#### ISR 2008, Obergurgl, Austria

Vincent van Oostrom

Theoretical Philosophy Utrecht University Netherlands

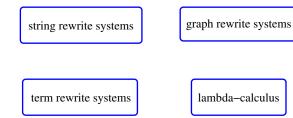
16:00 - 17:30, Mon/Wednesday July 21, ISR 2008

# Introduction

◆□ ▶ < 圖 ▶ < 圖 ▶ < 圖 ▶ < 圖 • 의 Q @</p>

#### Motivation

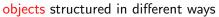
#### various concrete rewrite systems

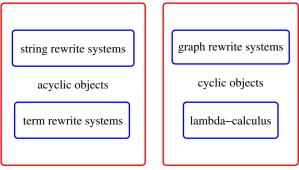


◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへぐ

how to prove properties uniformly?

# Motivation



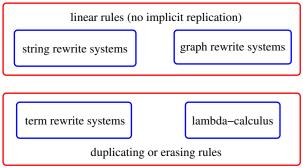


◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 臣 の�?

how to prove properties uniformly?

# Motivation

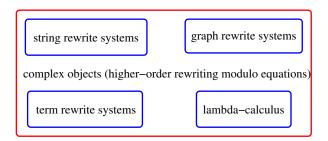
#### steps manipulate objects in different ways



how to prove properties uniformly?

# More complex or more simple?

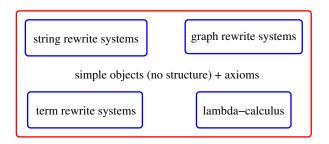
#### one complex format to rule them all?



never complex enough, complexity needs learning

More complex or more simple?

#### one simple format to rule them all?



different axioms, simplicity needs imagination/axiom checking

- Newman 1942 (confluence, orthogonality)
- Hindley, Rosen, de Bruijn (orthogonality, commutation)
- Klop, Huet, Geser (abstract reduction as framework)
- Jouannaud/Kirchner, Ohlebusch (rewriting modulo)
- Melliès, Khasidashvili (standardisation, neededness)

- Ghani/Lüth (substitution)
- ▶ ...

- Newman 1942 (confluence, orthogonality)
- Hindley, Rosen, de Bruijn (orthogonality, commutation)
- Klop, Huet, Geser (abstract reduction as framework)
- Jouannaud/Kirchner, Ohlebusch (rewriting modulo)
- Melliès, Khasidashvili (standardisation, neededness)

- Ghani/Lüth (substitution)
- ▶ ...



On Theories with a Combinatorial Definition of "Equivalence"

M. H. A. Newman

The Annals of Mathematics, 2nd Ser., Vol. 43, No. 2. (Apr., 1942), pp. 223-243.

Stable URL: http://links.jstor.org/sici?sici=0003-486X%28194204%292%3A43%3A2%3C223%3AOTWACD%3E2.0.CO%3B2-C

The Annals of Mathematics is currently published by Annals of Mathematics.

- abstract study of confluence (examples from mathematics)
- abstract study of orthogonality (application to  $\lambda$ -calculus)



#### On Theories with a Combinatorial Definition of "Equivalence"

M. H. A. Newman

The Annals of Mathematics, 2nd Ser., Vol. 43, No. 2. (Apr., 1942), pp. 223-243.

Stable URL: http://links.jstor.org/sici?sici=0003-486X%28194204%292%3A43%3A2%3C223%3AOTWACD%3E2.0.CO%3B2-C

The Annals of Mathematics is currently published by Annals of Mathematics.

- confluence  $\Rightarrow$  uniqueness of normal forms
- ► confluence ⇒ consistency (Church–Rosser)
- $\blacktriangleright$  confuence  $\Rightarrow$  decidable convertibility, if  $\rightarrow$  is terminating

# Standard notions

Newman	modern	notations I use
cell	step	$\rightarrow$
path	conversion	$\leftrightarrow^*$
descending path	reduction/rewriting seq.	
lower bound	common reduct	$\downarrow$
upper bound	common ancestor	↑
property A	Church–Rosser property	$\leftrightarrow^* \subseteq \twoheadleftarrow \cdot \twoheadrightarrow$
property B	confluence property	
property C	semi-confluence	$\leftarrow \cdot \twoheadrightarrow \subseteq \twoheadrightarrow \cdot \twoheadleftarrow$
property D	local confluence	$\leftarrow \cdot \rightarrow \subseteq \twoheadrightarrow \cdot \twoheadleftarrow$
derivate	residual	/
conversion calc.	$\lambda$ -calculus	

# Plan

#### Monday

▶ formalism: abstract rewrite relations (whether, Terese Ch. 1)

- A set of objects
- $\blacktriangleright \rightarrow \subseteq A \times A \text{ rewrite relation on } A$
- confluence property, lower bounds
- proof method: decreasing diagrams (Terese Ch. 14)
- proof method: Z property

# Plan

#### Wednesday

formalism: abstract rewrite systems (how, Terese Ch. 8)

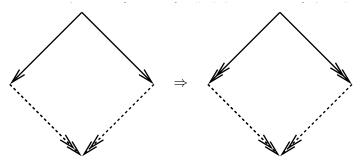
- A set of objects
- $\blacktriangleright \rightarrow$  set of rewrite steps with source/target maps
- orthogonality, greatest lower bounds
- axiomatisation: residual systems (Terese Ch. 8.7)
- proof method: confluification into multi-steps

## Confluence by decreasing diagrams

▲□▶▲圖▶▲≣▶▲≣▶ ≣ めへの

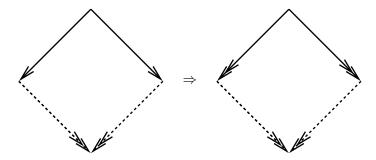
#### Theorem (Newman 1942)

THEOREM 3. In an indexed complex in which all descending paths are finite, (D) implies (A).



