

Refined Resource Analysis Based on Cost Relations

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Statically obtain a bound on the resource consumption of a program (amount of resources required to execute it) on **any** input data

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- ▶ Execution steps (execution time)
- ▶ Number of visits to a program point
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Good Reasons for Having It

- ▶ Performance evaluation, avoid performance bugs
- ▶ Code documentation and certification
- ▶ Real cost estimation in virtual environments, provisioning

Classic Approach to Resource Analysis

Most early work on resource analysis based on extracting and solving recurrence relations

- ▶ [Wegbreit, 1975] (Lisp programs)
- ▶ [Debray and Lin, 1993] (Logic programs)
- ▶ [Vasconcelos and Hammond, 2004] (Functional programs)



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Extracting as well as solving of recurrence relations from programs is challenging and leads to highly complex and/or sub-optimal solutions

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while ( $i < n$ ) {  
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     $i = \text{rnd}(n-1)$ ;  
     $r--$ ;  
  } else  
     $i++$ ;  
}
```

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while (i<n) {  
  if (r>0) {  
p1:   i=rnd(n-1);  
p1:   r--;  
  } else  
p2:   i++;  
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Systems of recurrence relations?
Abstract into a worst case path?

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Abstract to the worst case? What is the worst case?
Cost does not have monotonic behavior!

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Cost depends on i, n, r

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Systems of recurrence relations?
Abstract into a worst case path?
- ▶ Non-determinism:
Abstract to the worst case? What is the worst case?
Cost does not have monotonic behavior!
- ▶ Cost can depend on multiple variables:
Pre-analysis, counter instrumentation, ...

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Cost Relations

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while ( $i < n$ ) {  
  if ( $r > 0$ ) {  
     $i = \text{rnd}(n-1)$ ;  
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    1 :  $wh(i, n, r) = 0 \quad \{i \geq n\}$   
  } else      2 :  $wh(i, n, r) = 1 + wh(i', n, r') \quad \{i < n, r > 0, 0 \leq i' < n, r' = r - 1\}$   
     $i++$ ;  
  }  
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- Cost relations similar to recurrence relations with linear constraints

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3 : $wh(i, n, r) = 1 + wh(i', n, r) \quad \{i < n, r \leq 0, i' = i + 1\}$

- ▶ Cost relations similar to recurrence relations with linear constraints
- ▶ One **cost equation** per loop path

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```
p2:while (i<n) {  
P2:  if (r>0) {  
P2:   i=rnd(n-1); 1 : wh(i, n, r) = 0   {i ≥ n}  
P2:   r--;  
    } else  
    i++;          2 : wh(i, n, r) = 1 + wh(i', n, r') {i < n, r > 0, 0 ≤ i' < n, r' = r - 1}  
  }  
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Cost Relations

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p3: while ( $i < n$ ) {  
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     $r--$ ;  
} else 2 :  $wh(i, n, r) = 1 + wh(i', n, r')$   $\{i < n, r > 0, 0 \leq i' < n, r' = r - 1\}$   
p3:  $i++$ ;  
} 3 :  $wh(i, n, r) = 1 + wh(i', n, r)$   $\{i < n, r \leq 0, i' = i + 1\}$ 
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 - input/output behavior (possibly non-deterministic)

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```
while (i < n) {
  if (r > 0 && a[r]) {
    i = rnd(n-1);
    r--;
  } else
    i++;
}
```

CE 2 and 3 can interleave

1 : $wh(i, n, r) = 0 \quad \{i \geq n\}$

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- ▶ Cost relations similar to recurrence relations with linear constraints
- ▶ One **cost equation** per loop path
- ▶ Each cost equation defines a cost
- ▶ The constraints represent:
 - applicability conditions for each cost equation
 - input/output behavior (possibly non-deterministic)
- ▶ Not necessarily mutually exclusive

Properties of Cost Relations

Cost relations \approx subclass of constraint logic program

- ▶ Easy to extract
- ▶ Uniform treatment of loops and recursion
- ▶ Language independent (frontends for many higher PLs)
- ▶ Good for incremental solving (one loop/function at a time)

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Address main limitations in **solving** process

- ▶ Multi-phase loops
- ▶ Loops with resets
- ▶ Amortized cost

Two phases

① Control Flow Refinement

- Break down the control flow of each cost relation into a set of possible **execution patterns** (called chains)
- Prove **termination**
- Compute **invariants** and **summaries** for each pattern

② Bound Computation

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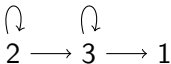
Control Flow Refinement: Phases and Chains

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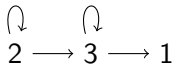
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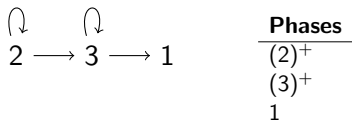
- ▶ Generate **dependency** (call) **graph** among the cost equations using their constraint sets (e.g., $2 \not\rightarrow 1$)
- ▶ Enumerate possible **execution patterns**



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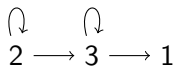
- ▶ Generate **dependency** (call) **graph** among the cost equations using their constraint sets (e.g., $2 \not\rightarrow 1$)
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iterative (e.g., $(2)^+$) or non-iterative (e.g., 1)



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 - Each SCC forms a **phase**
iterative (e.g., $(2)^+$) or non-iterative (e.g., 1)
 - **Chains** are possible sequences of phases in the dependency graph



