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Sub-Birkhoff

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1. Motivation

valid \iff derivable \iff convertible

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1. Motivation

valid \iff derivable \iff convertible

For equational specification \mathcal{E}

$$\mathcal{E} \models s = t \iff \mathcal{E} \vdash s = t \iff s \leftrightarrow_{\mathcal{E}}^* t$$



1. Motivation

valid \iff derivable \iff convertible

For equational specification \mathcal{E}

$$\mathcal{E} \models s = t \iff \mathcal{E} \vdash s = t \iff s \leftrightarrow_{\mathcal{E}}^* t$$

For rewriting logic specification \mathcal{R}

$$\mathcal{R} \models s \geq t \iff \mathcal{R} \vdash s \geq t \iff s \rightarrow_{\mathcal{R}}^* t$$



1. Motivation

valid \iff derivable \iff convertible

For equational specification \mathcal{E}

$$\mathcal{E} \models s = t \iff \mathcal{E} \vdash s = t \iff s \leftrightarrow_{\mathcal{E}}^* t$$

For rewriting logic specification \mathcal{R}

$$\mathcal{R} \models s \geq t \iff \mathcal{R} \vdash s \geq t \iff s \rightarrow_{\mathcal{R}}^* t$$

For term rewriting system \mathcal{T}

\mathcal{T} admits a compatible well-founded monotone algebra

$\iff \rightarrow_{\mathcal{T}}^+$ is terminating



1. Motivation

valid \iff derivable \iff convertible

For equational specification \mathcal{E}

$$\mathcal{E} \models s = t \iff \mathcal{E} \vdash s = t \iff s \leftrightarrow_{\mathcal{E}}^* t$$

For rewriting logic specification \mathcal{R}

$$\mathcal{R} \models s \geq t \iff \mathcal{R} \vdash s \geq t \iff s \rightarrow_{\mathcal{R}}^* t$$

For term rewriting system \mathcal{T}

\mathcal{T} admits a compatible well-founded monotone algebra

$\iff \rightarrow_{\mathcal{T}}^+$ is terminating

follows from:

$$\mathcal{T} \models s > t \iff \mathcal{T} \vdash s > t \iff s \rightarrow_{\mathcal{T}}^+ t$$



1. Motivation

valid \iff derivable \iff convertible

For equational specification \mathcal{E}

$$\mathcal{E} \models s = t \iff \mathcal{E} \vdash s = t \iff s \leftrightarrow_{\mathcal{E}}^* t$$

For rewriting logic specification \mathcal{R}

$$\mathcal{R} \models s \geq t \iff \mathcal{R} \vdash s \geq t \iff s \rightarrow_{\mathcal{R}}^* t$$

For term rewriting system \mathcal{T}

\mathcal{T} admits a compatible well-founded monotone algebra

$\iff \rightarrow_{\mathcal{T}}^+$ is terminating

follows from:

$$\mathcal{T} \models s > t \iff \mathcal{T} \vdash s > t \iff s \rightarrow_{\mathcal{T}}^+ t$$

Problem 1 *Same result?*

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2. Equational specification

Equational specification \mathcal{EMul}

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2. Equational specification

Equational specification \mathcal{EMul}

signature Σ

0, S, A, M.



2. Equational specification

Equational specification \mathcal{EMul}

signature Σ

$0, S, A, M.$

equations over Σ

$$A(x, 0) \approx x$$

$$A(x, S(y)) \approx S(A(x, y))$$

$$M(x, 0) \approx 0$$

$$M(x, S(y)) \approx A(x, M(x, y))$$



2. Equational specification

Equational specification \mathcal{EMul}

signature Σ

$0, S, A, M.$

equations over Σ

$$A(x, 0) \approx x$$

$$A(x, S(y)) \approx S(A(x, y))$$

$$M(x, 0) \approx 0$$

$$M(x, S(y)) \approx A(x, M(x, y))$$

equation considered w.r.t. \mathcal{EMul}

$$M(S(x), S(0)) \approx S(x) \quad (1)$$

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2.1. Validity

algebra \mathcal{A} interprets signature (carrier, operations)

\mathcal{Nat} interprets Σ as set of natural numbers

\mathcal{Nat} interprets 0 , S , A and M as

zero, successor, addition and multiplication



2.1. Validity

algebra \mathcal{A} interprets signature (carrier, operations)