#### Theorem (Newman 1942)

local confluence implies confluence, if  $\rightarrow$  terminating

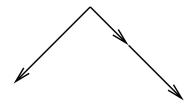


various proofs in literature:

- Newman: see my homepage for modern rendering
- $\blacktriangleright$  Huet: short proof by Noetherian induction on  $\rightarrow^+$
- Klop: proving absence of ambiguous points
- ▶ ...
- here: by analysing tiling of Kleene counterexample

Proof.

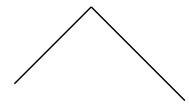
non-terminating Kleene system:  $b \leftarrow a \leftrightarrow a' \rightarrow c$ 



if repeated tiling terminates then confluent...

Proof.

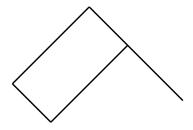
non-terminating Kleene system:  $b \leftarrow a \leftrightarrow a' \rightarrow c$ 



if repeated tiling terminates then confluent...

Proof.

non-terminating Kleene system:  $b \leftarrow a \leftrightarrow a' \rightarrow c$ 

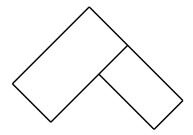


if repeated tiling terminates then confluent...

▲□▶ ▲圖▶ ▲臣▶ ▲臣▶ = 臣 = のへで

Proof.

non-terminating Kleene system:  $b \leftarrow a \leftrightarrow a' \rightarrow c$ 

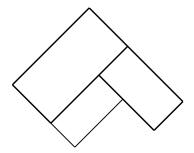


if repeated tiling terminates then confluent...

▲□▶ ▲圖▶ ▲臣▶ ▲臣▶ = 臣 = のへで

Proof.

non-terminating Kleene system:  $b \leftarrow a \leftrightarrow a' \rightarrow c$ 

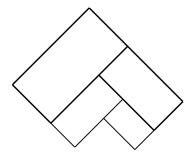


if repeated tiling terminates then confluent...

▲□▶ ▲圖▶ ▲臣▶ ▲臣▶ ―臣 … のへで

Proof.

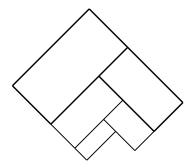
non-terminating Kleene system:  $b \leftarrow a \leftrightarrow a' \rightarrow c$ 



if repeated tiling terminates then confluent...

Proof.

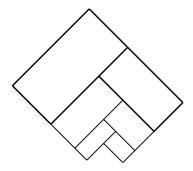
non-terminating Kleene system:  $b \leftarrow a \leftrightarrow a' \rightarrow c$ 



if repeated tiling terminates then confluent...

Proof.

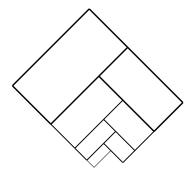
non-terminating Kleene system:  $b \leftarrow a \leftrightarrow a' \rightarrow c$ 



if repeated tiling terminates then confluent...

Proof.

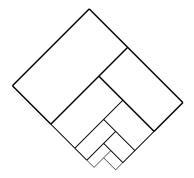
non-terminating Kleene system:  $b \leftarrow a \leftrightarrow a' \rightarrow c$ 



if repeated tiling terminates then confluent...

Proof.

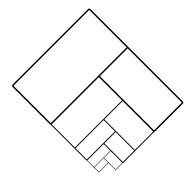
non-terminating Kleene system:  $b \leftarrow a \leftrightarrow a' \rightarrow c$ 



if repeated tiling terminates then confluent...

Proof.

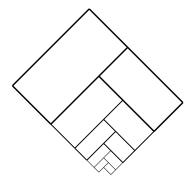
non-terminating Kleene system:  $b \leftarrow a \leftrightarrow a' \rightarrow c$ 



if repeated tiling terminates then confluent...

Proof.

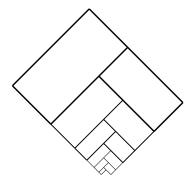
non-terminating Kleene system:  $b \leftarrow a \leftrightarrow a' \rightarrow c$ 



if repeated tiling terminates then confluent...

Proof.

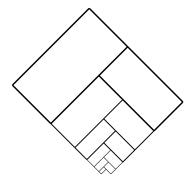
non-terminating Kleene system:  $b \leftarrow a \leftrightarrow a' \rightarrow c$ 



if repeated tiling terminates then confluent...

Proof.

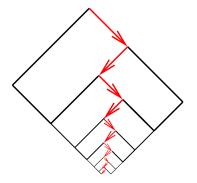
non-terminating Kleene system:  $b \leftarrow a \leftrightarrow a' \rightarrow c$ 



... but if it does not, then infinite reduction?

Proof.

non-terminating Kleene system:  $b \leftarrow a \leftrightarrow a' \rightarrow c$ 

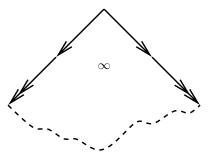


... but if it does not, then infinite reduction!