Phases

$(2)^+$
 $(3)^+$
1

Chains

Non-terminating	Terminating
$(2)^+(3)^+$	$(2)^+(3)^+1$
$(3)^+$	$(3)^+1$
$(2)^+$	1

Control Flow Refinement: Termination

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- ▶ Attempt to prove termination of each iterative phase with (lexicographic) ranking function

<u>Phases</u>	<u>Ranking function</u>	<u>Chains</u>	
$(3)^+$	$n - i$	Non-terminating	Terminating
$(2)^+$	r	$(2)^+(3)^+$	$(2)^+(3)^+1$
1	—	$(3)^+$	$(3)^+1$
		$(2)^+$	1

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1	—	$(2)^+$	1

Remaining chains describe all possible behavior

Propagate Information Forward and Backward along each Chain

Compute polyhedral invariants

- ▶ Backward propagation: necessary precondition for each chain
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<u>Chains</u>		<u>Preconditions</u>
$(2)^+(3)^+1$	\longrightarrow	$i < n \wedge r > 0$
$(3)^+1$	\longrightarrow	$i < n \wedge r \leq 0$
1	\longrightarrow	$i \geq n$

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② Bound Computation

- Bottom-up **incremental** approach
- Represent cost with data structure called **cost structure**
- Can infer and **compose** costs precisely and efficiently

Chain Evaluation Schema

$$1 : wh(i, n, r) = 0 \quad \{i \geq n\}$$

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Chain: $(2)^+(3)^+1$

$wh(i, n, r)$

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$$\begin{array}{l} \rightarrow \text{CE 2} \\ 1 + wh(i_2, n_2, r_2) \end{array}$$

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\rightarrow CE 2

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\rightarrow CE 2

$$1 + wh(i_f, n_f, r_f)$$

\rightarrow CE 3

\dots
 \rightarrow CE 3

$$1 + wh(i_{f_3}, n_{f_3}, r_{f_3})$$

Chain Evaluation Schema

$$1 : wh(i, n, r) = 0 \quad \{i \geq n\}$$

$$2 : wh(i, n, r) = 1 + wh(i', n, r') \quad \{i < n, r > 0, 0 \leq i' < n, r' = r - 1\}$$

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Chain: $(2)^+(3)^+1$

$$wh(i, n, r)$$

\rightarrow CE 2

$$1 + wh(i_2, n_2, r_2)$$

\rightarrow CE 2

\dots
 \rightarrow CE 2

$$1 + wh(i_j, n_j, r_j)$$

\rightarrow CE 2

$$1 + wh(i_f, n_f, r_f)$$

\rightarrow CE 3

\dots
 \rightarrow CE 3

$$1 + wh(i_{f_3}, n_{f_3}, r_{f_3})$$

\rightarrow CE 1
0

Bound Computation Strategy

Bottom-up incremental approach

Chain: $(2)^+(3)^+1$

$wh(i, n, r)$

\rightarrow CE 2
 $1 + wh(i_2, n_2, r_2)$

\rightarrow CE 2
...

\rightarrow CE 2
 $1 + wh(i_j, n_j, r_j)$

\rightarrow CE 2
 $1 + wh(i_f, n_f, r_f)$

\rightarrow CE 3
...

\rightarrow CE 3
 $1 + wh(i_{f_3}, n_{f_3}, r_{f_3})$

\rightarrow CE 1
0

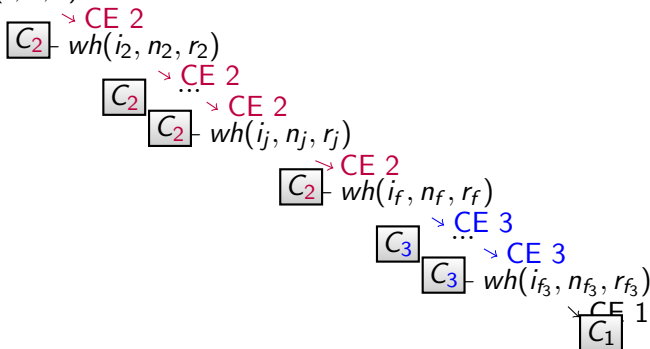
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- 1 Compute bound per cost equation without considering recursive calls

Chain: $(2)^+(3)^+1$

$wh(i, n, r)$



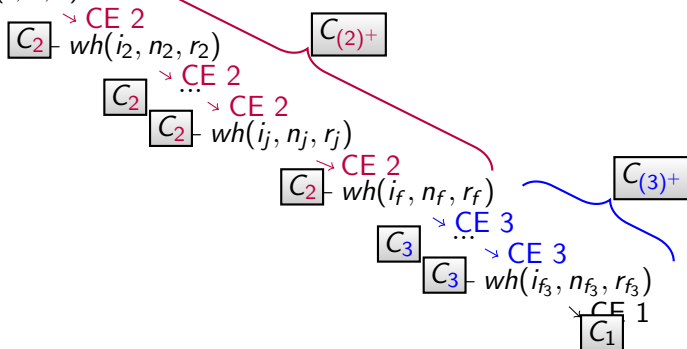
Bound Computation Strategy

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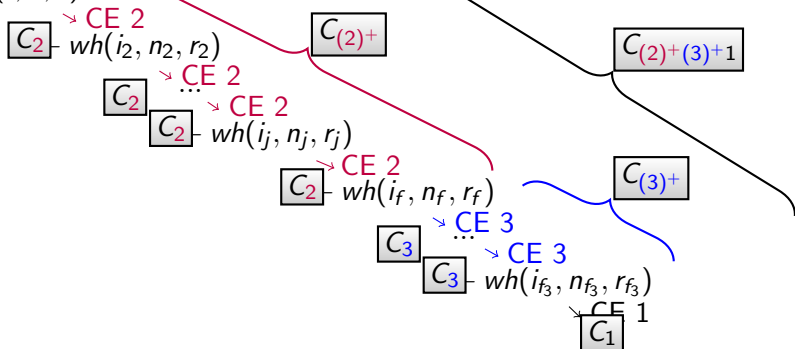
Bound Computation Strategy

