



Logic

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with session ID **6893 6178** for anonymous questions



Outline

- 1. Summary of Previous Lecture**
- 2. Horn Formulas**
- 3. Intermezzo**
- 4. SAT**
- 5. Tseitin's Transformation**
- 6. Further Reading**
- 7. Announcements**

Definitions

- ▶ **semantic entailment**

$$\varphi_1, \varphi_2, \dots, \varphi_n \models \psi$$

if $\bar{v}(\psi) = T$ whenever $\bar{v}(\varphi_1) = \bar{v}(\varphi_2) = \dots = \bar{v}(\varphi_n) = T$ for every valuation v

- ▶ **tautology** is formula φ such that $\models \varphi$

- ▶ formula φ is

- ▶ **valid** if $\bar{v}(\varphi) = T$ for every valuation v

- ▶ **satisfiable** if $\bar{v}(\varphi) = T$ for some valuation v

- ▶ formulas φ and ψ are **semantically equivalent** ($\varphi \equiv \psi$) if both $\varphi \models \psi$ and $\psi \models \varphi$

Theorem

formula φ is valid $\iff \neg\varphi$ is unsatisfiable $\iff \varphi$ is tautology

Definitions

- ▶ **literal** is atom p or negation $\neg p$ of atom
- ▶ **clause** is disjunction $l_1 \vee \dots \vee l_n$ of literals
- ▶ **conjunctive normal form (CNF)** is conjunction $C_1 \wedge \dots \wedge C_n$ of clauses
- ▶ literals l_1 and l_2 are **complementary** if $l_1 = \neg l_2$ or $\neg l_1 = l_2$

Theorem

- ▶ for every formula φ there exists CNF ψ such that $\varphi \equiv \psi$
- ▶ **validity** of CNFs is **efficiently** decidable:

CNF φ is valid \iff every clause of φ contains **complementary literals**

Part I: Propositional Logic

algebraic normal forms, binary decision diagrams, conjunctive normal forms, DPLL, Horn formulas, natural deduction, Post's adequacy theorem, resolution, SAT, semantics, sorting networks, soundness and completeness, syntax, Tseitin's transformation

Part II: Predicate Logic

natural deduction, quantifier equivalences, resolution, semantics, Skolemization, syntax, undecidability, unification

Part III: Model Checking

adequacy, branching-time temporal logic, CTL*, fairness, linear-time temporal logic, model checking algorithms, symbolic model checking

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Definitions

- ▶ **Horn clause** is propositional formula

$$P_1 \wedge P_2 \wedge \cdots \wedge P_n \rightarrow Q$$

with $n \geq 1$ and where P_1, \dots, P_n, Q are atoms, \perp or \top

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Backus–Naur Form (H)

$$P ::= p \mid \perp \mid \top$$

$$A ::= P \mid P \wedge A$$

$$C ::= A \rightarrow P$$

$$H ::= C \mid C \wedge H$$

Theorem

satisfiability of Horn formulas is efficiently decidable

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else
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satisfying assignment: $v(P) = \begin{cases} \top & \text{if } P \text{ is marked} \\ \text{F} & \text{if } P \text{ is unmarked} \end{cases}$

1 Horn formula

$$(p \wedge q \wedge w \rightarrow \perp) \wedge (t \rightarrow \perp) \wedge (r \rightarrow p) \wedge (\top \rightarrow r) \wedge (\top \rightarrow q) \wedge (\top \rightarrow u) \wedge (u \rightarrow s)$$

list p q r s t u w \perp \top

Examples

① Horn formula

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④ ② ③ ⑤ ⑥

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①

satisfiable $v(p) = v(q) = v(r) = v(s) = v(u) = \top$ $v(t) = v(w) = \text{F}$

2 Horn formula

$$(p \wedge q \wedge w \rightarrow \perp) \wedge (t \rightarrow \perp) \wedge (r \rightarrow p) \wedge (\top \rightarrow r) \wedge (\top \rightarrow q) \wedge (\top \rightarrow u) \wedge (u \rightarrow w)$$

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①

Examples

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unsatisfiable

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Question

Consider the formula $\varphi = (p \wedge \neg q \rightarrow \perp) \wedge (q \wedge p \rightarrow \neg q)$.

Which of the following statements hold for φ ?

- A** φ is a CNF
- B** φ is a Horn formula
- C** $\varphi \equiv p \rightarrow \neg q$
- D** φ is satisfiable
- E** φ is valid



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Satisfiability (SAT)

instance: propositional formula φ

question: is φ satisfiable?

