$

rewrite step  $A: s \xrightarrow[\ell \rightarrow r]{p} t$     position  $q \in \mathcal{Pos}(s)$     set of positions  $Q \subseteq \mathcal{Pos}(s)$

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- descendants of  $Q$  in  $t$

$$Q \setminus A = \bigcup_{q \in Q} q \setminus A$$

## Remark

- information about **position** is needed to determine descendants

$$f(x) \rightarrow x$$

$$f(f(x)) \xrightarrow[\substack{? \\ f(x) \rightarrow x}]{} f(x)$$

## Remark

- information about position is needed to determine descendants

$$f(x) \rightarrow x \qquad f(f(x)) \xrightarrow[\substack{? \\ f(x) \rightarrow x}]{} f(x)$$

- information about **rewrite rule** is needed to determine descendants

$$\begin{array}{l} f(x) \rightarrow f(x) \\ f(a) \rightarrow f(a) \end{array} \qquad f(a) \xrightarrow[\substack{\epsilon \\ ?}]{} f(a)$$



rewrite **sequence**  $A: s \rightarrow^* t$     set of positions  $Q \subseteq \text{Pos}(s)$

### Definition (Descendants after Rewrite Sequence)

descendants of  $Q$  in  $t$

$$Q \setminus A = \begin{cases} Q & \text{if } A \text{ is empty sequence} \\ (Q \setminus A_1) \setminus A_2 & \text{if } A = A_1; A_2 \text{ with } A_1: s \rightarrow u \text{ and } A_2: u \rightarrow^* t \end{cases}$$



## Lemma

- for arbitrary TRSs: if  $Q$  is parallel ( $\forall p \neq q \in Q: p \parallel q$ ) then so is  $Q \setminus A$



## Lemma

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## Terminology

descendant of redex is called **residual**



## Remark

- in non-left-linear TRS descendant of redex is not necessarily redex

$$\begin{array}{ll} a \rightarrow b & f(a,a) \rightarrow f(b,a) \\ f(x,x) \rightarrow b & \end{array}$$

## Remark

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$$\begin{array}{ll} a \rightarrow b & f(a,a) \rightarrow f(b,a) \\ f(x,x) \rightarrow b & \end{array}$$

- in TRS with critical pairs descendant of redex is not necessarily redex

$$\begin{array}{ll} a \rightarrow b & f(a) \rightarrow f(b) \\ f(a) \rightarrow b & \end{array}$$

# Outline

- Orthogonality
  - Definitions
  - Descendants
  - Parallel Moves Lemma
- Beyond Orthogonality
- Modularity
  - Definitions
  - Results
- Further Reading



## Definition (Parallel Rewriting)

$$1 = \subseteq \rightarrow$$



## Definition (Parallel Rewriting)

$$1 \quad = \subseteq \dashrightarrow$$

$$2 \quad \xrightarrow{\epsilon} \subseteq \dashrightarrow$$



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$$3 \quad f(s_1, \dots, s_n) \dashrightarrow f(t_1, \dots, t_n) \text{ if } s_i \dashrightarrow t_i \text{ for each } 1 \leq i \leq n$$

## Definition (Parallel Rewriting)

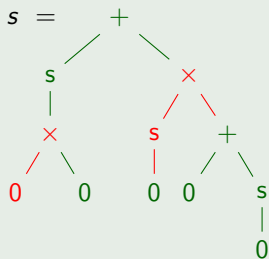
$$1 \quad = \subseteq \twoheadrightarrow$$

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## Example

$$0 + y \rightarrow y \quad s(x) + y \rightarrow s(x + y) \quad 0 \times y \rightarrow 0 \quad s(x) \times y \rightarrow (x \times y) + y$$



## Definition (Parallel Rewriting)

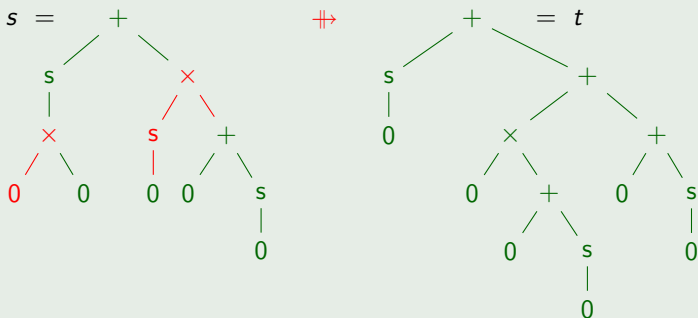
$$1 \quad = \subseteq \dashv\vdash$$

$$2 \quad \overset{\epsilon}{\rightarrow} \subseteq \dashv\vdash$$

$$3 \quad f(s_1, \dots, s_n) \dashv\vdash f(t_1, \dots, t_n) \text{ if } s_i \dashv\vdash t_i \text{ for each } 1 \leq i \leq n$$

## Example

$$0 + y \rightarrow y \quad s(x) + y \rightarrow s(x + y) \quad 0 \times y \rightarrow 0 \quad s(x) \times y \rightarrow (x \times y) + y$$



## Lemma

$s \Downarrow t \iff s \rightarrow^* t$  by contracting redexes at pairwise parallel positions in  $s$



## Definition (Projection)

$A: s \twoheadrightarrow t_1$  by contracting redexes at positions in  $P$

$B: s \twoheadrightarrow t_2$  by contracting redexes at positions in  $Q$



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$A: s \rightsquigarrow t_1$  by contracting redexes at positions in  $P$

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- $B \setminus A: t_1 \rightsquigarrow u_1$  by contracting redexes at positions in  $Q \setminus A$
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- $A \sqcup B = A; B \setminus A$  and  $B \sqcup A = B; A \setminus B$

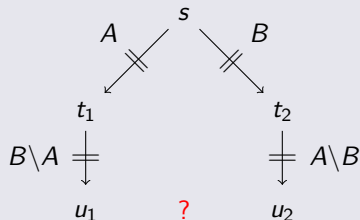
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## Parallel Moves Lemma



