

A Combinatorial Framework for Complexity

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Combinatorial Framework for Complexity

Complexity Problem

abstract

- ▶ **complexity problem** \mathcal{P} is tuple $\langle \rightarrow, \mathcal{T} \rangle$

- ① \rightarrow is binary relation on terms

$$\rightarrow_{S/W} := \rightarrow_W^* \cdot \rightarrow_S \cdot \rightarrow_W^*$$

- S, W are TRSs

- $s \rightarrow_Q t$ if $s \rightarrow t$ and arguments of redex in s are Q normal forms

- ② \mathcal{T} is set of starting terms

- ▶ **complexity function** of \mathcal{P} is

$$\text{cp}_{\mathcal{P}}(n) := \max\{\text{dh}(t, \rightarrow) \mid t \in \mathcal{T} \text{ is term of size upto } n\}$$

- ▶ **canonical derivational complexity problem**

$$\langle \mathcal{R}/\emptyset, \emptyset, \text{all terms} \rangle$$

Complexity Problem

concrete

- ▶ **complexity problem** \mathcal{P} is tuple $\langle \mathcal{S}/\mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle$

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- ▶ **canonical runtime complexity problem**

$$\langle \mathcal{R}/\emptyset, \emptyset, \text{basic terms} \rangle$$

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- ▶ **canonical innermost runtime complexity problem**

$$\langle \mathcal{R}/\emptyset, \mathcal{R}, \text{basic terms} \rangle$$

Complexity Judgements, Processors and Proofs

complexity judgement is statement $\vdash \mathcal{P} : f$

- ▶ \mathcal{P} is a **complexity problem**
- ▶ $f : \mathbb{N} \rightarrow \mathbb{N}$ is **bounding function**
- ▶ **valid** if $\text{cp}_{\mathcal{P}}(n) \in O(f(n))$

complexity processor is inference rule

$$\frac{\vdash \mathcal{P}_1 : f_1 \quad \dots \quad \vdash \mathcal{P}_n : f_n}{\vdash \mathcal{P} : f}$$

- ▶ **sound** if validity of judgements preserved

complexity proof of $\vdash \mathcal{P} : f$ is a deduction using **sound** processors only.

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Orders for Complexity

collapsible orders

- ▶ order \succ on terms called **collapsible** if for mapping $G : \text{terms} \rightarrow \mathbb{N}$

$$s \succ t \text{ implies } G(s) \succ_{\mathbb{N}} G(t) \quad \text{for all steps } s \xrightarrow{Q}_{S/W} t$$

- ▶ order \succ induces complexity bound $f : \mathbb{N} \rightarrow \mathbb{N}$ on terms \mathcal{T}

$$G(t) \leq f(\text{size}(t)) \quad \text{for all } t \in \mathcal{T}$$

assume:

$$\begin{array}{ccccccc} t_1 & \xrightarrow{Q}_{S/W} & t_2 & \xrightarrow{Q}_{S/W} & t_3 & \xrightarrow{Q}_{S/W} & \dots & \xrightarrow{Q}_{S/W} & t_\ell \\ \vdots & & \vdots & & \vdots & & & & \vdots \\ t_1 & \succ & t_2 & \succ & t_3 & \succ & \dots & \succ & t_\ell \\ \vdots & & \vdots & & \vdots & & & & \vdots \\ G(t_1) & \succ_{\mathbb{N}} & G(t_2) & \succ_{\mathbb{N}} & G(t_3) & \succ_{\mathbb{N}} & \dots & \succ_{\mathbb{N}} & G(t_\ell) \end{array}$$

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Orders for Complexity

complexity pairs

$$\frac{\mathcal{S} \subseteq \succ \quad \mathcal{W} \subseteq \succcurlyeq}{\vdash \langle \mathcal{S}/\mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle : f}$$

① order \succ induces complexity $f : \mathbb{N} \rightarrow \mathbb{N}$ on \mathcal{T}

② \succcurlyeq preorder with $\succcurlyeq \cdot \succ \cdot \succcurlyeq \subseteq \succ$

③ (\succcurlyeq, \succ) are stable under substitutions and monotone

\sim order \succcurlyeq “monotone under \mathcal{W} -reducible argument positions”

\sim order \succ “monotone under \mathcal{S} -reducible argument positions”



Harald Zankl and Martin Korp

Modular Complexity Analysis via Relative Complexity.

