

# Lower Runtime Bounds for Integer Programs

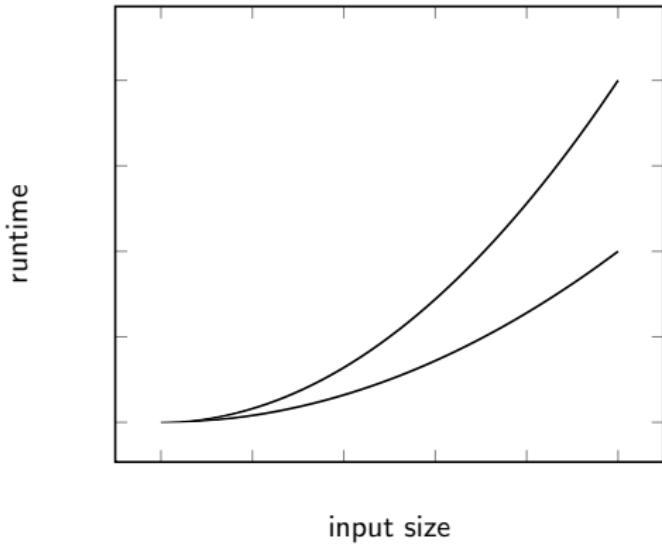
Florian Frohn<sup>1</sup>    Matthias Naaf<sup>1</sup>    Jera Hensel<sup>1</sup>  
Marc Brockschmidt<sup>2</sup>    Jürgen Giesl<sup>1</sup>

<sup>1</sup>RWTH Aachen University, Germany

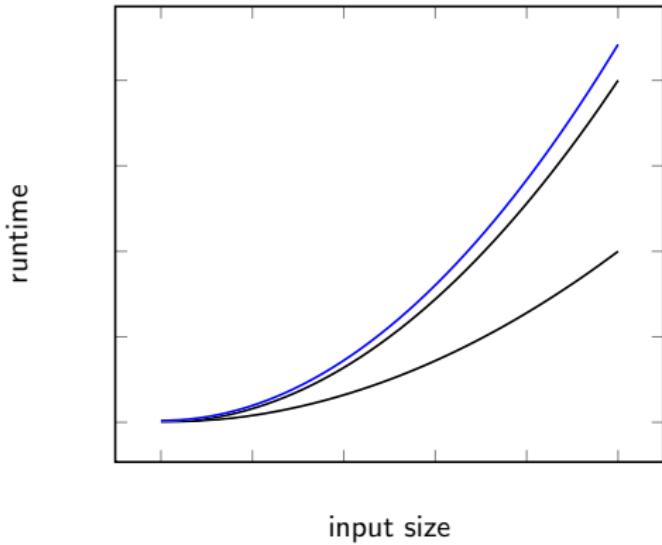
<sup>2</sup>Microsoft Research, Cambridge, UK

September 6, 2016

# Lower Bounds?

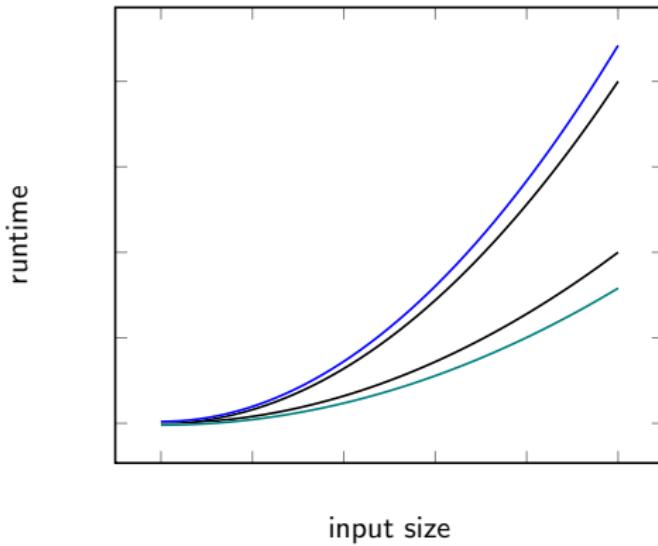


# Lower Bounds?



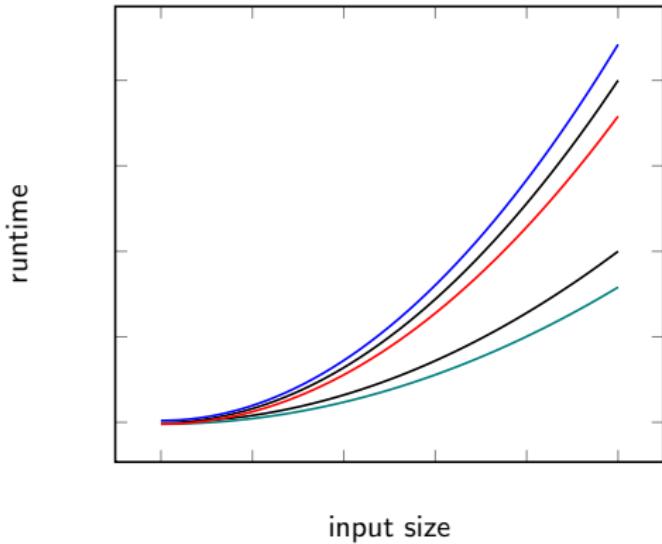
- worst case upper bounds

# Lower Bounds?



- worst case upper bounds
- best case lower bounds

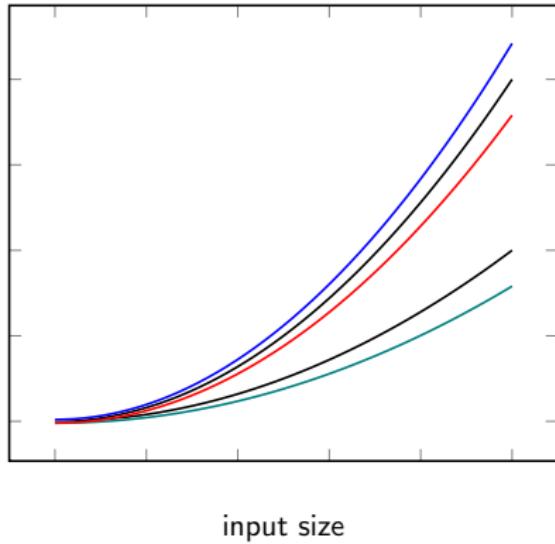
# Lower Bounds?



- worst case upper bounds
- best case lower bounds
- worst case lower bounds

# Lower Bounds?

runtime

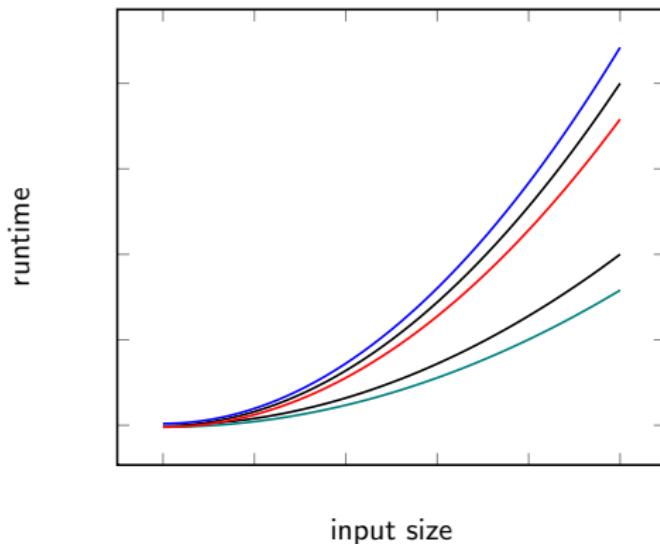


- worst case upper bounds
- best case lower bounds
- worst case lower bounds

Why?

- *tight* bounds

# Lower Bounds?



- worst case upper bounds
- best case lower bounds
- worst case lower bounds

Why?

- *tight* bounds
- identify attacks

# What kind of programs?

## non-recursive integer programs:

$z = y$

while ( $z > 0$ ) do

$z = z - 1$

done

# What kind of programs?

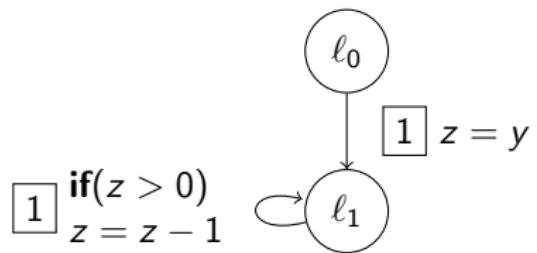
**non-recursive integer programs:**

$z = y$

while ( $z > 0$ ) do

$z = z - 1$

done



$\boxed{1}$  **if**( $z > 0$ )  
 $z = z - 1$

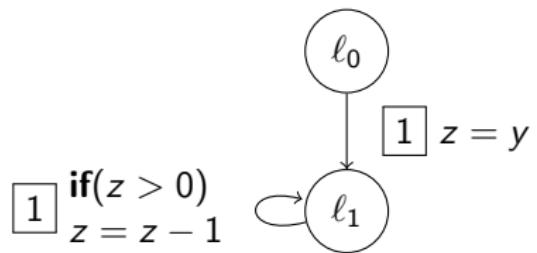
# What kind of programs?

