

Revisiting Matrix Interpretations for Polynomial Derivational Complexity of Term Rewriting

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Theorem (Moser, Schnabl and Waldmann 2008)

If \mathcal{R} admits a *triangular* matrix interpretation \mathcal{M} over \mathbb{N} of *dimension* n , then

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compatible triangular matrix interpretation \mathcal{M}

$$a_{\mathcal{M}}(\vec{x}) = \begin{pmatrix} 1 & 2 & 1 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix} \vec{x} + \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix} \quad b_{\mathcal{M}}(\vec{x}) = \begin{pmatrix} 1 & 0 & 2 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix} \vec{x} + \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$$

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- allow non-triangular matrices without losing polynomial boundedness
- extend to \mathbb{R} and \mathbb{Q}

Outline

- Introduction
- **Preliminaries**
- Establishing Derivational Complexity
- Polynomial Growth of Matrix Products
- Experimental Results

Definitions

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- $\mathbb{R}^+ = \{x \in \mathbb{R} \mid x > 0\}$ and $\mathbb{R}_0 = \{x \in \mathbb{R} \mid x \geq 0\}$
- relation $>_\delta$ on \mathbb{R} for every $\delta \in \mathbb{R}^+$: $x >_\delta y$ if $x - y \geq \delta$

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Observation

- matrix interpretation \mathcal{M} maps

$$t = t_0 \rightarrow t_1 \rightarrow t_2 \rightarrow t_3 \rightarrow t_4 \rightarrow \dots$$

to **decreasing sequence** of vectors of non-negative numbers

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if \mathcal{M} is compatible with TRS \mathcal{R} , then $\text{dc}_{\mathcal{R}}$ is asymptotically bounded by $\text{growth}_{\mathcal{M}}$

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- **general solution** = linear combination of

$$\lambda_i^k, \quad k \lambda_i^k, \quad k^2 \lambda_i^k, \quad \dots, \quad k^{m_{\lambda_i} - 1} \lambda_i^k$$

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$(M_1 \cdot M_2 \cdot \dots \cdot M_k)_{i,j} \in \mathcal{O}(k^d) \implies \text{dc}_{\mathcal{R}}(k) \in \mathcal{O}(k^{d+1})$

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If \mathcal{R} admits a matrix interpretation \mathcal{M} of dimension n , such that $\rho(A) \leq 1$ for the component-wise maximum A of all matrices in \mathcal{M} , then $\text{dc}_{\mathcal{R}}(k) = \mathcal{O}(k^{d+1})$

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Remark

holds for matrix interpretations over \mathbb{N} , \mathbb{Q} and \mathbb{R}

Example

$$f(f(x)) \rightarrow f(c(f(x))) \quad c(c(x)) \rightarrow x$$

compatible matrix interpretation \mathcal{M}

$$f_{\mathcal{M}}(\vec{x}) = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \vec{x} + \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \quad c_{\mathcal{M}}(\vec{x}) = \begin{pmatrix} 1 & 0 & 2 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \vec{x}$$

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- no compatible triangular matrix interpretations!

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Outline

- Introduction
- Preliminaries
- Establishing Derivational Complexity
- Polynomial Growth of Matrix Products
- Experimental Results

Experimental Results

	$\mathcal{O}(k)$	$\mathcal{O}(k^2)$	$\mathcal{O}(k^3)$	$\mathcal{O}(k^n)$
MSW08	46	158	177	203
NZM10	88	200	209	212

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- allows **non-triangular** matrices without losing polynomial boundedness

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- replace the rough overestimate $M_1 \cdot M_2 \cdot \dots \cdot M_k \leq A^k$ by a more concise analysis using **Joint Spectral Radius Theory**

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- provides a characterization of **polynomially bounded** matrix interpretations over \mathbb{N} (not \mathbb{Q} and \mathbb{R}) using automata theory

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