Bottom-up incremental approach

- 1 Compute bound per cost equation without considering recursive calls
- 2 Compute cost of each phase by composing the cost of their CEs
- 3 Compose cost of phases to obtain the cost of whole chain

Chain: $(2)^+(3)^+1$

$wh(i, n, r)$



Cost Representation: Separation of Concerns

Decompose Symbolic Cost Expressions

- 1 Simple expressions over (auxiliary) **intermediate variables** (**iv**)
- 2 Constraints that bind intermediate variables to program/cost relation variables

```
while (i < n) {  
  if (r > 0) {  
    i = rnd(n-1);  
    r--;  
  } else  
    i++;  
}
```

To represent the upper bound $|r| + |n|$:

① $iv_1 + iv_2$ ② $\{iv_1 \leq |r|, iv_2 \leq |n|\}$

$|x|$ abbreviates $\max(x, 0)$

Cost Representation: Separation of Concerns

Decompose Symbolic Cost Expressions

- 1 Simple expressions over (auxiliary) **intermediate variables** (**iv**)
- 2 Constraints that bind intermediate variables to program/cost relation variables

Advantages:

- ▶ Consider multiple bound candidates

```
while (x>0 && y>0) {  
    x--;  
    y--;  
}
```

iv₁ { **iv₁** ≤ |x|, **iv₁** ≤ |y| }

Cost Representation: Separation of Concerns

Decompose Symbolic Cost Expressions

- 1 Simple expressions over (auxiliary) **intermediate variables** (**iv**)
- 2 Constraints that bind intermediate variables to program/cost relation variables

Advantages:

- ▶ Consider multiple bound candidates
- ▶ Facilitates incremental bound inference and composition

```
while (x>0 && *)  
  x--;  
// exit value x'  
while (x>0)  
  x--;
```

Cost of first loop:
iv₁ {**iv₁** ≤ |x - x'|}

Cost of second loop:
iv₂ {**iv₂** ≤ |x'|}

Total cost:
iv₁ + iv₂ {**iv₁ + iv₂** ≤ |x|}

Cost Representation: Cost Structures

Represent cost with **cost structures** $\langle E, IC, FC \rangle$

- ▶ E is the **main cost expression**
 - Linear expression over **intermediate variables** (**iv**)

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IC (intermediate) **non-final constraints** bind sums of intermediate variables to expressions over other intermediate variables

- can be non-linear and contain max and min
- permit to represent non-linear bounds, e.g.:

$$iv_1 + iv_2 \leq iv_3 * iv_4$$

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We will not use non-final constraints in this presentation

Computing Cost Structures: Cost Equations

$$1 : wh(i, n, r) = \underline{0} \quad \{i \geq n\}$$

$$2 : wh(i, n, r) = \underline{1} + wh(i', n, r') \quad \{i < n, r > 0, 0 \leq i' < n, r' = r - 1\}$$

$$3 : wh(i, n, r) = \underline{1} + wh(i', n, r) \quad \{i < n, r \leq 0, i' = i + 1\}$$

- ▶ Do not take into account the cost of recursive calls
- ▶ Use cost equation definition

$$C_2 : \langle \mathbf{iv}_1, \emptyset, \{\mathbf{iv}_1 \leq \mathbf{1}\} \rangle$$

$$C_3 : \langle \mathbf{iv}_2, \emptyset, \{\mathbf{iv}_2 \leq \mathbf{1}\} \rangle$$

$$C_1 : \langle \mathbf{0}, \emptyset, \emptyset \rangle$$

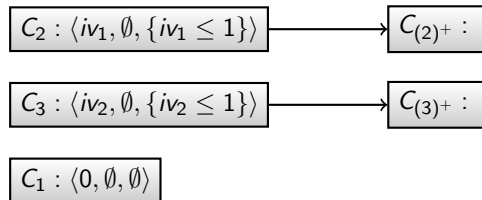
Computing Cost Structures: Phases

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- ▶ Assume CE 2 is evaluated $\#c_2$ times in phase (2)⁺



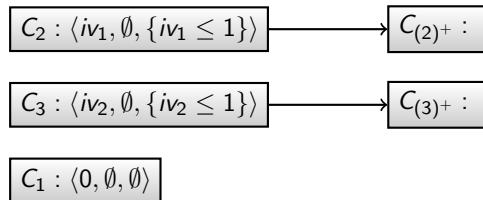
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- ▶ The j -th evaluation of CE 2 has cost $\langle iv_{1j}, \emptyset, \{iv_{1j} \leq 1\} \rangle$