\mathcal{Nat} interprets Σ as set of natural numbers

\mathcal{Nat} interprets 0 , S , A and M as

zero, successor, addition and multiplication

equation $s \approx t$ **holds** in \mathcal{A}

$\mathcal{Nat} \models A(0, S(0)) \approx A(S(0), 0)$ (since $1 = 1$)

$\mathcal{Nat} \not\models A(0, 0) \approx S(0)$ (since $0 \neq 1$)

open equation holds, if so for all assignments α



2.1. Validity

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open equation holds, if so for all assignments α

\mathcal{A} **models** \mathcal{E} , if all equations hold

$\mathcal{Nat} \models \mathcal{EMul}$

$s \approx t$ **valid** in \mathcal{E} , if holds in any model

$\mathcal{E} \models M(S(x), S(0)) \approx S(x)$



2.2. Derivability

$s \approx t$ **derivable** (in equational logic) from \mathcal{E}

$$\frac{(s \approx t \in E)}{s \approx t} \quad \frac{s \approx t}{\sigma(s) \approx \sigma(t)} \quad \frac{s_1 \approx t_1 \quad \dots \quad s_n \approx t_n}{f(s_1, \dots, s_n) \approx f(t_1, \dots, t_n)}$$

$$\frac{}{s \approx s} \quad \frac{s \approx t}{t \approx s} \quad \frac{s \approx t \quad t \approx u}{s \approx u}$$

σ substitution, f function symbol

$$\frac{\frac{\frac{}{M(x, S(y)) \approx A(x, M(x, y))}{}{\frac{}{M(S(x), S(0)) \approx A(S(x), M(S(x), 0))}}{M(x, S(y)) \approx A(x, M(x, y))} \quad \frac{\frac{\frac{}{M(x, 0) \approx 0}}{S(x) \approx S(x)} \quad \frac{}{M(S(x), 0) \approx 0} \quad \frac{}{A(x, 0) \approx x}}{A(S(x), M(S(x), 0)) \approx A(S(x), 0)} \quad \frac{}{A(S(x), 0) \approx S(x)}}{A(S(x), M(S(x), 0)) \approx S(x)}}{\frac{}{M(S(x), S(0)) \approx A(S(x), M(S(x), 0))} \quad \frac{}{A(S(x), M(S(x), 0)) \approx S(x)}}{M(S(x), S(0)) \approx S(x)}}$$



2.3. Convertibility

reduction step from s to t

$s \rightarrow_{\mathcal{E}} t$, if $s = C[\sigma(l)]$ and $t = C[\sigma(r)]$

C context, σ substitution, $l \approx r \in \mathcal{E}$

$S(A(0, 0)) \rightarrow_{\mathcal{E}} S(0)$

$C := S([\])$, $\sigma(x) := 0$, $A(x, 0) \approx x \in \mathcal{EMul}$



2.3. Convertibility

reduction step from s to t

$s \rightarrow_{\mathcal{E}} t$, if $s = C[\sigma(l)]$ and $t = C[\sigma(r)]$

C context, σ substitution, $l \approx r \in \mathcal{E}$

$S(A(0, 0)) \rightarrow_{\mathcal{E}} S(0)$

$C := S([\])$, $\sigma(x) := 0$, $A(x, 0) \approx x \in \mathcal{EMul}$

s convertible to t

connected by backward and forward reduction steps

$M(S(x), S(0))$ \rightarrow $A(S(x), M(S(x), 0))$ \rightarrow $A(S(x), 0)$ $\rightarrow S(x)$

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2.4. Proofs for equational specifications

valid $\Leftrightarrow_{\text{Birkhoff}}$ derivable $\Leftrightarrow_{\text{Logicality}}$ convertible

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2.4. Proofs for equational specifications

valid $\Leftrightarrow_{\text{Birkhoff}}$ derivable $\Leftrightarrow_{\text{Logicality}}$ convertible

- Soundness of Birkhoff by induction on derivations
- Completeness of Birkhoff by term model
- Soundness of logicality by simulation
- Completeness of logicality by derivation standardisation

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2.5. Birkhoff soundness

Thm 2 *valid* \Leftarrow *derivable*

Proof

by induction on derivations

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2.5. Birkhoff soundness

Thm 2 *valid* \Leftarrow *derivable*

Proof

by induction on derivations

All inference rules trivially preserve validity ...



