

A Proof Order for Decreasing Diagrams

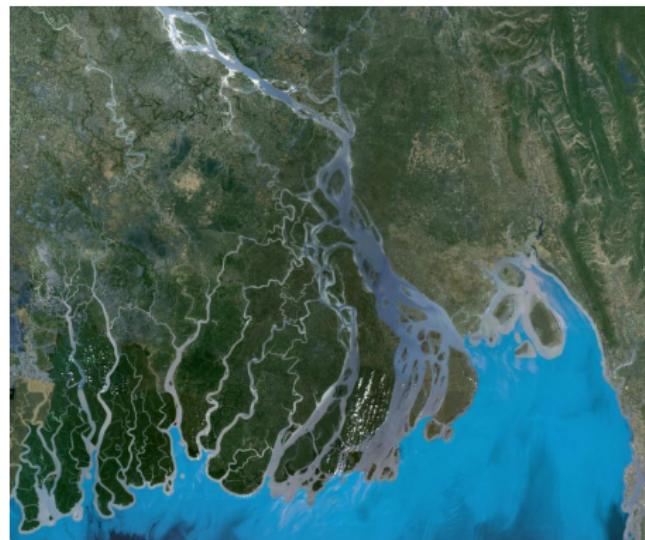
Bertram Felgenhauer

A circular watermark seal of the University of Innsbruck. It features a central figure, possibly a saint or a personification of knowledge, standing between two pillars. Above the figure is a crest with a bird. The outer border of the seal contains the text ".1673 SIGILLVM INNSBRUCKENSIS". At the bottom, it says "LEO FELICIANI".

Institute of Computer Science
University of Innsbruck
Austria

1st International Workshop on Confluence
Nagoya, 2012-05-29

About



[Google Maps]

- abstract rewriting
- decreasing diagrams without diagrams

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Notation

Fix

- \mathcal{L} set of labels
- \succ well-founded order on \mathcal{L}

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- $\rightarrow, \leftarrow, \leftrightarrow, \xrightarrow{\equiv}, \xrightarrow{*}$

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- $\rightarrow, \leftarrow, \leftrightarrow, \stackrel{=}{\rightarrow}, \stackrel{*}{\rightarrow}$
- $\Upsilon\alpha = \{\gamma \mid \alpha \succ \gamma\}$, $\Upsilon\alpha, \gamma = \Upsilon\alpha \cup \Upsilon\gamma$

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- $\Upsilon\alpha = \{\gamma \mid \alpha \succ \gamma\}, \Upsilon\alpha, \gamma = \Upsilon\alpha \cup \Upsilon\gamma$
- $\stackrel{*}{\rightarrow}_S = \bigcup_{\alpha \in S} (\stackrel{*}{\rightarrow}_\alpha)$

Decreasing Diagrams

Theorem (van Oostrom 2008)

Let $(\rightarrow_\alpha)_{\alpha \in \mathcal{L}}$ be a family of ARSs satisfying

$$\overleftarrow{\alpha} \cdot \overrightarrow{\gamma} \subseteq \overrightarrow{\gamma\alpha}^* \cdot \overrightarrow{\gamma}^* \cdot \overleftarrow{\gamma\alpha,\gamma}^* \cdot \overleftarrow{\alpha}^* \cdot \overleftarrow{\gamma\gamma}^*$$

for all $\alpha, \gamma \in \mathcal{L}$. Then $\overrightarrow{\mathcal{L}}$ is confluent.

Proofs

- van Oostrom 1994: (for joining valleys only)
 - using lexicographic path measure
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- pasting fails if any local peak cannot be joined
 - resulting normal forms can be interesting
 - what about subproofs other than local peaks?
- ⇒ devise proof order compatible with decreasing diagrams

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Further Notation

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Orders

- $>_1 \times_{\text{lex}} >_2$ and $>_{\text{mul}}$
- multisets $\{\dots\}$

Proof String Orders

Definition

Proof strings are strings over $\overleftrightarrow{\mathcal{L}}$. Concatenation is \cdot and ϵ is the empty string. Inversion is defined by $\epsilon^{-1} = \epsilon$ and $(\overleftrightarrow{\alpha} \cdot P)^{-1} = P^{-1} \cdot (\overleftrightarrow{\alpha})^{-1}$.

