

# Recording Completion for Finding and Certifying Proofs in Equational Logic

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## Outline

- Reminder: completion & conversions
- Extending completion to recording completion
- Certification
- Conclusion

## Completion

- input: equational system and equation

$$E = \{ff \approx f, ggf \approx g\} \quad \text{and} \quad fgf \stackrel{?}{\leftrightarrow}_E^* fgg$$

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- prove that  $R$  is convergent and that  $\leftrightarrow_E^* = \leftrightarrow_R^*$

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- second possibility has the advantage that one can also certify  $s \not\leftrightarrow_E^* t$

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- both possibilities require more information from completion than  $R$
- second possibility has the advantage that one can also certify  $s \not\leftrightarrow_E^* t$
- solution: extend completion to **recording completion**

## Completion Rules

deduce

$$\frac{(E, R)}{(E \cup \{s \approx t\}, R)} \quad \text{if } s \xrightarrow{R} \leftarrow u \rightarrow_R t$$

orient

$$\frac{(E \cup \{s \approx t\}, R)}{(E, R \cup \{s \rightarrow t\})} \quad \text{if } s > t$$

simplify

$$\frac{(E \cup \{s \approx t\}, R)}{(E \cup \{u \approx t\}, R)} \quad \text{if } s \rightarrow_R u$$

delete

$$\frac{(E \cup \{s \approx s\}, R)}{(E, R)}$$

compose

$$\frac{(E, R \cup \{s \rightarrow t\})}{(E, R \cup \{s \rightarrow u\})} \quad \text{if } t \rightarrow_R u$$

collapse

$$\frac{(E, R \cup \{s \rightarrow t\})}{(E \cup \{u \approx t\}, R)} \quad \text{if } s \xrightarrow{\exists} \rightarrow_R u$$

## Completion Rules

deduce

$$\frac{(E, R)}{(E \cup \{s \approx t\}, R)} \quad \text{if } s \xrightarrow{R} u \rightarrow_R t$$

orient

$$\frac{(E \cup \{s \approx t\}, R)}{(E, R \cup \{s \rightarrow t\})} \quad \text{if } s > t$$

simplify

$$\frac{(E \cup \{s \approx t\}, R)}{(E \cup \{u \approx t\}, R)} \quad \text{if } s \rightarrow_R u$$

delete

$$\frac{(E \cup \{s \approx s\}, R)}{(E, R)}$$

compose

$$\frac{(E, R \cup \{s \rightarrow t\})}{(E, R \cup \{s \rightarrow u\})} \quad \text{if } t \rightarrow_R u$$

collapse

$$\frac{(E, R \cup \{s \rightarrow t\})}{(E \cup \{u \approx t\}, R)} \quad \text{if } s \rightarrow_R u$$

- we will only be able to certify finite completion runs  
 $\Rightarrow$  **new result**: then strict-encompassment  $\sqsupset$  can be dropped

Let  $\rightsquigarrow$  be a step w.r.t. the completion rules  
(without the strict encompassment condition)

**Theorem (Soundness of completion, formalized in IsaFoR)**

*If  $(E, \emptyset) \rightsquigarrow^* (\emptyset, R)$  where all critical pairs of  $R$  have been generated, then  $R$  is terminating, confluent, and  $\leftrightarrow_E^* = \leftrightarrow_R^*$ .*