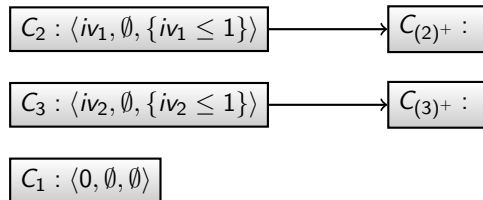
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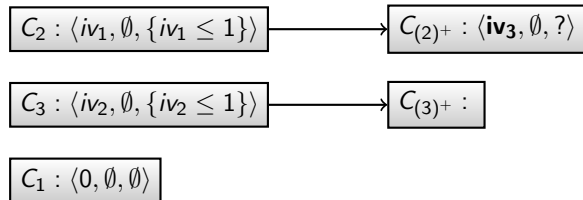
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- ▶ Heuristics and templates to generate constraints for iv_3 :
 - **Inductive Sum:** Farkas' Lemma with linear template $L(i, n, r)$ and constraint set φ_2 of CE 2: obtain a symbolic expression that satisfies:
 $\varphi_2 \Rightarrow (L(i, n, r) \geq 1 \wedge L(i, n, r) \geq 1 + L(i', n', r'))$

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$$C_{(2)^+} : \langle iv_3, \emptyset, \{iv_3 \leq |r|\} \rangle$$

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$$C_{(3)^+} :$$

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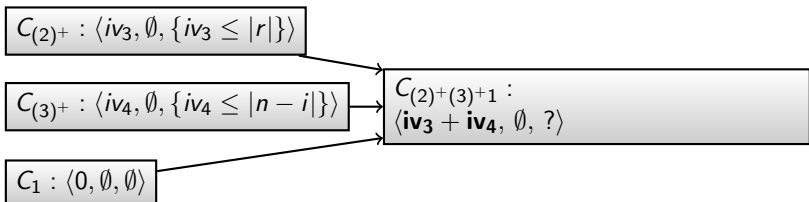
Computing Cost Structures: Chains

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- Add cost of the phases in a chain



Computing Cost Structures: Chains

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- ▶ Add cost of the phases in a chain
- ▶ To obtain bounds: express constraints in terms of **initial** variables
 - in phase (2)⁺ variable i is set to arbitrary value between 0 and $n - 1$
 - worst case: expression $n - i$ in phase (3)⁺ has value n

$$C_{(2)^+} : \langle iv_3, \emptyset, \{iv_3 \leq |r|\} \rangle$$

$$C_{(3)^+} : \langle iv_4, \emptyset, \{iv_4 \leq |n - i|\} \rangle$$

$$C_1 : \langle 0, \emptyset, \emptyset \rangle$$

$$C_{(2)^+(3)^+1} : \langle iv_3 + iv_4, \emptyset, \emptyset, \{iv_3 \leq |r|, iv_4 \leq |n|\} \rangle$$

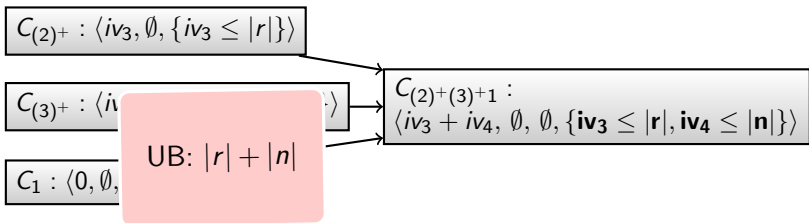
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Amortized cost

```
while (l != []) {
  s = Cons(head(l), s);
  if (*)
    s = popSome(s);
  l = tail(l);
}

popSome(List s) {
  if (s == [] || *)
    return s;
  else
    popSome(tail(s));
}
```

- ▶ While-loop adds elements of list l to list s
 - In worst case loop can iterate l times
- ▶ Occasionally, `popSome()` removes elements from s
 - In worst case `popSome()` is called l times
- ▶ All elements on s not already present at start come from l :
 - Total cost is $\mathcal{O}(l)$ and not $\mathcal{O}(l^2)$
- ▶ Example involves **amortized** cost

Cost Relation Abstraction, Return Values

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while (l != []) {  
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$$5 : whA(l, s) = 1 + popSome(s'', s') + whA(l', s') \\ \{l \geq 1, s \geq 0, \underline{s'' = s + 1}, l' = l - 1\}$$

$$6 : whA(l, s) = 1 + whA(l', s') \{l \geq 1, s \geq 0, s' = s + 1, \underline{l' = l - 1}\}$$

$$7 : popSome(s, so) = 0 \quad \{s = so\}$$

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- Lists are abstracted to their length

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- ▶ Lists are abstracted to their length
- ▶ Essential to consider the return value of popSome()

Recall Control Flow Refinement: popSome()

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$$8 \longrightarrow 7$$

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Phases

(8)⁺

7



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Phases

$(8)^+$

7



8 \longrightarrow 7

Chains

Non-terminating

$(8)^+$

Terminating

$(8)^+7$

7

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	<u>Phases</u>	<u>Ranking functions</u>
	$(8)^+$	s
	7	
\curvearrowright		
8 \longrightarrow 7	Chains	
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		7

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	<u>Phases</u>	<u>Ranking functions</u>	
	$(8)^+$	s	
	7		
\curvearrowright			
8 \longrightarrow 7	<u>Chains</u>		<u>Invariants/Summaries</u>
	Non-terminating	Terminating	$s \geq 1 \wedge s > so$
	$(8)^+$	$(8)^+7$	$s = so$
		7	

- Now invariants constitute **summaries** (inclusion of return variable)

Refinement Propagation

Propagate control flow refinement from `popSome()` to `whA`

- ▶ **Substitute** calls to `popSome()` by calls to refined chains of `popSome()`
- ▶ **Add** summaries of called chain to constraint set of caller