**non-recursive integer programs:**

$\ell_0 : z = y$

$\ell_1 : \text{while } (z > 0) \text{ do}$   
 $z = z - 1$

done



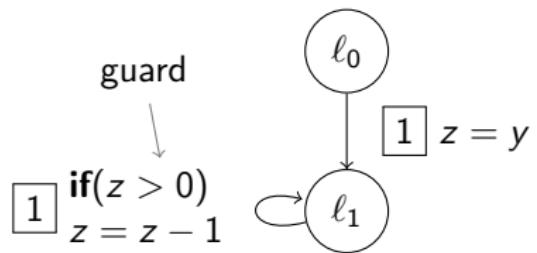
# What kind of programs?

**non-recursive integer programs:**

$\ell_0 : z = y$

$\ell_1 : \text{while } (z > 0) \text{ do}$   
 $z = z - 1$

done



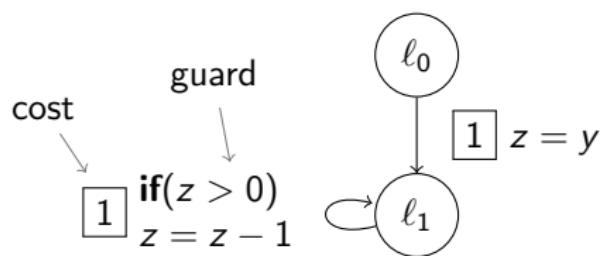
# What kind of programs?

**non-recursive integer programs:**

$\ell_0 : z = y$

$\ell_1 : \text{while } (z > 0) \text{ do}$   
 $z = z - 1$

done



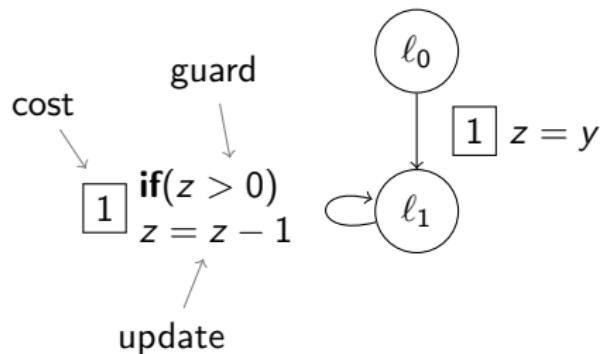
# What kind of programs?

**non-recursive integer programs:**

$\ell_0 : z = y$

$\ell_1 : \text{while } (z > 0) \text{ do}$   
 $z = z - 1$

done



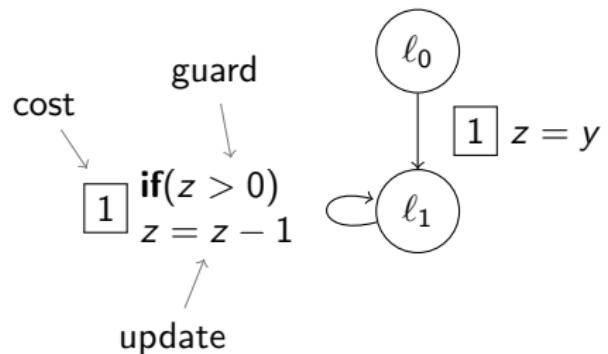
# What kind of programs?

## non-recursive integer programs:

$\ell_0 : z = y$

$\ell_1 : \text{while } (z > 0) \text{ do}$   
 $z = z - 1$

done



- arithmetic: polynomial (nonlinear)

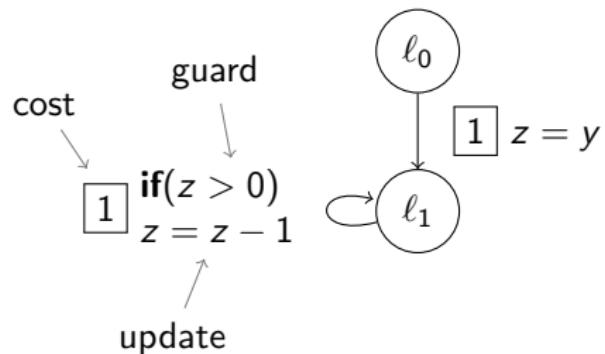
# What kind of programs?

**non-recursive integer programs:**

$$\ell_0 : z = y$$

$\ell_1 : \text{while } (z > 0) \text{ do}$   
 $z = z - 1$

done



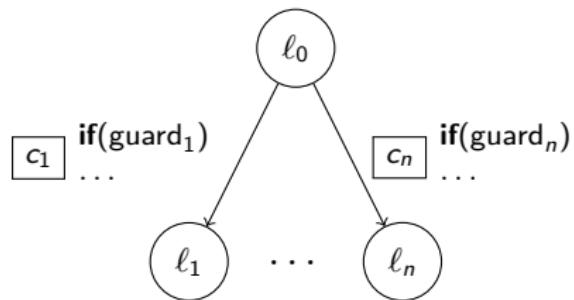
- arithmetic: polynomial (nonlinear)
- cost: can be specified in the input, nonnegative