IsaFoR: **I**sabelle **F**ormalization of **R**ewriting

## Completion

$E$

①  $ff \approx f$

②  $ggf \approx g$

$R$

inference rule

## Completion

$E$

①  ~~$ff \approx f$~~

②  $ggf \approx g$

$R$

①  $ff \rightarrow f$

inference rule

orient ①  $\rightarrow$



## Completion

$E$

$$\textcircled{1} \quad ff \approx f$$

$$\textcircled{2} \quad ggf \approx g$$

$R$

$$\textcircled{1} \quad ff \rightarrow f$$

$$\textcircled{2} \quad ggf \rightarrow g$$

inference rule

$$\text{orient } \textcircled{1} \rightarrow$$

$$\text{orient } \textcircled{2} \rightarrow$$

## Completion

$E$

①  ~~$ff \approx f$~~

②  ~~$ggf \approx g$~~

③  $ggf \approx gf$

$R$

①  $ff \rightarrow f$

②  $ggf \rightarrow g$

inference rule

orient ①  $\rightarrow$

orient ②  $\rightarrow$

deduce ②, ①

## Completion

*E*

①  ~~$ff \approx f$~~

②  ~~$ggf \approx g$~~

③  ~~$ggf \approx gf$~~

④  $g \approx gf$

*R*

①  $ff \rightarrow f$

②  $ggf \rightarrow g$

inference rule

orient ①  $\rightarrow$

orient ②  $\rightarrow$

deduce ②, ①

simplify ③, ②

## Completion

*E*

①  ~~$ff \approx f$~~

②  ~~$ggf \approx g$~~

③  ~~$ggf \approx gf$~~

④  ~~$g \approx gf$~~

*R*

①  $ff \rightarrow f$

②  $ggf \rightarrow g$

④  $gf \rightarrow g$

inference rule

orient ①  $\rightarrow$

orient ②  $\rightarrow$

deduce ②, ①

simplify ③, ②

orient ④  $\leftarrow$

## Completion

*E*

①  ~~$ff \approx f$~~

②  ~~$ggf \approx g$~~

③  ~~$ggf \approx gf$~~

④  ~~$g \approx gf$~~

⑤  $gg \approx g$

*R*

①  $ff \rightarrow f$

②  $ggf \rightarrow g$

④  $gf \rightarrow g$

inference rule

orient ①  $\rightarrow$

orient ②  $\rightarrow$

deduce ②, ①

simplify ③, ②

orient ④  $\leftarrow$

deduce ②, ④

## Completion

*E*

①  ~~$ff \approx f$~~

②  ~~$ggf \approx g$~~

③  ~~$ggf \approx gf$~~

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*R*

①  $ff \rightarrow f$

②  $ggf \rightarrow g$

④  $gf \rightarrow g$

⑤  $gg \rightarrow g$

inference rule

orient ①  $\rightarrow$

orient ②  $\rightarrow$

deduce ②, ①

simplify ③, ②

orient ④  $\leftarrow$

deduce ②, ④

orient ⑤  $\rightarrow$

## Completion

*E*

①  ~~$ff \approx f$~~

②  ~~$ggf \approx g$~~

③  ~~$ggf \approx gf$~~

④  ~~$g \approx gf$~~

⑤  ~~$gg \approx g$~~

⑥  $gf \approx g$

*R*

①  $ff \rightarrow f$

②  ~~$ggf \rightarrow g$~~

④  $gf \rightarrow g$

⑤  $gg \rightarrow g$

inference rule

orient ①  $\rightarrow$

orient ②  $\rightarrow$

deduce ②, ①

simplify ③, ②

orient ④  $\leftarrow$

deduce ②, ④

orient ⑤  $\rightarrow$

collapse ②, ⑤

## Completion

*E*

①  ~~$ff \approx f$~~

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④  ~~$g \approx gf$~~

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⑥  ~~$gf \approx g$~~

⑦  ~~$g \approx g$~~

*R*

①  $ff \rightarrow f$

②  ~~$ggf \rightarrow g$~~

④  $gf \rightarrow g$

⑤  $gg \rightarrow g$

inference rule

orient ①  $\rightarrow$

orient ②  $\rightarrow$

deduce ②, ①

simplify ③, ②

orient ④  $\leftarrow$

deduce ②, ④

orient ⑤  $\rightarrow$

collapse ②, ⑤

simplify ⑥, ④



## Completion

*E*

①  $ff \approx f$

②  $ggf \approx g$

③  $ggf \approx gf$

④  $g \approx gf$

⑤  $gg \approx g$

⑥  $gf \approx g$

⑦  $g \approx g$

*R*

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⑤  $gg \rightarrow g$

inference rule

orient ①  $\rightarrow$

orient ②  $\rightarrow$

deduce ②, ①

simplify ③, ②

orient ④  $\leftarrow$

deduce ②, ④

orient ⑤  $\rightarrow$

collapse ②, ⑤

simplify ⑥, ④

delete ⑦

## Completion

*E*

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②  $ggf \approx g$

③  $ggf \approx gf$

④  $g \approx gf$

⑤  $gg \approx g$

⑥  $gf \approx g$

⑦  $g \approx g$

*R*

①  $ff \rightarrow f$

②  $ggf \rightarrow g$

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inference rule

orient ①  $\rightarrow$

orient ②  $\rightarrow$

deduce ②, ①

simplify ③, ②

orient ④  $\leftarrow$

deduce ②, ④

orient ⑤  $\rightarrow$

collapse ②, ⑤

simplify ⑥, ④

delete ⑦

All other critical pairs can be deleted after simplification

## result of completion

$E$

①  $ff \approx f$

②  $ggf \approx g$

$R$

①  $ff \rightarrow f$

④  $gf \rightarrow g$

⑤  $gg \rightarrow g$

## Problem

- from completed rewrite system  $R$  one cannot infer how the rules have been derived from  $E$
- $\Rightarrow$  no possibility to convert  $s \rightarrow_R^! t$  derivation into  $s \leftrightarrow_E^* t$  conversion
- $\Rightarrow$  no possibility to show  $\leftrightarrow_E^* = \leftrightarrow_R^*$  (one can only show  $\leftrightarrow_E^* \subseteq \leftrightarrow_R^*$ )

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## Solution: recording completion

idea:

- each rule and equation is indexed
- extent completion process by **history**

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## Solution: recording completion

idea:

- each rule and equation is indexed
- extent completion process by **history**
- for each rule and equation there is a two step derivation in the history how the rule or equation has been derived
- initial history:  $H_0 = \{i : s \xrightarrow{i} t \overset{0}{\approx} t \mid s \approx t \in E\}$

## Completion Rules

deduce

$$\frac{(E, R \quad )}{(E \cup \{ s \approx t \}, R \quad )} \quad \text{if } s \xrightarrow{R} u \rightarrow_R t$$

orient-l

$$\frac{(E \cup \{ s \approx t \}, R \quad )}{(E, R \cup \{ s \rightarrow t \} \quad )} \quad \text{if } s > t$$

orient-r

$$\frac{(E \cup \{ s \approx t \}, R \quad )}{(E, R \cup \{ t \rightarrow s \} \quad )} \quad \text{if } t > s$$

simplify-l

$$\frac{(E \cup \{ s \approx t \}, R \quad )}{(E \cup \{ u \approx t \}, R \quad )} \quad \text{if } s \rightarrow_R u$$

simplify-r

$$\frac{(E \cup \{ s \approx t \}, R \quad )}{(E \cup \{ s \approx u \}, R \quad )} \quad \text{if } t \rightarrow_R u$$

delete

$$\frac{(E \cup \{ s \approx s \}, R \quad )}{(E, R \quad )}$$

compose

$$\frac{(E, R \cup \{ s \rightarrow t \} \quad )}{(E, R \cup \{ m : s \rightarrow u \} \quad )} \quad \text{if } t \rightarrow_R u$$

collapse

$$\frac{(E, R \cup \{ s \rightarrow t \} \quad )}{(E \cup \{ u \approx t \}, R \quad )} \quad \text{if } s \rightarrow_R u$$