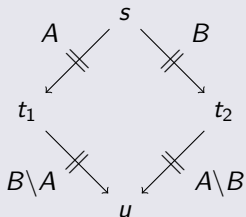
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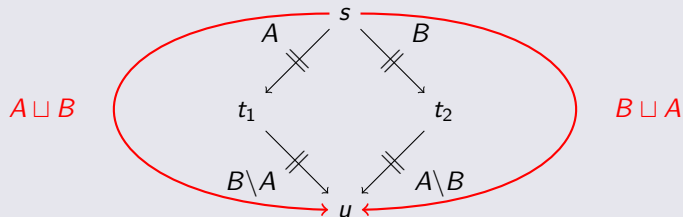
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## Parallel Moves Lemma



## Definition

$A: s_1 \rightarrow^* t_1$  and  $B: s_2 \rightarrow^* t_2$  are permutation equivalent ( $A \simeq B$ ) if

- 1  $s_1 = s_2$
- 2  $t_1 = t_2$
- 3  $p \setminus A = p \setminus B$  for all redex positions  $p$  in  $s_1$



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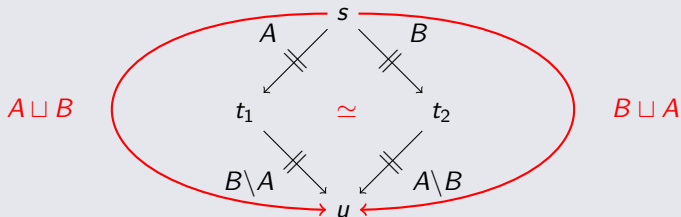


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## Parallel Moves Lemma (with Permutation Equivalence)

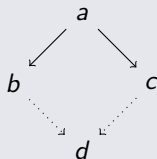


## Definition

- **diamond property**  $\diamond$

- $\leftarrow \cdot \rightarrow \subseteq \rightarrow \cdot \leftarrow$

- $\forall a, b, c$



$\exists d$

## Lemma

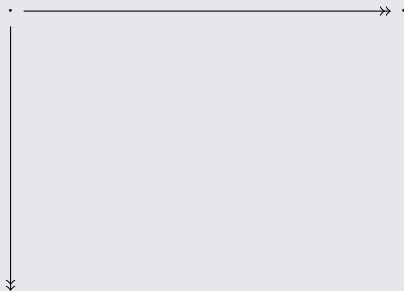
ARS  $\mathcal{A} = \langle A, \rightarrow \rangle$  is confluent if  $\rightarrow \subseteq \rightarrow_{\diamond} \subseteq \rightarrow^*$  for some relation  $\rightarrow_{\diamond}$  on  $A$  with diamond property



## Corollary

*orthogonal TRSs are confluent*

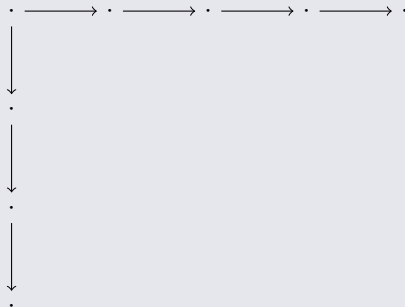
## Proof



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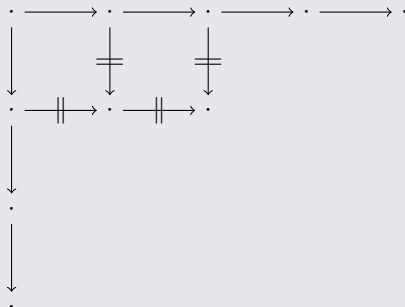




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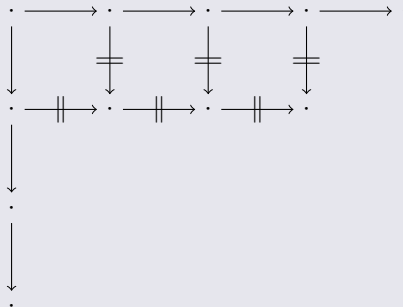
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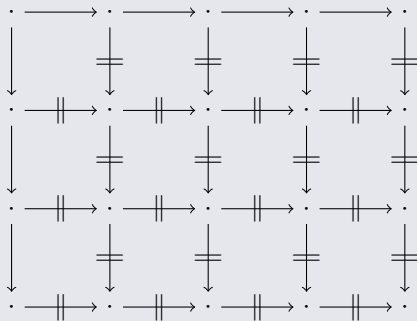
## Proof



## Corollary

*orthogonal TRSs are confluent*

## Proof



# Outline

- Orthogonality
- **Beyond Orthogonality**
- Modularity
- Further Reading



## Definitions

- critical pair  $s \leftarrow x \rightarrow t$  is **trivial** if  $s = t$





## Definitions

- critical pair  $s \leftarrow \times \rightarrow t$  is trivial if  $s = t$
- **weakly orthogonal** TRS is left-linear and has only trivial critical pairs



## Definitions

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- weakly orthogonal TRS is left-linear and has only trivial critical pairs

## Examples

$$x \vee T \rightarrow T$$

$$T \vee x \rightarrow T$$

$$F \vee F \rightarrow F$$

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$$p(s(x)) \rightarrow x$$

$$s(p(x)) \rightarrow x$$

## Definitions

- critical pair  $s \leftarrow \bowtie \rightarrow t$  is trivial if  $s = t$
- weakly orthogonal TRS is left-linear and has only trivial critical pairs
- overlay**  $s \leftarrow \bowtie \rightarrow t$  is critical pair originating from overlap  $\langle l_1 \rightarrow r_1, \epsilon, l_2 \rightarrow r_2 \rangle$