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An order on proof strings $>$ is a *proof string order* if

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- proof strings are *abstract* abstract rewrite proofs
 - proof string orders are *abstract* proof orders
(Bachmair, Dershowitz 1994)

Measuring Proof Strings

Definition

We define $[\cdot]^m$ measuring proof strings by

$$\begin{aligned}[P]^m = & \{(\overleftarrow{\alpha}, [R]^m) \mid P = Q \cdot \overleftarrow{\alpha} \cdot R\} \\ & \cup \{(\overline{\alpha}, \emptyset) \mid P = Q \cdot \overline{\alpha} \cdot R\} \\ & \cup \{(\overrightarrow{\alpha}, [Q]^m) \mid P = Q \cdot \overrightarrow{\alpha} \cdot R\}\end{aligned}$$

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Example

$$[\epsilon]^m$$

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$$[\epsilon]^m = \emptyset$$

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Comparing Proof Strings

Definition

We define \succ_{\oplus} inductively by $s \succ_{\oplus} \{(\overleftarrow{\gamma_1}, t_1), \dots, (\overleftarrow{\gamma_m}, t_m)\}$ iff

- $s \succ_{\oplus} t_i$ for $1 \leq i \leq m$
- $s \gg_{\text{mul}} t$ where $\gg = \succ \times_{\text{lex}} \succ_{\oplus}$

Let $P \succ_{\bullet} Q$ iff $[P]^m \succ_{\oplus} [Q]^m$.

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We have $\overleftarrow{\alpha} \cdot \overrightarrow{\gamma} \succ_{\bullet} \overrightarrow{\eta} \cdot \overrightarrow{\gamma} \cdot \overleftarrow{\alpha}$ if $\alpha \succ \eta$:

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- it is redundant for \succ_\bullet

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\succ_\bullet is a proof string order.

Lemma

$$\begin{aligned}
 [\overleftarrow{\alpha} \cdot P]^m = & \{(\overleftarrow{\alpha}, [P]^m)\} \\
 & \cup \{(\overleftarrow{\gamma}, [P']^m) \mid (\overleftarrow{\gamma}, [P']) \in [P]^m\} \\
 & \cup \{(\overline{\gamma}, \emptyset) \mid (\overline{\gamma}, \emptyset) \in [P]^m\} \\
 & \cup \{(\overrightarrow{\gamma}, [\overleftarrow{\alpha} \cdot P']^m) \mid (\overrightarrow{\gamma}, [P']^m) \in [P]^m\}
 \end{aligned}$$

(similar for $\overline{\alpha}$, $\overrightarrow{\alpha}$)

- comparison $[P]^m \succ_{\oplus} [R]^m$ carries over to $[\overleftarrow{\alpha} \cdot P]^m \succ_{\oplus} [\overleftarrow{\alpha} \cdot R]^m$.
- (strict) monotonicity of \cdot wrt. \succ_\bullet follows

Progress

- Decreasing Diagrams
- Proof Order
- Confluence (modulo)

Confluence by Decreasing Diagrams

Lemma

- [1] $\overleftarrow{\alpha} \succ_{\bullet} Q$ for $Q \in (\overleftarrow{\gamma\alpha})^*$
- [2] $\overleftarrow{\alpha} \cdot \overrightarrow{\gamma} \succ_{\bullet} Q$ for $Q \in (\overleftarrow{\gamma\alpha})^* \cdot (\overrightarrow{\gamma})^? \cdot (\overleftarrow{\gamma\alpha}, \overrightarrow{\gamma})^* \cdot (\overleftarrow{\alpha})^? \cdot (\overleftarrow{\gamma\gamma})^*$

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Corollary

Let $(\xrightarrow{\alpha})_{\alpha \in \mathcal{L}}$ be a family of ARSs satisfying

$$\frac{\overleftarrow{\alpha} \cdot \overrightarrow{\gamma}}{\alpha \quad \gamma} \subseteq \frac{*}{\gamma\alpha} \cdot \frac{=}{\gamma} \cdot \frac{*}{\gamma\alpha, \gamma} \cdot \frac{=}{\alpha} \cdot \frac{*}{\gamma\gamma}$$

for all $\alpha, \gamma \in \mathcal{L}$. Then $\xrightarrow{\mathcal{L}}$ is confluent.