# The Technique

- **step 1:** underapproximating program simplification

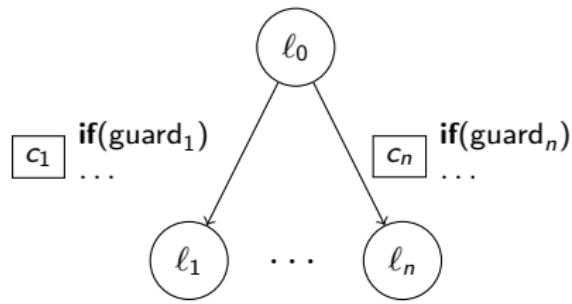
# The Technique

- **step 1:** underapproximating program simplification



# The Technique

- **step 1:** underapproximating program simplification



- **step 2:** infer asymptotic lower bound

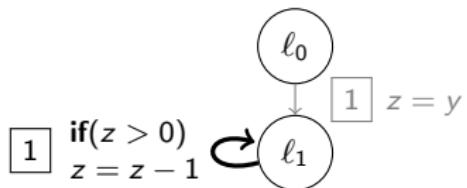
# Program Simplification

## *Acceleration and Chaining*

# Program Simplification

## Acceleration and Chaining

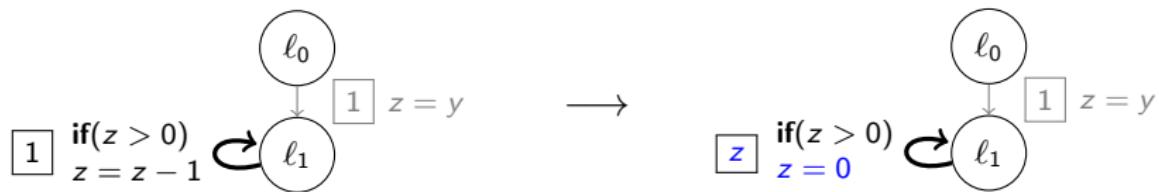
- accelerate simple loops



# Program Simplification

## Acceleration and Chaining

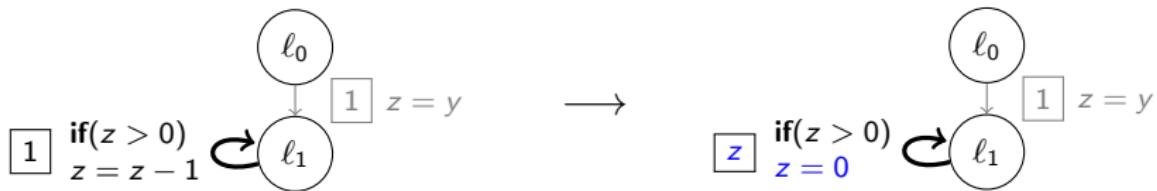
- accelerate simple loops



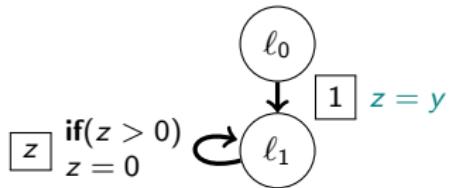
# Program Simplification

## Acceleration and Chaining

- accelerate simple loops



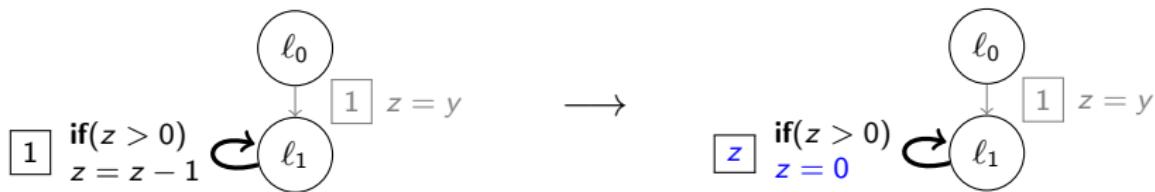
- chain subsequent transitions



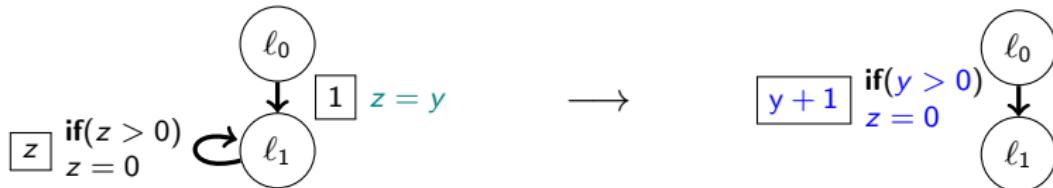
# Program Simplification

## Acceleration and Chaining

- accelerate simple loops



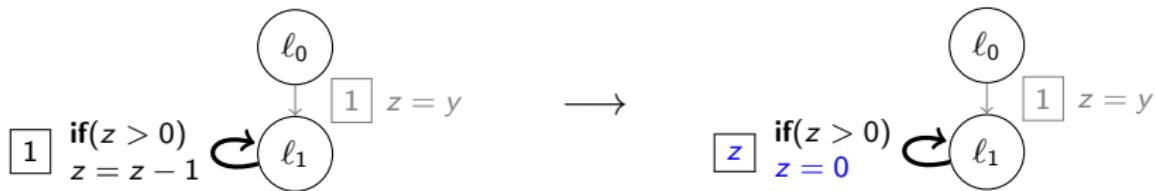
- chain subsequent transitions



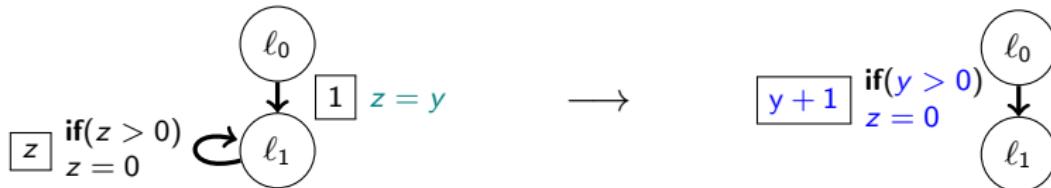
# Program Simplification

## Acceleration and Chaining

- accelerate simple loops

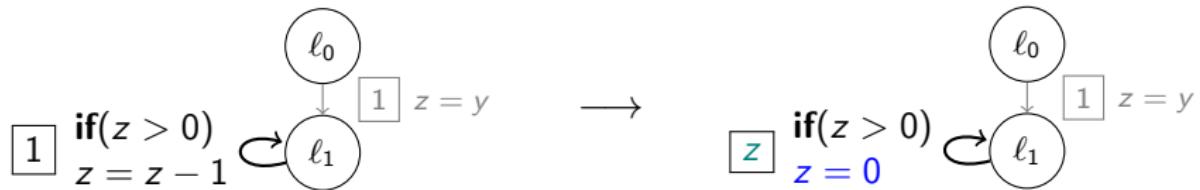


- chain subsequent transitions

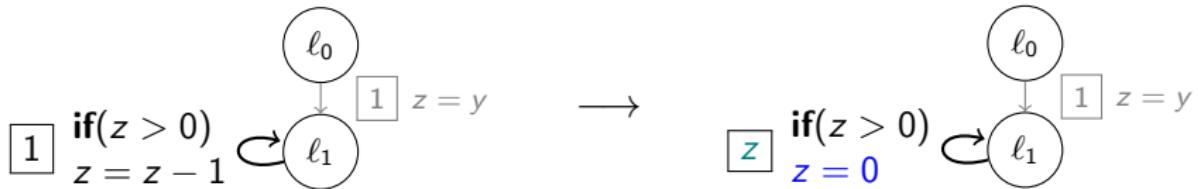


- iterate

# Loop Acceleration: Challenges

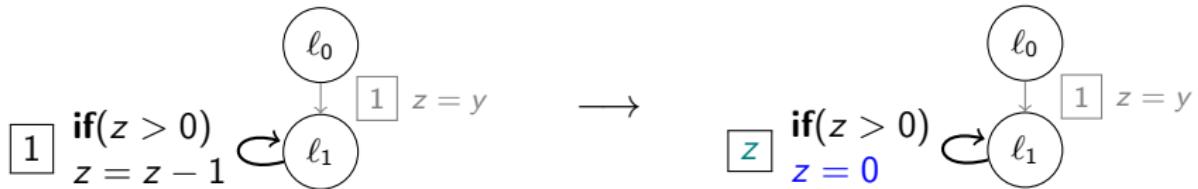


# Loop Acceleration: Challenges



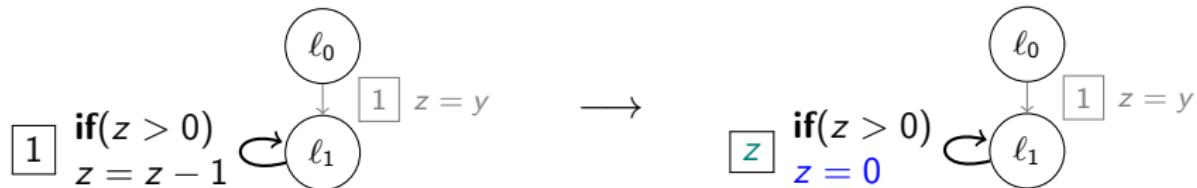
- What's the **result**?

# Loop Acceleration: Challenges



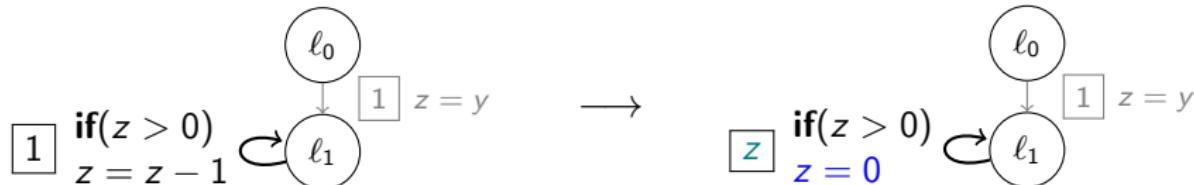
- What's the **result**?
- What does it **cost**?

# Loop Acceleration: Challenges



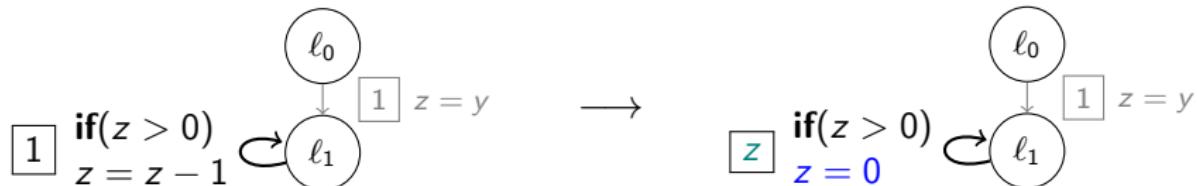
- What's the **result**?
- What does it **cost**?
- How many **repetitions**?

## Loop Acceleration: Challenges



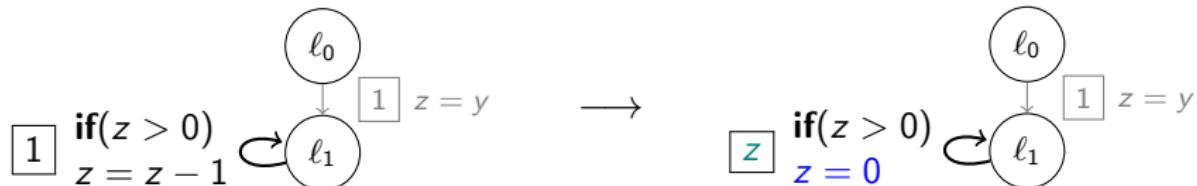
- What's the **result**?
    - build recurrence equations for *iterated update*
  - What does it **cost**?
  - How many **repetitions**?

# Loop Acceleration: Challenges



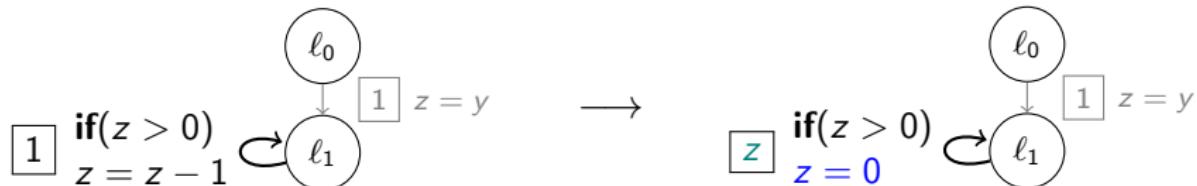
- What's the **result**?
  - build recurrence equations for *iterated update*
  - solve using existing tools
- What does it **cost**?
- How many **repetitions**?

# Loop Acceleration: Challenges



- What's the **result**?
  - build recurrence equations for *iterated update*
  - solve using existing tools
  - $z^{(1)} = z - 1$  and  $z^{(n+1)} = z^{(n)} - 1 \curvearrowright z^{(n)} = z - n$
- What does it **cost**?
- How many **repetitions**?

# Loop Acceleration: Challenges



- What's the **result**?

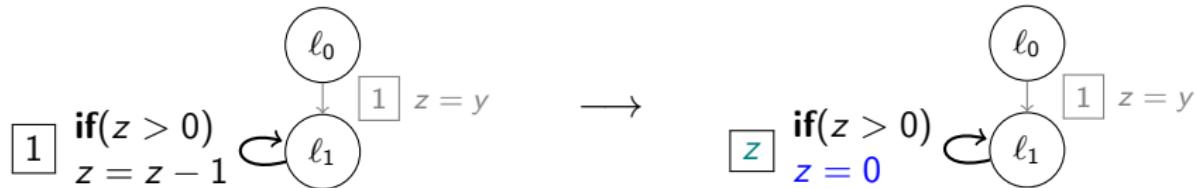
- build recurrence equations for *iterated update*
- solve using existing tools
- $z^{(1)} = z - 1$  and  $z^{(n+1)} = z^{(n)} - 1 \quad \curvearrowright \quad z^{(n)} = z - n$

- What does it **cost**?

- similar to iterated update  $\curvearrowright n \cdot 1$

- How many **repetitions**?

# Loop Acceleration: Challenges



- What's the **result**?

- build recurrence equations for *iterated update*
- solve using existing tools
- $z^{(1)} = z - 1$  and  $z^{(n+1)} = z^{(n)} - 1 \curvearrowright z^{(n)} = z - n$

- What does it **cost**?

