

# Reachability Problems for Controlled Rewriting Systems

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I have ever studied about reachability and regularity preservation under some strategies (e.g. innermost, context-sensitive, and etc.), but in some problems, these strategies are not sufficient to represent (e.g. XML update).

Hence we should formalize another strategy.

## Related work

- F. Jacquemard and M. Rusinowitch, *Rewrite based verification for XML update*, PPDP 2010.

This paper formalize controlled TRS by XPath expression and global constrained TRS to express XML update.

- Introduction of controlled string rewriting systems (CSRS).
- Proofs of undecidability and decidability of reachability and regular model checking ( $R^*(L_{\text{in}}) \cap L_{\text{err}} \neq \emptyset$  where  $R$  is CSRS,  $L_{\text{in}}$  and  $L_{\text{err}}$  are regular language) for controlled string rewriting systems (CSRS).
- Extension of CSRS to the following three kinds of controlled term rewriting systems (CTRS) by means of tree automata:
  - 1 full-control,
  - 2 monadic-control, and
  - 3 prefix-control.
- Proofs of undecidability and decidability of reachability and regular model checking for above CTRS.

# Controlled string rewriting systems (CSRS)

[P. Butzbach 73] and [L. Chottin 79] formalized. CSRS can control prefix of redexes by regular languages.

## Definition (rewrite rule)

Each rewrite rule is of the form:

$$v_1 \rightarrow v_2 \text{ if } L\underline{v_1}$$

where  $v_1, v_2 \in \Sigma^*$  and  $L$  is regular language over  $\Sigma$  for the set of alphabet  $\Sigma$ .

## Definition (rewrite relation)

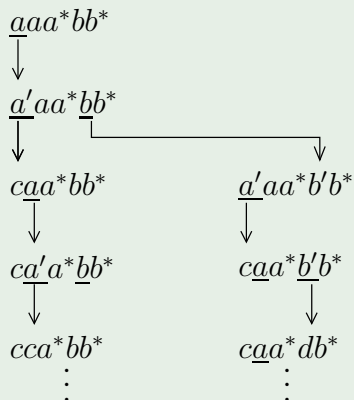
$s$  can be rewritten to  $t$  by an above rewrite rule if

$$s = uv_1w, t = uv_2w \text{ and } u \in L.$$

# Controlled string rewriting systems

## Example

$$R = \left\{ \begin{array}{ll} a \rightarrow a' & \text{if } c^* \underline{a} \\ a' \rightarrow c & \text{if } c^* \underline{a'} \\ b \rightarrow b' & \text{if } c^* a' a^* d^* \underline{b} \\ b' \rightarrow d & \text{if } c^* a^* d^* \underline{b'} \end{array} \right\}$$



$$R^*(a^*b^*) \cap \{c, d\}^* = \{c^i d^j \mid j \leq i\} \text{ (context-free language).}$$

## Theorem

- *Regular model checking is undecidable for **length-one** CSRS.*
- *Reachability is undecidable for flat CSRS.*

### Flat CSRS

- *CSRS where each rule is of the form  $a \rightarrow b$ ,  $a \rightarrow bc$ , or  $ab \rightarrow c$  and latter two rules can be only applied at right-end of strings.*

## Proof (Undecidability of reachability).

Simulating emptiness problem of context-sensitive grammar.

### Context-sensitive grammar

- Grammar composed by quadruple  $\langle N, T, P, S \rangle$  where each rule in  $P$  is of the form  $\alpha A \beta \rightarrow \alpha \gamma \beta$  for  $\alpha, \beta \in (N \cup T)^*$ ,  $A \in N$ , and  $\gamma \in (N \cup T)^+$ .
- Simulated by the rules  $S \rightarrow SI$ ,  $AB \rightarrow AC$  and  $A \rightarrow a$  [M. Penttonen 74] where  $I, A, B, C \in N$  and  $a \in T$ .
- Membership problems are PSPACE-complete, and emptiness problems are undecidable.



## Proof (Undecidable properties of reachability).

Simulate a CSG  $G = \langle N, T, P, S \rangle$  where each production rule in  $P$  is of the form  $S \rightarrow SI$ ,  $AB \rightarrow AC$ , or  $A \rightarrow a$ .