## Examples

$$x \vee T \rightarrow T$$

$$T \vee x \rightarrow T$$

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## Definitions

- critical pair  $s \leftarrow \bowtie \rightarrow t$  is trivial if  $s = t$
- weakly orthogonal TRS is left-linear and has only trivial critical pairs
- overlay  $s \leftarrow \bowtie \rightarrow t$  is critical pair originating from overlap  $\langle l_1 \rightarrow r_1, \epsilon, l_2 \rightarrow r_2 \rangle$
- weakly orthogonal TRS is **almost orthogonal** if all critical pairs are overlays

## Examples

$$x \vee T \rightarrow T$$

$$T \vee x \rightarrow T$$

$$F \vee F \rightarrow F$$

## Theorem

*weakly orthogonal TRSs are confluent*

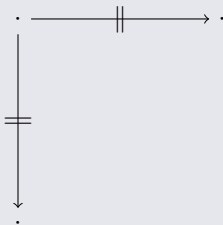


## Theorem

*weakly orthogonal TRSs are confluent*

## Proof Sketch

- $\leftarrow \cdot \rightarrow \subseteq \rightarrow \cdot \leftarrow$

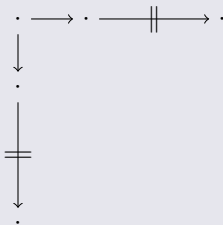


## Theorem

*weakly orthogonal TRSs are confluent*

## Proof Sketch

- $\leftarrow \parallel \cdot \parallel \rightarrow \subseteq \parallel \rightarrow \cdot \leftarrow \parallel$



interesting case

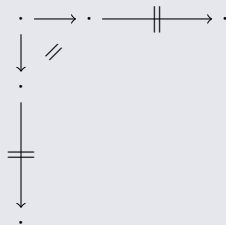


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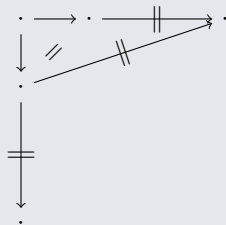
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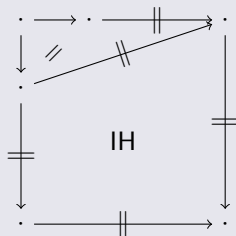
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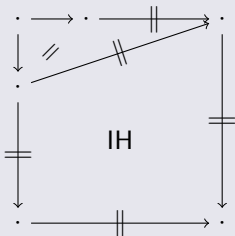
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interesting case

- $\rightarrow \subseteq \vdash \subseteq \rightarrow^*$

## Theorem (Huet 1980)

*left-linearity* &  $\leftarrow \times \rightarrow \subseteq \# \Rightarrow CR$

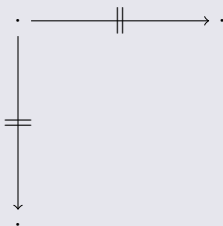


## Theorem (Huet 1980)

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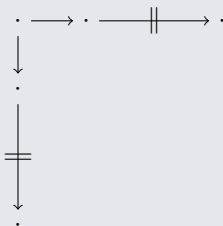


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- $\leftarrow \cdot \Vdash \subseteq \Vdash \cdot \leftarrow$



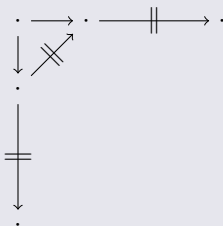
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interesting case



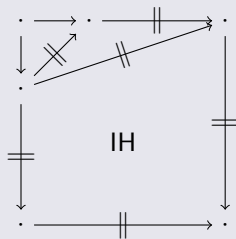


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- $\leftarrow \cdot \Vdash \subseteq \Vdash \cdot \leftarrow$



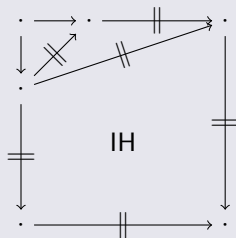
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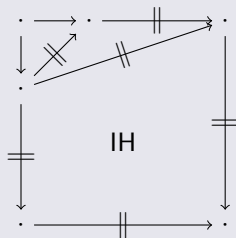
- $\rightarrow \subseteq \Vdash \subseteq \rightarrow^*$

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interesting case

- $\rightarrow \subseteq \Vdash \subseteq \rightarrow^*$

## Open Problem

$$\text{left-linearity} \ \& \ \leftarrow \times \rightarrow \subseteq \leftarrow \implies CR ?$$

## Example

$$\begin{array}{lcl} f(g(x), b) & \rightarrow & f(g(x), c) \\ g(c) & \rightarrow & g(a) \\ a & \rightarrow & c \\ b & \rightarrow & d \end{array}$$

## Theorem (Huet 1980)

*linearity* &  $\leftarrow \times \rightarrow \subseteq (\rightarrow^= \cdot * \leftarrow) \cap (\rightarrow^* \cdot = \leftarrow) \implies CR$

## Theorem (Huet 1980)

*linearity* &  $\leftarrow \bowtie \rightarrow \subseteq (\rightarrow^= \cdot * \leftarrow) \cap (\rightarrow^* \cdot = \leftarrow) \implies CR$

## Notation

$\leftarrow \bowtie \rightarrow = \leftarrow \bowtie \rightarrow \setminus \leftarrow \bowtie \rightarrow$



## Theorem (Huet 1980)

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## Theorem (Toyama 1988)

$$\text{left-linearity} \ \& \ \leftarrow \times \rightarrow \subseteq \# \ \& \ \leftarrow \times \rightarrow \subseteq \# \cdot * \leftarrow \implies CR$$



## Theorem (Huet 1980)

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## Theorem (Toyama 1988)

$$\text{left-linearity} \ \& \ \leftarrow \times \rightarrow \subseteq \# \ \& \ \leftarrow \bowtie \rightarrow \subseteq \# \cdot * \leftarrow \implies CR$$

## Theorem (van Oostrom 1996)

$$\text{left-linearity} \ \& \ \leftarrow \times \rightarrow \subseteq \rightarrow \ \& \ \leftarrow \bowtie \rightarrow \subseteq \rightarrow \cdot * \leftarrow \implies CR$$