- similar to iterated update  $\curvearrowright n \cdot 1$

- How many **repetitions**?

- use *metering functions*  $\curvearrowright n = z$

# Metering Functions

- variation of *ranking functions*

```
while (guard) do  
    update  
done
```

# Metering Functions

- variation of *ranking functions*
- **ranking** function: “ $\geq$  max. number of iterations”

```
while (guard) do
    update
done
```

# Metering Functions

- variation of *ranking functions*
- **ranking** function: “ $\geq$  max. number of iterations”
- **metering** function: “ $\leq$  max. number of iterations”

```
while (guard) do
    update
done
```

# Metering Functions

- variation of *ranking functions*
- **ranking** function: “ $\geq$  max. number of iterations”
- **metering** function: “ $\leq$  max. number of iterations”
- $b$  is a *metering function* iff  
 $\neg \text{guard} \Rightarrow b \leq 0$  and  $\text{guard} \Rightarrow \text{update}(b) \geq b - 1$

```
while (guard) do
    update
done
```

# Metering Functions

```
while (guard) do  
    update  
done
```

- variation of *ranking functions*
- **ranking** function: “ $\geq$  max. number of iterations”
- **metering** function: “ $\leq$  max. number of iterations”
- $b$  is a *metering function* iff  
 $\neg \text{guard} \Rightarrow b \leq 0$  and  $\text{guard} \Rightarrow \text{update}(b) \geq b - 1$

$\Rightarrow$  transition can be applied at least  $b$  times

# Metering Functions

```
while (guard) do  
    update  
done
```

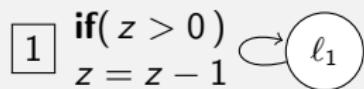
- variation of *ranking functions*
  - **ranking** function: “ $\geq$  max. number of iterations”
  - **metering** function: “ $\leq$  max. number of iterations”
  - $b$  is a *metering* (resp. *ranking*) function iff
    - $\neg \text{guard} \Rightarrow b \leq 0$  and  $\text{guard} \Rightarrow \text{update}(b) \geq b - 1$
    - $\text{guard} \Rightarrow b > 0$  and  $\text{guard} \Rightarrow \text{update}(b) \leq b - 1$
- $\Rightarrow$  transition can be applied at least  $b$  times

# Metering Functions

$b$  is a *metering function* iff

$$\neg \text{guard} \Rightarrow b \leq 0 \text{ and } \text{guard} \Rightarrow \text{update}(b) \geq b - 1$$

Example

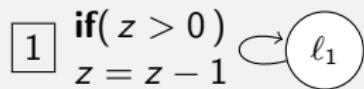


# Metering Functions

$b$  is a *metering function* iff

$$\neg \text{guard} \Rightarrow b \leq 0 \text{ and } \text{guard} \Rightarrow \text{update}(b) \geq b - 1$$

Example



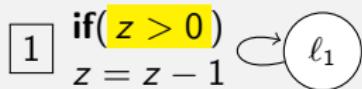
$$z \leq 0 \Rightarrow b(z) \leq 0 \text{ and } z > 0 \Rightarrow b(z - 1) \geq b(z) - 1$$

# Metering Functions

$b$  is a *metering function* iff

$$\neg \text{guard} \Rightarrow b \leq 0 \text{ and } \text{guard} \Rightarrow \text{update}(b) \geq b - 1$$

Example



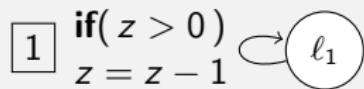
$$z \leq 0 \Rightarrow b(z) \leq 0 \text{ and } z > 0 \Rightarrow b(z - 1) \geq b(z) - 1$$

# Metering Functions

$b$  is a *metering function* iff

$$\neg \text{guard} \Rightarrow b \leq 0 \text{ and } \text{guard} \Rightarrow \text{update}(b) \geq b - 1$$

Example



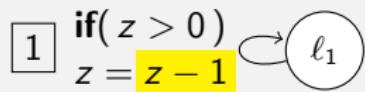
$$z \leq 0 \Rightarrow b(z) \leq 0 \text{ and } z > 0 \Rightarrow b(z - 1) \geq b(z) - 1$$

# Metering Functions

$b$  is a *metering function* iff

$$\neg \text{guard} \Rightarrow b \leq 0 \text{ and } \text{guard} \Rightarrow \text{update}(b) \geq b - 1$$

Example



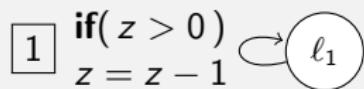
$$z \leq 0 \Rightarrow b(z) \leq 0 \text{ and } z > 0 \Rightarrow b(z - 1) \geq b(z) - 1$$

# Metering Functions

$b$  is a *metering function* iff

$$\neg \text{guard} \Rightarrow b \leq 0 \text{ and } \text{guard} \Rightarrow \text{update}(b) \geq b - 1$$

Example



$$z \leq 0 \Rightarrow b(z) \leq 0 \text{ and } z > 0 \Rightarrow b(z - 1) \geq b(z) - 1$$

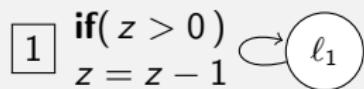
- $b(z) = z, z - 1, \dots$

# Metering Functions

$b$  is a *metering function* iff

$$\neg \text{guard} \Rightarrow b \leq 0 \text{ and } \text{guard} \Rightarrow \text{update}(b) \geq b - 1$$

Example



$$z \leq 0 \Rightarrow b(z) \leq 0 \text{ and } z > 0 \Rightarrow b(z - 1) \geq b(z) - 1$$

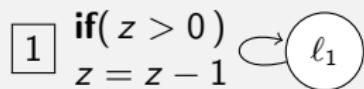
- $b(z) = z, z - 1, \dots$
- $b(z) = 0, -1, \dots$

# Metering Functions

$b$  is a *metering function* iff

$$\neg \text{guard} \Rightarrow b \leq 0 \text{ and } \text{guard} \Rightarrow \text{update}(b) \geq b - 1$$

Example



$$z \leq 0 \Rightarrow b(z) \leq 0 \text{ and } z > 0 \Rightarrow b(z - 1) \geq b(z) - 1$$

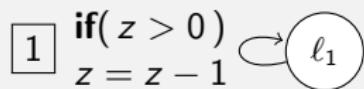
- $b(z) = z, z - 1, \dots$
- $b(z) = z, z + 1, \dots$
- $b(z) = 0, -1, \dots$

# Metering Functions

$b$  is a *metering function* iff

$$\neg \text{guard} \Rightarrow b \leq 0 \text{ and } \text{guard} \Rightarrow \text{update}(b) \geq b - 1$$

Example



$$z \leq 0 \Rightarrow b(z) \leq 0 \text{ and } z > 0 \Rightarrow b(z - 1) \geq b(z) - 1$$

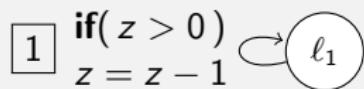
- $b(z) = z, z - 1, \dots$
- $b(z) = 0, -1, \dots$
- $b(z) = z, z + 1, \dots$
- $b(z) = 2 \cdot z, 3 \cdot z + 1, \dots$

# Metering Functions

$b$  is a *metering function* iff

$$\neg \text{guard} \Rightarrow b \leq 0 \text{ and } \text{guard} \Rightarrow \text{update}(b) \geq b - 1$$

Example



$$z \leq 0 \Rightarrow b(z) \leq 0 \text{ and } z > 0 \Rightarrow b(z - 1) \geq b(z) - 1$$

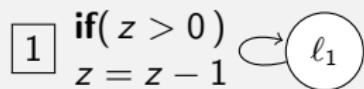
- $b(z) = [z, z - 1, \dots]$
- $b(z) = 0, -1, \dots$
- $b(z) = z, z + 1, \dots$
- $b(z) = 2 \cdot z, 3 \cdot z + 1, \dots$

# Metering Functions

$b$  is a *metering function* iff

$$\neg \text{guard} \Rightarrow b \leq 0 \text{ and } \text{guard} \Rightarrow \text{update}(b) \geq b - 1$$

Example



$$z \leq 0 \Rightarrow b(z) \leq 0 \text{ and } z > 0 \Rightarrow b(z - 1) \geq b(z) - 1$$

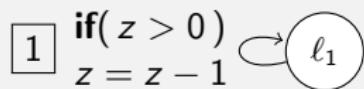
- $b(z) = [z, z - 1, \dots]$       •  $b(z) = z, z + 1, \dots$
- $b(z) = 0, -1, \dots$       •  $b(z) = 2 \cdot z, 3 \cdot z + 1, \dots$
- $z \leq 0 \Rightarrow z \leq 0$

# Metering Functions

$b$  is a *metering function* iff

$$\neg \text{guard} \Rightarrow b \leq 0 \text{ and } \text{guard} \Rightarrow \text{update}(b) \geq b - 1$$

Example



$$z \leq 0 \Rightarrow b(z) \leq 0 \text{ and } z > 0 \Rightarrow b(z - 1) \geq b(z) - 1$$

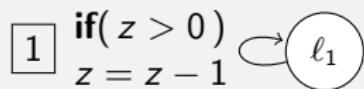
- $b(z) = [z, z - 1, \dots]$       •  $b(z) = z, z + 1, \dots$
- $b(z) = 0, -1, \dots$       •  $b(z) = 2 \cdot z, 3 \cdot z + 1, \dots$
- $z \leq 0 \Rightarrow z \leq 0$       ✓