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Refinement Propagation

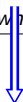
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$$5.1 : whA(l, s) = 1 + popSome[7](s'', s') + whA(l', s') \\ \{l \geq 1, s \geq 0, s'' = s + 1, l' = l - 1, s'' = s'\}$$

$$5.2 : whA(l, s) = 1 + popSome[(8)+7](s'', s') + whA(l', s') \\ \{l \geq 1, s \geq 0, s'' = s + 1, l' = l - 1, s'' > s'\}$$

Refinement Propagation

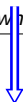
Propagate control flow refinement from `popSome()` to `whA`

- ▶ **Substitute** calls to `popSome()` by calls to refined chains of `popSome()`
- ▶ **Add** summaries of called chain to constraint set of caller

$$4 : whA(l, s) = 0 \quad \{l = 0\}$$

$$5 : whA(l, s) = 1 + popSome(s'', s') + whA(l', s') \\ \{l \geq 1, s \geq 0, s'' = s + 1, l' = l - 1\}$$

$$6 : whA(l, s) = 1 + whA(l', s') \{l \geq 1, s \geq 0, s' = s + 1, l' = l - 1\}$$



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Again refine resulting CEs to obtain chains $(5.1 \vee 5.2 \vee 6)^+ 4$ and 4

Bound Computation

$$4 : whA(l, s) = 0 \quad \{l = 0\}$$

$$5.1 : whA(l, s) = 1 + popSome[7](s'', s') + whA(l', s') \\ \{l \geq 1, s \geq 0, s'' = s + 1, l' = l - 1, s'' = s'\}$$

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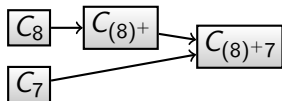
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Again, performed **bottom-up** (C_c denotes chain c)

popSome()



Bound Computation

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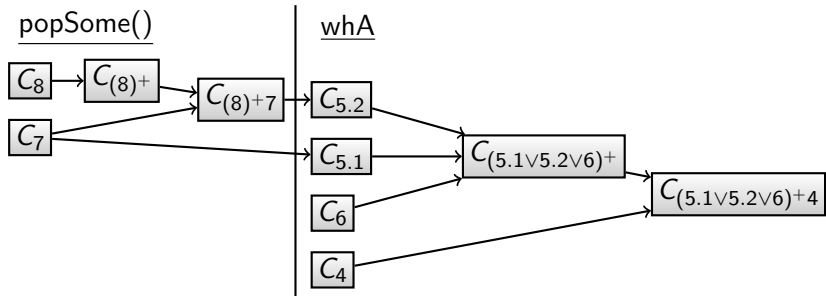
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Again, performed **bottom-up** (C_c denotes chain c)



First Step: Bound Computation of popSome()

- ▶ List s might not be completely consumed in $\text{popSome}()$:
 - to obtain amortized cost, express $C_{(8)^+}$ in terms of s , so **and** s_f , so_f

$$\begin{array}{l} \text{Chain: } (8)^+7 \\ \hline \text{popSome}(s, so) \\ \quad \rightarrow \text{CE } 8 \\ \quad 1 + \text{popSome}(s_2, so_2) \\ \qquad \quad \rightarrow \text{CE } 8 \\ \qquad \quad \dots \\ \qquad \quad \rightarrow \text{CE } 8 \\ \qquad \quad 1 + \text{popSome}(s_f, so_f) \\ \qquad \qquad \quad \rightarrow \text{CE } 7 \\ \qquad \qquad \quad 0 \end{array}$$

First Step: Bound Computation of popSome()

- ▶ List s might not be completely consumed in $\text{popSome}()$:
 - to obtain amortized cost, express $C_{(8)^+}$ in terms of s , so **and** s_f , so_f
- ▶ Define intermediate variable $iv_2 = \sum_{j=1}^{\#c_8} (iv_{1j})$

$$7 : \text{popSome}(s, so) = 0 \quad \{s = so\}$$

$$8 : \text{popSome}(s, so) = 1 + \text{popSome}(s', so) \quad \{s \geq 1, s' = s - 1\}$$

$$C_8 : \langle iv_1, \emptyset, \{iv_1 \leq 1\} \rangle$$

$$C_7 : \langle 0, \emptyset, \emptyset \rangle$$

First Step: Bound Computation of popSome()

- ▶ List s might not be completely consumed in $\text{popSome}()$:
 - to obtain amortized cost, express $C_{(8)^+}$ in terms of s , so **and** s_f , so_f
- ▶ Define intermediate variable $iv_2 = \sum_{j=1}^{\#c_8} (iv_{1j})$
- ▶ Apply “Inductive Sum” heuristics to obtain bound $iv_2 \leq |s - s_f|$
 - # of iterations of $(8)^+$ bound by difference initial/final value of s

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$$C_8 : \langle iv_1, \emptyset, \{iv_1 \leq 1\} \rangle \longrightarrow C_{(8)^+} : \langle iv_2, \emptyset, \{iv_2 \leq |s - s_f| \} \rangle$$

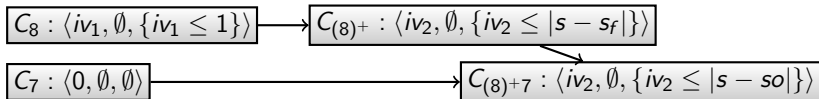
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First Step: Bound Computation of popSome()