Proof. Escher diagram: finite peak, infinite filled diagram try to construct an infinite reduction in Escher diagram

・ロト ・ 一下・ ・ モト・ ・ モト・

э

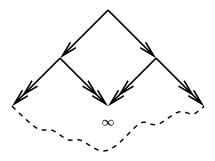


Proof.

Escher diagram: finite peak, infinite filled diagram if infinite, there's a filled local peak

・ロト ・聞ト ・ヨト ・ヨト

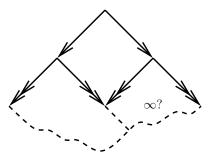
э



Proof. Escher diagram: finite peak, infinite filled diagram is right sub-diagram Escher?

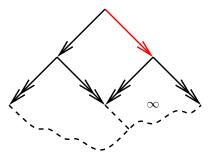
(日)、

э

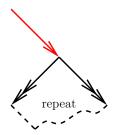


Proof. Escher diagram: finite peak, infinite filled diagram if right sub-diagram Escher, go right

イロト イポト イヨト イヨト



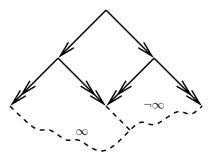
Proof. Escher diagram: finite peak, infinite filled diagram if right sub-diagram Escher, go right



#### Proof.

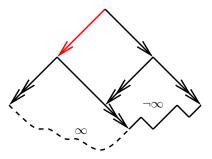
Escher diagram: finite peak, infinite filled diagram if right sub-diagram not Escher, left sub-diagram is

イロト イポト イヨト イヨト



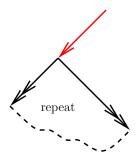
Proof. Escher diagram: finite peak, infinite filled diagram if right sub-diagram not Escher, go left

・ロト ・聞ト ・ヨト ・ヨト



Proof. Escher diagram: finite peak, infinite filled diagram if right sub-diagram not Escher, go left

・ロト ・ 雪 ト ・ ヨ ト



Theorem (Newman 1942)

THEOREM 1. Let  $\Sigma$  be such that if  $a\mu x$  and  $a\mu y$ , and  $x \neq y$ , there exists b such that  $x\mu b$  and  $y\mu b$ . Then property (A) holds:

Proof.



Theorem (Newman 1942) diamond property ( $\leftarrow \cdot \rightarrow \subseteq \rightarrow \cdot \leftarrow$ ) implies confluence

Proof.



Theorem (Newman 1942) diamond property ( $\leftarrow \cdot \rightarrow \subseteq \rightarrow \cdot \leftarrow$ ) implies confluence

Proof.

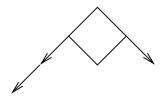


Theorem (Newman 1942) diamond property ( $\leftarrow \cdot \rightarrow \subseteq \rightarrow \cdot \leftarrow$ ) implies confluence

・ロト ・ 理 ト ・ ヨ ト ・ ヨ ト

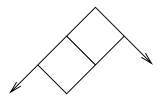
э.

Proof.



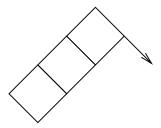
Theorem (Newman 1942) diamond property ( $\leftarrow \cdot \rightarrow \subseteq \rightarrow \cdot \leftarrow$ ) implies confluence

Proof.



Theorem (Newman 1942) diamond property ( $\leftarrow \cdot \rightarrow \subseteq \rightarrow \cdot \leftarrow$ ) implies confluence

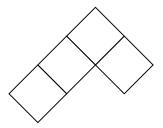
Proof.



Theorem (Newman 1942) diamond property ( $\leftarrow \cdot \rightarrow \subseteq \rightarrow \cdot \leftarrow$ ) implies confluence

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● ● ●

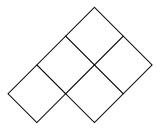
Proof.



Theorem (Newman 1942) diamond property ( $\leftarrow \cdot \rightarrow \subseteq \rightarrow \cdot \leftarrow$ ) implies confluence

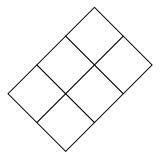
◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへぐ

Proof.



Theorem (Newman 1942) diamond property ( $\leftarrow \cdot \rightarrow \subseteq \rightarrow \cdot \leftarrow$ ) implies confluence

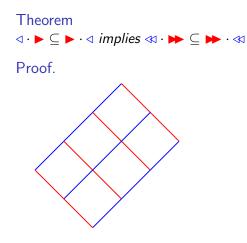
Proof.



must stop: area to fill becomes smaller

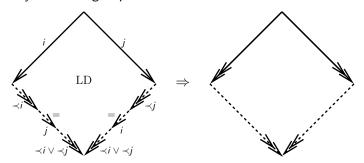
◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへぐ

## From confluence to commutation



One method to rule them all (Newman, diamond)?