# Outline

- Orthogonality
- Beyond Orthogonality
- **Modularity**
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## Definition

property of TRSs is **modular** if it is preserved under union



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## Definition

property of TRSs is **modular** if it is preserved under union

## Remark

*without further restrictions 'no' property of TRSs is modular*

<i>termination</i>	$a \rightarrow b$	$b \rightarrow a$
<i>confluence</i>	$a \rightarrow b$	$a \rightarrow c$

## Definition

property  $P$  is **preserved under signature extension** if

$$(\mathcal{F}, \mathcal{R}) \models P \implies (\mathcal{F} \cup \mathcal{G}, \mathcal{R}) \models P$$

for all TRSs  $(\mathcal{F}, \mathcal{R})$  and signatures  $\mathcal{G}$  with  $\mathcal{F} \cap \mathcal{G} \neq \emptyset$

## Definition

TRS  $\mathcal{R}$  over signature  $\mathcal{F}$

- defined symbols  $\mathcal{F}_{\mathcal{D}} = \{ \text{root}(l) \mid l \rightarrow r \in \mathcal{R} \}$



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## Definition

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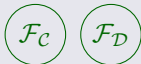
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## More Interesting Combinations

$(\mathcal{G}, \mathcal{S})$



$(\mathcal{F}, \mathcal{R})$



two TRSs

disjoint

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## More Interesting Combinations

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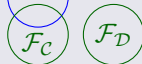


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two TRSs

disjoint



constructor-sharing

## Definition

TRS  $\mathcal{R}$  over signature  $\mathcal{F}$

- defined symbols  $\mathcal{F}_D = \{ \text{root}(l) \mid l \rightarrow r \in \mathcal{R} \}$
- constructors  $\mathcal{F}_C = \mathcal{F} \setminus \mathcal{F}_D$

## More Interesting Combinations

$(\mathcal{G}, \mathcal{S})$

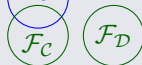


$(\mathcal{F}, \mathcal{R})$



two TRSs

disjoint



constructor-sharing



hierarchical

## Example

$$0 + y \rightarrow y$$

$$s(x) + y \rightarrow s(x + y)$$

$$0 - y \rightarrow 0$$

$$x - 0 \rightarrow x$$

$$s(x) - s(y) \rightarrow x - y$$

$$\text{nil} ++ x \rightarrow x$$

$$(x : y) ++ z \rightarrow x : (y ++ z)$$

$$\text{true} \wedge \text{false} \rightarrow \text{false}$$

$$\text{false} \wedge \text{true} \rightarrow \text{false}$$

$$x \wedge x \rightarrow x$$

$$\text{sum}(\text{nil}) \rightarrow 0$$

$$\text{sum}(x : y) \rightarrow x + \text{sum}(y)$$

$$0 \times y \rightarrow 0$$

$$s(x) \times y \rightarrow x \times y + y$$

$$\text{fib}(0) \rightarrow s(0)$$

$$\text{fib}(s(0)) \rightarrow s(0)$$

$$\text{fib}(s(s(x))) \rightarrow \text{fib}(s(x)) + \text{fib}(x)$$

$$0 \div s(y) \rightarrow 0$$

$$s(x) \div s(y) \rightarrow s((x - y) \div s(y))$$

$$x < 0 \rightarrow \text{false}$$

$$0 < s(y) \rightarrow \text{true}$$

$$s(x) < s(y) \rightarrow x < y$$

$$\text{length}(\text{nil}) \rightarrow 0$$

$$\text{length}(x : y) \rightarrow s(\text{length}(y))$$

## Example

①	$0 + y \rightarrow y$ $s(x) + y \rightarrow s(x + y)$	$0 \times y \rightarrow 0$ $s(x) \times y \rightarrow x \times y + y$	②
③	$0 - y \rightarrow 0$ $x - 0 \rightarrow x$ $s(x) - s(y) \rightarrow x - y$	$\text{fib}(0) \rightarrow s(0)$ $\text{fib}(s(0)) \rightarrow s(0)$ $\text{fib}(s(s(x))) \rightarrow \text{fib}(s(x)) + \text{fib}(x)$	④
⑤	$\text{nil} ++ x \rightarrow x$ $(x : y) ++ z \rightarrow x : (y ++ z)$	$0 \div s(y) \rightarrow 0$ $s(x) \div s(y) \rightarrow s((x - y) \div s(y))$	⑥
⑦	$\text{true} \wedge \text{false} \rightarrow \text{false}$ $\text{false} \wedge \text{true} \rightarrow \text{false}$ $x \wedge x \rightarrow x$	$x < 0 \rightarrow \text{false}$ $0 < s(y) \rightarrow \text{true}$ $s(x) < s(y) \rightarrow x < y$	⑧
⑨	$\text{sum}(\text{nil}) \rightarrow 0$ $\text{sum}(x : y) \rightarrow x + \text{sum}(y)$	$\text{length}(\text{nil}) \rightarrow 0$ $\text{length}(x : y) \rightarrow s(\text{length}(y))$	⑩

