Confluence of Non-Left-Linear TRSs via Relative Termination

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• often write \downarrow ("joinable") instead of $\rightarrow^* \cdot * \leftarrow$

Confluence Criteria



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 ightarrow r_i$ are variants of rules of \mathcal{R}_i
- $p \in \mathcal{P}os_{\mathcal{F}}(\ell_2)$
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- ▶ $\mathcal{R}_1 = \{f(x, g(x)) \rightarrow \mathsf{a}\}$ and $\mathcal{R}_2 = \{g(x)) \rightarrow \mathsf{b}\}$ have
- overlap $(g(x)) \rightarrow b, 2, f(y, g(y)) \rightarrow a)_{\{y \rightarrow x\}}$

Definition (Strong Non-Overlappingness)

 $\mathsf{SNO}(\mathcal{R},\mathcal{S})$ iff $\hat{\mathcal{S}}$ and $\hat{\mathcal{R}}$ do not overlap each other,

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▶ SNO({1}, {2}) does not hold since there is an overlap between $\widehat{\{1\}} = \{f(x_1, x_2) \rightarrow a\}$ and $\widehat{\{2\}} = f(x_3, g(x_4)) \rightarrow b\}$

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$\mathcal{S}\text{-}critical pairs$

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 $\ell_2\sigma[r_1\sigma]_p \underset{\mathcal{R}_1}{\sim} \leftarrow_{\mathcal{S}} \times \rightarrow_{\mathcal{R}_2} r_2\sigma \text{ is } \mathcal{S}\text{-critical pair, write } \mathsf{CP}_{\mathcal{S}}(\mathcal{R}) \text{ for } \underset{\mathcal{R}}{\leftarrow} \underset{\mathcal{S}}{\times} \rightarrow_{\mathcal{R}}$

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 $\{3\}$ -overlap of 1 and 2 at root:

$$(f(x, x) \rightarrow a, \varepsilon, f(y, g(y)) \rightarrow b)_{\{x, y \rightarrow c\}}$$

with S-critical pair (a, b).

Confluence of Non-Left-Linear TRSs via Relative Termination

Theorem

Suppose SNO(\mathcal{R}, \mathcal{S}), termination of \mathcal{R}/\mathcal{S} , and confluence of \mathcal{S} .

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Take
$$\mathcal{R} = \{1\}$$
 and $\mathcal{S} = \{2\}$

• SNO(\mathcal{R}, \mathcal{S}) since f(x_1, x_2) and $x_3 + y_3$ do not unify

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• $\mathsf{CP}_{\mathcal{S}}(\mathcal{R}) = \emptyset$

Example

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$$\begin{array}{lll} 1: & \mathsf{eq}(\mathsf{s}(n), x: xs, x: ys) \to \mathsf{eq}(n, xs, ys) \\ 2: & \mathsf{eq}(n, xs, xs) \to \mathsf{T} \\ 3: & \mathsf{nats} \to \mathsf{0}: \mathsf{inc}(\mathsf{nats}) \\ 4: & \mathsf{inc}(x: xs) \to \mathsf{s}(x): \mathsf{inc}(xs) \end{array}$$

Take $\mathcal{R} = \{1,2\}$ and $\mathcal{S} = \{3,4\}$

▶ SNO(\mathcal{R}, \mathcal{S}) holds

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 \mathcal{S} -critical pairs of \mathcal{R} :

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 $\mathsf{CP}_{\mathcal{S}}(\mathcal{R}) \,=\, \{(\mathsf{eq}(s,t,u),\mathsf{T}) \mid s,t,u \in \mathcal{T}(\mathcal{F},\mathcal{V}), \, t \leftrightarrow^*_{\mathcal{S}} u\} \,\subseteq \, \downarrow_{\mathcal{R} \cup \mathcal{S}}$

Equational Unifiability

Definition

- $\mathcal{E} = \{s_1 \approx t_1, \dots, s_n \approx t_n\}$ is an \mathcal{S} -unification problem.
- S-unifier of \mathcal{E} is a substitution σ such that $\mathcal{E}\sigma \subseteq \leftrightarrow_{\mathcal{S}}^*$
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Definition

Let ${\mathcal U}$ be set of ${\mathcal S}\text{-unifiers}$ of ${\mathcal E}$

- $\blacktriangleright~\mathcal{U}$ is complete if for every $\mathcal{S}\text{-unifier}$ there exists more general one in \mathcal{U}
- if all unifiers in \mathcal{U} are minimal, \mathcal{U} is minimal complete
- σ is an *S*-mgu of *E*, if $\{\sigma\}$ is minimal complete set of unifiers for *E*

Definition

A term t is strongly S-stable if for every position $p \in \mathcal{P}os_{\mathcal{F}}(t)$ there are no term u and substitution σ such that $t|_{p}\sigma \rightarrow_{S}^{*} \cdot \stackrel{\varepsilon}{\rightarrow}_{S} u$.

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Lemma

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$$\mu \text{ is } mgu(s,t) \Longrightarrow \mu \text{ is } \mathcal{S}\text{-}mgu(s,t)$$

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- Hence $CP_{\mathcal{S}}(\mathcal{R}) \subseteq \downarrow_{\mathcal{R} \cup \mathcal{S}}$

Experiments

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	ACP	ACP*	CSI	CSI*	Saigawa	$Saigawa^*$
YES	12	19	7	15	0	10
NO	30	4	3	3	0	2
MAYBE	17	9	17	9	32	20
timeout (60 sec)	0	0	5	5	0	0

- ▶ 32 non-left-linear, non-terminating examples from Cops
- ▶ TTT2 for relative termination
- ▶ Timeout 60 sec. on Core Duo L7500 with 1.6 GHz

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- $\mathsf{f}(x,x) o \mathsf{a}$ $\mathsf{c} o \mathsf{g}(\mathsf{c})$ $\mathsf{g}(x) o \mathsf{f}(x,x)$
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- criterion by Gomi et al. can handle it

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- 1: $x + x \rightarrow x$ 2: $x + y \rightarrow y + x$ 3: $(x + y) + z \rightarrow x + (y + z)$
- no partition with $SNO(\mathcal{R}, \mathcal{S})$
- criterion by Jouannaud and Kirchner can handle it

Conclusion

New confluence criterion based on

- relative termination and
- ▶ joinability of S-critical pairs

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Future work:

- relative termination used in criteria
 - ▶ by [Geser'90]
 - by [Hirokawa and Middeldorp,'10]
- strong-non-overlappingness used in criteria by [Gomi et al.,'96]
- ► S-critical pairs in criteria by [Jouannaud and Kirchner,'86]

How to unify?