## Recording Completion Rules

deduce

$$\frac{(E, R, H)}{(EU\{m : s \approx t\}, R, HU\{m : s \xleftarrow{j} u \xrightarrow{k} t\})} \quad \text{if } s \xrightarrow{R}^j u \xrightarrow{R}^k t$$

orient-l

$$\frac{(EU\{s \approx t\}, R)}{(E, RU\{s \rightarrow t\})} \quad \text{if } s > t$$

orient-r

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simplify-l

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simplify-r

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delete

$$\frac{(EU\{s \approx s\}, R)}{(E, R)}$$

compose

$$\frac{(E, RU\{s \rightarrow t\})}{(E, RU\{m : s \rightarrow u\})} \quad \text{if } t \rightarrow_R u$$

collapse

$$\frac{(E, RU\{s \rightarrow t\})}{(EU\{u \approx t\}, R)} \quad \text{if } s \rightarrow_R u$$

## Recording Completion Rules

deduce

$$\frac{(E, R, H)}{(E \cup \{m : s \approx t\}, R, H \cup \{m : s \xleftarrow{j} u \xrightarrow{k} t\})} \quad \text{if } s \xrightarrow{R} \xleftarrow{j} u \xrightarrow{k} \xrightarrow{R} t$$

orient-l

$$\frac{(E \cup \{j : s \approx t\}, R, H)}{(E, R \cup \{j : s \rightarrow t\}, H)} \quad \text{if } s > t$$

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$$\frac{(E \cup \{s \approx t\}, R)}{(E, R \cup \{t \rightarrow s\})} \quad \text{if } t > s$$

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delete

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compose

$$\frac{(E, R \cup \{s \rightarrow t\})}{(E, R \cup \{m : s \rightarrow u\})} \quad \text{if } t \rightarrow_R u$$

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simplify-l

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if  $s \xrightarrow{R} \xleftarrow{j} u \xrightarrow{k} \xrightarrow{R} t$

orient-l

$$\frac{(E \cup \{j : s \approx t\}, R, H)}{(E, R \cup \{j : s \rightarrow t\}, H)}$$

if  $s > t$

orient-r

$$\frac{(E \cup \{j : s \approx t\}, R, H \cup \{j : s \circ u \bullet t\})}{(E, R \cup \{j : t \rightarrow s\}, H \cup \{j : t \bullet^{-1} u \circ^{-1} s\})}$$

if  $t > s$

simplify-l

$$\frac{(E \cup \{j : s \approx t\}, R, H)}{(E \cup \{m : u \approx t\}, R, H \cup \{m : u \xleftarrow{k} s \xrightarrow{j} t\})}$$

if  $s \xrightarrow{R} \xrightarrow{k} u$

simplify-r

$$\frac{(E \cup \{s \approx t\}, R)}{(E \cup \{s \approx u\}, R)}$$

if  $t \rightarrow_R u$

delete

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if  $t > s$

simplify-l

$$\frac{(E \cup \{j : s \approx t\}, R, H)}{(E \cup \{m : u \approx t\}, R, H \cup \{m : u \xleftarrow{k} s \xrightarrow{j} t\})}$$

if  $s \xrightarrow{R} \xrightarrow{k} u$

simplify-r

$$\frac{(E \cup \{j : s \approx t\}, R, H)}{(E \cup \{m : s \approx u\}, R, H \cup \{m : s \xrightarrow{j} t \xrightarrow{k} u\})}$$

if  $t \xrightarrow{R} \xrightarrow{k} u$

delete

$$\frac{(E \cup \{s \approx s\}, R)}{(E, R)}$$

compose

$$\frac{(E, R \cup \{s \rightarrow t\})}{(E, R \cup \{m : s \rightarrow u\})}$$

if  $t \rightarrow_R u$

collapse

$$\frac{(E, R \cup \{s \rightarrow t\})}{(E \cup \{u \approx t\}, R)}$$

if  $s \rightarrow_R u$

## Recording Completion Rules

deduce

$$\frac{(E, R, H)}{(E \cup \{m : s \approx t\}, R, H \cup \{m : s \xleftarrow{j} u \xrightarrow{k} t\})}$$

if  $s \xrightarrow{R} \xleftarrow{j} u \xrightarrow{k} \xrightarrow{R} t$

orient-l

$$\frac{(E \cup \{j : s \approx t\}, R, H)}{(E, R \cup \{j : s \rightarrow t\}, H)}$$

if  $s > t$

orient-r

$$\frac{(E \cup \{j : s \approx t\}, R, H \cup \{j : s \circ u \bullet t\})}{(E, R \cup \{j : t \rightarrow s\}, H \cup \{j : t \bullet^{-1} u \circ^{-1} s\})}$$

if  $t > s$

simplify-l

$$\frac{(E \cup \{j : s \approx t\}, R, H)}{(E \cup \{m : u \approx t\}, R, H \cup \{m : u \xleftarrow{k} s \xrightarrow{j} t\})}$$

if  $s \xrightarrow{R} \xrightarrow{k} u$

simplify-r

$$\frac{(E \cup \{j : s \approx t\}, R, H)}{(E \cup \{m : s \approx u\}, R, H \cup \{m : s \xrightarrow{j} t \xrightarrow{k} u\})}$$

if  $t \xrightarrow{R} \xrightarrow{k} u$

delete

$$\frac{(E \cup \{j : s \approx s\}, R, H \cup \{j : s \circ v \bullet s\})}{(E, R, H)}$$

compose

$$\frac{(E, R \cup \{s \rightarrow t\})}{(E, R \cup \{m : s \rightarrow u\})}$$

if  $t \rightarrow_R u$

collapse

$$\frac{(E, R \cup \{s \rightarrow t\})}{(E \cup \{u \approx t\}, R)}$$

if  $s \rightarrow_R u$

## Recording Completion Rules

deduce

$$\frac{(E, R, H)}{(E \cup \{m : s \approx t\}, R, H \cup \{m : s \xleftarrow{j} u \xrightarrow{k} t\})}$$

