# Constructing Confluence 

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## Confluence

Constructive confluence

Confluence by Local Confluence

Confluence by Orthogonality

Residual Systems
Natural numbers
Multisets
Braids
Self-distributivity

## Confluence of rewrite relation $\rightarrow$

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- $\forall a, b, c$ such that $a$ reduces to $b, c$


## Confluence of rewrite relation $\rightarrow$



- $\forall a, b, c$ such that $a$ reduces to $b, c$
- $\exists d$ such that $b, c$ reduce to $d$


## Relations vs. systems

Rewrite relation?

## Relations vs. systems

No, want to construct valley on basis of steps in peak

## Relations vs. systems

Rewrite system!

## Relations vs. systems

- Definition

Abstract Rewriting System is $\langle A, \Phi$, src, tgt $\rangle$

- A set of objects
- $\Phi$ set of steps
- src, tgt : $\Phi \rightarrow A$
source, target functions


## Relations vs. systems

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- Steps $\phi, \psi, \chi, \omega, \ldots$


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- Definition

Abstract Rewriting System is $\langle A, \Phi$, src, tgt $\rangle$

- A set of objects
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- src, tgt : $\Phi \rightarrow A$
source, target functions
- Steps $\phi, \psi, \chi, \omega, \ldots$
- $\phi: a \rightarrow b$
$\phi$ is step with source $a$ and target $b$


## Confluence of rewrite system $\rightarrow$

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- $\forall \phi, \psi$ co-initial reductions (peak)


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- $\forall \phi, \psi$ co-initial reductions (peak)
- $\exists \chi, \omega$ co-final reductions (valley)
$-\operatorname{tgt}(\phi)=\operatorname{src}(\chi), \operatorname{tgt}(\psi)=\operatorname{src}(\omega)$ (diagram)


## Constructive confluence

## Constructive confluence



- $\forall \phi, \psi$ peak


## Constructive confluence



- $\forall \phi, \psi$ peak
- $\psi / \phi, \phi / \psi$ construct valley


## Constructive confluence



- $\forall \phi, \psi$ peak
- $\psi / \phi, \phi / \psi$ construct valley
- $\operatorname{tgt}(\phi)=\operatorname{src}(\psi / \phi), \operatorname{tgt}(\psi)=\operatorname{src}(\phi / \psi)$ (diagram)


## Residual function

- / residual function


## Residual function

- / residual function
- witnessing constructive confluence proof


## Residual function

- / residual function
- witnessing constructive confluence proof
- from peaks to valleys constructing diagrams


## Confluence by Local Confluence?

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- $\forall \phi, \psi$ co-initial steps (local peak)


## Confluence by Local Confluence?



- $\forall \phi, \psi$ co-initial steps (local peak)
- $\exists \chi, \omega$ co-final reductions (valley)


## Confluence by Local Confluence?



- No confluence (Counterexample Kleene)


## Confluence by Local Confluence?



- $\forall \phi, \psi$ co-initial steps (local peak)


## Confluence by Local Confluence?



- $\forall \phi, \psi$ co-initial steps (local peak)
- $\exists \chi, \omega$ co-final steps (local valley)


## Confluence by Local Confluence?



- $\forall \phi, \psi$ co-initial steps (local peak)
- $\exists \chi, \omega$ co-final steps (local valley)
- Diamond property $\Rightarrow$ confluence (Newman)


## Confluence by Local Confluence?



- $\forall \phi, \psi$ co-initial steps (local peak)


## Confluence by Local Confluence?



- $\forall \phi, \psi$ co-initial steps (local peak)
- $\exists \chi, \omega$ co-final reductions (valley) $\& \rightarrow$ is terminating


## Confluence by Local Confluence?



- $\forall \phi, \psi$ co-initial steps (local peak)
- $\exists \chi, \omega$ co-final reductions (valley) $\& \rightarrow$ is terminating
- Local confluence \& termination $\Rightarrow$ confluence (Newman)


## Confluence by Local Confluence?


$-\forall \rightarrow, \rightarrow \in A, \rightarrow$-step $\phi, \rightarrow$-step $\psi$, co-initial

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$-\forall \rightarrow, \rightarrow \in A, \rightarrow$-step $\phi, \rightarrow$-step $\psi$, co-initial