①

②

③

④

⑤

⑥

⑦

⑧

⑨

⑩

## Example

①	$0 + y \rightarrow y$ $s(x) + y \rightarrow s(x + y)$	$0 \times y \rightarrow 0$ $s(x) \times y \rightarrow x \times y + y$	②
③	$0 - y \rightarrow 0$ $x - 0 \rightarrow x$ $s(x) - s(y) \rightarrow x - y$	$\text{fib}(0) \rightarrow s(0)$ $\text{fib}(s(0)) \rightarrow s(0)$ $\text{fib}(s(s(x))) \rightarrow \text{fib}(s(x)) + \text{fib}(x)$	④
⑤	$\text{nil} ++ x \rightarrow x$ $(x : y) ++ z \rightarrow x : (y ++ z)$	$0 \div s(y) \rightarrow 0$ $s(x) \div s(y) \rightarrow s((x - y) \div s(y))$	⑥
⑦	$\text{true} \wedge \text{false} \rightarrow \text{false}$ $\text{false} \wedge \text{true} \rightarrow \text{false}$ $x \wedge x \rightarrow x$	$x < 0 \rightarrow \text{false}$ $0 < s(y) \rightarrow \text{true}$ $s(x) < s(y) \rightarrow x < y$	⑧
⑨	$\text{sum}(\text{nil}) \rightarrow 0$ $\text{sum}(x : y) \rightarrow x + \text{sum}(y)$	$\text{length}(\text{nil}) \rightarrow 0$ $\text{length}(x : y) \rightarrow s(\text{length}(y))$	⑩

① **+** ② ③ ④ ⑤ ⑥ ⑦ ⑧ ⑨ ⑩

h

## Example

①	$0 + y \rightarrow y$ $s(x) + y \rightarrow s(x + y)$	$0 \times y \rightarrow 0$ $s(x) \times y \rightarrow x \times y + y$	②
③	$0 - y \rightarrow 0$ $x - 0 \rightarrow x$ $s(x) - s(y) \rightarrow x - y$	$\text{fib}(0) \rightarrow s(0)$ $\text{fib}(s(0)) \rightarrow s(0)$ $\text{fib}(s(s(x))) \rightarrow \text{fib}(s(x)) + \text{fib}(x)$	④
⑤	$\text{nil} ++ x \rightarrow x$ $(x : y) ++ z \rightarrow x : (y ++ z)$	$0 \div s(y) \rightarrow 0$ $s(x) \div s(y) \rightarrow s((x - y) \div s(y))$	⑥
⑦	$\text{true} \wedge \text{false} \rightarrow \text{false}$ $\text{false} \wedge \text{true} \rightarrow \text{false}$ $x \wedge x \rightarrow x$	$x < 0 \rightarrow \text{false}$ $0 < s(y) \rightarrow \text{true}$ $s(x) < s(y) \rightarrow x < y$	⑧
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**h** **cs**

## Example

①	$0 + y \rightarrow y$ $s(x) + y \rightarrow s(x + y)$	$0 \times y \rightarrow 0$ $s(x) \times y \rightarrow x \times y + y$	②
③	$0 - y \rightarrow 0$ $x - 0 \rightarrow x$ $s(x) - s(y) \rightarrow x - y$	$\text{fib}(0) \rightarrow s(0)$ $\text{fib}(s(0)) \rightarrow s(0)$ $\text{fib}(s(s(x))) \rightarrow \text{fib}(s(x)) + \text{fib}(x)$	④
⑤	$\text{nil} ++ x \rightarrow x$ $(x : y) ++ z \rightarrow x : (y ++ z)$	$0 \div s(y) \rightarrow 0$ $s(x) \div s(y) \rightarrow s((x - y) \div s(y))$	⑥
⑦	$\text{true} \wedge \text{false} \rightarrow \text{false}$ $\text{false} \wedge \text{true} \rightarrow \text{false}$ $x \wedge x \rightarrow x$	$x < 0 \rightarrow \text{false}$ $0 < s(y) \rightarrow \text{true}$ $s(x) < s(y) \rightarrow x < y$	⑧
⑨	$\text{sum}(\text{nil}) \rightarrow 0$ $\text{sum}(x : y) \rightarrow x + \text{sum}(y)$	$\text{length}(\text{nil}) \rightarrow 0$ $\text{length}(x : y) \rightarrow s(\text{length}(y))$	⑩

① **+** **h**    ② **+** **cs**    ③ **+** **h**    ④    ⑤    ⑥    ⑦    ⑧    ⑨    ⑩



## Example

①	$0 + y \rightarrow y$ $s(x) + y \rightarrow s(x + y)$	$0 \times y \rightarrow 0$ $s(x) \times y \rightarrow x \times y + y$	②
③	$0 - y \rightarrow 0$ $x - 0 \rightarrow x$ $s(x) - s(y) \rightarrow x - y$	$\text{fib}(0) \rightarrow s(0)$ $\text{fib}(s(0)) \rightarrow s(0)$ $\text{fib}(s(s(x))) \rightarrow \text{fib}(s(x)) + \text{fib}(x)$	④
⑤	$\text{nil} ++ x \rightarrow x$ $(x : y) ++ z \rightarrow x : (y ++ z)$	$0 \div s(y) \rightarrow 0$ $s(x) \div s(y) \rightarrow s((x - y) \div s(y))$	⑥
⑦	$\text{true} \wedge \text{false} \rightarrow \text{false}$ $\text{false} \wedge \text{true} \rightarrow \text{false}$ $x \wedge x \rightarrow x$	$x < 0 \rightarrow \text{false}$ $0 < s(y) \rightarrow \text{true}$ $s(x) < s(y) \rightarrow x < y$	⑧
⑨	$\text{sum}(\text{nil}) \rightarrow 0$ $\text{sum}(x : y) \rightarrow x + \text{sum}(y)$	$\text{length}(\text{nil}) \rightarrow 0$ $\text{length}(x : y) \rightarrow s(\text{length}(y))$	⑩

① **+** **h**    ② **+** **cs**    ③ **+** **h**    ④ **+** **d**    ⑤    ⑥    ⑦    ⑧    ⑨    ⑩