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- analysis of normal forms

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Let $(\xrightarrow{\alpha})_{\alpha \in \mathcal{L}}$ be a family of ARSs satisfying

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for all $\alpha, \gamma \in \mathcal{L}$. Then $\xrightarrow{\mathcal{L}}$ is confluent.

- analysis of normal forms
- if $\xrightarrow{\alpha} \subseteq \frac{*}{\gamma\alpha}$ then local peaks involving $\xrightarrow{\alpha}$ can be excluded

Confluence Modulo

Lemma

- 1 $\overleftarrow{\alpha} \succ_{\bullet} Q$ if $Q \in (\overleftarrow{\gamma\alpha})^*$
- 2 $\overleftarrow{\alpha} \cdot \overrightarrow{\gamma} \succ_{\bullet} Q$ if $Q \in (\overleftarrow{\gamma\alpha})^* \cdot (\overrightarrow{\gamma})^? \cdot (\overleftarrow{\gamma\alpha}, \overrightarrow{\gamma})^* \cdot (\overleftarrow{\alpha})^? \cdot (\overleftarrow{\gamma\gamma})^*$
- 3 $\overrightarrow{\alpha} \cdot \overrightarrow{\gamma} \succ_{\bullet} Q$ if $Q \in (\overleftarrow{\gamma\alpha})^* \cdot (\overrightarrow{\gamma})^? \cdot (\overleftarrow{\gamma\alpha}, \overrightarrow{\gamma})^*$
- 4 $\overrightarrow{\alpha} \cdot \overrightarrow{\gamma} \succ_{\bullet} Q$ if $Q \in (\overleftarrow{\gamma\alpha \cap \gamma\gamma})^* \cdot (\overrightarrow{\gamma})^? \cdot (\overleftarrow{\gamma\gamma})^* \cdot \overrightarrow{\alpha} \cdot (\overleftarrow{\gamma\gamma})^*$

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- 4 $\overrightarrow{\alpha} \cdot \overrightarrow{\gamma} \succ_{\bullet} Q$ if $Q \in (\overleftarrow{\gamma}\alpha \cap \overrightarrow{\gamma}\overrightarrow{\gamma})^* \cdot (\overrightarrow{\gamma})^? \cdot (\overleftarrow{\gamma}\overrightarrow{\gamma})^* \cdot \overrightarrow{\alpha} \cdot (\overleftarrow{\gamma}\overrightarrow{\gamma})^*$

Example

If $\alpha \succ \eta$ and $\gamma \succ \eta$ then $\overrightarrow{\alpha} \cdot \overrightarrow{\gamma} \succ_{\bullet} \overrightarrow{\eta} \cdot \overrightarrow{\gamma} \cdot \overrightarrow{\alpha}$:

$$\begin{aligned} [\overrightarrow{\alpha} \cdot \overrightarrow{\gamma}]^m &= \{(\overrightarrow{\alpha}, \emptyset), (\overrightarrow{\gamma}, \{(\overrightarrow{\alpha}, \emptyset)\})\} \\ [\overrightarrow{\eta} \cdot \overrightarrow{\gamma} \cdot \overrightarrow{\alpha}]^m &= \{(\overrightarrow{\alpha}, \emptyset), (\overrightarrow{\eta}, \emptyset), (\overrightarrow{\gamma}, \{(\overrightarrow{\eta}, \emptyset)\})\} \\ [\overrightarrow{\alpha} \cdot \overrightarrow{\gamma}]^m &\succ_{\oplus} [\overrightarrow{\eta} \cdot \overrightarrow{\gamma} \cdot \overrightarrow{\alpha}]^m \end{aligned}$$