Proc. 21st RTA, pages 385–400, 2010

Orders for Complexity

\mathcal{P} -monotone complexity pairs

$$\frac{\mathcal{S} \subseteq \succ \quad \mathcal{W} \subseteq \succcurlyeq}{\vdash \langle \mathcal{S}/\mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle : f}$$

- 1 order \succ induces complexity $f : \mathbb{N} \rightarrow \mathbb{N}$ on \mathcal{T}
- 2 \succcurlyeq preorder with $\succcurlyeq \cdot \succ \cdot \succcurlyeq \subseteq \succ$
- 3 (\succcurlyeq, \succ) are stable under substitutions and \mathcal{P} -monotone
 - \sim order \succcurlyeq “monotone under \mathcal{W} -reducible argument positions”
 - \sim order \succ “monotone under \mathcal{S} -reducible argument positions”

Orders For Complexity

practice

- + very powerful in theory
- difficult to synthesise
 - ① disaster for systems with many rules
 - ② implementations usually do not go beyond induced complexity n^3

⇒ decomposition into manageable pieces

- ① simplify rules
- ② reduce complexity

Experimental Evaluation

testsuite

straight forward translations of **resource aware ML** programs

machine

super nice workstation with 12 Intel[®] Core[™] i7-3930K (3.20GHz)

Experimental Evaluation

Input	#rules	direct	decompose	DG decompose
appendAll	12	$O(n^2)$	$O(n^2)$	$O(n)$
bfs	57	?	?	$O(n)$
bft mmult	59	?	?	$O(n^3)$
bitonic	78	?	?	$O(n^4)$
bitvectors	148	?	?	$O(n^2)$
clevermmult	39	?	?	$O(n^2)$
duplicates	37	?	$O(n^2)$	$O(n^2)$
dyade	31	?	?	$O(n^2)$
eratosthenes	74	?	$O(n^3)$	$O(n^2)$
flatten	31	?	?	$O(n^2)$
insertionsort	36	?	$O(n^3)$	$O(n^2)$
listsort	56	?	?	$O(n^2)$
lcs	87	?	?	$O(n^2)$
matrix	74	?	?	$O(n^3)$
mergesort	35	?	?	$O(n^3)$
minsort	26	?	$O(n^3)$	$O(n^2)$
queue	35	?	?	$O(n^3)$
quicksort	46	?	?	$O(n^2)$
rationalPotential	14	$O(n)$	$O(n)$	$O(n)$
splitandsort	70	?	?	$O(n^3)$
subtrees	8	?	$O(n^2)$	$O(n^2)$
tuples	33	?	?	?

Orders For Complexity

practice

- + very powerful in theory
 - very very very difficult to synthesise efficiently
 - ① disaster for systems with many rules
 - ② implementations usually do not go beyond induced complexity n^3
- ⇒ decomposition into manageable pieces
- ① simplify rules
 - ② reduce complexity

Additive Decomposition

$$\begin{array}{l} \mathcal{S}_1 \subseteq \succ \quad \mathcal{S}_2 \cup W \subseteq \succ \\ \hline \vdash \langle \mathcal{S}_1 / \mathcal{S}_2 \cup W, \mathcal{Q}, \mathcal{T} \rangle : f_1 \quad \vdash \langle \mathcal{S}_2 / \mathcal{S}_1 \cup W, \mathcal{Q}, \mathcal{T} \rangle : f_2 \\ \hline \vdash \langle \mathcal{S}_1 \cup \mathcal{S}_2 / W, \mathcal{Q}, \mathcal{T} \rangle : f_1 + f_2 \end{array}$$



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Additive Decomposition

$$\frac{\mathcal{S}_1 \subseteq \mathcal{Y} \quad \mathcal{S}_2 \cup \mathcal{W} \subseteq \mathcal{Z}}{\frac{\vdash \langle \mathcal{S}_1 / \mathcal{S}_2 \cup \mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle : f_1 \quad \vdash \langle \mathcal{S}_2 / \mathcal{S}_1 \cup \mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle : f_2}{\vdash \langle \mathcal{S}_1 \cup \mathcal{S}_2 / \mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle : f_1 + f_2}}$$