## Example

①	$0 + y \rightarrow y$ $s(x) + y \rightarrow s(x + y)$	$0 \times y \rightarrow 0$ $s(x) \times y \rightarrow x \times y + y$	②
③	$0 - y \rightarrow 0$ $x - 0 \rightarrow x$ $s(x) - s(y) \rightarrow x - y$	$\text{fib}(0) \rightarrow s(0)$ $\text{fib}(s(0)) \rightarrow s(0)$ $\text{fib}(s(s(x))) \rightarrow \text{fib}(s(x)) + \text{fib}(x)$	④
⑤	$\text{nil} ++ x \rightarrow x$ $(x : y) ++ z \rightarrow x : (y ++ z)$	$0 \div s(y) \rightarrow 0$ $s(x) \div s(y) \rightarrow s((x - y) \div s(y))$	⑥
⑦	$\text{true} \wedge \text{false} \rightarrow \text{false}$ $\text{false} \wedge \text{true} \rightarrow \text{false}$ $x \wedge x \rightarrow x$	$x < 0 \rightarrow \text{false}$ $0 < s(y) \rightarrow \text{true}$ $s(x) < s(y) \rightarrow x < y$	⑧
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① **+** **h**    ② **+** **cs**    ③ **+** **h**    ④ **+** **d**    ⑤ **+** **h**    ⑥    ⑦    ⑧    ⑨    ⑩

## Example

①	$0 + y \rightarrow y$ $s(x) + y \rightarrow s(x + y)$	$0 \times y \rightarrow 0$ $s(x) \times y \rightarrow x \times y + y$	②
③	$0 - y \rightarrow 0$ $x - 0 \rightarrow x$ $s(x) - s(y) \rightarrow x - y$	$\text{fib}(0) \rightarrow s(0)$ $\text{fib}(s(0)) \rightarrow s(0)$ $\text{fib}(s(s(x))) \rightarrow \text{fib}(s(x)) + \text{fib}(x)$	④
⑤	$\text{nil} ++ x \rightarrow x$ $(x : y) ++ z \rightarrow x : (y ++ z)$	$0 \div s(y) \rightarrow 0$ $s(x) \div s(y) \rightarrow s((x - y) \div s(y))$	⑥
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① **+** **h**   ② **+** **cs**   ③ **+** **h**   ④ **+** **d**   ⑤ **+** **h**   ⑥ **+** **d**   ⑦   ⑧   ⑨   ⑩

## Example

①	$0 + y \rightarrow y$ $s(x) + y \rightarrow s(x + y)$	$0 \times y \rightarrow 0$ $s(x) \times y \rightarrow x \times y + y$	②
③	$0 - y \rightarrow 0$ $x - 0 \rightarrow x$ $s(x) - s(y) \rightarrow x - y$	$\text{fib}(0) \rightarrow s(0)$ $\text{fib}(s(0)) \rightarrow s(0)$ $\text{fib}(s(s(x))) \rightarrow \text{fib}(s(x)) + \text{fib}(x)$	④
⑤	$\text{nil} ++ x \rightarrow x$ $(x : y) ++ z \rightarrow x : (y ++ z)$	$0 \div s(y) \rightarrow 0$ $s(x) \div s(y) \rightarrow s((x - y) \div s(y))$	⑥
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## Example

①	$0 + y \rightarrow y$ $s(x) + y \rightarrow s(x + y)$	$0 \times y \rightarrow 0$ $s(x) \times y \rightarrow x \times y + y$	②
③	$0 - y \rightarrow 0$ $x - 0 \rightarrow x$ $s(x) - s(y) \rightarrow x - y$	$\text{fib}(0) \rightarrow s(0)$ $\text{fib}(s(0)) \rightarrow s(0)$ $\text{fib}(s(s(x))) \rightarrow \text{fib}(s(x)) + \text{fib}(x)$	④
⑤	$\text{nil} ++ x \rightarrow x$ $(x : y) ++ z \rightarrow x : (y ++ z)$	$0 \div s(y) \rightarrow 0$ $s(x) \div s(y) \rightarrow s((x - y) \div s(y))$	⑥
⑦	$\text{true} \wedge \text{false} \rightarrow \text{false}$ $\text{false} \wedge \text{true} \rightarrow \text{false}$ $x \wedge x \rightarrow x$	$x < 0 \rightarrow \text{false}$ $0 < s(y) \rightarrow \text{true}$ $s(x) < s(y) \rightarrow x < y$	⑧
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## Example

①	$0 + y \rightarrow y$ $s(x) + y \rightarrow s(x + y)$	$0 \times y \rightarrow 0$ $s(x) \times y \rightarrow x \times y + y$	②
③	$0 - y \rightarrow 0$ $x - 0 \rightarrow x$ $s(x) - s(y) \rightarrow x - y$	$\text{fib}(0) \rightarrow s(0)$ $\text{fib}(s(0)) \rightarrow s(0)$ $\text{fib}(s(s(x))) \rightarrow \text{fib}(s(x)) + \text{fib}(x)$	④
⑤	$\text{nil} ++ x \rightarrow x$ $(x : y) ++ z \rightarrow x : (y ++ z)$	$0 \div s(y) \rightarrow 0$ $s(x) \div s(y) \rightarrow s((x - y) \div s(y))$	⑥
⑦	$\text{true} \wedge \text{false} \rightarrow \text{false}$ $\text{false} \wedge \text{true} \rightarrow \text{false}$ $x \wedge x \rightarrow x$	$x < 0 \rightarrow \text{false}$ $0 < s(y) \rightarrow \text{true}$ $s(x) < s(y) \rightarrow x < y$	⑧
⑨	$\text{sum}(\text{nil}) \rightarrow 0$ $\text{sum}(x : y) \rightarrow x + \text{sum}(y)$	$\text{length}(\text{nil}) \rightarrow 0$ $\text{length}(x : y) \rightarrow s(\text{length}(y))$	⑩

① **+** **h**   ② **+** **cs**   ③ **+** **h**   ④ **+** **d**   ⑤ **+** **h**   ⑥ **+** **d**   ⑦ **+** **cs**   ⑧ **+** **h**   ⑨ **+** **cs**   ⑩

# Outline

- Orthogonality
  - Definitions
  - Descendants
  - Parallel Moves Lemma
- Beyond Orthogonality
- **Modularity**
  - Definitions
  - **Results**
- Further Reading