# Metering Functions

$b$  is a *metering function* iff

$$\neg \text{guard} \Rightarrow b \leq 0 \text{ and } \text{guard} \Rightarrow \text{update}(b) \geq b - 1$$

Example



$$z \leq 0 \Rightarrow b(z) \leq 0 \text{ and } z > 0 \Rightarrow b(z - 1) \geq b(z) - 1$$

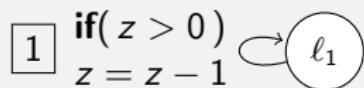
- $b(z) = [z, z - 1, \dots]$       •  $b(z) = z, z + 1, \dots$
- $b(z) = 0, -1, \dots$       •  $b(z) = 2 \cdot z, 3 \cdot z + 1, \dots$
- $z \leq 0 \Rightarrow z \leq 0$       ✓  
 $z > 0 \Rightarrow z - 1 \geq z - 1$

# Metering Functions

$b$  is a *metering function* iff

$$\neg \text{guard} \Rightarrow b \leq 0 \text{ and } \text{guard} \Rightarrow \text{update}(b) \geq b - 1$$

Example



$$z \leq 0 \Rightarrow b(z) \leq 0 \text{ and } z > 0 \Rightarrow b(z - 1) \geq b(z) - 1$$

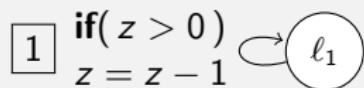
- $b(z) = [z, z - 1, \dots]$       •  $b(z) = z, z + 1, \dots$
- $b(z) = 0, -1, \dots$       •  $b(z) = 2 \cdot z, 3 \cdot z + 1, \dots$
- $z \leq 0 \Rightarrow z \leq 0$       ✓  
 $z > 0 \Rightarrow z - 1 \geq z - 1$       ✓

# Metering Functions

$b$  is a *metering function* iff

$$\neg \text{guard} \Rightarrow b \leq 0 \text{ and } \text{guard} \Rightarrow \text{update}(b) \geq b - 1$$

Example



$$z \leq 0 \Rightarrow b(z) \leq 0 \text{ and } z > 0 \Rightarrow b(z - 1) \geq b(z) - 1$$

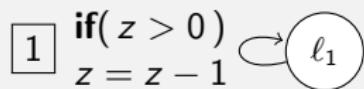
- $b(z) = z, z - 1, \dots$
- $b(z) = 0, -1, \dots$
- $z \leq 0 \Rightarrow z \leq 0$       ✓
- $z > 0 \Rightarrow z - 1 \geq z - 1$    ✓
- $b(z) = z, z + 1, \dots$
- $b(z) = 2 \cdot z, 3 \cdot z + 1, \dots$

# Metering Functions

$b$  is a *metering function* iff

$$\neg \text{guard} \Rightarrow b \leq 0 \text{ and } \text{guard} \Rightarrow \text{update}(b) \geq b - 1$$

Example



$$z \leq 0 \Rightarrow b(z) \leq 0 \text{ and } z > 0 \Rightarrow b(z - 1) \geq b(z) - 1$$

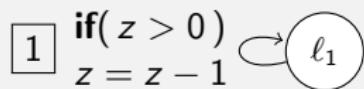
- $b(z) = z, z - 1, \dots$
- $b(z) = 0, -1, \dots$
- $z \leq 0 \Rightarrow z \leq 0$       ✓
- $z > 0 \Rightarrow z - 1 \geq z - 1$     ✓
- $b(z) = z, z + 1, \dots$
- $b(z) = 2 \cdot z, 3 \cdot z + 1, \dots$
- $z \leq 0 \Rightarrow z + 1 \leq 0$

# Metering Functions

$b$  is a *metering function* iff

$$\neg \text{guard} \Rightarrow b \leq 0 \text{ and } \text{guard} \Rightarrow \text{update}(b) \geq b - 1$$

Example



$$z \leq 0 \Rightarrow b(z) \leq 0 \text{ and } z > 0 \Rightarrow b(z - 1) \geq b(z) - 1$$

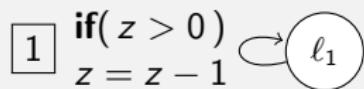
- $b(z) = z, z - 1, \dots$
- $b(z) = 0, -1, \dots$
- $z \leq 0 \Rightarrow z \leq 0$       ✓
- $z > 0 \Rightarrow z - 1 \geq z - 1$     ✓
- $b(z) = z, z + 1, \dots$
- $b(z) = 2 \cdot z, 3 \cdot z + 1, \dots$
- $z \leq 0 \Rightarrow z + 1 \leq 0$       ↴

# Metering Functions

$b$  is a *metering function* iff

$$\neg \text{guard} \Rightarrow b \leq 0 \text{ and } \text{guard} \Rightarrow \text{update}(b) \geq b - 1$$

Example



$$z \leq 0 \Rightarrow b(z) \leq 0 \text{ and } z > 0 \Rightarrow b(z - 1) \geq b(z) - 1$$

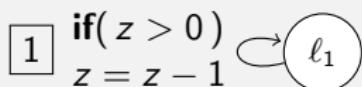
- $b(z) = z, z - 1, \dots$
- $b(z) = 0, -1, \dots$
- $z \leq 0 \Rightarrow z \leq 0$       ✓
- $z > 0 \Rightarrow z - 1 \geq z - 1$     ✓
- $b(z) = z, z + 1, \dots$
- $b(z) = 2 \cdot z, 3 \cdot z + 1, \dots$
- $z \leq 0 \Rightarrow z + 1 \leq 0$       ↴

# Metering Functions

$b$  is a *metering function* iff

$$\neg \text{guard} \Rightarrow b \leq 0 \text{ and } \text{guard} \Rightarrow \text{update}(b) \geq b - 1$$

Example



$$z \leq 0 \Rightarrow b(z) \leq 0 \text{ and } z > 0 \Rightarrow b(z - 1) \geq b(z) - 1$$

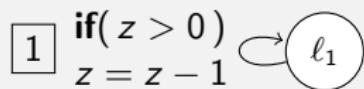
- $b(z) = z, z - 1, \dots$
- $b(z) = 0, -1, \dots$
- $z \leq 0 \Rightarrow z \leq 0$       ✓
- $z > 0 \Rightarrow z - 1 \geq z - 1$     ✓
- $b(z) = z, z + 1, \dots$
- $b(z) = 2 \cdot z, 3 \cdot z + 1, \dots$
- $z \leq 0 \Rightarrow z + 1 \leq 0$       ↴
- $z > 0 \Rightarrow 2 \cdot (z - 1) \geq 2 \cdot z - 1$

# Metering Functions

$b$  is a *metering function* iff

$$\neg \text{guard} \Rightarrow b \leq 0 \text{ and } \text{guard} \Rightarrow \text{update}(b) \geq b - 1$$

Example



$$z \leq 0 \Rightarrow b(z) \leq 0 \text{ and } z > 0 \Rightarrow b(z - 1) \geq b(z) - 1$$

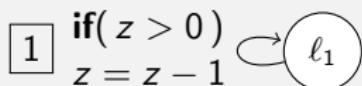
- $b(z) = z, z - 1, \dots$
- $b(z) = 0, -1, \dots$
- $z \leq 0 \Rightarrow z \leq 0$       ✓
- $z > 0 \Rightarrow z - 1 \geq z - 1$     ✓
- $b(z) = z, z + 1, \dots$
- $b(z) = 2 \cdot z, 3 \cdot z + 1, \dots$
- $z \leq 0 \Rightarrow z + 1 \leq 0$       ↴
- $z > 0 \Rightarrow 2 \cdot (z - 1) \geq 2 \cdot z - 1$     ↴

# Metering Functions

$b$  is a *metering function* iff

$$\neg \text{guard} \Rightarrow b \leq 0 \text{ and } \text{guard} \Rightarrow \text{update}(b) \geq b - 1$$

Example



$$z \leq 0 \Rightarrow b(z) \leq 0 \text{ and } z > 0 \Rightarrow b(z - 1) \geq b(z) - 1$$

- $b(z) = z, z - 1, \dots$
- $b(z) = 0, -1, \dots$
- $z \leq 0 \Rightarrow z \leq 0$       ✓
- $z > 0 \Rightarrow z - 1 \geq z - 1$     ✓
- $b(z) = z, z + 1, \dots$
- $b(z) = 2 \cdot z, 3 \cdot z + 1, \dots$
- $z \leq 0 \Rightarrow z + 1 \leq 0$       ↴
- $z > 0 \Rightarrow 2 \cdot (z - 1) \geq 2 \cdot z - 1$     ↴

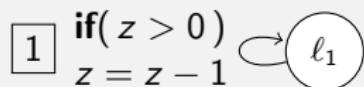
finding them:

# Metering Functions

$b$  is a *metering function* iff

$$\neg \text{guard} \Rightarrow b \leq 0 \text{ and } \text{guard} \Rightarrow \text{update}(b) \geq b - 1$$

Example



$$z \leq 0 \Rightarrow b(z) \leq 0 \text{ and } z > 0 \Rightarrow b(z - 1) \geq b(z) - 1$$