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Input	#rules	direct	decompose	DG decompose	secs
appendAll	12	$O(n^2)$	$O(n^2)$	$O(n)$	2.9
bfs	57	?	?	$O(n)$	27.9
bft mmult	59	?	?	$O(n^3)$	55.3
bitonic	78	?	?	$O(n^4)$	143.0
bitvectors	148	?	?	$O(n^2)$	35.5
clevermmult	39	?	?	$O(n^2)$	220.0
duplicates	37	?	$O(n^2)$	$O(n^2)$	3.5
dyade	31	?	?	$O(n^2)$	1.0
eratosthenes	74	?	$O(n^3)$	$O(n^2)$	15.8
flatten	31	?	?	$O(n^2)$	25.0
insertionsort	36	?	$O(n^3)$	$O(n^2)$	6.7
listsort	56	?	?	$O(n^2)$	22.8
lcs	87	?	?	$O(n^2)$	24.5
matrix	74	?	?	$O(n^3)$	72.4
mergesort	35	?	?	$O(n^3)$	29.0
minsort	26	?	$O(n^3)$	$O(n^2)$	2.4
queue	35	?	?	$O(n^5)$	148.4
quicksort	46	?	?	$O(n^2)$	38.4
rationalPotential	14	$O(n)$	$O(n)$	$O(n)$	0.27
splitandsort	70	?	?	$O(n^3)$	61.4
subtrees	8	?	$O(n^2)$	$O(n^2)$	3.6
tuples	33	?	?	?	-

Towards Modularity

dependency graph decomposition

Motivation

Let $\mathcal{D} = \{+, \times\}$ and $\mathcal{C} = \{0, s\}$ and consider following TRS \mathcal{R}_\times

$$\textcircled{1} : 0 \times y \rightarrow 0 \qquad \textcircled{2} : s(x) \times y \rightarrow y + (x \times y)$$

$$\textcircled{3} : 0 + y \rightarrow y \qquad \textcircled{4} : s(x) + y \rightarrow s(x + y)$$

$\text{dh}(m \times n, \rightarrow_{\mathcal{R}_\times}) \in O(m \cdot n)$

① #steps \times $O(m)$

② #steps $+$ $O(m \cdot n)$

2.1 #calls linear in #steps \times

2.2 #calls linear in #steps $+$

③ total: $O(m \cdot n)$



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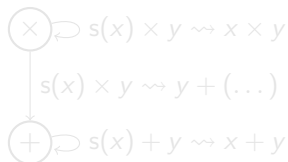
$$\textcircled{1} \text{ \#steps } \times \qquad O(m)$$

$$\textcircled{2} \text{ \#steps } + \qquad O(m \cdot n)$$

2.1 #calls linear in #steps \times

2.2 #recursions linear in first argument

$$\textcircled{3} \text{ total:} \qquad O(m \cdot n)$$



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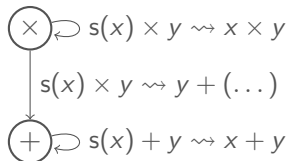
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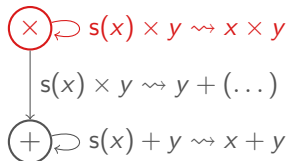
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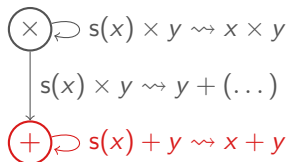
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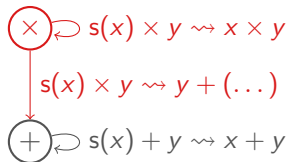
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2.1 #calls linear in #steps \times	$O(m)$
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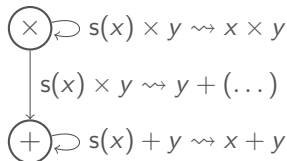
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$$\textcircled{2} \text{ \#steps } + \qquad O(m \cdot n)$$

2.1 #calls linear in #steps \times	$O(m)$
2.2 #recursions linear in n	$O(n)$

$$\textcircled{3} \text{ total:} \qquad O(m \cdot n)$$



Motivation

Let $\mathcal{D} = \{+, \times\}$ and $\mathcal{C} = \{0, s\}$ and consider following TRS \mathcal{R}_\times

$$\textcircled{1} : 0 \times y \rightarrow 0 \qquad \textcircled{2} : s(x) \times y \rightarrow y + (x \times y)$$

$$\textcircled{3} : 0 + y \rightarrow y \qquad \textcircled{4} : s(x) + y \rightarrow s(x + y)$$

$$\text{dh}(\mathbf{m} \times \mathbf{n}, \rightarrow_{\mathcal{R}_\times}) \in O(m \cdot n)$$

howto formalise?