Let CSRS  $R$  as the following:

$$R_1 = \{S \rightarrow SI \text{ if } \underline{S} \mid S \rightarrow SI \in P\},$$

$$R_2 = \{B \rightarrow C \text{ if } (N \cup T)^* \underline{AB} \mid AB \rightarrow AC \in P\},$$

$$R_3 = \{A \rightarrow a \text{ if } (N \cup T)^* \underline{A} \mid A \rightarrow a \in P\}, \text{ and}$$

$$R_4 = \{ab \rightarrow a \text{ if } T^* \underline{ab}, a \rightarrow \# \text{ if } \underline{a} \mid a, b \in T\},$$

$$R = R_1 \cup R_2 \cup R_3 \cup R_4.$$

Then, we have

$$S \xrightarrow{R_1} SI \cdots I \xrightarrow{R_2} A_1 \cdots A_n \xrightarrow{R_3} a_1 \cdots a_n \xrightarrow{R_4} \#$$

iff  $a_1 \cdots a_n$  is produced by CSG  $G$  and hence we have  $S \xrightarrow{R} \#$  iff  $\mathcal{L}(G) \neq \emptyset$ . □



## Theorem

Reachability for *non-length-decreasing* CSRS is PSPACE-complete.

## Lemma

For CSRS  $R$  over  $\Sigma$  and CSG  $G$  s.t.  $L(G) = \{a_1 \cdots a_n\}$ , we can construct a CSG  $G_*$  s.t.  $L(G_*) = \{t \mid a_1 \cdots a_n \xrightarrow{R} t\}$ .

## Proof (sketch).

$$\begin{array}{ccc}
 S & \xrightarrow[G, G_*]{*} & A_1^l A_2 \cdots A_i \cdots A_n & \xrightarrow[G, G_*]{*} & a_1 \cdots a_i \cdots a_n \\
 & & \downarrow G_* & & \downarrow a_i \rightarrow b_1 \cdots b_m \\
 & & \langle A_1^l, q_{in} \rangle A_2 \cdots A_i \cdots A_n & & \text{if } \underline{L a_i} \\
 & & \downarrow G_* & & \downarrow \\
 \langle A_1^l, q_{in} \rangle \langle A_2, q_2 \rangle \cdots \langle A_i, q_f \rangle \cdots A_n & & & & a_1 \cdots b_1 \cdots b_m \cdots a_n \\
 & & \downarrow G_* & & \uparrow G_* \\
 \langle A_1^l, q_{in} \rangle \langle A_2, q_2 \rangle \cdots B_1 \cdots B_m \cdots A_n & & & & A_1^l A_2 \cdots B_1 \cdots B_m \cdots A_n \\
 & & & & \nearrow G_*
 \end{array}$$

**Input:** CSRS  $R = \{a_i \rightarrow b_1 \cdots b_m \text{ if } \underline{L a_i}\}$  and CSG  $G$  s.t.  
 $\mathcal{L}(G) = \{a_1 \cdots a_i \cdots a_n\}$ .

**Output:** CSG  $G_*$  s.t.  $\mathcal{L}(G_*) = \{a_1 \cdots a_i \cdots a_n, a_1 \cdots b_1 \cdots b_m \cdots a_n\}$



## Proof (sketch).

$$\begin{array}{ccc}
 S & \xrightarrow[G]{*} & A_1^l A_2 \cdots A_i \cdots A_n & \xrightarrow[G]{*} & a_1 \cdots a_i \cdots a_n \\
 & & & & \downarrow a_i \rightarrow b_1 \cdots b_m \\
 & & & & \text{if } \underline{L}a_i \\
 & & & & a_1 \cdots b_1 \cdots b_m \cdots a_n
 \end{array}$$

- $a_1 \cdots a_i \cdots a_n$  is rewritten to  $a_1 \cdots b_1 \cdots b_m \cdots a_n$  by the rule  $a_i \rightarrow b_1 \cdots b_m$  if  $\underline{L}a_i$ .
- CSG  $G$  produce  $a_1 \cdots a_i \cdots a_n$  as follows:
  - ①  $G$  has non-terminal  $A$  that corresponds to  $a \in \Sigma$  and its terminal is  $\Sigma$
  - ② Firstly,  $G$  produces  $A_1^l \cdots A_i \cdots A_n$ .
  - ③ Next,  $G$  produces  $a_1 \cdots a_i \cdots a_n$  by the rules of the form  $A_k \rightarrow a_k \in P$ . Terminals are only produced by such rules.