2.5. Birkhoff soundness

Thm 2 *valid* \Leftarrow *derivable*

Proof

by induction on derivations

All inference rules trivially preserve validity ...

$$\frac{s \approx t}{\sigma(s) \approx \sigma(t)}$$

needs **semantic** substitution lemma

$$\llbracket \mathcal{A} \cup \alpha \rrbracket(\sigma(u)) = \llbracket \mathcal{A} \cup \alpha_\sigma \rrbracket(u) \quad (2)$$

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2.6. Birkhoff completeness

Thm 3 *valid* \Rightarrow *derivable*

Proof

Derivable equality 'is' a model

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2.6. Birkhoff completeness

Thm 3 *valid* \Rightarrow *derivable*

Proof

Derivable equality 'is' a model

Term algebra $\mathcal{T}(\Sigma)$ (interpret terms as themselves)

not yet a model e.g. $A(0, 0) \neq 0$



2.6. Birkhoff completeness

Thm 3 *valid* \Rightarrow *derivable*

Proof

Derivable equality 'is' a model

Term algebra $\mathcal{T}(\Sigma)$ (interpret terms as themselves)

not yet a model e.g. $A(0, 0) \neq 0$

Quotient algebra $\mathcal{T}(\Sigma)/\approx$ (terms modulo derivability)



2.6. Birkhoff completeness

Thm 3 *valid* \Rightarrow *derivable*

Proof

Derivable equality 'is' a model

Term algebra $\mathcal{T}(\Sigma)$ (interpret terms as themselves)

not yet a model e.g. $A(0, 0) \neq 0$

Quotient algebra $\mathcal{T}(\Sigma)/\approx$ (terms modulo derivability)

$\mathcal{T}(\Sigma)/\approx$ is algebra (derivable equality is congruence)



2.6. Birkhoff completeness

Thm 3 *valid* \Rightarrow *derivable*

Proof

Derivable equality 'is' a model

Term algebra $\mathcal{T}(\Sigma)$ (interpret terms as themselves)

not yet a model e.g. $A(0, 0) \neq 0$

Quotient algebra $\mathcal{T}(\Sigma)/\approx$ (terms modulo derivability)

$\mathcal{T}(\Sigma)/\approx$ is algebra (derivable equality is congruence)

$\mathcal{T}(\Sigma)/\approx$ **is** a model

all derivable equalities hold by induction on derivation. . .

2.6. Birkhoff completeness

Thm 3 *valid* \Rightarrow *derivable*

Proof

Derivable equality 'is' a model

Term algebra $\mathcal{T}(\Sigma)$ (interpret terms as themselves)

not yet a model e.g. $\mathbf{A}(0, 0) \neq 0$

Quotient algebra $\mathcal{T}(\Sigma)/\approx$ (terms modulo derivability)

$\mathcal{T}(\Sigma)/\approx$ is algebra (derivable equality is congruence)

$\mathcal{T}(\Sigma)/\approx$ **is** a model

all derivable equalities hold by induction on derivation. . .

$$s \approx t \in \mathcal{E}$$

needs **syntactic** substitution lemma

$$[[\mathcal{T}(\Sigma)/\approx \cup \beta]](u) = [[\mathcal{T}(\Sigma) \cup \alpha]](u)]_{\approx} \quad (3)$$

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2.7. Logicality soundness

Thm 4 *derivable* \Leftarrow *convertible*

Proof

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2.7. Logicality soundness

Thm 4 *derivable* \Leftarrow *convertible*

Proof

reduction step $C[\sigma(l)] \rightarrow_{\varepsilon} C[\sigma(r)]$ 'is' a derivation

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2.7. Logicality soundness

Thm 4 *derivable* \Leftarrow *convertible*

Proof

reduction step $C[\sigma(l)] \rightarrow_{\varepsilon} C[\sigma(r)]$ 'is' a derivation
 $l \rightarrow r$ simulated by $\frac{(s \approx t \in E)}{s \approx t}$



2.7. Logicality soundness

Thm 4 *derivable* \Leftarrow *convertible*

Proof

reduction step $C[\sigma(l)] \rightarrow_{\mathcal{E}} C[\sigma(r)]$ 'is' a derivation