if  $s \xrightarrow{j}_R \leftarrow u \xrightarrow{k}_R t$

orient-l

$$\frac{(E \cup \{j : s \approx t\}, R, H)}{(E, R \cup \{j : s \rightarrow t\}, H)}$$

if  $s > t$

orient-r

$$\frac{(E \cup \{j : s \approx t\}, R, H \cup \{j : s \circ u \bullet t\})}{(E, R \cup \{j : t \rightarrow s\}, H \cup \{j : t \bullet^{-1} u \circ^{-1} s\})}$$

if  $t > s$

simplify-l

$$\frac{(E \cup \{j : s \approx t\}, R, H)}{(E \cup \{m : u \approx t\}, R, H \cup \{m : u \xleftarrow{k} s \xrightarrow{j} t\})}$$

if  $s \xrightarrow{k}_R u$

simplify-r

$$\frac{(E \cup \{j : s \approx t\}, R, H)}{(E \cup \{m : s \approx u\}, R, H \cup \{m : s \xrightarrow{j} t \xrightarrow{k} u\})}$$

if  $t \xrightarrow{k}_R u$

delete

$$\frac{(E \cup \{j : s \approx s\}, R, H \cup \{j : s \circ v \bullet s\})}{(E, R, H)}$$

compose

$$\frac{(E, R \cup \{j : s \rightarrow t\}, H)}{(E, R \cup \{m : s \rightarrow u\}, H \cup \{m : s \xrightarrow{j} t \xrightarrow{k} u\})}$$

if  $t \xrightarrow{k}_R u$

collapse

$$\frac{(E, R \cup \{s \rightarrow t\})}{(E \cup \{u \approx t\}, R)}$$

if  $s \rightarrow_R u$

## Recording Completion Rules

deduce

$$\frac{(E, R, H)}{(E \cup \{m : s \approx t\}, R, H \cup \{m : s \xleftarrow{j} u \xrightarrow{k} t\})}$$

if  $s \xrightarrow{j}_R u \xrightarrow{k}_R t$

orient-l

$$\frac{(E \cup \{j : s \approx t\}, R, H)}{(E, R \cup \{j : s \rightarrow t\}, H)}$$

if  $s > t$

orient-r

$$\frac{(E \cup \{j : s \approx t\}, R, H \cup \{j : s \circ u \bullet t\})}{(E, R \cup \{j : t \rightarrow s\}, H \cup \{j : t \bullet^{-1} u \circ^{-1} s\})}$$

if  $t > s$

simplify-l

$$\frac{(E \cup \{j : s \approx t\}, R, H)}{(E \cup \{m : u \approx t\}, R, H \cup \{m : u \xleftarrow{k} s \xrightarrow{j} t\})}$$

if  $s \xrightarrow{k}_R u$

simplify-r

$$\frac{(E \cup \{j : s \approx t\}, R, H)}{(E \cup \{m : s \approx u\}, R, H \cup \{m : s \xrightarrow{j} t \xrightarrow{k} u\})}$$

if  $t \xrightarrow{k}_R u$

delete

$$\frac{(E \cup \{j : s \approx s\}, R, H \cup \{j : s \circ v \bullet s\})}{(E, R, H)}$$

compose

$$\frac{(E, R \cup \{j : s \rightarrow t\}, H)}{(E, R \cup \{m : s \rightarrow u\}, H \cup \{m : s \xrightarrow{j} t \xrightarrow{k} u\})}$$

if  $t \xrightarrow{k}_R u$

collapse

$$\frac{(E, R \cup \{j : s \rightarrow t\}, H)}{(E \cup \{m : u \approx t\}, R, H \cup \{m : u \xleftarrow{k} s \xrightarrow{j} t\})}$$

if  $s \xrightarrow{k}_R u$



## From Completion to Conversions

3 phases:

1. record (using Recording Completion)
2. compare
3. recall (two variants)

## record phase (Recording Completion)

*E*

①  $ff \approx f$

②  $ggf \approx g$

*R*

*H*

①  $ff \xrightarrow{1} f \overset{0}{\approx} f$

②  $ggf \xrightarrow{2} g \overset{0}{\approx} g$

inference rule

## record phase (Recording Completion)

*E*

$$\textcircled{1} \text{ ff} \approx f$$

$$\textcircled{2} \text{ ggf} \approx g$$

*R*

$$\textcircled{1} \text{ ff} \rightarrow f$$

*H*

$$\textcircled{1} \text{ ff} \xrightarrow{1} f \overset{0}{\approx} f$$

$$\textcircled{2} \text{ ggf} \xrightarrow{2} g \overset{0}{\approx} g$$

inference rule

orient-l  $\textcircled{1}$

## record phase (Recording Completion)

*E*

$$\textcircled{1} ff \approx f$$

$$\textcircled{2} ggf \approx g$$

*R*

$$\textcircled{1} ff \rightarrow f$$

$$\textcircled{2} ggf \rightarrow g$$

*H*

$$\textcircled{1} ff \xrightarrow{1} f \overset{0}{\approx} f$$

$$\textcircled{2} ggf \xrightarrow{2} g \overset{0}{\approx} g$$

inference rule

orient-l  $\textcircled{1}$

orient-l  $\textcircled{2}$

## record phase (Recording Completion)

*E*

$$\textcircled{1} \text{ ff} \approx \text{f}$$

$$\textcircled{2} \text{ ggf} \approx \text{g}$$

$$\textcircled{3} \text{ ggf} \approx \text{gf}$$

*R*

$$\textcircled{1} \text{ ff} \rightarrow \text{f}$$

$$\textcircled{2} \text{ ggf} \rightarrow \text{g}$$

*H*

$$\textcircled{1} \text{ ff} \xrightarrow{1} \text{f} \stackrel{0}{\approx} \text{f}$$