- ▶ List s might not be completely consumed in $\text{popSome}()$:
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- ▶ Apply “Inductive Sum” heuristics to obtain bound $iv_2 \leq |s - s_f|$
 - $\#$ of iterations of $(8)^+$ bound by difference initial/final value of s
- ▶ Final value of s ($= s_f$) returned and unmodified in $(8)^+$:
 $s_f = so_f = so$

$$7 : \text{popSome}(s, so) = 0 \quad \{s = so\}$$

$$8 : \text{popSome}(s, so) = 1 + \text{popSome}(s', so) \quad \{s \geq 1, s' = s - 1\}$$

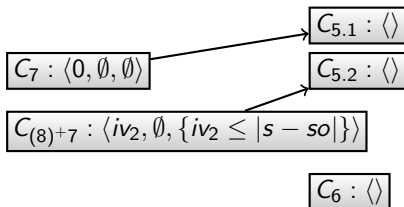


Second Step: (1) Bound Computation of CEs of whA()

$$5.1 : whA(l, s) = 1 + \text{popSome}[7](s'', s') + whA(l', s') \\ \{l \geq 1, s \geq 0, s'' = s + 1, l' = l - 1, s'' = s'\}$$

$$5.2 : whA(l, s) = 1 + \text{popSome}[(8)+7](s'', s') + whA(l', s') \\ \{l \geq 1, s \geq 0, s'' = s + 1, l' = l - 1, s'' > s'\}$$

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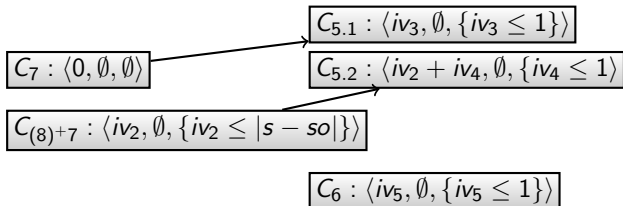
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- **Add** cost of calls computed in first step to cost relation of caller

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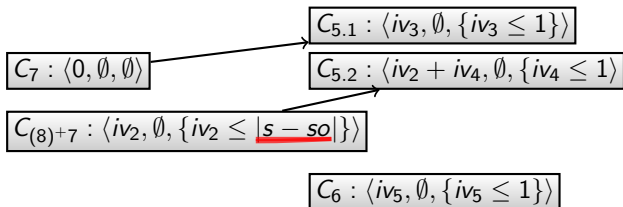
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- ▶ **Add** cost of calls computed in first step to cost relation of caller
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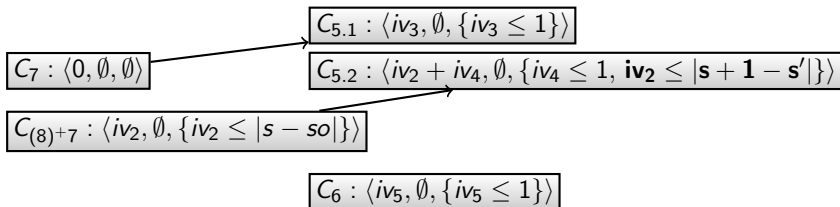
Second Step: (1) Bound Computation of CEs of whA()

- ▶ **Add** cost of calls computed in first step to cost relation of caller
- ▶ $|s - s_0|$ corresponds to $|s'' - s'|$ in CE 5.2
- ▶ Express bounds in terms of initial variables **and variables of recursive call** (to obtain amortized cost)

$$5.1 : whA(l, s) = 1 + \text{popSome}[7](s'', s') + whA(l', s') \\ \{l \geq 1, s \geq 0, s'' = s + 1, l' = l - 1, s'' = s'\}$$

$$5.2 : whA(\underline{l}, s) = 1 + \text{popSome}[(8)+7](s'', s') + whA(\underline{l'}, s') \\ \{l \geq 1, s \geq 0, s'' = s + 1, l' = l - 1, s'' > s'\}$$

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Second Step: (2) Bound Computation of Phase of whA()

$$5.1 : whA(l, s) = 1 + \text{popSome}[7](s'', s') + whA(l', s') \\ \{l \geq 1, s \geq 0, s'' = s + 1, l' = l - 1, s'' = s'\} = \varphi_{5.1}$$

$$5.2 : whA(l, s) = 1 + \text{popSome}[(8)+7](s'', s') + whA(l', s') \\ \{l \geq 1, s \geq 0, s'' = s + 1, l' = l - 1, s'' > s'\} = \varphi_{5.2}$$

$$6 : whA(l, s) = 1 + whA(l', s') \{l \geq 1, s \geq 0, s' = s + 1, l' = l - 1\} = \varphi_6$$

$$C_{5.2} : \langle iv_2 + iv_4, \emptyset, \{iv_4 \leq 1, iv_2 \leq |s + 1 - s'|\} \rangle \quad C_{5.1} : \langle iv_3, \emptyset, \{iv_3 \leq 1\} \rangle$$

$$C_6 : \langle iv_5, \emptyset, \{iv_5 \leq 1\} \rangle$$

$$C_{(5.1 \vee 5.2 \vee 6)^+} : \langle \rangle$$

Second Step: (2) Bound Computation of Phase of whA()