$l \rightarrow r$ simulated by $\frac{(s \approx t \in E)}{s \approx t}$

$\sigma(l) \rightarrow \sigma(r)$ simulated by $\frac{s \approx t}{\sigma(s) \approx \sigma(t)}$



2.7. Logicality soundness

Thm 4 *derivable* \Leftarrow *convertible*

Proof

reduction step $C[\sigma(l)] \rightarrow_{\mathcal{E}} C[\sigma(r)]$ 'is' a derivation

$l \rightarrow r$ simulated by $\frac{(s \approx t \in E)}{s \approx t}$

$\sigma(l) \rightarrow \sigma(r)$ simulated by $\frac{s \approx t}{\sigma(s) \approx \sigma(t)}$

$C[\sigma(l)] \rightarrow_{\mathcal{E}} C[\sigma(r)]$ simulated by

$\frac{s_1 \approx t_1 \quad \dots \quad s_n \approx t_n}{f(s_1, \dots, s_n) \approx f(t_1, \dots, t_n)}$ and $\frac{}{s \approx s}$

2.7. Logicality soundness

Thm 4 *derivable* \Leftarrow *convertible*

Proof

reduction step $C[\sigma(l)] \rightarrow_{\mathcal{E}} C[\sigma(r)]$ 'is' a derivation
 $l \rightarrow r$ simulated by $\frac{(s \approx t \in E)}{s \approx t}$

$\sigma(l) \rightarrow \sigma(r)$ simulated by $\frac{s \approx t}{\sigma(s) \approx \sigma(t)}$

$C[\sigma(l)] \rightarrow_{\mathcal{E}} C[\sigma(r)]$ simulated by

$\frac{s_1 \approx t_1 \quad \dots \quad s_n \approx t_n}{f(s_1, \dots, s_n) \approx f(t_1, \dots, t_n)}$ and $\frac{}{s \approx s}$

conversion (back/forward steps) 'is' a derivation



2.7. Logicality soundness

Thm 4 *derivable* \Leftarrow *convertible*

Proof

reduction step $C[\sigma(l)] \rightarrow_{\mathcal{E}} C[\sigma(r)]$ 'is' a derivation
 $l \rightarrow r$ simulated by $\frac{(s \approx t \in E)}{s \approx t}$

$\sigma(l) \rightarrow \sigma(r)$ simulated by $\frac{s \approx t}{\sigma(s) \approx \sigma(t)}$

$C[\sigma(l)] \rightarrow_{\mathcal{E}} C[\sigma(r)]$ simulated by

$\frac{s_1 \approx t_1 \quad \dots \quad s_n \approx t_n}{f(s_1, \dots, s_n) \approx f(t_1, \dots, t_n)}$ and $\frac{}{s \approx s}$

conversion (back/forward steps) 'is' a derivation

backward simulated by $\frac{s \approx t}{t \approx s}$

2.7. Logicality soundness

Thm 4 *derivable* \Leftarrow *convertible*

Proof

reduction step $C[\sigma(l)] \rightarrow_{\mathcal{E}} C[\sigma(r)]$ 'is' a derivation
 $l \rightarrow r$ simulated by $\frac{(s \approx t \in E)}{s \approx t}$

$\sigma(l) \rightarrow \sigma(r)$ simulated by $\frac{s \approx t}{\sigma(s) \approx \sigma(t)}$

$C[\sigma(l)] \rightarrow_{\mathcal{E}} C[\sigma(r)]$ simulated by

$\frac{s_1 \approx t_1 \quad \dots \quad s_n \approx t_n}{f(s_1, \dots, s_n) \approx f(t_1, \dots, t_n)}$ and $\frac{}{s \approx s}$

conversion (back/forward steps) 'is' a derivation

backward simulated by $\frac{s \approx t}{t \approx s}$

steps simulated by

$\frac{}{s \approx s}$ and $\frac{s \approx t \quad t \approx u}{s \approx u}$

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2.8. Logicality completeness