# Proof (sketch).

$$\begin{array}{ccc}
 S & \xrightarrow[G, G_*]{*} & A_1^l A_2 \cdots A_i \cdots A_n & \xrightarrow[G, G_*]{*} & a_1 \cdots a_i \cdots a_n \\
 & & \downarrow G_* & & \downarrow a_i \rightarrow b_1 \cdots b_m \\
 & & \langle A_1^l, q_{in} \rangle A_2 \cdots A_i \cdots A_n & & \text{if } \underline{L}a_i \\
 & & \downarrow G_* & & \\
 & & \langle A_1^l, q_{in} \rangle \langle A_2, q_2 \rangle \cdots \langle A_i, q_f \rangle \cdots A_n & & \\
 & & \downarrow G_* & & \\
 & & \langle A_1^l, q_{in} \rangle \langle A_2, q_2 \rangle \cdots B_1 \cdots B_m \cdots A_n & & \\
 & & & & a_1 \cdots b_1 \cdots b_m \cdots a_n
 \end{array}$$

$G_*$  simulate DFA  $\mathcal{A}$  that corresponds to  $L$  in the rewrite rule.

- 1 Produce non-terminal  $\langle A_1^l, q_{in} \rangle$  from  $A_1^l$  ( $l$  is marker for left-end).
- 2 Simulate transition  $\delta(a_k, q_k) \rightarrow q'_k$  of  $\mathcal{A}$  by the production rule  $\langle A_k, q_k \rangle A_{k+1} \rightarrow \langle A_k, q_k \rangle \langle A_{k+1}, q'_k \rangle$ .
- 3 If  $q_f$  is final state of  $\mathcal{A}$ , then it implies  $a_1 \cdots a_{i-1} \in L(\mathcal{A})$  and  $B_1 \cdots B_m$  is produced by the rule  $\langle A_i, q_f \rangle \rightarrow B_1 \cdots B_m$ .

## Proof (sketch).

$$\begin{array}{ccc}
 S & \xrightarrow[G, G_*]{*} & A_1^l A_2 \cdots A_i \cdots A_n & \xrightarrow[G, G_*]{*} & a_1 \cdots a_i \cdots a_n \\
 & & \downarrow G_* & & \downarrow a_i \rightarrow b_1 \cdots b_m \\
 & & \langle A_1^l, q_{in} \rangle A_2 \cdots A_i \cdots A_n & & \text{if } \underline{L}a_i \\
 & & \downarrow G_* & & \downarrow \\
 & & \langle A_1^l, q_{in} \rangle \langle A_2, q_2 \rangle \cdots \langle A_i, q_f \rangle \cdots A_n & & a_1 \cdots b_1 \cdots b_m \cdots a_n \\
 & & \downarrow G_* & & \uparrow G_* \\
 & & \langle A_1^l, q_{in} \rangle \langle A_2, q_2 \rangle \cdots B_1 \cdots B_m \cdots A_n & & A_1^l A_2 \cdots B_1 \cdots B_m \cdots A_n \\
 & & & & \nearrow G_*
 \end{array}$$

Finally,  $a_1 \cdots b_1 \cdots b_m \cdots a_n$  is produced by the rules  $\langle A_k, q_k \rangle \rightarrow A_k$  and  $A_k \rightarrow a_k$ . □

## Undecidable properties

- Regular model checking for length-one CSRS.
- Reachability for flat CSRS.

## Decidable property

- Reachability for non-length decreasing CSRS is PSPACE-complete.

# Controlled term rewriting systems (CTRS)

CTRS  $(R, \langle \mathcal{A}, S \rangle)$  is a pair of rewrite rules  $R$  and a tree automaton  $\langle \mathcal{A}, S \rangle$  named **selection automaton**.

## Definition (rewrite rules)

Rewrite rules are of the form:

$$(s_1 \rightarrow t_1, \langle \mathcal{A}, S \rangle)$$

where  $s, t \in \mathcal{T}(F, X)$ ,  $\mathcal{A} (= \langle Q, Q^f, \Delta \rangle)$  is a usual tree automaton and  $S \subseteq Q$ .  $\langle \mathcal{A}, S \rangle$  is named **selection automaton**.