$$\textcircled{2} \text{ ggf} \xrightarrow{2} \text{g} \stackrel{0}{\approx} \text{g}$$

$$\textcircled{3} \text{ ggf} \xleftarrow{1} \text{ggff} \xrightarrow{2} \text{gf}$$

inference rule

orient-l  $\textcircled{1}$

orient-l  $\textcircled{2}$

deduce  $\textcircled{2}, \textcircled{1}$

## record phase (Recording Completion)

*E*

$$\textcircled{1} ff \approx f$$

$$\textcircled{2} ggf \approx g$$

$$\textcircled{3} ggf \approx gf$$

$$\textcircled{4} g \approx gf$$

*R*

$$\textcircled{1} ff \rightarrow f$$

$$\textcircled{2} ggf \rightarrow g$$

*H*

$$\textcircled{1} ff \xrightarrow{1} f \overset{0}{\approx} f$$

$$\textcircled{2} ggf \xrightarrow{2} g \overset{0}{\approx} g$$

$$\textcircled{3} ggf \overset{1}{\leftarrow} ggff \xrightarrow{2} gf$$

$$\textcircled{4} g \overset{2}{\leftarrow} ggf \xrightarrow{3} gf$$

inference rule

orient-l  $\textcircled{1}$

orient-l  $\textcircled{2}$

deduce  $\textcircled{2}, \textcircled{1}$

simplify-l  $\textcircled{3}, \textcircled{2}$

## record phase (Recording Completion)

*E*

$$\textcircled{1} ff \approx f$$

$$\textcircled{2} ggf \approx g$$

$$\textcircled{3} ggf \approx gf$$

$$\textcircled{4} g \approx gf$$

*R*

$$\textcircled{1} ff \rightarrow f$$

$$\textcircled{2} ggf \rightarrow g$$

$$\textcircled{4} gf \rightarrow g$$

*H*

$$\textcircled{1} ff \xrightarrow{1} f \overset{0}{\approx} f$$

$$\textcircled{2} ggf \xrightarrow{2} g \overset{0}{\approx} g$$

$$\textcircled{3} ggf \overset{1}{\leftarrow} ggff \overset{2}{\rightarrow} gf$$

$$\textcircled{4} g \overset{2}{\leftarrow} ggf \overset{3}{\rightarrow} gf$$

$$\textcircled{4} gf \overset{3}{\leftarrow} ggf \overset{2}{\rightarrow} g$$

inference rule

orient-l  $\textcircled{1}$

orient-l  $\textcircled{2}$

deduce  $\textcircled{2}$ ,  $\textcircled{1}$

simplify-l  $\textcircled{3}$ ,  $\textcircled{2}$

orient-r  $\textcircled{4}$

## record phase (Recording Completion)

*E*

$$\textcircled{1} ff \approx f$$

$$\textcircled{2} ggf \approx g$$

$$\textcircled{3} ggf \approx gf$$

$$\textcircled{4} g \approx gf$$

$$\textcircled{5} gg \approx g$$

*R*

$$\textcircled{1} ff \rightarrow f$$

$$\textcircled{2} ggf \rightarrow g$$

$$\textcircled{4} gf \rightarrow g$$

*H*

$$\textcircled{1} ff \xrightarrow{1} f \overset{0}{\approx} f$$

$$\textcircled{2} ggf \xrightarrow{2} g \overset{0}{\approx} g$$

$$\textcircled{3} ggf \overset{1}{\leftarrow} ggff \overset{2}{\rightarrow} gf$$

$$\textcircled{4} g \overset{2}{\leftarrow} ggf \overset{3}{\rightarrow} gf$$

$$\textcircled{4} gf \overset{3}{\leftarrow} ggf \overset{2}{\rightarrow} g$$

$$\textcircled{5} gg \overset{4}{\leftarrow} ggf \overset{2}{\rightarrow} g$$

inference rule

orient-l  $\textcircled{1}$

orient-l  $\textcircled{2}$

deduce  $\textcircled{2}$ ,  $\textcircled{1}$

simplify-l  $\textcircled{3}$ ,  $\textcircled{2}$

orient-r  $\textcircled{4}$

deduce  $\textcircled{2}$ ,  $\textcircled{4}$



## record phase (Recording Completion)

*E*

$$\textcircled{1} ff \approx f$$

$$\textcircled{2} ggf \approx g$$

$$\textcircled{3} ggf \approx gf$$

$$\textcircled{4} g \approx gf$$

$$\textcircled{5} gg \approx g$$

*R*

$$\textcircled{1} ff \rightarrow f$$

$$\textcircled{2} ggf \rightarrow g$$

$$\textcircled{4} gf \rightarrow g$$

$$\textcircled{5} gg \rightarrow g$$

*H*

$$\textcircled{1} ff \xrightarrow{1} f \overset{0}{\approx} f$$

$$\textcircled{2} ggf \xrightarrow{2} g \overset{0}{\approx} g$$

$$\textcircled{3} ggf \overset{1}{\leftarrow} ggff \overset{2}{\rightarrow} gf$$

$$\textcircled{4} g \overset{2}{\leftarrow} ggf \overset{3}{\rightarrow} gf$$

$$\textcircled{4} gf \overset{3}{\leftarrow} ggf \overset{2}{\rightarrow} g$$

$$\textcircled{5} gg \overset{4}{\leftarrow} ggf \overset{2}{\rightarrow} g$$

inference rule

orient-l  $\textcircled{1}$

orient-l  $\textcircled{2}$

deduce  $\textcircled{2}$ ,  $\textcircled{1}$

simplify-l  $\textcircled{3}$ ,  $\textcircled{2}$

orient-r  $\textcircled{4}$

deduce  $\textcircled{2}$ ,  $\textcircled{4}$

orient-l  $\textcircled{5}$

## record phase (Recording Completion)

*E*