Thm 5 *derivable* \Rightarrow *convertible*

Proof

Derivation standardises to conversion



2.8. Logicality completeness

Thm 5 *derivable* \Rightarrow *convertible*

Proof

Derivation standardises to conversion

standardisation: commute derivations in wrong order

$$\frac{\frac{s \approx t \quad t \approx u}{s \approx u}}{u \approx s} \rightsquigarrow \frac{\frac{s \approx t}{t \approx s} \quad \frac{t \approx u}{u \approx t}}{u \approx s}$$

2.8. Logicality completeness

Thm 5 *derivable* \Rightarrow *convertible*

Proof

Derivation standardises to conversion

standardisation: commute derivations in wrong order

$$\frac{\frac{s \approx t \quad t \approx u}{s \approx u}}{u \approx s} \rightsquigarrow \frac{\frac{s \approx t}{t \approx s} \quad \frac{t \approx u}{u \approx t}}{u \approx s}$$

process terminates, by recursive path order

$$r_1(r_2(\vec{x}_1), \dots, r_2(\vec{x}_n)) \rightsquigarrow r_2(r_1(x_{11}, \dots, x_{1n}), \dots, r_1(x_{m1}, \dots, x_{mn}))$$

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3. Sub-equational specifications

Arise by removing some of derivation rules

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3. Sub-equational specifications

Arise by removing some of derivation rules

equational specification: remove nothing

rewriting logic specification: remove symmetry

strict specification: remove reflexivity as well

term rewriting specification: also transitivity

etc.

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3. Sub-equational specifications

Arise by removing some of derivation rules

equational specification: remove nothing

rewriting logic specification: remove symmetry

strict specification: remove reflexivity as well

term rewriting specification: also transitivity

etc.

Problem 6 *Proofs are not parametric*

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3. Sub-equational specifications

Arise by removing some of derivation rules

equational specification: remove nothing

rewriting logic specification: remove symmetry

strict specification: remove reflexivity as well

term rewriting specification: also transitivity
etc.

Problem 6 *Proofs are not parametric*

Solution 7 *Make proofs parametric*

Remove dependencies between derivation rules

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3.1. Sub-equational specifications

Sub-equational specification *Mul*

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3.1. Sub-equational specifications

Sub-equational specification Mul

signature Σ

$0, S, A, M.$



3.1. Sub-equational specifications

Sub-equational specification \mathcal{Mul}

signature Σ

$0, S, A, M.$

statements over Σ

$(A(x, 0), x)$

$(A(x, S(y)), S(A(x, y)))$

$(M(x, 0), 0)$

$(M(x, S(y)), A(x, M(x, y)))$

subset of inference modes

$\{(embedding), (compatibility),$

$(reflexivity), (symmetry), (transitivity)\}$

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3.2. Sub-equational specification examples

equational spec $\{(embedding), (compatibility), (reflexivity), (symmetry), (transitivity)\}$

rewriting logic spec $\{(embedding), (compatibility), (reflexivity), (transitivity)\}$

strict spec $\{(embedding), (compatibility), (transitivity)\}$

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3.3. Sub-validity

algebra \mathcal{A} interprets signature (carrier, operations)

\mathcal{Nat} interprets Σ as set of natural numbers

\mathcal{Nat} interprets 0 , S , A and M as

zero, successor, addition and multiplication



3.3. Sub-validity

algebra \mathcal{A} interprets signature (carrier, operations)

\mathcal{Nat} interprets Σ as set of natural numbers

\mathcal{Nat} interprets 0 , S , A and M as

zero, successor, addition and multiplication

relation R models statements which hold

statement (s, t) **holds** in (\mathcal{A}, R) if $s^{\mathcal{A}} R t^{\mathcal{A}}$

open statement holds, if so for all assignments α



3.3. Sub-validity

algebra \mathcal{A} interprets signature (carrier, operations)

\mathcal{Nat} interprets Σ as set of natural numbers

\mathcal{Nat} interprets 0 , S , A and M as

zero, successor, addition and multiplication

relation R models statements which hold

statement (s, t) **holds** in (\mathcal{A}, R) if $s^{\mathcal{A}} R t^{\mathcal{A}}$

open statement holds, if so for all assignments α

relational model of \mathcal{Mul} if

$$\frac{(s, t) \in \mathcal{Mul}}{s R t} \text{ (emb)} \quad \frac{a_1, \dots, a_n = [R] b_1, \dots, b_n}{f^{\mathcal{A}}(a_1, \dots, a_n) R f^{\mathcal{A}}(b_1, \dots, b_n)} \text{ (comp)}$$

$$\frac{}{a R a} \text{ (ref)} \quad \frac{a R b}{b R a} \text{ (sym)} \quad \frac{a R b \quad b R c}{a R c} \text{ (trans)}$$