## Definition (rewrite relation)

$s$  can be rewritten to  $t$  by the above rule if:

$$s = C[s_1\sigma], t = C[t_1\sigma], \text{ and } \exists q \in S. C[s_1\sigma] \xrightarrow[\mathcal{A}]{} C[q] \xrightarrow[\mathcal{A}]{} q^f \in Q^f.$$

# Three kinds of CTRS

## Definition

For every selection automaton  $\langle \mathcal{A}(= \langle Q, Q^f, \Delta \rangle), S \rangle$  in CTRS  $R$ , if  $\Delta$  does not contain  $\varepsilon$ -transition and there exists a state  $q_0 \in Q \setminus S$  s.t. every transition rule  $f(q_1, \dots, q_n) \rightarrow q$  meets the following condition:

- if  $q \neq q_0$ , then there exists at most one  $i$  s.t.  $q_i \neq q_0$ ,
- otherwise,  $q = q_1 = \dots = q_n = q_0$ ,

then the CTRS is **monadic control**.

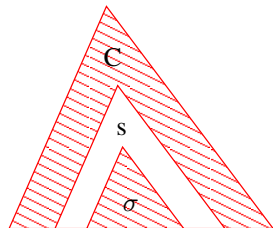
In addition to condition of monadic control, if every transition rule  $f(q_1, \dots, q_n) \rightarrow q$  meets following condition, then the CTRS is **prefix control**

- If  $q \in S$ , then  $q_1 = \dots = q_n = q_0$ .

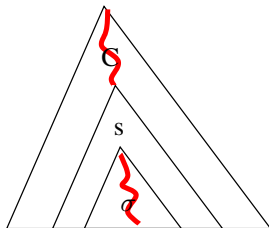
Otherwise, the CTRS is **full-control**.



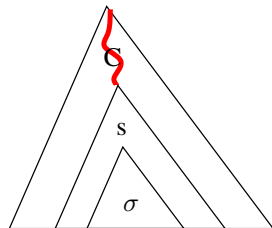
# Three kinds of CTRS



Full-control



Monadic-control



Prefix-control

Red part in the above figures represent controlled part.

- Full-control can control whole context and substitution.
- Monadic-control can control path.
- Prefix-control can control prefix.

## Generality

(Context-sensitive  $\leq$ ) Prefix-control  $\leq$  Monadic-control  $\leq$  Full-control.

## Example

Full-CTRS can represent a rule like:

$$a \rightarrow c \text{ if "a is brother of b and descendant of f"}$$

by the TA  $\mathcal{A} = \langle \{q, \tilde{q}_a, q_b, q_{qb}, q_f\}, \{q^f\}, \Delta \rangle$  where  $\Delta$  is the union of the followings and  $S = \{\tilde{q}_a\}$ :

$$\{a \rightarrow \tilde{q}_a, b \rightarrow q_b\},$$

$$\{g(q_1, \dots, q_n) \rightarrow q \mid g \neq f, \neg \exists i, j. (q_i = \tilde{q}_a \wedge q_j = q_b)\},$$

$$\{g(q_1, \dots, q_n) \rightarrow q_{ab} \mid g \neq f, \exists i, j. (q_i = \tilde{q}_a \wedge q_j = q_b)\},$$

$$\{g(q_1, \dots, q_n) \rightarrow q_{ab} \mid g \neq f, \forall i. q_i \neq q_f \wedge \exists i. q_i = q_{ab}\},$$

$$\{g(q_1, \dots, q_n) \rightarrow q_f \mid g \neq f, \exists i. q_i = q_f\},$$

$$\{f(q_1, \dots, q_n) \rightarrow q \mid \neg \exists i. q_i = q_{ab}\},$$

$$\{f(q_1, \dots, q_n) \rightarrow q_f \mid \exists i. (q_i = q_{ab} \vee q_i = q_f)\}.$$

We have the transition

$$C_1[f(C_2[g(a, b, t_1, \dots, t_n)])] \xrightarrow{*_{\mathcal{A}}} C_1[f(C_2[g(\tilde{q}_a, q_b, q, \dots, q)])] \xrightarrow{*_{\mathcal{A}}}$$

$$C_1[f(q_{ab})] \xrightarrow{_{\mathcal{A}}} C_1[q_f] \xrightarrow{*_{\mathcal{A}}} q_f \in Q^f$$

## Undecidability

- Reachability is undecidable for flat prefix-CTRS.
- Regular model checking is undecidable for depth-one prefix-CTRS.
- Reachability is undecidable for ground full-CTRS.