Confluence Modulo

Lemma

- 1 $\overleftarrow{\alpha} \succ_{\bullet} Q$ if $Q \in (\overleftarrow{\gamma}\overrightarrow{\alpha})^*$
- 2 $\overleftarrow{\alpha} \cdot \overrightarrow{\gamma} \succ_{\bullet} Q$ if $Q \in (\overleftarrow{\gamma}\overrightarrow{\alpha})^* \cdot (\overrightarrow{\gamma})^? \cdot (\overleftarrow{\gamma}\overrightarrow{\alpha}, \overrightarrow{\gamma})^* \cdot (\overleftarrow{\alpha})^? \cdot (\overleftarrow{\gamma}\overrightarrow{\gamma})^*$
- 3 $\overrightarrow{\alpha} \cdot \overrightarrow{\gamma} \succ_{\bullet} Q$ if $Q \in (\overleftarrow{\gamma}\overrightarrow{\alpha})^* \cdot (\overrightarrow{\gamma})^? \cdot (\overleftarrow{\gamma}\overrightarrow{\alpha}, \overrightarrow{\gamma})^*$
- 4 $\overrightarrow{\alpha} \cdot \overrightarrow{\gamma} \succ_{\bullet} Q$ if $Q \in (\overleftarrow{\gamma}\alpha \cap \overrightarrow{\gamma}\overrightarrow{\alpha})^* \cdot (\overrightarrow{\gamma})^? \cdot (\overleftarrow{\gamma}\overrightarrow{\gamma})^* \cdot \overrightarrow{\alpha} \cdot (\overleftarrow{\gamma}\overrightarrow{\gamma})^*$

Example

If $\alpha \succ \eta$ and $\gamma \succ \eta$ then $\overrightarrow{\alpha} \cdot \overrightarrow{\gamma} \succ_{\bullet} \overrightarrow{\eta} \cdot \overrightarrow{\gamma} \cdot \overrightarrow{\alpha}$:

$$\begin{aligned} [\overrightarrow{\alpha} \cdot \overrightarrow{\gamma}]^m &= \{(\overrightarrow{\alpha}, \emptyset), (\overrightarrow{\gamma}, \{(\overrightarrow{\alpha}, \emptyset)\})\} \\ [\overrightarrow{\eta} \cdot \overrightarrow{\gamma} \cdot \overrightarrow{\alpha}]^m &= \{(\overrightarrow{\alpha}, \emptyset), (\overrightarrow{\eta}, \emptyset), (\overrightarrow{\gamma}, \{(\overrightarrow{\eta}, \emptyset)\})\} \\ [\overrightarrow{\alpha} \cdot \overrightarrow{\gamma}]^m &\succ_{\oplus} [\overrightarrow{\eta} \cdot \overrightarrow{\gamma} \cdot \overrightarrow{\alpha}]^m \end{aligned}$$

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Main Result

Theorem

Let $(\rightarrow)_{\alpha \in \mathcal{L}}$ and $(\vdash)_{\alpha \in \mathcal{L}}$ be families of ARSs, where \vdash is symmetric.

Define $\overset{\leftrightarrow}{\alpha} = \leftrightarrow \cup \vdash$. If

$$\overset{\leftarrow}{\alpha} \cdot \rightarrow \subseteq \overset{*}{\rightarrow} \cdot \overset{=}{\rightarrow} \cdot \overset{*}{\leftrightarrow} \cdot \overset{=}{\leftarrow} \cdot \overset{*}{\leftrightarrow}$$

and

$$\vdash \cdot \rightarrow \subseteq \left(\overset{*}{\leftrightarrow} \cdot \overset{=}{\rightarrow} \cdot \overset{*}{\leftrightarrow} \cdot \vdash \cdot \overset{*}{\leftrightarrow} \right) \cup \left(\overset{*}{\leftrightarrow} \cdot \overset{=}{\rightarrow} \cdot \overset{*}{\leftrightarrow} \right)$$

for all $\alpha, \gamma \in \mathcal{L}$, then $\overset{\rightarrow}{\mathcal{L}}$ is Church-Rosser modulo $\overset{*}{\vdash}_{\mathcal{L}}$, i.e.,

$$(\leftrightarrow \cup \vdash)^* \subseteq \overset{*}{\rightarrow} \cdot \overset{*}{\vdash} \cdot \overset{*}{\leftarrow}$$

Corollary (Ohlebusch 1998)

Let $(\xrightarrow{\alpha})_{\alpha \in \mathcal{L}}$ be a family of ARSs and \vdash be a symmetric relation.

Then $\xrightarrow{\mathcal{L}}$ is Church-Rosser modulo \vdash^* , if for all $\alpha, \gamma \in \mathcal{L}$

$$\frac{\leftarrow}{\alpha} \cdot \xrightarrow{\gamma} \subseteq \frac{*}{\gamma\alpha} \cdot \frac{\equiv}{\gamma} \cdot \frac{*}{\gamma\alpha,\gamma} \cdot \frac{*}{\vdash} \cdot \frac{*}{\gamma\alpha,\gamma} \cdot \frac{\equiv}{\alpha} \cdot \frac{*}{\gamma\gamma}$$

and $\vdash \cdot \xrightarrow{\alpha} \subseteq \frac{*}{\gamma\alpha} \cdot \frac{*}{\vdash} \cdot \frac{*}{\gamma\alpha} \cdot \frac{\equiv}{\alpha}$.