$$\textcircled{1} ff \approx f$$

$$\textcircled{2} ggf \approx g$$

$$\textcircled{3} ggf \approx gf$$

$$\textcircled{4} g \approx gf$$

$$\textcircled{5} gg \approx g$$

$$\textcircled{6} gf \approx g$$

*R*

$$\textcircled{1} ff \rightarrow f$$

$$\textcircled{2} ggf \rightarrow g$$

$$\textcircled{4} gf \rightarrow g$$

$$\textcircled{5} gg \rightarrow g$$

*H*

$$\textcircled{1} ff \xrightarrow{1} f \overset{0}{\approx} f$$

$$\textcircled{2} ggf \xrightarrow{2} g \overset{0}{\approx} g$$

$$\textcircled{3} ggf \overset{1}{\leftarrow} ggff \overset{2}{\rightarrow} gf$$

$$\textcircled{4} g \overset{2}{\leftarrow} ggf \overset{3}{\rightarrow} gf$$

$$\textcircled{4} gf \overset{3}{\leftarrow} ggf \overset{2}{\rightarrow} g$$

$$\textcircled{5} gg \overset{4}{\leftarrow} ggf \overset{2}{\rightarrow} g$$

$$\textcircled{6} gf \overset{5}{\leftarrow} ggf \overset{2}{\rightarrow} g$$

inference rule

orient-l  $\textcircled{1}$

orient-l  $\textcircled{2}$

deduce  $\textcircled{2}$ ,  $\textcircled{1}$

simplify-l  $\textcircled{3}$ ,  $\textcircled{2}$

orient-r  $\textcircled{4}$

deduce  $\textcircled{2}$ ,  $\textcircled{4}$

orient-l  $\textcircled{5}$

collapse  $\textcircled{2}$ ,  $\textcircled{5}$

## record phase (Recording Completion)

*E*

$$\textcircled{1} ff \approx f$$

$$\textcircled{2} ggf \approx g$$

$$\textcircled{3} ggf \approx gf$$

$$\textcircled{4} g \approx gf$$

$$\textcircled{5} gg \approx g$$

$$\textcircled{6} gf \approx g$$

$$\textcircled{7} g \approx g$$

*R*

$$\textcircled{1} ff \rightarrow f$$

$$\textcircled{2} ggf \rightarrow g$$

$$\textcircled{4} gf \rightarrow g$$

$$\textcircled{5} gg \rightarrow g$$

*H*

$$\textcircled{1} ff \xrightarrow{1} f \overset{0}{\approx} f$$

$$\textcircled{2} ggf \xrightarrow{2} g \overset{0}{\approx} g$$

$$\textcircled{3} ggf \xleftarrow{1} ggff \xrightarrow{2} gf$$

$$\textcircled{4} g \xleftarrow{2} ggf \xrightarrow{3} gf$$

$$\textcircled{4} gf \xleftarrow{3} ggf \xrightarrow{2} g$$

$$\textcircled{5} gg \xleftarrow{4} ggf \xrightarrow{2} g$$

$$\textcircled{6} gf \xleftarrow{5} ggf \xrightarrow{2} g$$

$$\textcircled{7} g \xleftarrow{4} gf \xrightarrow{6} g$$

inference rule

orient-l  $\textcircled{1}$

orient-l  $\textcircled{2}$

deduce  $\textcircled{2}$ ,  $\textcircled{1}$

simplify-l  $\textcircled{3}$ ,  $\textcircled{2}$

orient-r  $\textcircled{4}$

deduce  $\textcircled{2}$ ,  $\textcircled{4}$

orient-l  $\textcircled{5}$

collapse  $\textcircled{2}$ ,  $\textcircled{5}$

simplify-l  $\textcircled{6}$ ,  $\textcircled{4}$

## record phase (Recording Completion)

*E*

$$\textcircled{1} ff \approx f$$

$$\textcircled{2} ggf \approx g$$

$$\textcircled{3} ggf \approx gf$$

$$\textcircled{4} g \approx gf$$

$$\textcircled{5} gg \approx g$$

$$\textcircled{6} gf \approx g$$

$$\textcircled{7} g \approx g$$

*R*

$$\textcircled{1} ff \rightarrow f$$

$$\textcircled{2} ggf \rightarrow g$$

$$\textcircled{4} gf \rightarrow g$$

$$\textcircled{5} gg \rightarrow g$$

*H*

$$\textcircled{1} ff \xrightarrow{1} f \overset{0}{\approx} f$$

$$\textcircled{2} ggf \xrightarrow{2} g \overset{0}{\approx} g$$

$$\textcircled{3} ggf \overset{1}{\leftarrow} ggf \overset{2}{\rightarrow} gf$$

$$\textcircled{4} g \overset{2}{\leftarrow} ggf \overset{3}{\rightarrow} gf$$

$$\textcircled{4} gf \overset{3}{\leftarrow} ggf \overset{2}{\rightarrow} g$$

$$\textcircled{5} gg \overset{4}{\leftarrow} ggf \overset{2}{\rightarrow} g$$

$$\textcircled{6} gf \overset{5}{\leftarrow} ggf \overset{2}{\rightarrow} g$$

$$\textcircled{7} g \overset{4}{\leftarrow} gf \overset{6}{\rightarrow} g$$

inference rule

orient-l  $\textcircled{1}$

orient-l  $\textcircled{2}$

deduce  $\textcircled{2}$ ,  $\textcircled{1}$

simplify-l  $\textcircled{3}$ ,  $\textcircled{2}$

orient-r  $\textcircled{4}$

deduce  $\textcircled{2}$ ,  $\textcircled{4}$

orient-l  $\textcircled{5}$

collapse  $\textcircled{2}$ ,  $\textcircled{5}$

simplify-l  $\textcircled{6}$ ,  $\textcircled{4}$

delete  $\textcircled{7}$

result of record phase

*E*

①  $ff \approx f$

②  $ggf \approx g$

*R*

①  $ff \rightarrow f$