- $\exists$ decreasing co-final reductions for well-founded order $(A, \prec)$


## Confluence by Local Confluence?


$-\forall \rightarrow, \rightarrow \in A, \rightarrow$-step $\phi, \rightarrow$-step $\psi$, co-initial

- $\exists$ decreasing co-final reductions for well-founded order $(A, \prec)$
- Decreasing diagrams $\Rightarrow$ confluence of $\bigcup A(\mathrm{vO})$


## Decreasing diagrams method

- given rewrite system $\rightarrow$


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- decompose $\rightarrow$ into set $A$ of rewrite systems $(\rightarrow=\bigcup A)$


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- given rewrite system $\rightarrow$
- decompose $\rightarrow$ into set $A$ of rewrite systems $(\rightarrow=\bigcup A)$
- well-foundedly ordered ( $\prec$ )
- $\forall$ co-initial $\rightarrow$ and $\rightarrow$ steps


## Decreasing diagrams method

- given rewrite system $\rightarrow$
- decompose $\rightarrow$ into set $A$ of rewrite systems $(\rightarrow=\bigcup A)$
- well-foundedly ordered $(\prec)$
- $\forall$ co-initial $\rightarrow$ and $\rightarrow$ steps
- $\exists$ co-final $\rightarrow, \rightarrow$-decreasing and $\rightarrow, \rightarrow$-decreasing reductions


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$\rightarrow \rightarrow$, $\rightarrow$ decreasing: steps below $\rightarrow$; $\rightarrow$-step; steps below $\rightarrow, \rightarrow$


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- constructive (tiling)


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- graph rewriting (Blom), explicit substitutions (vO)


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- ambients (Lévy), bisimilarity (Pous), modularity (vO), ...


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$\rightarrow \rightarrow, \rightarrow$-decreasing: steps below $\rightarrow$; $\rightarrow$-step; steps below $\rightarrow$, $\rightarrow$
- constructive (tiling)
- graph rewriting (Blom), explicit substitutions (vO)
- ambients (Lévy), bisimilarity (Pous), modularity ( vO ), ...
- complete for countable rewrite systems (open otherwise)


## Confluence of Combinatory Logic?

$$
\begin{aligned}
A(I, x) & =x \\
A(A(K, x), y) & =x \\
A(A(A(S, x), y), z) & =A(A(x, z), A(y, z))
\end{aligned}
$$

- Combinatory equational logic (Schönfinkel, Curry)


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- Combinatory equational logic (Schönfinkel, Curry)
- A application, I identity, K constant, $S$ substitution


## Confluence of Combinatory Logic?

$$
\begin{aligned}
(I \cdot x) & =x \\
((K \cdot x) \cdot y) & =x \\
(((S \cdot x) \cdot y) \cdot z) & =((x \cdot z) \cdot(y \cdot z))
\end{aligned}
$$

- . infix application


## Confluence of Combinatory Logic?

$$
\begin{aligned}
I \cdot x & =x \\
K \cdot x \cdot y & =x \\
S \cdot x \cdot y \cdot z & =x \cdot z \cdot(y \cdot z)
\end{aligned}
$$

- . left-associative


## Confluence of Combinatory Logic?

$$
\begin{aligned}
1 x & =x \\
K x y & =x \\
S x y z & =x z(y z)
\end{aligned}
$$

- denoted by juxtaposition


## Confluence of Combinatory Logic?

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1 x & \rightarrow x \\
K x y & \rightarrow x \\
S x y z & \rightarrow x z(y z)
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- Combinatory rewriting logic (CL)


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1 x & \rightarrow x \\
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\end{aligned}
$$

- Combinatory rewriting logic (CL)
- CL constructively confluent?