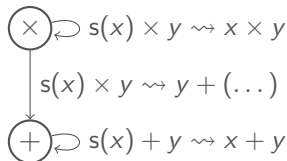
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Dependency Pair Complexity Problems

- ▶ **dependency pair for termination** is rule $l^\# \rightarrow r^\#$
 - $l, r \in \mathcal{T}(\mathcal{F}, \mathcal{V})$
 - $f(t_1, \dots, t_n)^\# = f^\#(t_1, \dots, t_n)$ and $x^\# = x$ otherwise

- ▶ DP complexity problem is complexity problem

$$\langle \mathcal{S}^\# \cup \mathcal{S} / \mathcal{W}^\# \cup \mathcal{W}, \mathcal{Q}, \mathcal{T}^\# \rangle$$

- $\mathcal{S}^\#, \mathcal{W}^\#$ are two sets of dependency pairs
- \mathcal{S}, \mathcal{W} and \mathcal{Q} are TRSs as before
- $\mathcal{T}^\#$ some marked and basic terms

Dependency Pair Complexity Problems

- ▶ **dependency pair for complexity** is rule $l^\# \rightarrow c_n(r_1^\#, \dots, r_n^\#)$
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Dependency Pair Complexity Problems

- ▶ sound (complete) processors that translate to DP problems exist



Nao Hirokawa and Georg Moser

Automated Complexity Analysis Based on the Dependency Pair Method

Proc. 4th IJCAR, pages 364–379, 2008.



Lars Noschinski, Fabian Emmes, and Jürgen Giesl

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$$\frac{\vdash \langle \{ \times, + \} / \mathcal{R}_\times, \mathcal{R}_\times, \text{basic terms}^\# \rangle : f}{\vdash \langle \mathcal{R}_\times / \emptyset, \emptyset, \text{basic terms} \rangle : f}$$

$$\times : s(x) \times^\# y \rightarrow c_2(y +^\# (x \times y), x \times^\# y)$$

$$+ : s(x) +^\# y \rightarrow x +^\# y$$

Dependency Graph

dependency graph of $\langle S^\# \cup S/W^\# \cup W, Q, T^\# \rangle$ is graphs such that

- ① nodes are dependency pairs $S^\# \cup W^\#$
- ② there is edge

$$(s^\# \rightarrow c_n(t_1^\#, \dots, t_n^\#)) \rightarrow (u^\# \rightarrow c_m(v_1^\#, \dots, v_m^\#))$$

if there exists substitutions σ, τ such that $t_i^\# \sigma \xrightarrow{Q}_{S \cup W}^* u^\# \tau$

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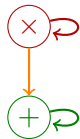
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Dependency Graph

Example

$3 \times^{\#} 2$



$$\mathcal{S}_{\uparrow}^{\#} := \{ s(x) \times^{\#} y \rightarrow c_2(y +^{\#} (x \times y)), x \times^{\#} y \}$$

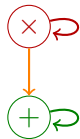
$$\mathcal{S}_{\downarrow}^{\#} := \{ s(x) +^{\#} y \rightarrow x +^{\#} y \}$$

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Dependency Graph

Example

$3 \times^{\#} 2$



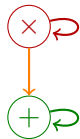
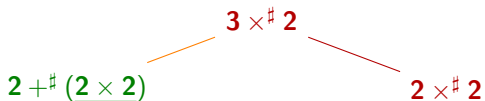
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Dependency Graph

Example



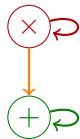
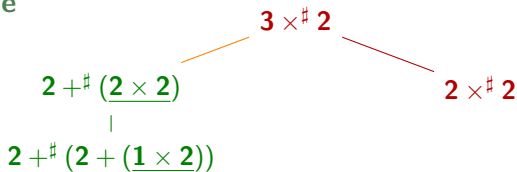
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Dependency Graph