3.4. Sub-validity examples

$(\mathcal{Nat}, =)$ is a relational model of equational logic spec \mathcal{Mul}

$(\mathcal{T}(\Sigma), \leftrightarrow^*)$ is a relational model of equational logic spec \mathcal{Mul}

$(\mathcal{T}(\Sigma), \rightarrow^*)$ is a relational model of rewriting logic spec \mathcal{Mul}



3.4. Sub-validity examples

$(\mathcal{Nat}, =)$ is a relational model of equational logic spec \mathcal{Mul}

$(\mathcal{T}(\Sigma), \leftrightarrow^*)$ is a relational model of equational logic spec \mathcal{Mul}

$(\mathcal{T}(\Sigma), \rightarrow^*)$ is a relational model of rewriting logic spec \mathcal{Mul}
but **not** of \mathcal{Mul} as equational logic spec

$(\mathcal{T}(\Sigma), =)$ is **not** a relational model of equational logic spec \mathcal{Mul}



3.4. Sub-validity examples

$(\mathcal{N}at, =)$ is a relational model of equational logic spec $\mathcal{M}ul$

$(\mathcal{T}(\Sigma), \leftrightarrow^*)$ is a relational model of equational logic spec $\mathcal{M}ul$

$(\mathcal{T}(\Sigma), \rightarrow^*)$ is a relational model of rewriting logic spec $\mathcal{M}ul$
but **not** of $\mathcal{M}ul$ as equational logic spec

$(\mathcal{T}(\Sigma), =)$ is **not** a relational model of equational logic spec $\mathcal{M}ul$

model: relational model no non-trivial congruences



3.5. Sub-derivability

(s, t) derivable from \mathcal{S}

$$\frac{(s, t) \in \mathcal{S}}{\sigma(s) \underline{\mathcal{S}} \sigma(t)} \text{ (emb)} \quad \frac{s_1, \dots, s_n = [\underline{\mathcal{S}}] t_1, \dots, t_n}{f(s_1, \dots, s_n) \underline{\mathcal{S}} f(t_1, \dots, t_n)} \text{ (comp)}$$

$$\frac{}{s \underline{\mathcal{S}} s} \text{ (ref)} \quad \frac{s \underline{\mathcal{S}} t}{t \underline{\mathcal{S}} s} \text{ (sym)} \quad \frac{s \underline{\mathcal{S}} t \quad t \underline{\mathcal{S}} u}{s \underline{\mathcal{S}} u} \text{ (trans)}$$

Rule only if allowed inference mode

Note: no congruence, no substitution



3.5. Sub-derivability

(s, t) derivable from \mathcal{S}

$$\frac{(s, t) \in \mathcal{S}}{\sigma(s) \underline{\mathcal{S}} \sigma(t)} \text{ (emb)} \quad \frac{s_1, \dots, s_n = [\underline{\mathcal{S}}] t_1, \dots, t_n}{f(s_1, \dots, s_n) \underline{\mathcal{S}} f(t_1, \dots, t_n)} \text{ (comp)}$$

$$\frac{}{s \underline{\mathcal{S}} s} \text{ (ref)} \quad \frac{s \underline{\mathcal{S}} t}{t \underline{\mathcal{S}} s} \text{ (sym)} \quad \frac{s \underline{\mathcal{S}} t \quad t \underline{\mathcal{S}} u}{s \underline{\mathcal{S}} u} \text{ (trans)}$$

Rule only if allowed inference mode

Note: no congruence, no substitution

$$\frac{\frac{}{(M(Sx, 0), 0)} (\sigma)}{\frac{}{(A(Sx, M(Sx, 0)), A(Sx, 0))} (\text{comp, A})} (\sigma)}{\frac{}{(M(Sx, S0), A(Sx, M(Sx, 0)))} (\sigma)}{\frac{}{(A(Sx, M(Sx, 0)), Sx)} (\text{trans})} (\text{trans})} (\text{trans})$$

derivation for equational/rewriting logic/strict spec $\mathcal{M}ul$

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3.6. Sub-convertibility

The **sub-convertibility** relation obtained as closure under inference modes in order