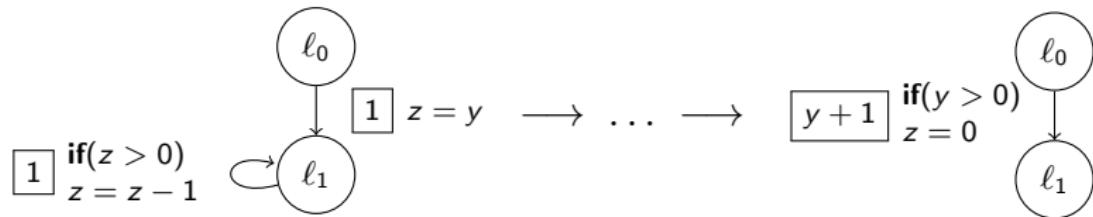
- $b(z) = z, z - 1, \dots$
- $b(z) = 0, -1, \dots$
- $z \leq 0 \Rightarrow z \leq 0$       ✓
- $z > 0 \Rightarrow z - 1 \geq z - 1$     ✓
- $b(z) = z, z + 1, \dots$
- $b(z) = 2 \cdot z, 3 \cdot z + 1, \dots$
- $z \leq 0 \Rightarrow z + 1 \leq 0$       ↴
- $z > 0 \Rightarrow 2 \cdot (z - 1) \geq 2 \cdot z - 1$     ↴

**finding them:** just like ranking functions

# Program Simplification

## Algorithm

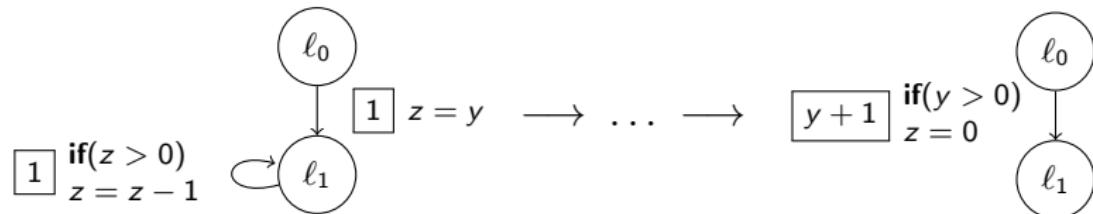
- while there is a path of length > 1



# Program Simplification

## Algorithm

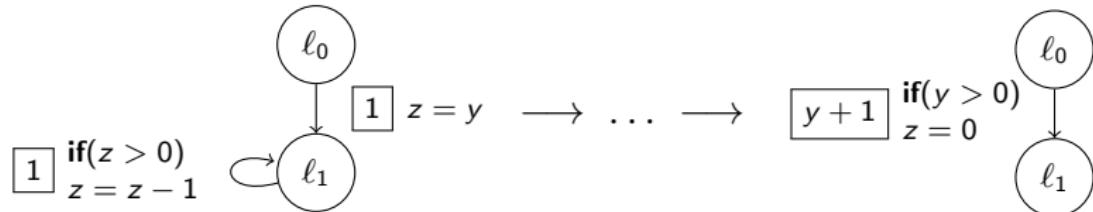
- while there is a path of length  $> 1$ 
  - **accelerate** simple loops



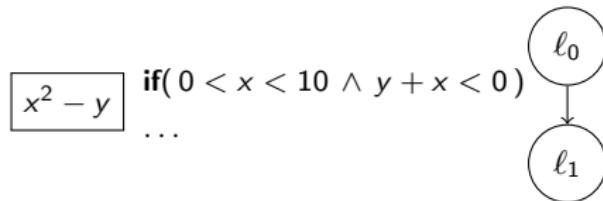
# Program Simplification

## Algorithm

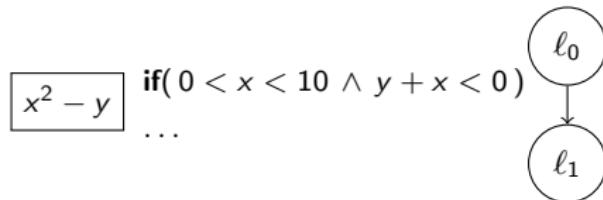
- while there is a path of length > 1
  - **accelerate** simple loops
  - **chain** subsequent transitions



# Simplified Programs



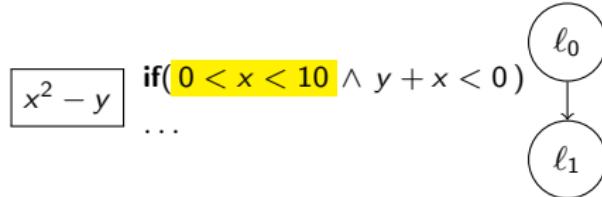
# Simplified Programs



- inferring lower bound still non-trivial

## Example

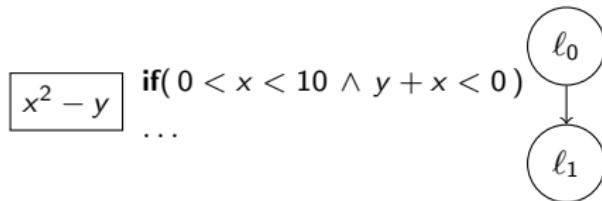
# Simplified Programs



- inferring lower bound still non-trivial
- runtime depends on cost **and guard**

## Example

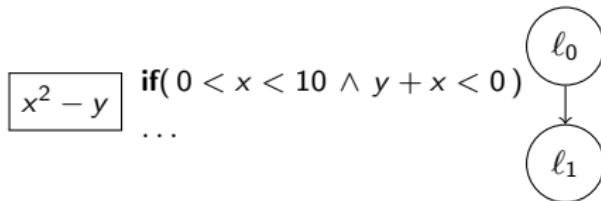
# Simplified Programs



- inferring lower bound still non-trivial
- runtime depends on cost **and guard**
- search family  $v_n$  of valuations which satisfies the guard for large  $n$

## Example

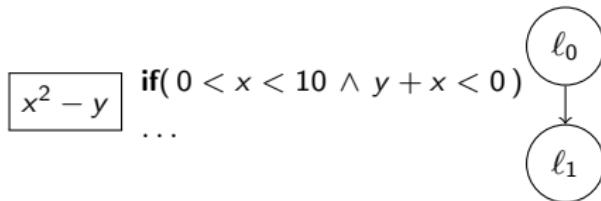
# Simplified Programs



- inferring lower bound still non-trivial
- runtime depends on cost **and guard**
- search family  $v_n$  of valuations which satisfies the guard for large  $n$
- apply  $v_n$  to cost to get asymptotic bound

## Example

# Simplified Programs

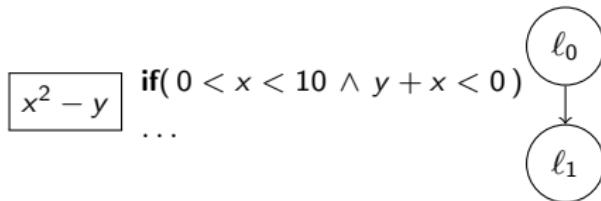


- inferring lower bound still non-trivial
- runtime depends on cost **and guard**
- search family  $\mathbf{v}_n$  of valuations which satisfies the guard for large  $n$
- apply  $\mathbf{v}_n$  to cost to get asymptotic bound

## Example

- $\mathbf{v}_n = \{x/1, y/-n\}$

# Simplified Programs

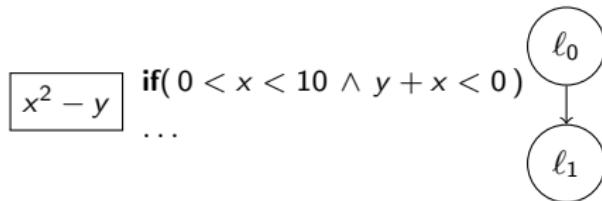


- inferring lower bound still non-trivial
- runtime depends on cost **and guard**
- search family  $\mathbf{v}_n$  of valuations which satisfies the guard for large  $n$
- apply  $\mathbf{v}_n$  to cost to get asymptotic bound

## Example

- $\mathbf{v}_n = \{x/1, y/-n\}$  satisfies guard for  $n \geq 2$

# Simplified Programs



- inferring lower bound still non-trivial
- runtime depends on cost **and guard**
- search family  $\mathbf{v}_n$  of valuations which satisfies the guard for large  $n$
- apply  $\mathbf{v}_n$  to cost to get asymptotic bound

## Example

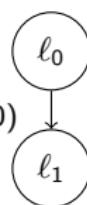
- $\mathbf{v}_n = \{x/1, y/-n\}$  satisfies guard for  $n \geq 2$
- $\mathbf{v}_n(x^2 - y) = 1 + n \implies \Omega(n)$

## Example

$$x^2 - y$$

**if**( $x > 0 \wedge 10 - x > 0 \wedge -y - x > 0$ )

...



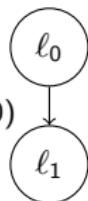
**goal:** infer  $\mathbf{v}_n = \{x/1, y/-n\}$

## Example

$$x^2 - y$$

**if**( $x > 0 \wedge 10 - x > 0 \wedge -y - x > 0$ )

...



**goal:** infer  $\mathbf{v}_n = \{x/1, y/-n\}$

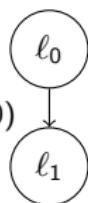
$$\{x^{+!}, (10 - x)^{+!}, (-y - x)^+\}$$

## Example

$$x^2 - y$$

**if**( $x > 0 \wedge 10 - x > 0 \wedge -y - x > 0$ )

...



**goal:** infer  $\mathbf{v}_n = \{x/1, y/-n\}$

$$\{x^{+!}, (10 - x)^{+!}, (-y - x)^+\}$$

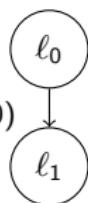
- $a^+ : \mathbf{v}_n(a)$  “increases with  $n$ ”       $a^- : \mathbf{v}_n(a)$  “decreases with  $n$ ”
- $a^{+!} : \mathbf{v}_n(a)$  is pos. constant       $a^{-!} : \mathbf{v}_n(a)$  is neg. constant

## Example

$$x^2 - y$$

**if**( $x > 0 \wedge 10 - x > 0 \wedge -y - x > 0$ )

...