Theorem (de Bruijn 1978,vO 1994) locally decreasing implies confluence

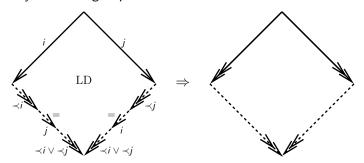


(日)、

3

 $\rightarrow = \bigcup_{i \in I} \rightarrow_i$ ,  $\prec$  well-founded order on I

Theorem (de Bruijn 1978,vO 1994) locally decreasing implies confluence



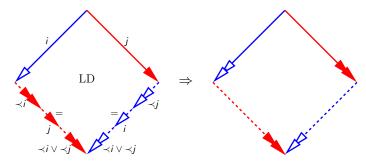
(日)、

3

 $\rightarrow = \bigcup_{i \in I} \rightarrow_i$ ,  $\prec$  well-founded order on I

#### Theorem (vO 1994)

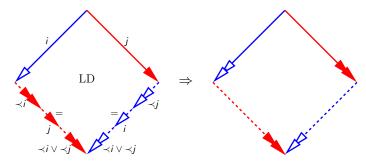
locally decreasing implies commutation



 $\triangleright = \bigcup_{i \in I} \triangleright_i$ ,  $\blacktriangleright = \bigcup_{j \in J} \triangleright_j$ ,  $\prec$  well-founded order on  $I \cup J$ 

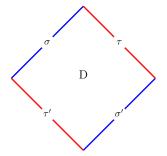
#### Theorem (vO 1994)

locally decreasing implies commutation



 $\triangleright = \bigcup_{i \in I} \triangleright_i$ ,  $\blacktriangleright = \bigcup_{j \in J} \triangleright_j$ ,  $\prec$  well-founded order on  $I \cup J$ 



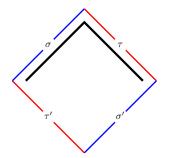


peak  $\sigma$ , $\tau$  as large as lhs  $\sigma\tau'$  and rhs  $\tau\sigma'$  after filtering

(日)、

э.

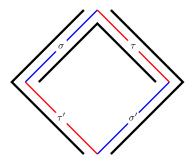
#### Proof. by decreasingness



measure peak by multiset sum  $|\sigma| \uplus |\tau|$ |\_| filters smaller labels to right, |32343| = [3, 3, 4]

#### Proof.

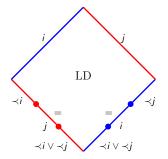
by decreasingness



decreasing if  $|\sigma| \uplus |\tau|$  as large as both  $|\sigma\tau'|$  and  $|\tau\sigma'|$  in multiset-extension of  $\prec$ 

◆□ > ◆□ > ◆豆 > ◆豆 > ̄豆 = のへ⊙

Proof. (1) locally decreasing  $\Rightarrow$  decreasing

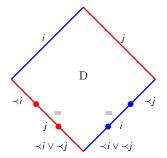


```
peak |i| \uplus |j|
Ihs |i(\prec i)^*(j + \varepsilon)(\prec i + \prec j)^*|
```

・ロト ・ 理 ト ・ ヨ ト ・ ヨ ト

3

Proof. (1) locally decreasing  $\Rightarrow$  decreasing

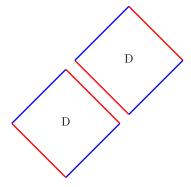


 $\begin{aligned} |i| & \uplus |j| \text{ is } [i] & \uplus [j] = [i, j] \\ |i(\prec i)^* (j + \varepsilon) (\prec i + \prec j)^* | \text{ is } [i], [i, j] \text{ or } [i, j_1, \dots, j_n] \end{aligned}$ 

(日) (同) (日) (日)

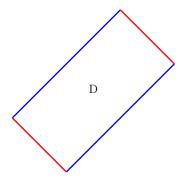
Proof. (2) decreasingness preserved under pasting

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへぐ

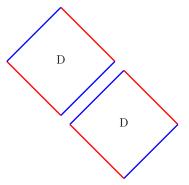


Proof. (2) decreasingness preserved under pasting on left

▲□▶ ▲圖▶ ▲臣▶ ▲臣▶ ―臣 … のへで

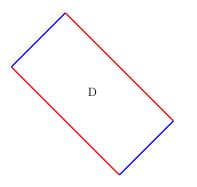


Proof. (2) decreasingness preserved under pasting



◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへぐ

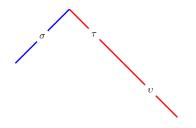
Proof. (2) decreasingness preserved under pasting on right



▲□▶ ▲圖▶ ▲臣▶ ▲臣▶ ―臣 … のへで

Proof.

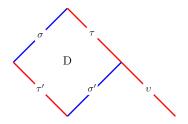
(3) filling with decreasing diagram decreases measure



▲□▶ ▲圖▶ ▲臣▶ ▲臣▶ ―臣 … のへで

Proof.

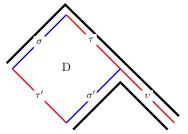
(3) filling with decreasing diagram decreases measure



▲□▶ ▲□▶ ▲三▶ ▲三▶ 三三 のへで

#### Proof.

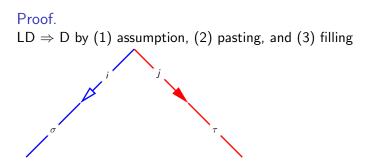
(3) filling with decreasing diagram decreases measure



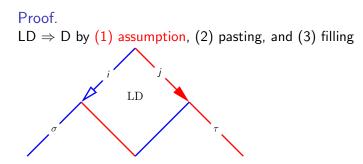
E nar

(日) (同) (日) (日)

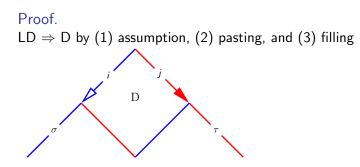
 $|\sigma| \uplus |\tau v|$  greater than  $|\sigma'| \uplus |v|$ 



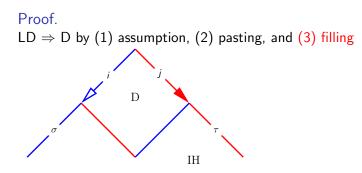
▲□▶ ▲圖▶ ▲≣▶ ▲≣▶ ▲国 ● ④�?