Example



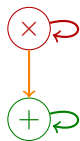
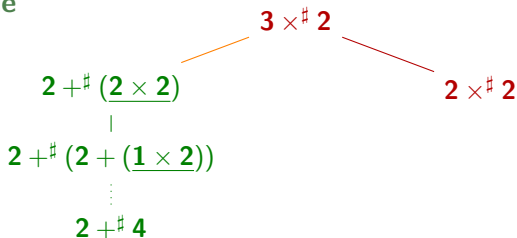
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Dependency Graph

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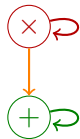
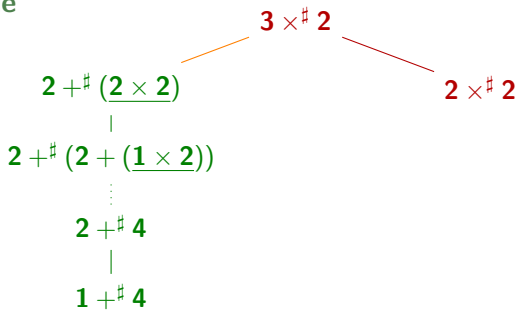
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Dependency Graph

Example



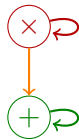
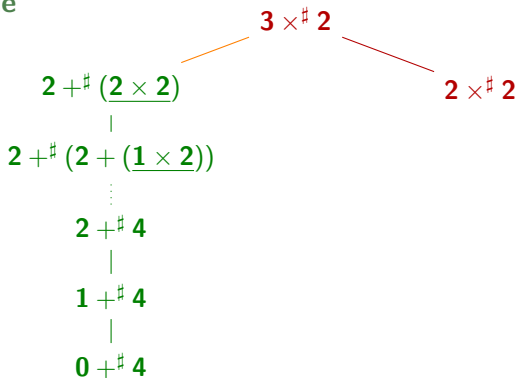
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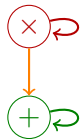
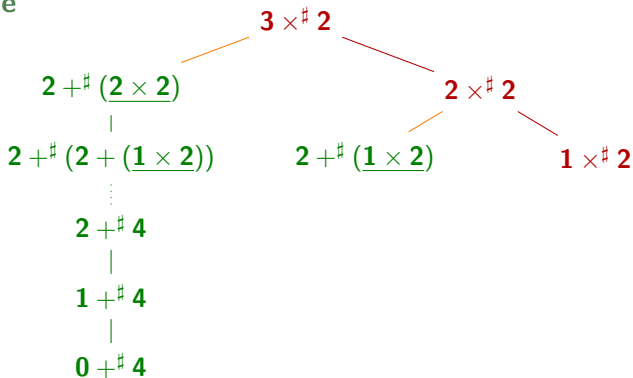
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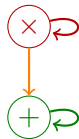
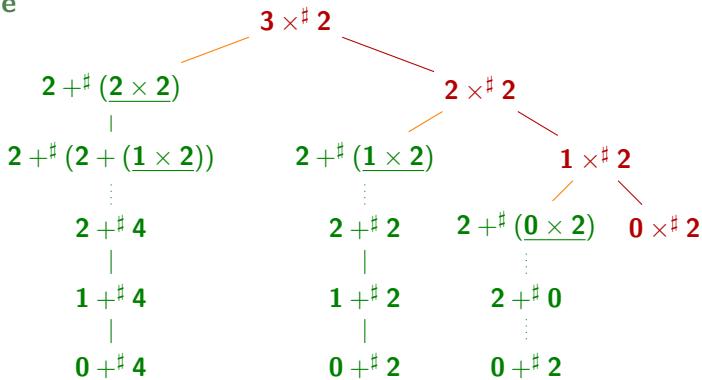
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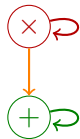