**goal:** infer  $\mathbf{v}_n = \{x/1, y/-n\}$

**observe** :  $(a - b)^+$  if  $a^+$  and  $b^{+!}$

$$\{x^{+!}, (10 - x)^{+!}, (-y - x)^+\}$$

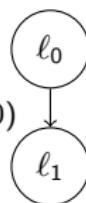
- $a^+ : \mathbf{v}_n(a)$  “increases with  $n$ ”  $a^- : \mathbf{v}_n(a)$  “decreases with  $n$ ”
- $a^{+!} : \mathbf{v}_n(a)$  is pos. constant  $a^{-!} : \mathbf{v}_n(a)$  is neg. constant

## Example

$$x^2 - y$$

**if**( $x > 0 \wedge 10 - x > 0 \wedge -y - x > 0$ )

...



**goal:** infer  $\mathbf{v}_n = \{x/1, y/-n\}$

**observe :**  $(a - b)^+$  if  $a^+$  and  $b^+$

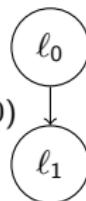
$$\{x^{+!}, (10 - x)^{+!}, (-y - x)^+\} \rightsquigarrow \{x^{+!}, (10 - x)^{+!}, (-y)^+\}$$

- $a^+ : \mathbf{v}_n(a)$  “increases with  $n$ ”  $a^- : \mathbf{v}_n(a)$  “decreases with  $n$ ”
- $a^{+!} : \mathbf{v}_n(a)$  is pos. constant  $a^{-!} : \mathbf{v}_n(a)$  is neg. constant

## Example

$$x^2 - y$$

**if**( $x > 0 \wedge 10 - x > 0 \wedge -y - x > 0$ )  
...



**goal:** infer  $\mathbf{v}_n = \{x/1, y/-n\}$

**observe :**  $(a - b)^+$  if  $a^+$  and  $b^{+!}$   
 $(-a)^+$  if  $a^-$

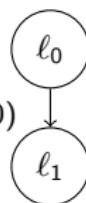
$$\{x^{+!}, (10 - x)^{+!}, (-y - x)^+\} \rightsquigarrow \{x^{+!}, (10 - x)^{+!}, (-y)^+\}$$

- $a^+ : \mathbf{v}_n(a)$  “increases with  $n$ ”  $a^- : \mathbf{v}_n(a)$  “decreases with  $n$ ”
- $a^{+!} : \mathbf{v}_n(a)$  is pos. constant  $a^{-!} : \mathbf{v}_n(a)$  is neg. constant

## Example

$$x^2 - y$$

**if**( $x > 0 \wedge 10 - x > 0 \wedge -y - x > 0$ )  
...



**goal:** infer  $\mathbf{v}_n = \{x/1, y/-n\}$

**observe :**  $(a - b)^+$  if  $a^+$  and  $b^+!$   
 $(-a)^+$  if  $a^-$

$$\{x^{+!}, (10 - x)^{+!}, (-y - x)^+\} \rightsquigarrow \{x^{+!}, (10 - x)^{+!}, (-y)^+\}$$

$$\rightsquigarrow \{x^{+!}, (10 - x)^{+!}, y^-\}$$

- $a^+ : \mathbf{v}_n(a)$  “increases with  $n$ ”

$a^- : \mathbf{v}_n(a)$  “decreases with  $n$ ”

- $a^{+!} : \mathbf{v}_n(a)$  is pos. constant

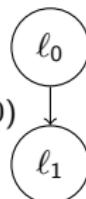
$a^{-!} : \mathbf{v}_n(a)$  is neg. constant

## Example

$$x^2 - y$$

**if**( $x > 0 \wedge 10 - x > 0 \wedge -y - x > 0$ )

...



**goal:** infer  $\mathbf{v}_n = \{x/1, y/-n\}$

**observe :**

$(a - b)^+$	if $a^+$ and $b^{+!}$
$(-a)^+$	if $a^-$
$(a - b)^{+!}$	if $a^{+!}$ and $b^{-!}$

$$\{x^{+!}, (10 - x)^{+!}, (-y - x)^+\} \rightsquigarrow \{x^{+!}, (10 - x)^{+!}, (-y)^+\}$$

$$\rightsquigarrow \{x^{+!}, (10 - x)^{+!}, y^-\}$$

- $a^+ : \mathbf{v}_n(a)$  “increases with  $n$ ”

$a^- : \mathbf{v}_n(a)$  “decreases with  $n$ ”

- $a^{+!} : \mathbf{v}_n(a)$  is pos. constant

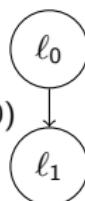
$a^{-!} : \mathbf{v}_n(a)$  is neg. constant

## Example

$$x^2 - y$$

**if**( $x > 0 \wedge 10 - x > 0 \wedge -y - x > 0$ )

...



**goal:** infer  $\mathbf{v}_n = \{x/1, y/-n\}$

**observe :**

$(a - b)^+$	if $a^+$ and $b^{+!}$
$(-a)^+$	if $a^-$
$(a - b)^{+!}$	if $a^{+!}$ and $b^{-!}$

$$\{x^{+!}, (10 - x)^{+!}, (-y - x)^+\} \rightsquigarrow \{x^{+!}, (10 - x)^{+!}, (-y)^+\}$$

$$\rightsquigarrow \{x^{+!}, (10 - x)^{+!}, y^-\} \rightsquigarrow \{\textcolor{red}{x^{+!}}, 10^{+!}, \textcolor{red}{x^{-!}}, y^-\}$$

- $a^+ : \mathbf{v}_n(a)$  “increases with  $n$ ”

$a^- : \mathbf{v}_n(a)$  “decreases with  $n$ ”

- $a^{+!} : \mathbf{v}_n(a)$  is pos. constant

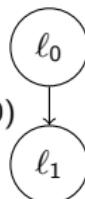
$a^{-!} : \mathbf{v}_n(a)$  is neg. constant

## Example

$$x^2 - y$$

**if**( $x > 0 \wedge 10 - x > 0 \wedge -y - x > 0$ )

...



**goal:** infer  $\mathbf{v}_n = \{x/1, y/-n\}$

**observe :**

$(a - b)^+$	if $a^+$ and $b^{+!}$
$(-a)^+$	if $a^-$
$(a - b)^{+!}$	if $a^{+!}$ and $b^{-!}$

$$\{x^{+!}, (10 - x)^{+!}, (-y - x)^+\} \rightsquigarrow \{x^{+!}, (10 - x)^{+!}, (-y)^+\}$$

$$\rightsquigarrow \{x^{+!}, (10 - x)^{+!}, y^-\}$$

- $a^+ : \mathbf{v}_n(a)$  “increases with  $n$ ”

$a^- : \mathbf{v}_n(a)$  “decreases with  $n$ ”

- $a^{+!} : \mathbf{v}_n(a)$  is pos. constant

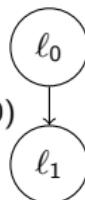
$a^{-!} : \mathbf{v}_n(a)$  is neg. constant

## Example

$$x^2 - y$$

**if**( $x > 0 \wedge 10 - x > 0 \wedge -y - x > 0$ )

...



**goal:** infer  $\mathbf{v}_n = \{\textcolor{blue}{x/1}, y/-n\}$

**observe :**

$(a - b)^+$	if $a^+$ and $b^{+!}$
$(-a)^+$	if $a^-$
$(a - b)^{+!}$	if $a^{+!}$ and $b^{-!}$

$$\{x^{+!}, (10 - x)^{+!}, (-y - x)^+\} \rightsquigarrow \{x^{+!}, (10 - x)^{+!}, (-y)^+\}$$

$$\rightsquigarrow \{x^{+!}, (10 - x)^{+!}, y^-\}$$

$$\begin{cases} \rightsquigarrow \\ \rightsquigarrow \end{cases} \{1^{+!}, 9^{+!}, y^-\}$$

- $a^+ : \mathbf{v}_n(a)$  “increases with  $n$ ”

$a^- : \mathbf{v}_n(a)$  “decreases with  $n$ ”

- $a^{+!} : \mathbf{v}_n(a)$  is pos. constant

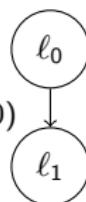
$a^{-!} : \mathbf{v}_n(a)$  is neg. constant

## Example

$$x^2 - y$$

**if**( $x > 0 \wedge 10 - x > 0 \wedge -y - x > 0$ )

...



**goal:** infer  $\mathbf{v}_n = \{\textcolor{blue}{x/1}, \textcolor{red}{y/-n}\}$

**observe** :  $(a - b)^+ \text{ if } a^+ \text{ and } b^{+!}$   
 $(-a)^+ \text{ if } a^-$   
 $(a - b)^{+!} \text{ if } a^{+!} \text{ and } b^{-!}$

$$\{x^{+!}, (10 - x)^{+!}, (-y - x)^+\}$$

$$\rightsquigarrow \{x^{+!}, (10 - x)^{+!}, (-y)^+\}$$

$$\rightsquigarrow \{x^{+!}, (10 - x)^{+!}, y^-\}$$

$$\begin{cases} \rightsquigarrow \\ \rightsquigarrow \end{cases} \{1^{+!}, 9^{+!}, y^-\} \rightsquigarrow^2 \{\textcolor{red}{y^-}\}$$

- $a^+$  :  $\mathbf{v}_n(a)$  “increases with  $n$ ”

$a^-$  :  $\mathbf{v}_n(a)$  “decreases with  $n$ ”

- $a^{+!}$  :  $\mathbf{v}_n(a)$  is pos. constant

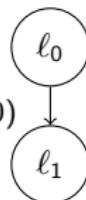
$a^{-!}$  :  $\mathbf{v}_n(a)$  is neg. constant

## Example

$$1^2 - (-n)$$

if( $x > 0 \wedge 10 - x > 0 \wedge -y - x > 0$ )

...