## Decidability

- Reachability is decidable for non-size-decreasing full-CTRS.

## Theorem (Results of undecidability)

The following problems are undecidable:

- 1 Reachability is undecidable for flat prefix-CTRS.
- 2 Regular model checking is undecidable for depth-one prefix-CTRS.
- 3 Reachability is undecidable for ground full-CTRS.

## Proof.

By Simulating the problems for string case.

- 1,2 By representing every string  $a_1 \cdots a_n$  as the tree  $a_1(\cdots(a_n))$ , depth-one CTRS can simulate length-one CSRS and flat prefix-CTRS can simulate flat CSRS.
- 3 By introducing binary symbol  $f$  and representing every string  $a_1 \cdots a_n$  as the tree  $f(a_1, f(\cdots f(a_{n-1}, a_n)))$ , ground full-CTRS can simulate flat-CSRS.



## Proof. (ground full-CTRS).

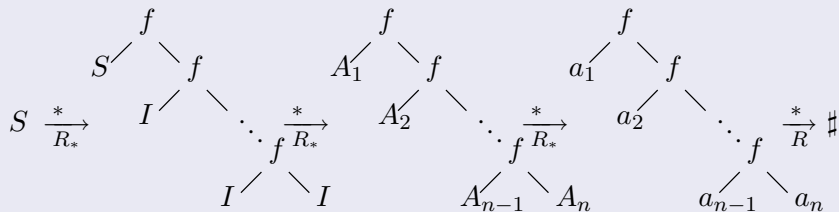
Ground full-CTRS  $R_*$  can simulate CSRS  $R$  that simulates CSG  $G$  where each production rule is of the form:  $S \rightarrow SI$ ,  $AB \rightarrow AC$ ,  $A \rightarrow a$

$S \xrightarrow{R} SI$  is simulated by  $S \rightarrow f(S, I) \in R_*$  and  $I \rightarrow f(I, I) \in R_*$ .

$A \xrightarrow{R} a$  is simulated by  $A \rightarrow a \in R_*$ .

$ab \xrightarrow{R} a$  and  $a \xrightarrow{R} \#$  is simulated by  $f(a, b) \rightarrow a \in R_*$  and  $a \rightarrow \# \in R_*$ .

$AB \xrightarrow{R} AC$  is simulated by  $B \rightarrow C$  if “parents’ brother is  $A$ ”  $\in R_*$ . This reduction is like  $\dots f(A, f(B, \dots)) \rightarrow \dots f(A, f(C, \dots))$ .



## Theorem (Results of decidability)

*Reachability is decidable for non-size-decreasing full-CTRS.*

## Proof.

For every term  $t$ , the set of terms reachable to  $t$  is finite. □

However, complexity of this problem is still open.

In string cases, we have a corresponding grammar (context-sensitive grammar), but we don't have a corresponding grammar for tree (context-sensitive tree grammar?).

- Proofs of decidability and undecidability for some problems for CSRS.
  - Reachability is undecidable for flat CSRS.
  - Regular model checking is undecidable for length-one CSRS .
  - Reachability for non-length-decreasing CSRS is PSPACE-complete.
- Formalizing CTRS by selection automata.
- Proofs of decidability and undecidability for some problems for CTRS.
  - Reachability is undecidable for flat prefix-CTRS.
  - Regular model checking is undecidable for depth-one CTRS .
  - Reachability is decidable for non-size-decreasing CTRS.

The following problems are still open:

- Reachability for ground monadic- or ground prefix-CTRS.
- Complexity of reachability for non-size-decreasing CTRS.
  - We can prove this by formalizing context-sensitive tree grammar?
- Subclasses of CTRS s.t. regular model-checking is decidable.
  - There exists decidable results of confluence, equivalence problem, and or so for basic strict CSRS [G. Senizergues 90].