## Theorem (Toyama's Theorem)

*confluence is modular for disjoint TRSs*





## Theorem (Toyama's Theorem)

*confluence is modular for disjoint TRSs*

## Remark

*confluence is **not** modular for constructor-sharing TRSs*



## Theorem (Toyama's Theorem)

*confluence is modular for disjoint TRSs*

## Remark

*confluence is **not** modular for constructor-sharing TRSs*

## Example

$$\begin{array}{ll} f(x, x) \rightarrow a & c \rightarrow g(c) \\ f(x, g(x)) \rightarrow b & \end{array}$$

## Theorem (Toyama's Theorem)

*confluence is modular for disjoint TRSs*

## Remark

*confluence is **not** modular for constructor-sharing TRSs*

## Example

$$\begin{array}{l} f(x, x) \rightarrow a \\ f(x, g(x)) \rightarrow b \end{array} \qquad c \rightarrow g(c)$$

## Theorem (Toyama's Theorem)

*confluence is modular for disjoint TRSs*

## Remark

*confluence is **not** modular for constructor-sharing TRSs*

## Example

$$\begin{array}{ll} f(x, x) \rightarrow a & c \rightarrow g(c) \\ f(x, g(x)) \rightarrow b & \end{array}$$

$$a \leftarrow f(c, c) \rightarrow f(c, g(c)) \rightarrow b$$

## Theorem

*termination is **not** modular for disjoint TRSs*



## Theorem

termination is *not* modular for disjoint TRSs

## Example

$$f(a, b, x) \rightarrow f(x, x, x)$$

$$g(x, y) \rightarrow x$$

$$g(x, y) \rightarrow y$$

## Theorem

termination is *not* modular for disjoint TRSs

## Example

$$f(a, b, x) \rightarrow f(x, x, x)$$

$$g(x, y) \rightarrow x$$

$$g(x, y) \rightarrow y$$

$$f(a, b, g(a, b)) \rightarrow f(g(a, b), g(a, b), g(a, b))$$

## Theorem

termination is *not* modular for disjoint TRSs

## Example

$$f(a, b, x) \rightarrow f(x, x, x) \qquad g(x, y) \rightarrow x$$

$$g(x, y) \rightarrow y$$

$$f(a, b, g(a, b)) \rightarrow f(g(a, b), g(a, b), g(a, b))$$
$$\rightarrow f(a, g(a, b), g(a, b))$$



## Theorem

termination is *not* modular for disjoint TRSs

## Example

$$f(a, b, x) \rightarrow f(x, x, x) \qquad g(x, y) \rightarrow x$$

$$g(x, y) \rightarrow y$$

$$\begin{aligned} f(a, b, g(a, b)) &\rightarrow f(g(a, b), g(a, b), g(a, b)) \\ &\rightarrow f(a, g(a, b), g(a, b)) \\ &\rightarrow f(a, b, g(a, b)) \end{aligned}$$

## Theorem

termination is *not* modular for disjoint TRSs

## Example

$$f(a, b, x) \rightarrow f(x, x, x)$$

duplicating

$$\begin{aligned} f(a, b, g(a, b)) &\rightarrow f(g(a, b), g(a, b), g(a, b)) \\ &\rightarrow f(a, g(a, b), g(a, b)) \\ &\rightarrow f(a, b, g(a, b)) \end{aligned}$$

$$g(x, y) \rightarrow x$$

$$g(x, y) \rightarrow y$$

collapsing

## Theorem

*disjoint union of terminating TRSs  $\mathcal{R}$  and  $\mathcal{S}$  is terminating if*

- *$\mathcal{R}$  and  $\mathcal{S}$  lack collapsing rules*
- *$\mathcal{R}$  and  $\mathcal{S}$  lack duplicating rules*
- *$\mathcal{R}$  or  $\mathcal{S}$  lacks both collapsing and duplicating rules*



## Theorem

*disjoint union of terminating TRSs  $\mathcal{R}$  and  $\mathcal{S}$  is terminating if*

- *$\mathcal{R}$  and  $\mathcal{S}$  lack collapsing rules*
- *$\mathcal{R}$  and  $\mathcal{S}$  lack duplicating rules*
- *$\mathcal{R}$  or  $\mathcal{S}$  lacks both collapsing and duplicating rules*

## Corollary

*termination is preserved under signature extension*



## Theorem

termination is *not* modular for disjoint TRSs

## Example

$$f(a, b, x) \rightarrow f(x, x, x)$$

$$g(x, y) \rightarrow x$$

$$g(x, y) \rightarrow y$$

duplicating

not confluent

$$f(a, b, g(a, b)) \rightarrow f(g(a, b), g(a, b), g(a, b))$$

$$\rightarrow f(a, g(a, b), g(a, b))$$

$$\rightarrow f(a, b, g(a, b))$$

## Theorem

*termination is **not** modular for disjoint confluent TRSs*



## Theorem

termination is *not* modular for disjoint confluent TRSs

## Example

$$f(a, b, x) \rightarrow f(x, x, x) \quad a \rightarrow c$$

$$f(x, y, z) \rightarrow c \quad b \rightarrow c$$

$$g(x, y, y) \rightarrow x$$

$$g(y, y, x) \rightarrow x$$

## Theorem

termination is *not* modular for disjoint confluent TRSs

## Example

$$\begin{array}{lll} f(a, b, x) \rightarrow f(x, x, x) & a \rightarrow c & g(x, y, y) \rightarrow x \\ f(x, y, z) \rightarrow c & b \rightarrow c & g(y, y, x) \rightarrow x \end{array}$$

$$f(a, b, g(a, b, b)) \rightarrow f(g(a, b, b), g(a, b, b), g(a, b, b))$$



## Theorem

termination is *not* modular for disjoint confluent TRSs

## Example

$$\begin{array}{lll}
 f(a, b, x) \rightarrow f(x, x, x) & a \rightarrow c & g(x, y, y) \rightarrow x \\
 f(x, y, z) \rightarrow c & b \rightarrow c & g(y, y, x) \rightarrow x
 \end{array}$$

$$\begin{aligned}
 f(a, b, g(a, b, b)) &\rightarrow f(g(a, b, b), g(a, b, b), g(a, b, b)) \\
 &\rightarrow f(a, g(a, b, b), g(a, b, b))
 \end{aligned}$$