**goal:** infer  $\mathbf{v}_n = \{\textcolor{blue}{x}/1, \textcolor{red}{y}/-n\}$

**observe :**

$(a - b)^+$	if $a^+$ and $b^{+!}$
$(-a)^+$	if $a^-$
$(a - b)^{+!}$	if $a^{+!}$ and $b^{-!}$

$$\{x^{+!}, (10 - x)^{+!}, (-y - x)^+\}$$

$$\rightsquigarrow \{x^{+!}, (10 - x)^{+!}, y^-\}$$

$$\rightsquigarrow \{x^{+!}, (10 - x)^{+!}, (-y)^+\}$$

$$\begin{cases} \rightsquigarrow \\ \rightsquigarrow \end{cases} \{1^{+!}, 9^{+!}, y^-\} \rightsquigarrow^2 \{\textcolor{red}{y}^-\}$$

- $a^+ : \mathbf{v}_n(a)$  “increases with  $n$ ”

$a^- : \mathbf{v}_n(a)$  “decreases with  $n$ ”

- $a^{+!} : \mathbf{v}_n(a)$  is pos. constant

$a^{-!} : \mathbf{v}_n(a)$  is neg. constant

# Summary

- simplify program

# Summary

- simplify program
- normalize guard to  $a_1 > 0 \wedge \cdots \wedge a_k > 0$

# Summary

- simplify program
- normalize guard to  $a_1 > 0 \wedge \dots \wedge a_k > 0$
- start with  $\{a_1^{\bullet_1}, \dots, a_k^{\bullet_k}\}$  where  $\bullet_i \in \{+, +!\}$

# Summary

- simplify program
- normalize guard to  $a_1 > 0 \wedge \dots \wedge a_k > 0$
- start with  $\{a_1^{\bullet_1}, \dots, a_k^{\bullet_k}\}$  where  $\bullet_i \in \{+, +!\}$
- simplify with “ $\xrightarrow{\sigma}$ ”

# Summary

- simplify program
- normalize guard to  $a_1 > 0 \wedge \dots \wedge a_k > 0$
- start with  $\{a_1^{\bullet_1}, \dots, a_k^{\bullet_k}\}$  where  $\bullet_i \in \{+, +!\}$
- simplify with " $\xrightarrow{\sigma}$ "
- just variables left  $\curvearrowright \mathbf{v}_n$

# Summary

- simplify program
- normalize guard to  $a_1 > 0 \wedge \dots \wedge a_k > 0$
- start with  $\{a_1^{\bullet_1}, \dots, a_k^{\bullet_k}\}$  where  $\bullet_i \in \{+, +!\}$
- simplify with " $\xrightarrow{\sigma}$ "
- just variables left  $\curvearrowright \mathbf{v}_n$
- apply  $\mathbf{v}_n$  to cost

# Summary

- simplify program
- normalize guard to  $a_1 > 0 \wedge \dots \wedge a_k > 0$
- start with  $\{a_1^{\bullet_1}, \dots, a_k^{\bullet_k}\}$  where  $\bullet_i \in \{+, +!\}$
- simplify with “ $\xrightarrow{\sigma}$ ”
- just variables left  $\curvearrowright \mathbf{v}_n$
- apply  $\mathbf{v}_n$  to cost
- $\mathbf{v}_n$  reveals influence of variables on the runtime

# Experiments

- <https://github.com/aprove-developers/LoAT>

# Experiments

- <https://github.com/aprove-developers/LoAT>
- comparison with KoAT, RanK, Loopus, CoFloCo

# Experiments

- <https://github.com/aprove-developers/LoAT>
- comparison with KoAT, RanK, Loopus, CoFloCo
- examples from KoAT-evaluation (timeout 60s, average 2.4s)

# Experiments

- <https://github.com/aprove-developers/LoAT>
- comparison with KoAT, RanK, Loopus, CoFloCo
- examples from KoAT-evaluation (timeout 60s, average 2.4s)

runtime	$\Omega(1)$	$\Omega(n)$	$\Omega(n^2)$	$\Omega(n^3)$	$\Omega(n^4)$	<i>EXP</i>	$\Omega(\omega)$
$\mathcal{O}(1)$	(132)	—	—	—	—	—	—
$\mathcal{O}(n)$	45	125	—	—	—	—	—
$\mathcal{O}(n^2)$	9	18	33	—	—	—	—
$\mathcal{O}(n^3)$	2	—	—	3	—	—	—
$\mathcal{O}(n^4)$	1	—	—	—	2	—	—
<i>EXP</i>	—	—	—	—	—	5	—
$\mathcal{O}(\omega)$	57	31	3	—	—	—	173

# Experiments

- <https://github.com/aprove-developers/LoAT>
- comparison with KoAT, RanK, Loopus, CoFloCo
- examples from KoAT-evaluation (timeout 60s, average 2.4s)

runtime	$\Omega(1)$	$\Omega(n)$	$\Omega(n^2)$	$\Omega(n^3)$	$\Omega(n^4)$	<i>EXP</i>	$\Omega(\omega)$
$\mathcal{O}(1)$	(132)	—	—	—	—	—	—
$\mathcal{O}(n)$	45	125	—	—	—	—	—
$\mathcal{O}(n^2)$	9	18	33	—	—	—	—
$\mathcal{O}(n^3)$	2	—	—	3	—	—	—
$\mathcal{O}(n^4)$	1	—	—	—	2	—	—
<i>EXP</i>	—	—	—	—	—	5	—
$\mathcal{O}(\omega)$	57	31	3	—	—	—	173

- non-trivial bounds: 78%, tight bounds: 67%

# Conclusion

- underapproximating program simplification framework

# Conclusion

- underapproximating program simplification framework
- calculus to obtain asymptotic lower bounds

# Conclusion

- underapproximating program simplification framework
- calculus to obtain asymptotic lower bounds
- modular approach

# Conclusion

- underapproximating program simplification framework
- calculus to obtain asymptotic lower bounds
- modular approach
- polynomial, exponential, and infinite bounds

# Conclusion

- underapproximating program simplification framework
- calculus to obtain asymptotic lower bounds
- modular approach
- polynomial, exponential, and infinite bounds
- full paper presented at IJCAR 2016

# Conclusion

- underapproximating program simplification framework
- calculus to obtain asymptotic lower bounds
- modular approach
- polynomial, exponential, and infinite bounds
- full paper presented at IJCAR 2016
- part of DARPA project STAC to detect vulnerabilities  
(Space/Time Analysis for Cybersecurity)

# Conclusion

- underapproximating program simplification framework
- calculus to obtain asymptotic lower bounds
- modular approach
- polynomial, exponential, and infinite bounds
- full paper presented at IJCAR 2016
- part of DARPA project STAC to detect vulnerabilities  
(Space/Time Analysis for Cybersecurity)

# Conclusion

- underapproximating program simplification framework
- calculus to obtain asymptotic lower bounds
- modular approach
- polynomial, exponential, and infinite bounds
- full paper presented at IJCAR 2016
- part of DARPA project STAC to detect vulnerabilities  
(Space/Time Analysis for Cybersecurity)

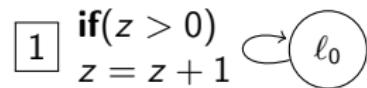
# Infinite Lower Bounds $\Omega(\omega)$

- **nontermination**

```
while ( $z > 0$ ) do
```

```
     $z = z + 1$ 
```

```
done
```



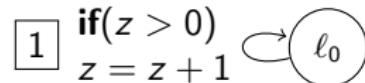
# Infinite Lower Bounds $\Omega(\omega)$

- **nontermination**

```
while ( $z > 0$ ) do
```

```
     $z = z + 1$ 
```

```
done
```



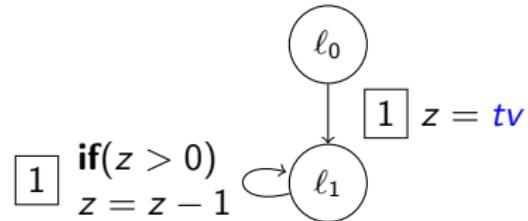
- **unbounded runtime**

```
 $z = \text{random}$ 
```

```
while ( $z > 0$ ) do
```

```
     $z = z - 1$ 
```

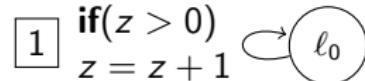
```
done
```



# Infinite Lower Bounds $\Omega(\omega)$

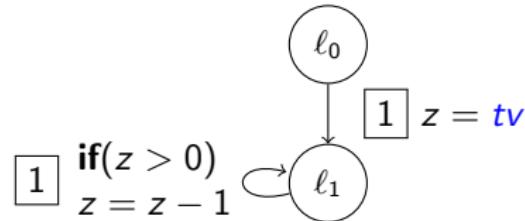
- **nontermination**

```
while ( $z > 0$ ) do  
     $z = z + 1$   
done
```



- **unbounded runtime**

```
 $z = \text{random}$   
while ( $z > 0$ ) do  
     $z = z - 1$   
done
```



$\Rightarrow$  e.g. to model nondeterminism, user input, ...