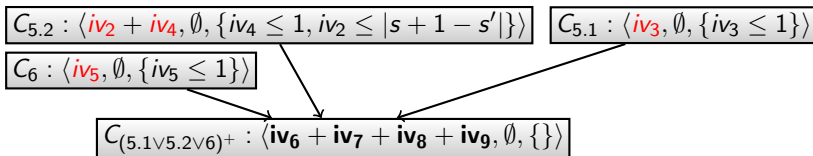
- Define intermediate variables representing the various sums:

$$iv_6 = \sum_{j=1}^{\#c_{5.2}} (iv_{2j}) \quad iv_7 = \sum_{j=1}^{\#c_{5.1}} (iv_{3j}) \quad iv_8 = \sum_{j=1}^{\#c_{5.2}} (iv_{4j}) \quad iv_9 = \sum_{j=1}^{\#c_6} (iv_{5j})$$

$$5.1 : whA(l, s) = 1 + \text{popSome}[7](s'', s') + whA(l', s') \\ \{l \geq 1, s \geq 0, s'' = s + 1, l' = l - 1, s'' = s'\} = \varphi_{5.1}$$

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- ▶ Use **heuristics** to obtain constraints for these variables:

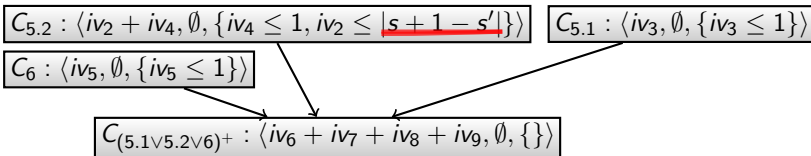
- **Inductive sum** for iv_6 : obtain symbolic linear expression satisfying:

$$\varphi_{5.2} \Rightarrow L(i, n, r) \geq (\mathbf{s} + \mathbf{1} - \mathbf{s}') \wedge L(i, n, r) \geq (\mathbf{s} + \mathbf{1} - \mathbf{s}') + L(i', n', r')$$

$$5.1 : whA(l, s) = 1 + \text{popSome}[7](s'', s') + whA(l', s') \\ \{l \geq 1, s \geq 0, s'' = s + 1, l' = l - 1, s'' = s'\} = \varphi_{5.1}$$

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Second Step: (2) Bound Computation of Phase of whA()

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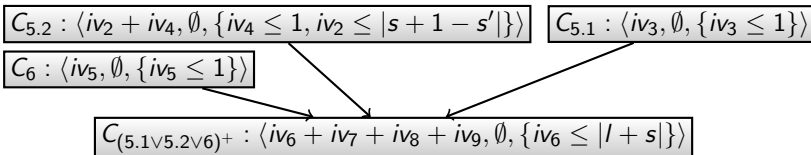
- Use **heuristics** to obtain constraints for these variables:

- Inductive sum** for iv_6 : obtain symbolic linear expression satisfying:
 $\varphi_{5.2} \Rightarrow L(i, n, r) \geq (\mathbf{s} + \mathbf{1} - \mathbf{s}') \wedge L(i, n, r) \geq (\mathbf{s} + \mathbf{1} - \mathbf{s}') + L(i', n', r')$
- Solution: $\mathbf{l} + \mathbf{s}$ remains unchanged in CEs 5.1 and 6

$$5.1 : whA(l, s) = 1 + \text{popSome}[7](s'', s') + whA(l', s') \\ \{l \geq 1, s \geq 0, s'' = s + 1, l' = l - 1, s'' = s'\} = \varphi_{5.1}$$

$$5.2 : whA(l, s) = 1 + \text{popSome}[(8)+7](s'', s') + whA(l', s') \\ \{l \geq 1, s \geq 0, s'' = s + 1, l' = l - 1, s'' > s'\} = \varphi_{5.2}$$

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Second Step: (2) Bound Computation of Phase of whA()

- ▶ Define intermediate variables representing the various sums:

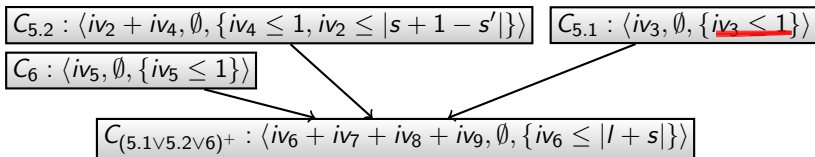
$$iv_6 = \sum_{j=1}^{\#c_{5.2}} (iv_{2j}) \quad iv_7 = \sum_{j=1}^{\#c_{5.1}} (iv_{3j}) \quad iv_8 = \sum_{j=1}^{\#c_{5.2}} (iv_{4j}) \quad iv_9 = \sum_{j=1}^{\#c_6} (iv_{5j})$$

- ▶ Use **heuristics** to obtain constraints for these variables:
 - **Inductive sum** for iv_7 yields **l** as bound

$$5.1 : whA(l, s) = 1 + \text{popSome}[7](s'', s') + whA(l', s') \\ \{l \geq 1, s \geq 0, s'' = s + 1, l' = l - 1, s'' = s'\} = \varphi_{5.1}$$

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Second Step: (2) Bound Computation of Phase of whA()

- Define intermediate variables representing the various sums:

$$iv_6 = \sum_{j=1}^{\#C_{5.2}} (iv_{2j}) \quad iv_7 = \sum_{j=1}^{\#C_{5.1}} (iv_{3j}) \quad iv_8 = \sum_{j=1}^{\#C_{5.2}} (iv_{4j}) \quad iv_9 = \sum_{j=1}^{\#C_6} (iv_{5j})$$