## Theorem

termination is *not* modular for disjoint confluent TRSs

## Example

$$\begin{array}{lll}
 f(a, b, x) \rightarrow f(x, x, x) & a \rightarrow c & g(x, y, y) \rightarrow x \\
 f(x, y, z) \rightarrow c & b \rightarrow c & g(y, y, x) \rightarrow x
 \end{array}$$

$$\begin{aligned}
 f(a, b, g(a, b, b)) &\rightarrow f(g(a, b, b), g(a, b, b), g(a, b, b)) \\
 &\rightarrow f(a, g(a, b, b), g(a, b, b)) \\
 &\rightarrow f(a, g(c, b, b), g(a, b, b))
 \end{aligned}$$

## Theorem

termination is *not* modular for disjoint confluent TRSs

## Example

$$\begin{array}{lll}
 f(a, b, x) \rightarrow f(x, x, x) & a \rightarrow c & g(x, y, y) \rightarrow x \\
 f(x, y, z) \rightarrow c & b \rightarrow c & g(y, y, x) \rightarrow x
 \end{array}$$

$$\begin{aligned}
 f(a, b, g(a, b, b)) &\rightarrow f(g(a, b, b), g(a, b, b), g(a, b, b)) \\
 &\rightarrow f(a, g(a, b, b), g(a, b, b)) \\
 &\rightarrow f(a, g(c, b, b), g(a, b, b)) \\
 &\rightarrow f(a, g(c, c, b), g(a, b, b))
 \end{aligned}$$

## Theorem

termination is *not* modular for disjoint confluent TRSs

## Example

$$\begin{array}{lll}
 f(a, b, x) \rightarrow f(x, x, x) & a \rightarrow c & g(x, y, y) \rightarrow x \\
 f(x, y, z) \rightarrow c & b \rightarrow c & g(y, y, x) \rightarrow x
 \end{array}$$

$$\begin{aligned}
 f(a, b, g(a, b, b)) &\rightarrow f(g(a, b, b), g(a, b, b), g(a, b, b)) \\
 &\rightarrow f(a, g(a, b, b), g(a, b, b)) \\
 &\rightarrow f(a, g(c, b, b), g(a, b, b)) \\
 &\rightarrow f(a, g(c, c, b), g(a, b, b)) \\
 &\rightarrow f(a, b, g(a, b, b))
 \end{aligned}$$

## Theorem

termination is *not* modular for disjoint confluent TRSs

## Example

$$\begin{array}{lll}
 f(a, b, x) \rightarrow f(x, x, x) & a \rightarrow c & g(x, y, y) \rightarrow x \\
 f(x, y, z) \rightarrow c & b \rightarrow c & g(y, y, x) \rightarrow x
 \end{array}$$

not left-linear

$$\begin{aligned}
 f(a, b, g(a, b, b)) &\rightarrow f(g(a, b, b), g(a, b, b), g(a, b, b)) \\
 &\rightarrow f(a, g(a, b, b), g(a, b, b)) \\
 &\rightarrow f(a, g(c, b, b), g(a, b, b)) \\
 &\rightarrow f(a, g(c, c, b), g(a, b, b)) \\
 &\rightarrow f(a, b, g(a, b, b))
 \end{aligned}$$

## Theorem

- *termination is modular for disjoint **left-linear** confluent TRSs*



## Theorem

termination is *not* modular for disjoint confluent TRSs

## Example

$$\begin{array}{lll}
 f(a, b, x) \rightarrow f(x, x, x) & a \rightarrow c & g(x, y, y) \rightarrow x \\
 f(x, y, z) \rightarrow c & b \rightarrow c & g(y, y, x) \rightarrow x
 \end{array}$$

no **constructor system**

not left-linear

$$\begin{aligned}
 f(a, b, g(a, b, b)) &\rightarrow f(g(a, b, b), g(a, b, b), g(a, b, b)) \\
 &\rightarrow f(a, g(a, b, b), g(a, b, b)) \\
 &\rightarrow f(a, g(c, b, b), g(a, b, b)) \\
 &\rightarrow f(a, g(c, c, b), g(a, b, b)) \\
 &\rightarrow f(a, b, g(a, b, b))
 \end{aligned}$$

## Theorem

- *termination is modular for disjoint left-linear confluent TRSs*
- *termination is modular for constructor-sharing confluent CSs*

## Definition

TRS  $\mathcal{R}$  over signature  $\mathcal{F}$  is **constructor system (CS)** if  $l_1, \dots, l_n \in \mathcal{T}(\mathcal{F}_C, \mathcal{V})$  for every left-hand side  $f(l_1, \dots, l_n)$  of rewrite rule in  $\mathcal{R}$





## Theorem

- *termination is modular for disjoint left-linear confluent TRSs*
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## Theorem

- *weak normalization is modular for constructor-sharing TRSs*
- *local confluence is modular for constructor-sharing TRSs*
- *semi-completeness is modular for constructor-sharing TRSs*

# Outline

- Orthogonality
- Beyond Orthogonality
- Modularity
- **Further Reading**





### Developing Developments

Vincent van Oostrom

TCS 175(1), pp. 159 – 181, 1997



### Modular Termination of $r$ -Consistent and Left-Linear Term Rewriting Systems

Manfred Schmidt-Schauß, Massimo Marchiori, and Sven Eric Panitz

TCS 149(2), pp. 361 – 374, 1995



### Modularity of Confluence – Constructed

Vincent van Oostrom

Proc. 4th IJCAR, LNAI 5195, pp. 348 – 363, 2008

## Confluence Tool

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