## Combinatory equational logic

$$
\begin{aligned}
& \overline{I=r}(I=r) \frac{s=t}{s^{\tau}=t^{\tau}}(\text { substitutive }) \frac{s_{1}=t_{1} \quad s_{2}=t_{2}}{c=c}(c) \frac{s_{1} s_{2}=t_{1} t_{2}}{()} \\
& \overline{s=s} \text { (reflexive) } \frac{s=t}{t=s} \text { (symmetric) } \frac{s=t \quad t=u}{s=u} \text { (transitive) }
\end{aligned}
$$

## Combinatory equational logic

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\end{aligned}
$$

Theorem $t \approx s \Longleftrightarrow t \leftrightarrow^{*} s \Longleftrightarrow t=s$ (Birkhoff)

## Combinatory equational logic

$$
\overline{I=r}(I=r) \quad \frac{s=t}{s^{\tau}=t^{\tau}}(\text { substitutive }) \quad \overline{c=c}(c) \quad \frac{s_{1}=t_{1} \quad s_{2}=t_{2}}{s_{1} s_{2}=t_{1} t_{2}}()
$$

$\overline{s=s}$ (reflexive) $\frac{s=t}{t=s}$ (symmetric) $\frac{s=t \quad t=u}{s=u}$ (transitive)
Rewriting logic $=$ Equational logic - symmetry (Meseguer)

## Combinatory rewriting logic

$$
\overline{I \geq r}(I \rightarrow r) \frac{s \geq t}{s^{\tau} \geq t^{\tau}}(\text { substitutive }) \quad \overline{c \geq c}(c) \frac{s_{1} \geq t_{1} \quad s_{2} \geq t_{2}}{s_{1} s_{2} \geq t_{1} t_{2}}()
$$

$\overline{s \geq s}$ (reflexive)

$$
\frac{s \geq t \quad t \geq u}{s \geq u} \text { (transitive) }
$$

## Combinatory rewriting logic

$$
\begin{array}{ll}
\overline{I \geq r}(I \rightarrow r) \frac{s \geq t}{s^{\tau} \geq t^{\tau}}(\text { substitutive }) & \overline{c \geq c}(c) \frac{s_{1} \geq t_{1} \quad s_{2} \geq t_{2}}{s_{1} s_{2} \geq t_{1} t_{2}}() \\
\overline{s \geq s}(\text { reflexive }) & \frac{s \geq t \quad t \geq u}{s \geq u} \text { (transitive) }
\end{array}
$$

Theorem
$t \succeq s \Longleftrightarrow t \rightarrow s \Longleftrightarrow t \geq s(v O)$

## Combinatory rewriting logic

$$
\overline{I \geq r}(I \rightarrow r) \frac{s \geq t}{s^{\tau} \geq t^{\tau}}(\text { substitutive }) \quad \overline{c \geq c}(c) \frac{s_{1} \geq t_{1} \quad s_{2} \geq t_{2}}{s_{1} s_{2} \geq t_{1} t_{2}}()
$$

$\overline{s \geq s}$ (reflexive)

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On closed terms reflexivity superfluous (use congruence)

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$$

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$$

Allow rewriting inside substitutions

## Combinatory rewriting logic

$$
\overline{l \geq r}(I \rightarrow r) \frac{s \geq t \quad \tau \geq \theta}{s^{\tau} \geq t^{\theta}}(\text { subst. }) \quad \overline{c \geq c}(c) \frac{s_{1} \geq t_{1} \quad s_{2} \geq t_{2}}{s_{1} s_{2} \geq t_{1} t_{2}}()
$$

$$
\frac{s \geq t \quad t \geq u}{s \geq u} \text { (transitive) }
$$

Allow rewriting inside substitutions

## Combinatory rewriting logic

$$
\overline{l \geq r}(I \rightarrow r) \frac{s \geq t \quad \tau \geq \theta}{s^{\tau} \geq t^{\theta}}(\text { subst. }) \frac{}{c \geq c}(c) \frac{s_{1} \geq t_{1} \quad s_{2} \geq t_{2}}{s_{1} s_{2} \geq t_{1} t_{2}}()
$$

$$
\frac{s \geq t \quad t \geq u}{s \geq u} \text { (transitive) }
$$

Substitutivity superfluous (instantiate rules immediately)

## Combinatory rewriting logic

$$
\begin{aligned}
& \frac{\tau \geq \theta}{I^{\tau} \geq r^{\theta}}(I \rightarrow r) \quad \overline{c \geq c}(c) \quad \frac{s_{1} \geq t_{1} \quad s_{2} \geq t_{2}}{s_{1} s_{2} \geq t_{1} t_{2}}() \\
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\end{aligned}
$$

Residual function on combinatory rewriting logic proofs?