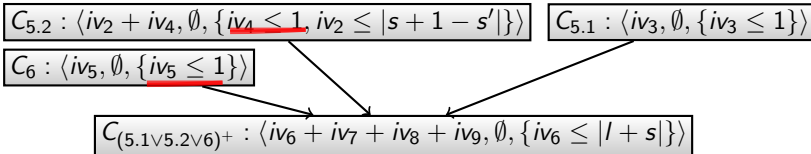
- Use **heuristics** to obtain constraints for these variables:

- Inductive sum** for iv_7 yields **l** as bound
- Same bound obtained for iv_8, iv_9

$$5.1 : whA(l, s) = 1 + \text{popSome}[7](s'', s') + whA(l', s') \\ \{l \geq 1, s \geq 0, s'' = s + 1, l' = l - 1, s'' = s'\} = \varphi_{5.1}$$

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Second Step: (2) Bound Computation of Phase of whA()

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- Use **heuristics** to obtain constraints for these variables:

- Inductive sum** for iv_7 yields **l** as bound
- Same bound obtained for iv_8 , iv_9 and even **sum of all three**

$$5.1 : whA(l, s) = 1 + \text{popSome}[7](s'', s') + whA(l', s') \\ \{l \geq 1, s \geq 0, s'' = s + 1, l' = l - 1, s'' = s'\} = \varphi_{5.1}$$

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$$C_{5.2} : \langle iv_2 + iv_4, \emptyset, \{iv_4 \leq 1, iv_2 \leq |s + 1 - s'|\} \rangle$$

$$C_{5.1} : \langle iv_3, \emptyset, \{iv_3 \leq 1\} \rangle$$

$$C_6 : \langle iv_5, \emptyset, \{iv_5 \leq 1\} \rangle$$

$$C_{(5.1 \vee 5.2 \vee 6)^+} : \langle iv_6 + iv_7 + iv_8 + iv_9, \emptyset, \{iv_6 \leq |l + s|, iv_7 + iv_8 + iv_9 \leq |l|\} \rangle$$

Second Step: (2) Bound Computation of Phase of whA()

- Define intermediate variables representing the various sums:

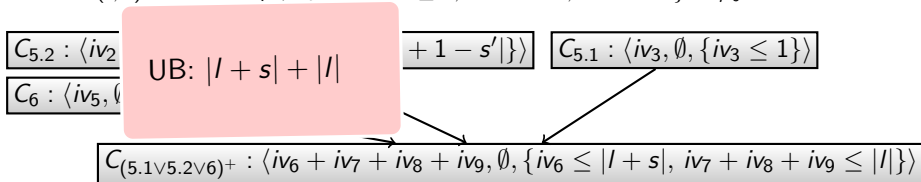
$$iv_6 = \sum_{j=1}^{\#c_{5.2}} (iv_{2j}) \quad iv_7 = \sum_{j=1}^{\#c_{5.1}} (iv_{3j}) \quad iv_8 = \sum_{j=1}^{\#c_{5.2}} (iv_{4j}) \quad iv_9 = \sum_{j=1}^{\#c_6} (iv_{5j})$$

- Use **heuristics** to obtain constraints for these variables:
 - Inductive sum** for iv_7 yields **I** as bound
 - Same bound obtained for iv_8 , iv_9 and even **sum of all three**

$$5.1 : whA(I, s) = 1 + \text{popSome}[7](s'', s') + whA(I', s') \\ \{I \geq 1, s \geq 0, s'' = s + 1, I' = I - 1, s'' = s'\} = \varphi_{5.1}$$

$$5.2 : whA(I, s) = 1 + \text{popSome}[(8)^+7](s'', s') + whA(I', s') \\ \{I \geq 1, s \geq 0, s'' = s + 1, I' = I - 1, s'' > s'\} = \varphi_{5.2}$$

$$6 : whA(I, s) = 1 + whA(I', s') \{I \geq 1, s \geq 0, s' = s + 1, I' = I - 1\} = \varphi_6$$



- ▶ All techniques implemented in **CoFLoCo** (\Rightarrow complexity competition)
- ▶ Automatically obtained precise bounds for executable, concurrent model (in ABS) of a distributed genetic algorithm
- ▶ **Essential features** to deal with realistic code:
 - Control flow refinement of cost relations
 - Ability to maintain multiple bound candidates in a cost structure
- ▶ In many applications amortized bounds very useful: code over (functional) data structures
- ▶ **Drawback** of control flow refinement: exponential blow-up possible
 - Employ path/chain merging to mitigate that problem

Control Flow Refinement

- ▶ **Separate bounds** for computation paths with differing behavior
- ▶ Derive explicit **preconditions** for these: document, optimize

Propagation of Invariants/Summaries, Bottom-Up Strategy

- ▶ Strengthen application conditions
- ▶ Take **return values** into account: **amortized** cost

Separation of Concerns in Cost Structures, Intermediate Variables

- ▶ Maintain **multiple** candidates for bounds
- ▶ Enable **incremental** bound inference
- ▶ Plug in induction **heuristics** to infer closed bounds
- ▶ Represent **non-linear** bounds
- ▶ Handle loops with **resets** (see paper)

- ▶ Overcame existing limitations of resource analysis with cost relations:
 - Multi-phase loops
 - Loops with resets
 - Amortized cost
- ▶ Increase precision of derived bounds
- ▶ Presented techniques are language independent: imperative and functional programs
- ▶ Can also infer (best case) lower bounds
- ▶ Extendable to logarithmic or exponential bounds



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