Corollary (Ohlebusch 1998)

Let $(\xrightarrow{\alpha})_{\alpha \in \mathcal{L}}$ be a family of ARSs and \vdash be a symmetric relation.

Then $\xrightarrow{\mathcal{L}}$ is Church-Rosser modulo $\overset{*}{\vdash}$, if for all $\alpha, \gamma \in \mathcal{L}$

$$\underset{\alpha}{\leftarrow} \cdot \underset{\gamma}{\rightarrow} \subseteq \underset{\gamma\alpha}{\xrightarrow{*}} \cdot \underset{\gamma}{\overset{*}{\equiv}} \cdot \underset{\gamma\alpha,\gamma}{\xrightarrow{*}} \cdot \underset{\gamma\alpha,\gamma}{\vdash} \cdot \underset{\gamma\alpha,\gamma}{\leftarrow} \cdot \underset{\alpha}{\overset{*}{\equiv}} \cdot \underset{\gamma\gamma}{\leftarrow}$$

and $\vdash \cdot \underset{\alpha}{\rightarrow} \subseteq \underset{\gamma\alpha}{\xrightarrow{*}} \cdot \underset{\gamma\alpha}{\vdash} \cdot \underset{\gamma\alpha}{\leftarrow} \cdot \underset{\alpha}{\overset{*}{\equiv}}.$

Corollary (Aoto, Toyama 2011)

Let $(\xrightarrow{\alpha})_{\alpha \in \mathcal{L}}$ and symmetric $(\vdash_{\alpha})_{\alpha \in \mathcal{L}}$ be given, and $\underset{\alpha}{\Leftrightarrow} = \underset{\alpha}{\leftrightarrow} \cup \underset{\alpha}{\vdash}$.

Then $\xrightarrow{\mathcal{L}}$ is Church-Rosser modulo $\overset{*}{\vdash}_{\mathcal{L}}$, if for all $\alpha, \gamma \in \mathcal{L}$,

$$\underset{\alpha}{\leftarrow} \cdot \underset{\gamma}{\rightarrow} \subseteq \underset{\gamma\alpha,\gamma}{\Leftrightarrow} \quad \text{and} \quad \vdash_{\alpha} \cdot \underset{\gamma}{\rightarrow} \subseteq \underset{\gamma\alpha,\gamma}{\Leftrightarrow}.$$

Summary

This talk

- confluence by proof rewriting

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- termination by proof (string) order

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Future

- commutation modulo (cf. van Oostrom 2008)

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- confluence by proof rewriting
- termination by proof (string) order
- admissibility of $P \Rightarrow Q$ can be established from $P \succ_{\bullet} Q$

Future

- commutation modulo (cf. van Oostrom 2008)
- concrete criteria for TRSs

Summary

This talk

- confluence by proof rewriting
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Future

- commutation modulo (cf. van Oostrom 2008)
- concrete criteria for TRSs

Thank you!

Bonus

Example

Let the **linear** TRS \mathcal{R} be given by

$$\begin{array}{llll} f(u(O), u(y)) \rightarrow A & O \rightarrow u(O) & u(x) \rightarrow x & f(x, y) \rightarrow f(x, u(y)) \\ f(v(x), v(O)) \rightarrow B & O \rightarrow v(O) & v(x) \rightarrow x & f(x, y) \rightarrow f(v(x), y) \end{array}$$

\mathcal{R} is not confluent:

$$A \xleftarrow[\mathcal{R}]{*} f(O, O) \xrightarrow[\mathcal{R}]{*} B$$

\mathcal{R} has deeply joinable critical peaks:

- $\xleftarrow{+} f(u(O), u(y)) \xrightarrow{+} \text{reach } A$
- $\xleftarrow{+} f(v(x), v(O)) \xrightarrow{+} \text{reach } B$
- $\xleftarrow{+} f(x, y) \xrightarrow{+} \text{reach } f(x, y)$
- $\xleftarrow{+} O \xrightarrow{+} \text{reach } O$