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SAT is NP-complete

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Links

- ▶ SAT competition

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Links

- ▶ SAT competition
- ▶ Millennium Problems – P vs NP

SAT Applications

- ▶ bounded model checking
- ▶ combinatorial design theory
- ▶ haplotyping in bioinformatics
- ▶ hardware verification
- ▶ logic puzzles
- ▶ package management in software distributions
- ▶ planning and scheduling
- ▶ software verification
- ▶ sorting networks
- ▶ statistical physics
- ▶ term rewriting
- ▶ ...

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Popular SAT Solvers

MiniSat

PicoSAT

Z3

Example (数独 Sudoku)

	6		1	4		5	
		8	3	5	6		
2							1
8			4	7			6
		6			3		
7			9	1			4
5							2
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Variables

- ▶ propositional atoms x_{ijd} for $i, j, d \in \{1, \dots, 9\}$

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- ▶ $v(x_{ijd}) = T \iff$ cell ij contains digit d

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		8	3		5	6		
2								1
8			4		7			6
		6				3		
7			9		1			4
5								2
		7	2		6	9		
	4		5		8		7	

SAT Encoding

$$\varphi: \bigwedge \{ \text{at-least-one}(\{x_{ijd} \mid d \in D\}) \mid i, j \in D \} \wedge \bigwedge \{ \text{at-most-one}(A) \mid A \in \mathcal{C} \} \wedge \bigwedge \{ \text{at-most-one}(\{x_{ijd} \mid d \in D\}) \mid i, j \in D \}$$

11	12	13	14	15	16	17	18	19
21	22	23	24	25	26	27	28	29
31	32	33	34	35	36	37	38	39
41	42	43	44	45	46	47	48	49
51	52	53	54	55	56	57	58	59
61	62	63	64	65	66	67	68	69
71	72	73	74	75	76	77	78	79
81	82	83	84	85	86	87	88	89
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	6		1		4		5	
		8	3		5	6		
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8			4		7			6
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		7	2		6	9		
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11	12	13	14	15	16	17	18	19
21	22	23	24	25	26	27	28	29
31	32	33	34	35	36	37	38	39
41	42	43	44	45	46	47	48	49
51	52	53	54	55	56	57	58	59
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11	12	13	14	15	16	17	18	19
21	22	23	24	25	26	27	28	29
31	32	33	34	35	36	37	38	39
41	42	43	44	45	46	47	48	49
51	52	53	54	55	56	57	58	59
61	62	63	64	65	66	67	68	69
71	72	73	74	75	76	77	78	79
81	82	83	84	85	86	87	88	89
91	92	93	94	95	96	97	98	99

	6		1	4		5	
		8	3	5	6		
2							1
8			4	7			6
		6				3	
7			9	1			4
5							2
		7	2	6	9		
	4		5	8		7	

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11	12	13	14	15	16	17	18	19
21	22	23	24	25	26	27	28	29
31	32	33	34	35	36	37	38	39
41	42	43	44	45	46	47	48	49
51	52	53	54	55	56	57	58	59
61	62	63	64	65	66	67	68	69
71	72	73	74	75	76	77	78	79
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91	92	93	94	95	96	97	98	99

	6		1		4		5	
		8	3		5	6		
2								1
8			4		7			6
		6				3		
7			9		1			4
5								2
		7	2		6	9		
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11	12	13	14	15	16	17	18	19
21	22	23	24	25	26	27	28	29
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	6		1		4		5	
		8	3		5	6		
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► φ is satisfiable \iff Sudoku puzzle has solution

11	12	13	14	15	16	17	18	19
21	22	23	24	25	26	27	28	29
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- ▶ satisfying assignment gives rise to Sudoku solution

$$D = \{1, 2, 3, 4, 5, 6, 7, 8, 9\}$$

$$\mathcal{G} = \{\{1, 2, 3\}, \{4, 5, 6\}, \{7, 8, 9\}\}$$

$$\mathcal{C} = \{\{x_{ijd} \mid j \in D\} \mid i, d \in D\} \cup \{\{x_{ijd} \mid i \in D\} \mid j, d \in D\} \cup \{\{x_{ijd} \mid (i, j) \in I \times J\} \mid I, J \in \mathcal{G}, d \in D\}$$

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Example (2 × 2 数独 Sudoku)

		1	
3			
	4		

11	12	13	14
21	22	23	24
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$$\mathcal{C} = \{\{x_{111}, x_{121}, x_{131}, x_{141}\}, \{x_{112}, x_{122}, x_{132}, x_{142}\}, \dots, \{x_{414}, x_{424}, x_{434}, x_{444}\}\}$$

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Pythagorean Triples Problem

can one color all natural numbers with two colors such that whenever $x^2 + y^2 = z^2$ not all of x, y, z have same color ?