## Combinatory rewriting logic

$$
\begin{aligned}
\frac{\tau \geq \theta}{I^{\tau} \geq r^{\theta}}(I & \rightarrow r) \quad \overline{c \geq c}(c) \quad \frac{s_{1} \geq t_{1} \quad s_{2} \geq t_{2}}{s_{1} s_{2} \geq t_{1} t_{2}}() \\
& \frac{s \geq t \quad t \geq u}{s \geq u}(\text { transitive })
\end{aligned}
$$

Residual function on combinatory rewriting logic proof terms!

## Combinatory rewriting logic proof terms

$$
\begin{aligned}
\iota(x): I x & \rightarrow x \\
\kappa(x, y): K x y & \rightarrow x \\
\sigma(x, y, z): S x y z & \rightarrow x z(y z) \\
& \frac{\Phi: \tau \geq \theta}{\varrho^{\Phi}: I^{\tau} \geq r^{\theta}}(\varrho: I \rightarrow r)
\end{aligned}
$$

## Combinatory rewriting logic proof terms

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\begin{aligned}
& \iota(x): I x \rightarrow x \\
& \kappa(x, y): K x y \rightarrow x \\
& \sigma(x, y, z): S x y z \rightarrow x z(y z) \\
& \frac{\Phi: \tau \geq \theta}{\varrho^{\Phi}: I^{\tau} \geq r^{\theta}}(\varrho: I \rightarrow r) \\
& \frac{\phi: s_{1} \geq t_{1} \quad \psi: s_{2} \geq t_{2}}{c: c=c}(c) \frac{\phi \psi: s_{1} s_{2} \geq t_{1} t_{2}}{}()
\end{aligned}
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& \frac{c: c=c}{}(c) \frac{\phi: s_{1} \geq t_{1} \quad \psi: s_{2} \geq t_{2}}{\phi \psi: s_{1} s_{2} \geq t_{1} t_{2}}() \\
& \frac{\phi: s \geq t \psi: t \geq u}{\phi \circ \psi: s \geq u}(\circ)
\end{aligned}
$$

## Combinatory rewriting logic proof term examples

$$
I(I t) \geq I t ?
$$

## Combinatory rewriting logic proof term examples

$$
I(I t) \geq I t ?
$$

$$
\begin{aligned}
& \frac{I x \geq x}{I(I t) \geq I t}(I x \rightarrow x) \\
& (\text { substitutive })
\end{aligned}
$$

## Combinatory rewriting logic proof term examples

$$
I(I t) \geq I t ?
$$

$$
\begin{gathered}
\frac{\overline{l x \geq x}}{\frac{l(I t) \geq l t}{l \mid}} \text { (substitutive) }
\end{gathered}
$$

- $\iota(I t): I(I t) \geq l t$


## Combinatory rewriting logic proof term examples

$$
I(I t) \geq I t ?
$$



## Combinatory rewriting logic proof term examples

$$
\begin{aligned}
& I(I t) \geq I t ? \\
& \\
& \quad \frac{\frac{I \geq I}{}(\text { reflexive }) \frac{\frac{I x \geq x}{I t \geq t}}{I(I t) \geq I t}(\text { substitutive })}{I}() \\
& \nabla I(\iota(t)): I(I t) \geq I t
\end{aligned}
$$

## Confluence of combinatory rewriting logic example

## Confluence of combinatory rewriting logic example



## Confluence of combinatory rewriting logic example



- I( $\iota(t)) / \iota(I t)=\iota(t)=\iota(I t) / I(\iota(t))$


## Residual function for combinatory rewriting logic

$$
\begin{aligned}
c / c & =c \\
\left(\phi_{1} \phi_{2}\right) /\left(\psi_{1} \psi_{2}\right) & =\left(\phi_{1} / \psi_{1}\right)\left(\phi_{2} / \psi_{2}\right) \\
\varrho\left(\phi_{1}, \ldots, \phi_{n}\right) / I\left(\psi_{1}, \ldots, \psi_{n}\right) & =\varrho\left(\phi_{1} / \psi_{1}, \ldots, \phi_{n} / \psi_{n}\right) \\
I\left(\phi_{1}, \ldots, \phi_{n}\right) / \varrho\left(\psi_{1}, \ldots, \psi_{n}\right) & =r\left(\phi_{1} / \psi_{1}, \ldots, \phi_{n} / \psi_{n}\right) \\
\varrho\left(\phi_{1}, \ldots, \phi_{n}\right) / \varrho\left(\psi_{1}, \ldots, \psi_{n}\right) & =r\left(\phi_{1} / \psi_{1}, \ldots, \phi_{n} / \psi_{n}\right) \\
\chi /(\phi \circ \psi) & =(\chi / \phi) / \psi \\
(\phi \circ \psi) / \chi & =\phi / \chi \circ \psi /(\chi / \phi)
\end{aligned}
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(\phi \circ \psi) / \chi & =\phi / \chi \circ \psi /(\chi / \phi)
\end{aligned}
$$