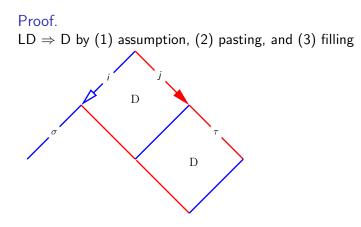
◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで



<ロト <回ト < 注ト < 注ト

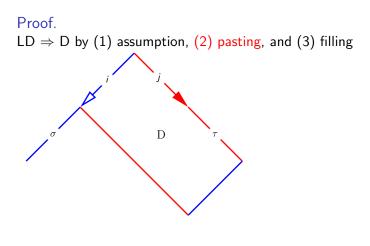


・ロト ・ 日本・ 小田・ 小田・ 一日・ 今日・

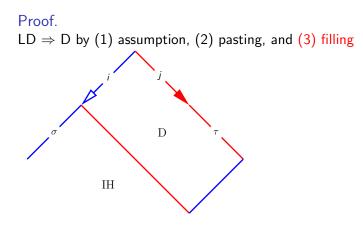


イロト イポト イヨト イヨト

э

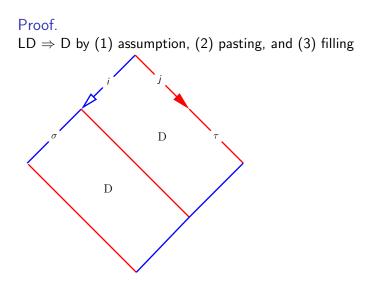


◆□▶ ◆□▶ ◆臣▶ ◆臣▶ ─臣 ─ のへで

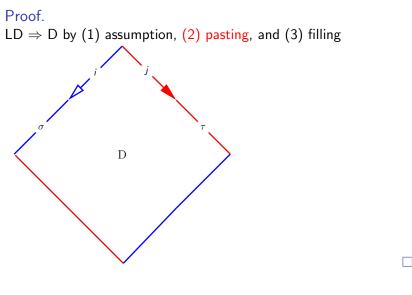


イロト イポト イヨト イヨト

э



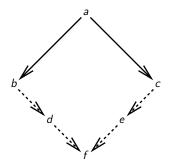
▲ロト ▲圖 ト ▲ 国 ト ▲ 国 ト の Q ()



▲□▶ ▲□▶ ▲□▶ ▲□▶ = ● のへで

Proof.

local confluence  $\Rightarrow$  confluence, if  $\rightarrow$  terminating

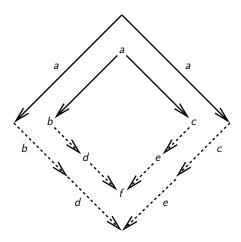


label steps by their source, order labels by  $\rightarrow^+$ 

・ロト ・ 日 ・ ・ 日 ・ ・ 日 ・

Proof.

local confluence  $\Rightarrow$  confluence, if  $\rightarrow$  terminating

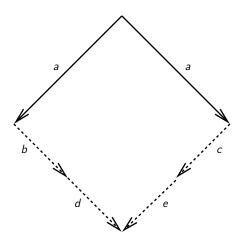


label steps by their source, order labels by  $\rightarrow^+$ 

・ロト ・ 日 ・ ・ ヨ ・

Proof.

local confluence  $\Rightarrow$  confluence, if  $\rightarrow$  terminating

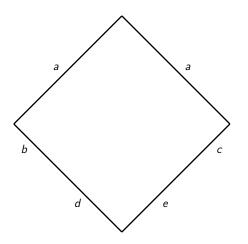


label steps by their source, order labels by  $\rightarrow^+$ 

<ロト <回ト < 注ト < 注ト

Proof.

local confluence  $\Rightarrow$  confluence, if  $\rightarrow$  terminating



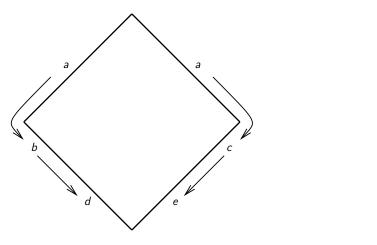
label steps by their source, order labels by  $\rightarrow^+$ 

・ロト ・ 日 ・ ・ 日 ・ ・ 日 ・

э.

Proof.

local confluence  $\Rightarrow$  confluence, if  $\rightarrow$  terminating



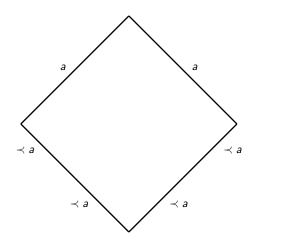
・ロト ・ 日 ・ ・ 日 ・ ・ 日 ・

э

label steps by their source, order labels by  $\rightarrow^+$ 

Proof.

local confluence  $\Rightarrow$  confluence, if  $\rightarrow$  terminating



label steps by their source, order labels by  $\rightarrow^+$ 

・ロト ・ 日 ・ ・ 日 ・ ・ 日 ・

э.

#### Diamond by decreasingness

Theorem diamond property ( $\leftarrow \cdot \rightarrow \subseteq \rightarrow \cdot \leftarrow$ ) implies confluence

◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 臣 の�?