④  $gf \rightarrow g$

⑤  $gg \rightarrow g$

*H*

①  $ff \xrightarrow{1} f \overset{0}{\approx} f$

②  $ggf \xrightarrow{2} g \overset{0}{\approx} g$

③  $ggf \xleftarrow{1} ggff \xrightarrow{2} gf$

④  $gf \xleftarrow{3} ggf \xrightarrow{2} g$

⑤  $gg \xleftarrow{4} ggf \xrightarrow{2} g$

⑥  $gf \xleftarrow{5} ggf \xrightarrow{2} g$

result of record phase

*E*

$$\textcircled{1} \quad ff \approx f$$

$$\textcircled{2} \quad ggf \approx g$$

*R*

$$\textcircled{1} \quad ff \rightarrow f$$

$$\textcircled{4} \quad gf \rightarrow g$$

$$\textcircled{5} \quad gg \rightarrow g$$

*H*

$$\textcircled{1} \quad ff \xrightarrow{1} f \overset{0}{\approx} f$$

$$\textcircled{2} \quad ggf \xrightarrow{2} g \overset{0}{\approx} g$$

$$\textcircled{3} \quad ggf \overset{1}{\leftarrow} ggf \overset{2}{\rightarrow} gf$$

$$\textcircled{4} \quad gf \overset{3}{\leftarrow} ggf \overset{2}{\rightarrow} g$$

$$\textcircled{5} \quad gg \overset{4}{\leftarrow} ggf \overset{2}{\rightarrow} g$$

$$\textcircled{6} \quad gf \overset{5}{\leftarrow} ggf \overset{2}{\rightarrow} g$$

compare phase

$$fgf \stackrel{?}{\leftrightarrow}_E^* fgg$$

## compare phase

$$fgf \stackrel{?}{\leftrightarrow}_E^* fgg$$

use  $R$  to reduce lhs and rhs to normal form

$$R \{ \begin{array}{l} \textcircled{1} \quad ff \rightarrow f \\ \textcircled{4} \quad gf \rightarrow g \\ \textcircled{5} \quad gg \rightarrow g \end{array} \}$$



## compare phase

$$fgf \stackrel{?}{\leftrightarrow}_E^* fgg$$

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$$R \{ \begin{array}{l} \textcircled{1} \quad ff \rightarrow f \\ \textcircled{4} \quad gf \rightarrow g \\ \textcircled{5} \quad gg \rightarrow g \end{array} \}$$

$$\underline{fgf} \xrightarrow{4} fg \xleftarrow{5} \underline{fgg}$$

## recall phase (first variant)

while there are rules with indices not in  $E$  replace them with the corresponding sequence from  $H$

$$fgf \xrightarrow{4} fg \xleftarrow{5} fgg$$

$E$

$$\textcircled{1} ff \approx f$$

$$\textcircled{2} ggf \approx g$$

$H$

$$\textcircled{3} ggf \xleftarrow{1} ggff \xrightarrow{2} gf$$

$$\textcircled{4} gf \xleftarrow{3} ggf \xrightarrow{2} g$$

$$\textcircled{5} gg \xleftarrow{4} ggf \xrightarrow{2} g$$

$$\textcircled{6} gf \xleftarrow{5} ggf \xrightarrow{2} g$$

## recall phase (first variant)

while there are rules with indices not in  $E$  replace them with the corresponding sequence from  $H$

$$fgf \xrightarrow{4} fg \xleftarrow{5} fgg$$

$$fgf \xleftarrow{3} fggf \xrightarrow{2} fg \xleftarrow{5} fgg$$

$E$

$$\textcircled{1} \quad ff \approx f$$

$$\textcircled{2} \quad ggf \approx g$$

context

$f \square$

$H$

$$\textcircled{3} \quad ggf \xleftarrow{1} ggff \xrightarrow{2} gf$$

$$\textcircled{4} \quad gf \xleftarrow{3} ggf \xrightarrow{2} g$$

$$\textcircled{5} \quad gg \xleftarrow{4} ggf \xrightarrow{2} g$$

$$\textcircled{6} \quad gf \xleftarrow{5} ggf \xrightarrow{2} g$$

## recall phase (first variant)

while there are rules with indices not in  $E$  replace them with the corresponding sequence from  $H$

$$fgf \xrightarrow{4} fg \xleftarrow{5} fgg$$

$$fgf \xleftarrow{3} fggf \xrightarrow{2} fg \xleftarrow{5} fgg$$

$$fgf \xleftarrow{2} fggff \xrightarrow{1} fggf \xrightarrow{2} fg \xleftarrow{5} fgg$$

$E$

$$\textcircled{1} \quad ff \approx f$$

$$\textcircled{2} \quad ggf \approx g$$

context

$f\Box$

$H$

$$\textcircled{3} \quad ggf \xleftarrow{1} ggff \xrightarrow{2} gf$$

$$\textcircled{4} \quad gf \xleftarrow{3} ggf \xrightarrow{2} g$$

$$\textcircled{5} \quad gg \xleftarrow{4} ggf \xrightarrow{2} g$$

$$\textcircled{6} \quad gf \xleftarrow{5} ggf \xrightarrow{2} g$$

## recall phase (first variant)

while there are rules with indices not in  $E$  replace them with the corresponding sequence from  $H$

$$fgf \xrightarrow{4} fg \xleftarrow{5} fgg$$

$$fgf \xleftarrow{3} fggf \xrightarrow{2} fg \xleftarrow{5} fgg$$

$$fgf \xleftarrow{2} fggff \xrightarrow{1} fggf \xrightarrow{2} fg \xleftarrow{5} fgg$$

$$fgf \xleftarrow{2} fggff \xrightarrow{1} fggf \xrightarrow{2} fg \xleftarrow{2} fggf \xrightarrow{4} fgg$$