- Reified inductive confluence proof for orthogonal systems


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I\left(\phi_{1}, \ldots, \phi_{n}\right) / \varrho\left(\psi_{1}, \ldots, \psi_{n}\right) & =r\left(\phi_{1} / \psi_{1}, \ldots, \phi_{n} / \psi_{n}\right) \\
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\chi /(\phi \circ \psi) & =(\chi / \phi) / \psi \\
(\phi \circ \psi) / \chi & =\phi / \chi \circ \psi /(\chi / \phi)
\end{aligned}
$$

- Reified inductive confluence proof for orthogonal systems
- OTRSs (Rosen), $\lambda \beta$ (Tait \& Martin-Löf), HOTRS (vO)


## Residual function for combinatory rewriting logic

$$
\begin{aligned}
c / c & =c \\
\left(\phi_{1} \phi_{2}\right) /\left(\psi_{1} \psi_{2}\right) & =\left(\phi_{1} / \psi_{1}\right)\left(\phi_{2} / \psi_{2}\right) \\
\varrho\left(\phi_{1}, \ldots, \phi_{n}\right) / I\left(\psi_{1}, \ldots, \psi_{n}\right) & =\varrho\left(\phi_{1} / \psi_{1}, \ldots, \phi_{n} / \psi_{n}\right) \\
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\chi /(\phi \circ \psi) & =(\chi / \phi) / \psi \\
(\phi \circ \psi) / \chi & =\phi / \chi \circ \psi /(\chi / \phi)
\end{aligned}
$$

- Reified inductive confluence proof for orthogonal systems
- OTRSs (Rosen), $\lambda \beta$ (Tait \& Martin-Löf), HOTRS (vO)
- Characterize orthogonality abstractly via / ?


## Residual systems

Definition
Residual system is $\langle\rightarrow, 1, /, \circ\rangle$

- $\rightarrow$ an abstract rewriting system
- / residual function
- 1 unit function $\operatorname{tgt}\left(1_{a}\right)=a=\operatorname{src}\left(1_{a}\right)$
- $\circ$ composition function on $\phi, \psi$ s.t. $\operatorname{tgt}(\phi)=\operatorname{src}(\psi)$

$$
\begin{aligned}
\phi / \phi & =1 \\
\phi / 1 & =\phi \\
1 / \phi & =1 \\
(\phi / \psi) /(\chi / \psi) & =(\phi / \chi) /(\psi / \chi) \\
1 \circ 1 & =1 \\
\chi /(\phi \circ \psi) & =(\chi / \phi) / \psi \\
(\phi \circ \psi) / \chi & =(\phi / \chi) \circ(\psi /(\chi / \phi))
\end{aligned}
$$

## Natural numbers as residual algebra

- Objects: $\{*\}$ (single object)
- Steps: $\mathbb{N}$ (natural numbers)
- Residual: - (cut-off subtraction)
- Unit: 0 (zero)
- Composition: + (addition)

$$
\begin{aligned}
& n-n=0 \\
& n-0=n \\
& 0-n=0 \\
& (n \doteq m) \doteq(k \doteq m)=(n \doteq k) \doteq(m \doteq k) \\
& 0+0=0 \\
& k \doteq(n+m)=(k \doteq n) \doteq m \\
& (n+m) \dot{-}=(n \dot{-})+(m \dot{-}(k \dot{-}))
\end{aligned}
$$

## Multisets as residual algebra

- Objects: $\{*\}$ (single object)
- Steps: Mst $(A)$ (multisets over $A$ )
- Residual: - (multiset difference)
- Unit: $\emptyset$ (empty multiset)
- Composition: $\uplus$ (multiset sum)

$$
\begin{aligned}
M-M & =\emptyset \\
M-\emptyset & =M \\
\emptyset-M & =\emptyset \\
(M-N)-(K-N) & =(M-K)-(N-K) \\
\emptyset \uplus \emptyset & =\emptyset \\
K-(M \uplus N) & =(K-M)-N \\
(M \uplus N)-K & =(M-K) \uplus(N-(K-M))
\end{aligned}
$$