#### Diamond by decreasingness

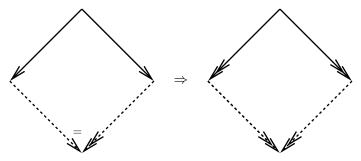
# Theorem diamond property ( $\leftarrow \cdot \rightarrow \subseteq \rightarrow \cdot \leftarrow$ ) implies confluence

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへぐ

Proof. take empty labelling

# Theorem (Huet 1980)

strong confluence implies confluence

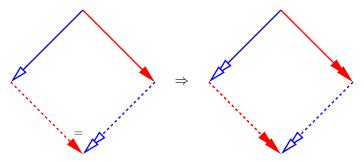


(日)、

э

#### Theorem (Hindley 1964)

#### strong commutation implies commutation

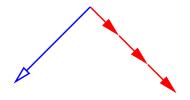


(日)、

э

Proof.

intuition: tiling terminates since only > steps are split

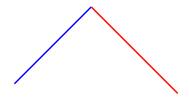


repeat: fill in local peak with local diagram

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● ● ●

Proof.

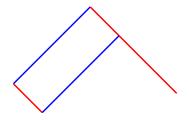
intuition: tiling terminates since only > steps are split



repeat: fill in local peak with local diagram

Proof.

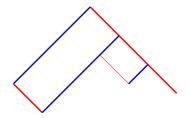
intuition: tiling terminates since only > steps are split



repeat: fill in local peak with local diagram

Proof.

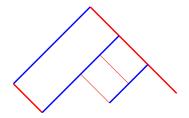
intuition: tiling terminates since only > steps are split



repeat: fill in local peak with local diagram

Proof.

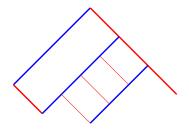
intuition: tiling terminates since only > steps are split



repeat: fill in local peak with local diagram

Proof.

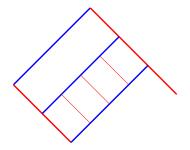
intuition: tiling terminates since only > steps are split



repeat: fill in local peak with local diagram

Proof.

intuition: tiling terminates since only > steps are split

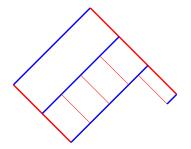


repeat: fill in local peak with local diagram

▲□▶ ▲圖▶ ▲臣▶ ▲臣▶ ―臣 … のへで

Proof.

intuition: tiling terminates since only > steps are split

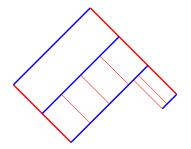


repeat: fill in local peak with local diagram

▲□▶ ▲圖▶ ▲臣▶ ▲臣▶ ―臣 … のへで

Proof.

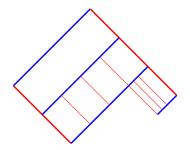
intuition: tiling terminates since only > steps are split



repeat: fill in local peak with local diagram

Proof.

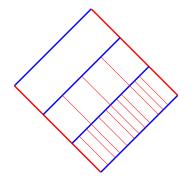
intuition: tiling terminates since only > steps are split



repeat: fill in local peak with local diagram

Proof.

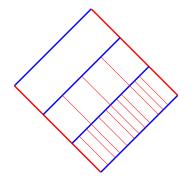
intuition: tiling terminates since only > steps are split



repeat: fill in local peak with local diagram

Proof.

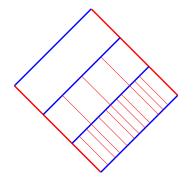
intuition: tiling terminates since only > steps are split



repeat: fill in local peak with local diagram

Proof.

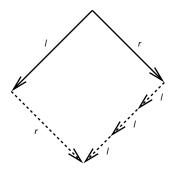
intuition: tiling terminates since only > steps are split



must stop: each ► stripe is eventualy filled

#### Proof.

strong confluence  $\Rightarrow$  confluence

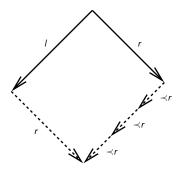


label steps by their direction (I or r), order r above I

・ロト ・ 理 ト ・ ヨ ト ・ ヨ ト

#### Proof.

strong confluence  $\Rightarrow$  confluence



label steps by their direction (*I* or *r*), order *r* above *I* 

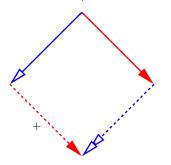
Proof. strong commutation  $\Rightarrow$  commutation

label steps by their direction ( $\triangleright$  by l,  $\triangleright$  by r), order r above l

Geser/Di Cosmo/Piperno Lemma by decreasingness

э

Theorem (Geser) commutation holds, if ► terminating and



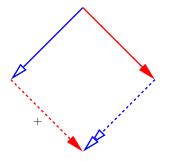
Geser/Di Cosmo/Piperno Lemma by decreasingness

equivalent (Bachmair & Dershowitz) to:

Theorem (Geser)

commutation holds, if  $\blacktriangleright / \triangleleft (= \blacktriangleleft \cdot \blacktriangleright \cdot \triangleleft)$  terminating and

- ▲□ ▶ ▲ ■ ▶ ▲ ■ ● ● ● ●



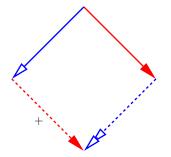
# Geser/Di Cosmo/Piperno Lemma by decreasingness

equivalent (Bachmair & Dershowitz) to:

Theorem (Geser)

commutation holds, if  $\blacktriangleright / \triangleleft (= \blacktriangleleft \cdot \blacktriangleright \cdot \blacktriangleleft)$  terminating and

(日) (同) (日) (日)



But isn't this just another ad hoc method?