$E$

$$\textcircled{1} ff \approx f$$

$$\textcircled{2} ggf \approx g$$

context

$f\Box$

$H$

$$\textcircled{3} ggf \xleftarrow{1} ggff \xrightarrow{2} gf$$

$$\textcircled{4} gf \xleftarrow{3} ggf \xrightarrow{2} g$$

$$\textcircled{5} gg \xleftarrow{4} ggf \xrightarrow{2} g$$

$$\textcircled{6} gf \xleftarrow{5} ggf \xrightarrow{2} g$$

## recall phase (first variant)

while there are rules with indices not in  $E$  replace them with the corresponding sequence from  $H$

$$fgf \xrightarrow{4} fg \xleftarrow{5} fgg$$

$$fgf \xleftarrow{3} fggf \xrightarrow{2} fg \xleftarrow{5} fgg$$

$$fgf \xleftarrow{2} fggff \xrightarrow{1} fggf \xrightarrow{2} fg \xleftarrow{5} fgg$$

$$fgf \xleftarrow{2} fggff \xrightarrow{1} fggf \xrightarrow{2} fg \xleftarrow{2} fggf \xrightarrow{4} fgg$$

$$fgf \xleftarrow{2} fggff \xrightarrow{1} fggf \xrightarrow{2} fg \xleftarrow{2} fggf \xleftarrow{3} fgggf \xrightarrow{2} fgg$$

$E$

$$\textcircled{1} ff \approx f$$

$$\textcircled{2} ggf \approx g$$

context

$fg\Box$

$H$

$$\textcircled{3} ggf \xleftarrow{1} ggff \xrightarrow{2} gf$$

$$\textcircled{4} gf \xleftarrow{3} ggf \xrightarrow{2} g$$

$$\textcircled{5} gg \xleftarrow{4} ggf \xrightarrow{2} g$$

$$\textcircled{6} gf \xleftarrow{5} ggf \xrightarrow{2} g$$

## recall phase (first variant)

while there are rules with indices not in  $E$  replace them with the corresponding sequence from  $H$

	$E$	$H$
$fgf \xrightarrow{4} fg \xleftarrow{5} fgg$	① $ff \approx f$	③ $ggf \xleftarrow{1} ggff \xrightarrow{2} gf$
$fgf \xleftarrow{3} fggf \xrightarrow{2} fg \xleftarrow{5} fgg$	② $ggf \approx g$	④ $gf \xleftarrow{3} ggf \xrightarrow{2} g$
$fgf \xleftarrow{2} fggff \xrightarrow{1} fggf \xrightarrow{2} fg \xleftarrow{5} fgg$	context	⑤ $gg \xleftarrow{4} ggf \xrightarrow{2} g$
$fgf \xleftarrow{2} fggff \xrightarrow{1} fggf \xrightarrow{2} fg \xleftarrow{2} fggf \xrightarrow{4} fgg$	$fg \square$	⑥ $gf \xleftarrow{5} ggf \xrightarrow{2} g$
$fgf \xleftarrow{2} fggff \xrightarrow{1} fggf \xrightarrow{2} fg \xleftarrow{2} fggf \xleftarrow{3} fgggf \xrightarrow{2} fgg$		
$fgf \xleftarrow{2} fggff \xrightarrow{1} fggf \xrightarrow{2} fg \xleftarrow{2} fggf \xleftarrow{2} fgggff \xrightarrow{1} fgggf \xrightarrow{2} fgg$		

## Problem with recall phase

- expansion can lead to exponential blow up
- ⇒ KBCV (completion tool implementing recording completion)  
hits resource bounds during recall phase



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## Solution: second variant of recall phase

- for every (required) history entry  $i : s_i \circ u_i \bullet t_i$  derive  $s_i \leftrightarrow_E^* t_i$
  - to this end use  $s_m \leftrightarrow^* t_m$  for all  $m < i$  as hypothesis
- ⇒ essentially, just dump (required) history as certificate

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- ⇒ essentially, just dump (required) history as certificate
- finally have  $R \subseteq \{s_i \rightarrow t_i \mid i \leq i_{max}\} \subseteq \leftrightarrow_E^*$  and hence  $\leftrightarrow_R^* \subseteq \leftrightarrow_E^*$
- ⇒ linear size certificate, no problem for KBCV

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  - tedious (especially when dealing with positions in critical pair lemma)
  - observation: infinite set of variables is essential
  - example: let  $R$  be a confluent TRS over  $\mathcal{T}(\mathcal{F}, \mathcal{V})$ , then it does **not** follow that  $R$  is confluent over  $\mathcal{T}(\mathcal{F} \cup \mathcal{F}', \mathcal{V})$

$\Rightarrow$  signature extensions for confluence require infinite set of variables

## Experiments

- KBCV 1.6 using recording completion (first variant of recall phase)
- KBCV 1.7 using recording completion (second variant of recall phase)
- MKBTT using a variant of recording completion
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Tool	Completed	Time	Cert.	CeTA accept	time	timeout
KBCV 1.6	86	7767	84	80	1483	4
KBCV 1.7	86	7735	<b>86</b>	86	<b>13</b>	0
MKBTT	80	1514	80	80	92	0
Total	94		94	94		

Slothrop	71	without certification
MAXCOMP	86	without certification

## Conclusion

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- recording completion to derive  $\leftrightarrow_R^* \subseteq \leftrightarrow_E^*$
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- tools for certified completion are available  
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- alternative approach to obtain conversions is available in *CiME3* for ordered completion
- future work: study relationship in more detail