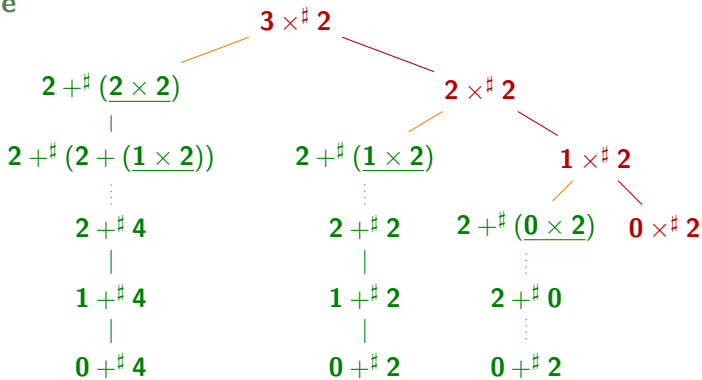
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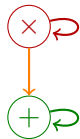
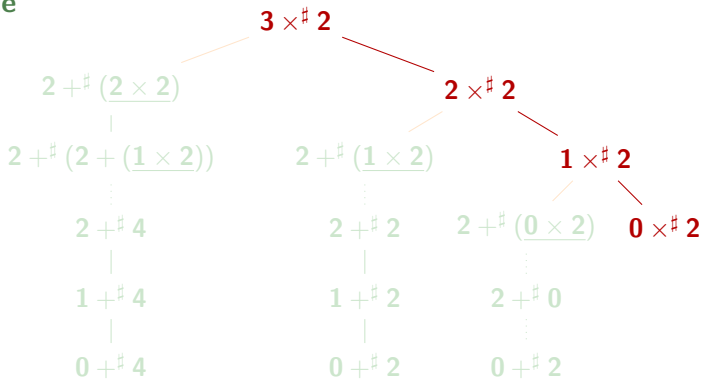
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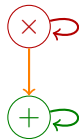
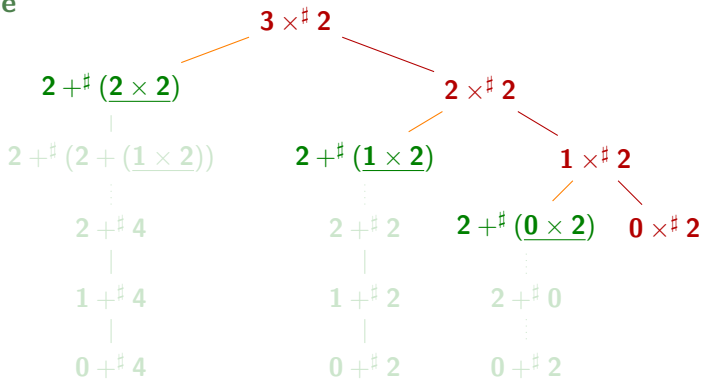
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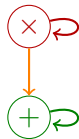
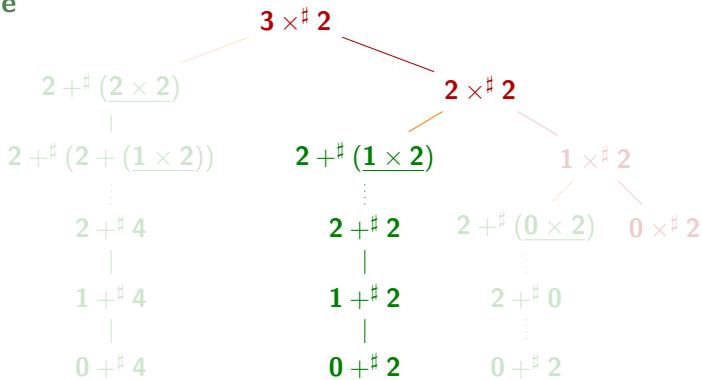
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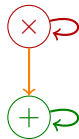
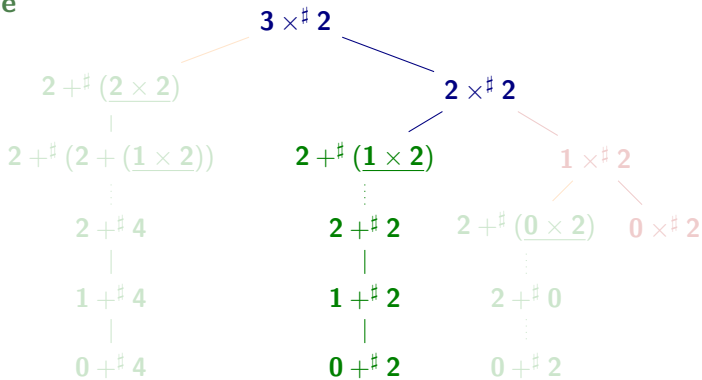
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Dependency Graph Decomposition

consider $\mathcal{P} = \langle \mathcal{S}^\# \cup \mathcal{S}/\mathcal{W}^\# \cup \mathcal{W}, \mathcal{Q}, \mathcal{T}^\# \rangle$ with dependency graph \mathcal{G} .