#### Theorem

*if a countable rewrite relation is confluent, then it can be proven so by decreasing diagrams.* 

▲ロト ▲帰ト ▲ヨト ▲ヨト 三日 - の々ぐ

Proof.

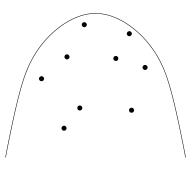
### Theorem

*if a countable rewrite relation is confluent, then it can be proven so by decreasing diagrams.* 

イロト イポト イヨト イヨト

### Proof.

suffices to consider  $\leftrightarrow^*\text{-equivalence class}$ 

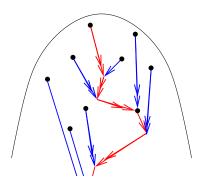


### Theorem

if a countable rewrite relation is confluent, then it can be proven so by decreasing diagrams.

#### Proof.

construct a cofinal reduction (use countability)



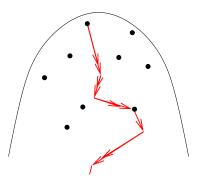
### Theorem

*if a countable rewrite relation is confluent, then it can be proven so by decreasing diagrams.* 

イロト イポト イヨト イヨト

### Proof.

cofinal reduction: such that all objects reduce to it



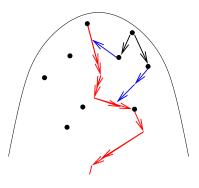
### Theorem

*if a countable rewrite relation is confluent, then it can be proven so by decreasing diagrams.* 

< ロ > < 同 > < 回 > < 回 >

### Proof.

complete local peaks by reducing to cofinal reduction



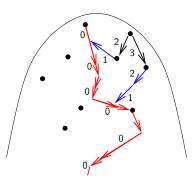
### Theorem

*if a countable rewrite relation is confluent, then it can be proven so by decreasing diagrams.* 

イロト イポト イヨト イヨト

### Proof.

label steps with distance to cofinal reduction



decreasing diagrams is incomplete for commutation (Endrullis, Grabmayer, ISR 2008)

Example

 $d \triangleleft b \triangleleft a_1 > a_2 \triangleright c \triangleright d$ 

#### Proof by contradiction.

consider triples of shape  $b \triangleleft_i a_1 \bowtie_j a_2 \triangleright_k c$  with labels [i, j, k]. suppose w.l.o.g.  $a_1 \triangleright_j a_2$ . then  $b \triangleleft_i a_1 \triangleright_j a_2$  can only be closed by  $b \triangleleft_{i'} a_1 \triangleleft_{j'} a_2$ . distinguish cases on the origin of the label j':

- if j' < j, then consider the triple with labels [i, j', k].
- Suppose j' = i. if i' < i consider the triple with labels [i', j, k], else i' < j and consider the triple with labels [i', i, k].</p>

## Application to TRSs

heuristic: label step by rule-name in a term rewriting system

heuristic: label step by rule-name in a term rewriting system Theorem *linear TRS is confluent, if critical peaks are locally decreasing.* 

## Application to TRSs

heuristic: label step by rule-name in a term rewriting system

Theorem *linear TRS is confluent, if critical peaks are locally decreasing.* 

### Example

- 1. nats  $\rightarrow$  0 : inc(nats)
- 2.  $\operatorname{inc}(x:y) \to \operatorname{s}(x): \operatorname{inc}(y)$
- 3.  $hd(x:y) \rightarrow x$
- 4.  $tl(x:y) \rightarrow y$
- 5.  $inc(tl(nats)) \rightarrow tl(inc(nats))$

one critical peak

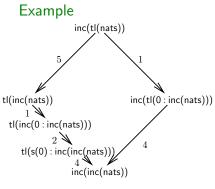
## Application to TRSs

heuristic: label step by rule-name in a term rewriting system

#### Theorem

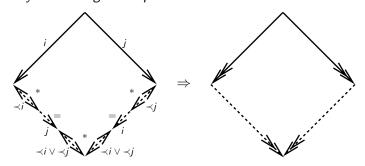
linear TRS is confluent, if critical peaks are locally decreasing.

▲ロト ▲帰ト ▲ヨト ▲ヨト 三日 - の々ぐ



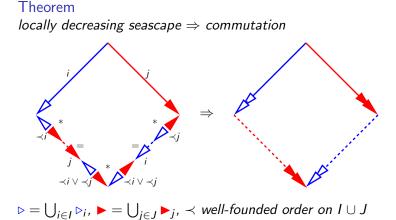
order?

Theorem locally decreasing seascape  $\Rightarrow$  confluence



・ロット 全部 マート・ キャー

 $\rightarrow = \bigcup_{i \in I} \rightarrow_i$ ,  $\prec$  well-founded order on I



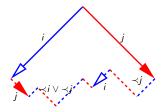
◆□▶ ◆□▶ ◆三▶ ◆三▶ ○□ のへで

### Proof.

same measure of peaks, but local peak may not be base case

イロト イポト イヨト イヨト

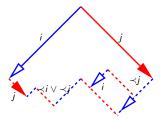
э



(日) (同) (日) (日)

3

## Proof. but its peaks can be filled in by induction

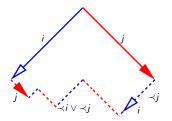


イロト イポト イヨト イヨト

э

#### Proof.

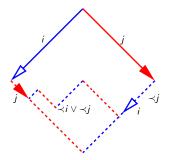
but its peaks can be filled in by induction.