## Commutative residual algebras

## Definition

commutative residual algebra also satisfies

$$
\begin{aligned}
(\phi / \psi) / \phi & =1 \\
\phi /(\phi / \psi) & =\psi /(\psi / \phi)
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residual and composition are total (algebra)

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\end{aligned}
$$

example: natural numbers with cut-off division

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$\preceq$ well-founded ( $a \preceq b$ if $a / b=1$ ) $\Rightarrow$ multisets (Visser,vO) iso to commutative BCK algebras with relative cancellation

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$$
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$$

$\preceq$ well-founded ( $a \preceq b$ if $a / b=1$ ) $\Rightarrow$ multisets (Visser,vO)
Uniquely decomposes into atoms (Luttik,vO)

- $\preceq$ well-founded partial-order
- 1 least
- strictly compatible: $\phi \prec \psi \Rightarrow \phi \circ \chi \prec \psi \circ \chi$
- precompositional: $\phi \preceq \psi \circ \chi \Rightarrow \phi=\psi^{\prime} \circ \chi^{\prime}, \psi^{\prime} \preceq \psi, \chi^{\prime} \preceq \chi$
- Archimedean: $\forall n \phi^{n} \preceq \psi \Rightarrow \phi=1$.


## Braid problem

## Braid identities



## Braids as residual system



## Braids as residual system



- Objects: relations on strands total (i $R j$ or $j R i)$, irreflexive $(\neg(i R i))$, transitive $\left(R^{+}=R\right)$


## Braids as residual system



- Objects: relations on strands
- Steps: sequences of multi-steps
$R-S: R \rightarrow S$ (fastest way from one state to another) $\langle 2,5\rangle,\langle 3,5\rangle,\langle 4,5\rangle$ and $\langle 1,3\rangle,\langle 2,3\rangle,\langle 2,5\rangle,\langle 4,5\rangle,\langle 4,6\rangle$


## Braids as residual system



- Objects: relations on strands
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$R-S: R \rightarrow S$ (fastest way from one state to another)
- Residual: $\psi / \phi=(\phi \cup \psi)^{+}-\phi$


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Theorem
Braids constitute residual system (Klop,vO,de Vrijer)

## Self-distributivity

$$
(x \cdot y) \cdot z=(x \cdot z) \cdot(y \cdot z)
$$

## Self-distributivity

$$
x y z \rightarrow x z(y z)(S \text {-less } S \text {-rule })
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- Equational theory (Dehornoy)


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$$
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$$

- Equational theory (Dehornoy)
- Residual system (Arbiser, vO)


## $x y z \rightarrow x z(y z)$

Interpret as first projection

## $x y z \rightarrow x z(y z)$

Interpret as an ACl -operation

$$
\begin{aligned}
(x \cdot y) \cdot z & =x \cdot(y \cdot z) \\
& =x \cdot(y \cdot(z \cdot z)) \\
& =x \cdot((y \cdot z) \cdot z) \\
& =x \cdot(z \cdot(y \cdot z)) \\
& =(x \cdot z) \cdot(y \cdot z)
\end{aligned}
$$

Examples: disjunction/union, conjunction/intersection

## $x y z \rightarrow x z(y z)$

Interpret as 'middle'


## $x y z \rightarrow x z(y z)$

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Interpret as 'middle'


## $x y z \rightarrow x z(y z)$

Interpret as 'middle'


## $x y z \rightarrow x z(y z)$

Interpret as substitution lemma

$$
M[x:=N][y:=P] \rightarrow M[y:=P][x:=N[y:=P]]
$$

## $x y z \rightarrow x z(y z)$ critical pair



## $[y][z] \rightarrow[z][y[z]]$ critical pair



## Conclusion

- Constructive confluence (tiling)


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- via local confluence (decreasing diagrams)


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- via orthogonality (residual systems)


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- Constructive confluence (tiling)
- via local confluence (decreasing diagrams)
- via orthogonality (residual systems)
- complexity ?