(emb), (comp), (ref), (sym), (trans)



3.6. Sub-convertibility

The **sub-convertibility** relation obtained as closure under inference modes in order

(emb), (comp), (ref), (sym), (trans)

for equational specification

sub-convertibility is convertibility $\leftrightarrow_{\mathcal{M}ul}^*$

for rewriting logic specification

sub-convertibility is rewritability/reachability $\rightarrow_{\mathcal{M}ul}^*$

for strict specification

sub-convertibility is strict reachability $\rightarrow_{\mathcal{M}ul}^+$

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3.7. Proofs for sub-equational specifications

sub-valid $\Leftrightarrow_{\text{Sub-Birkhoff}}$ sub-derivable $\Leftrightarrow_{\text{Sub-logicality}}$ sub-convertible



3.7. Proofs for sub-equational specifications

sub-valid $\Leftrightarrow_{\text{Sub-Birkhoff}}$ sub-derivable $\Leftrightarrow_{\text{Sub-logicality}}$ sub-convertible

- Soundness of sub-Birkhoff by induction on derivations
- Completeness of sub-Birkhoff by relational term model followed by quotient construction
- Soundness of sub-logicality by simulation
- Completeness of sub-logicality by derivation standardisation

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3.8. Sub-Birkhoff soundness

Thm 8 *sub-valid* \Leftarrow *sub-derivable*

Proof

by induction on derivations

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3.8. Sub-Birkhoff soundness

Thm 8 *sub-valid* \Leftarrow *sub-derivable*

Proof

by induction on derivations

All inference rules trivially preserve validity ...



3.8. Sub-Birkhoff soundness

Thm 8 *sub-valid* \Leftarrow *sub-derivable*

Proof

by induction on derivations

All inference rules trivially preserve validity ...

$$\frac{(s, t) \in \mathcal{S}}{\sigma(s) \underline{\mathcal{S}} \sigma(t)} \text{ (emb)}$$

needs **semantic** substitution lemma

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3.9. Sub-Birkhoff completeness

Thm 9 *sub-valid* \Rightarrow *sub-derivable*

Proof

Sub-derivability 'is' a relational model

Quotiented sub-derivability 'is' a model

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3.9. Sub-Birkhoff completeness

Thm 9 *sub-valid* \Rightarrow *sub-derivable*

Proof

Sub-derivability 'is' a relational model

Quotiented sub-derivability 'is' a model

Relational **term** model:

Term algebra $\mathcal{T}(\Sigma)$ paired up with derivable equality

Note: this **is** a relational model



3.9. Sub-Birkhoff completeness

Thm 9 *sub-valid* \Rightarrow *sub-derivable*

Proof

Sub-derivability 'is' a relational model

Quotiented sub-derivability 'is' a model

Relational **term** model:

Term algebra $\mathcal{T}(\Sigma)$ paired up with derivable equality

Note: this **is** a relational model

Quotienting out maximal congruence yields model

all derivable equalities hold by induction on derivation

$$\frac{(s, t) \in \mathcal{S}}{\sigma(s) \underline{\mathcal{S}} \sigma(t)}$$

needs **syntactic** substitution lemma

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3.10. Logicality soundness

Thm 10 *sub-derivable* \Leftarrow *sub-convertible*

Proof

sub-conversion is a sub-derivation

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3.11. Logicality completeness

Thm 11 *derivable* \Rightarrow *convertible*

Proof

Derivation standardises to conversion

3.11. Logicality completeness

Thm 11 *derivable* \Rightarrow *convertible*

Proof

Derivation standardises to conversion

standardisation: commute derivations in wrong order

	(emb)	(comp)	(ref)	(sym)	(trans)
(emb)	x	mon	mon	mon	mon
(comp)	x	x	(ref)	(sym)	(trans)
(ref)	x	x	x	mon	mon
(sym)	x	x	x	x	(trans)
(trans)	x	x	x	x	x

Vertically: property to be preserved under closing

Horizontally: with respect to indicated inference rule

Note: chosen order is important

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4. Conclusion

Parametrised sound- and completeness results

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4. Conclusion

Parametrised sound- and completeness results

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