- ▶ partition $\mathcal{S}^\# = \mathcal{S}_\downarrow^\# \cup \mathcal{S}_\uparrow^\#$ and $\mathcal{W}^\# = \mathcal{W}_\downarrow^\# \cup \mathcal{W}_\uparrow^\#$
- ▶ suppose $\mathcal{S}_\downarrow^\# \cup \mathcal{W}_\downarrow^\#$ closed under successors in \mathcal{G}
- ▶ define $\text{sep}(l^\# \rightarrow c(r_1^\#, \dots, r_m^\#)) := \{l^\# \rightarrow r_i^\# \mid i = 1, \dots, m\}$
- ▶ predecessors of $\mathcal{S}_\downarrow^\# \cup \mathcal{W}_\downarrow^\#$ in \mathcal{G} contained in $\mathcal{S}_\uparrow^\#$

$$\frac{\vdash \langle \mathcal{S}_\uparrow^\# \cup \mathcal{S}/\mathcal{W}_\uparrow^\# \cup \mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle : f \quad \vdash \langle \mathcal{S}_\downarrow^\# \cup \mathcal{S}/\mathcal{W}_\downarrow^\# \cup \text{sep}(\mathcal{S}_\uparrow^\# \cup \mathcal{W}_\uparrow^\#) \cup \mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle : g}{\vdash \langle \mathcal{S}^\# \cup \mathcal{S}/\mathcal{W}^\# \cup \mathcal{W}, \mathcal{Q}, \mathcal{T} \rangle : \lambda n. f(n) * g(n)}$$

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Experimental Evaluation

Input	#rules	direct	decompose	DG decompose	secs
appendAll	12	$O(n^2)$	$O(n^2)$	$O(n)$	2.9
bfs	57	?	?	$O(n)$	27.9
bft mmult	59	?	?	$O(n^3)$	55.3
bitonic	78	?	?	$O(n^4)$	143.0
bitvectors	148	?	?	$O(n^2)$	35.5
clevermmult	39	?	?	$O(n^2)$	220.0
duplicates	37	?	$O(n^2)$	$O(n^2)$	3.5
dyade	31	?	?	$O(n^2)$	1.0
eratosthenes	74	?	$O(n^3)$	$O(n^2)$	15.8
flatten	31	?	?	$O(n^2)$	25.0
insertionsort	36	?	$O(n^3)$	$O(n^2)$	6.7
listsort	56	?	?	$O(n^2)$	22.8
lcs	87	?	?	$O(n^2)$	24.5
matrix	74	?	?	$O(n^3)$	72.4
mergesort	35	?	?	$O(n^3)$	29.0
minsort	26	?	$O(n^3)$	$O(n^2)$	2.4
queue	35	?	?	$O(n^5)$	148.4
quicksort	46	?	?	$O(n^2)$	38.4
rationalPotential	14	$O(n)$	$O(n)$	$O(n)$	0.27
splitandsort	70	?	?	$O(n^3)$	61.4
subtrees	8	?	$O(n^2)$	$O(n^2)$	3.6
tuples	33	?	?	?	-

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listsort	56	?	?	$O(n^2)$	22.8
lcs	87	?	?	$O(n^2)$	24.5
matrix	74	?	?	$O(n^3)$	72.4
mergesort	35	?	?	$O(n^3)$	29.0
minsort	26	?	$O(n^3)$	$O(n^2)$	2.4
queue	35	?	?	$O(n^5)$	148.4
quicksort	46	?	?	$O(n^2)$	38.4
rationalPotential	14	$O(n)$	$O(n)$	$O(n)$	0.27
splitandsort	70	?	?	$O(n^3)$	61.4
subtrees	8	?	$O(n^2)$	$O(n^2)$	3.6
tuples	33	?	?	?	-