э

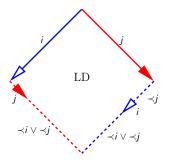
#### Proof.

but its peaks can be filled in by induction ..



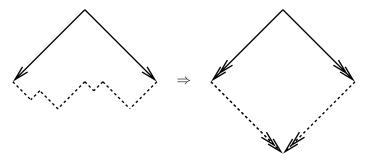
#### Proof.

giving in the end a (trough) locally decreasing diagram



<ロ>

Theorem (Winkler & Buchberger 1983) local confluence below  $\Rightarrow$  confluence, if  $\rightarrow$  terminating



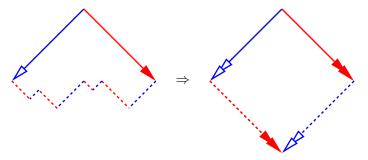
#### Definition

below: all objects in seascape  $\rightarrow^+$ -reachable from top

イロト 不得 トイヨト イヨト

Theorem

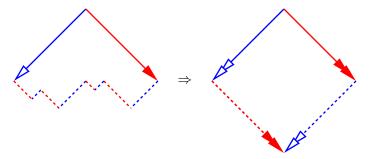
local commutation below  $\Rightarrow$  commutation, if  $\triangleright \cup \triangleright$  terminating



#### Definition

below: all objects in seascape  $(\triangleright \cup \blacktriangleright)^+$ -reachable from top

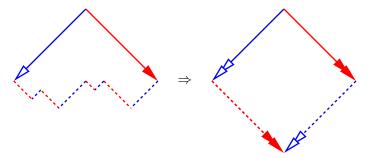
Theorem local commutation below  $\Rightarrow$  commutation, if  $\triangleright^+ \cdot \triangleright^+$  terminating



#### Definition

below: if  $a \triangleright b$  in seascape,  $a (\triangleright \cup \triangleright)^+$ -reachable from top with  $\triangleright$ 

Theorem local commutation below  $\Rightarrow$  commutation, if  $\triangleright^+ \cdot \triangleright^+$  terminating

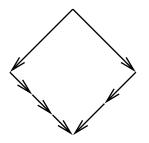


Definition below: if  $a \triangleright b$  in seascape,  $a (\triangleright \cup \triangleright)^+$ -reachable from top with  $\triangleright$ 

#### Exercise

(splitting headache) Any Escher diagram for a locally confluent rewrite relation  $\rightarrow$  has an infinite path through infinitely many splitting points.

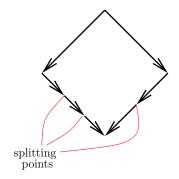
イロト イポト イヨト イヨト



#### Exercise

(splitting headache) Any Escher diagram for a locally confluent rewrite relation  $\rightarrow$  has an infinite path through infinitely many splitting points.

イロト 不得 トイヨト イヨト 三日



### Exercise

(commuting splitting headache)

Let  $\triangleright$ ,  $\blacktriangleright$  be locally commuting rewrite relations. Show that any (commutation) Escher diagram has

- an infinite path,
- which is zigzagging, and
- goes through infinitely many splitting points

#### Exercise

(Pous Lemma for process algebra) Show that if  $\triangleright$ ,  $\triangleright$  commute locally, and  $\triangleright^+ \cdot \triangleright^+$  is terminating, then  $\triangleright$ ,  $\triangleright$  commute by

an infinite diagram argument using the previous exercise;

decreasing diagrams.

#### Exercise

(Geser) Fully prove Geser's Lemma, as found in the slides above, by means of the decreasing diagrams technique.

▲ロト ▲帰ト ▲ヨト ▲ヨト 三日 - の々ぐ

### Exercise (Rosen) Show that if $\triangleright$ , $\triangleright$ both have the diamond property, and $\triangleleft \cdot \triangleright \subseteq \triangleright \cdot \triangleleft \cdot \triangleleft (\triangleright requests \triangleright)$ , then $\triangleright \cup \triangleright$ is confluent. Could you think of how to weaken the requests-condition without losing confluence?

▲ロト ▲帰ト ▲ヨト ▲ヨト 三日 - の々ぐ

#### Exercise

(decreasing critical peaks)\*

For a left-linear term rewrite system, is it true that if all critical peaks can be completed into decreasing diagrams, when indexing steps by the rule applied and well-foundedly ordering these, then the term rewrite system itself is confluent?

#### Exercise

(Newman's error)\*

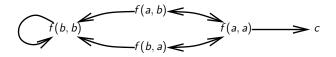
Newman's axioms for proving confluence as developed in Sections 8–12 of his paper, fail in their

13. Application to the conversion calculus

. Find what goes wrong!

### Answers to exercises

(decreasing critical peaks) No, f(b, b) and c convertible but not joinable:



for left-linear (but not right-linear) TRS:

(three) critical pairs decreasing ordering rules as 1 > 2, 3, 4Remark 'half' of Lévy's TRS (see e.g. Section 2.8, Terese)