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SAT Encoding

► propositional atoms x_i for $1 \leq i \leq n$

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SAT Encoding

- ▶ propositional atoms x_i for $1 \leq i \leq n$
- ▶ $v(x_i) = T \iff$ number i is colored **red**
- ▶ encoding contains clauses $(x_a \vee x_b \vee x_c)$ and $(\neg x_a \vee \neg x_b \vee \neg x_c)$ for all $a^2 + b^2 = c^2$

Solution

- ▶ **NO** if (and only if) $n \geq 7825$

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Example (Sports League Scheduling)

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12 teams play 2 periods (of 11 rounds), periods 1 and 2 are mirrored



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 - ▶ variables x_{ijpr} with $v(x_{ijpr}) = \text{T}$ if team i plays team j at home in round r of period p



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$$\bigwedge_{i,p,r} \bigvee_{j \neq i} (x_{ijpr} \vee x_{jipr})$$



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- ▶ further details



Outline

1. Summary of Previous Lecture
2. Horn Formulas
3. Intermezzo
4. SAT
- 5. Tseitin's Transformation**
6. Further Reading
7. Announcements

Remark

most SAT solvers require CNF as input

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Theorem

deciding satisfiability of CNF formulas is NP-complete

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DIMACS Input Format

```
c  
c comments  
c  
p cnf 4 3
```

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DIMACS Input Format

```
c  
c comments  
c  
p cnf 4 3          4 atoms
```

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DIMACS Input Format

```
c  
c comments  
c  
p cnf 4 3           4 atoms and 3 clauses
```

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DIMACS Input Format

```
c
c comments
c
p cnf 4 3           4 atoms and 3 clauses
1 -2 4 0            $x_1 \vee \neg x_2 \vee x_4$ 
```

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c
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1 -2 4 0            $x_1 \vee \neg x_2 \vee x_4$ 
-1 2 -3 -4 0       $\neg x_1 \vee x_2 \vee \neg x_3 \vee \neg x_4$ 
```

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deciding satisfiability of CNF formulas is NP-complete

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```
c
c comments
c
p cnf 4 3           4 atoms and 3 clauses
1 -2 4 0            $x_1 \vee \neg x_2 \vee x_4$ 
-1 2 -3 -4 0        $\neg x_1 \vee x_2 \vee \neg x_3 \vee \neg x_4$ 
3 -2 0              $x_3 \vee \neg x_2$ 
```

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formulas φ and ψ are **equisatisfiable** ($\varphi \approx \psi$) if

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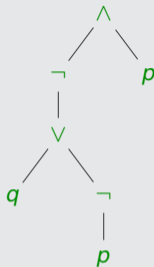
Examples

$$(p \vee q) \wedge \neg p \approx \top$$

$$(p \vee q) \wedge \neg p \not\approx q \wedge \neg q$$

Example (Tseitin's Transformation)

► $\varphi = \neg(q \vee \neg p) \wedge p$

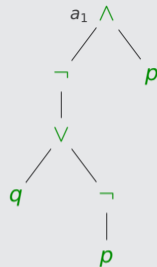


Example (Tseitin's Transformation)

► $\varphi = \neg(q \vee \neg p) \wedge p$

► introduce new variable for each propositional connective:

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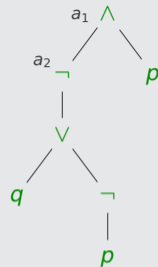
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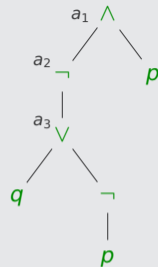
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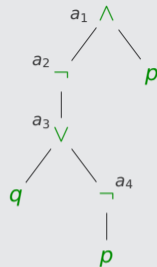
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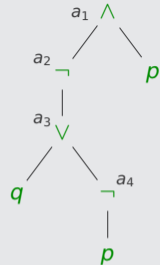
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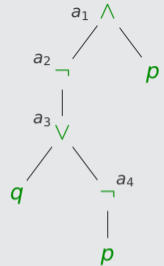
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new propositional connective

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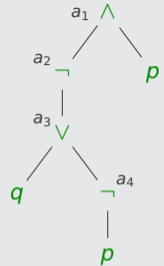
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Definition

new propositional connective

▶ equivalence \leftrightarrow $p \leftrightarrow q$ "p is equivalent to q"

$$\text{▶ } \bar{v}(\varphi \leftrightarrow \psi) = \begin{cases} \text{T} & \text{if } \bar{v}(\varphi) = \bar{v}(\psi) \\ \text{F} & \text{otherwise} \end{cases}$$

Notational Convention

binding precedence \neg $>$ \wedge, \vee $>$ $\rightarrow, \leftrightarrow$

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Proof

φ	ψ	$\varphi \leftrightarrow \psi$	$(\varphi \rightarrow \psi) \wedge (\psi \rightarrow \varphi)$
T	T	T	T
T	F	F	F
F	T	F	F
F	F	T	T

Lemma

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for propositional formula φ

► atom a_φ is defined as $a_\varphi = \begin{cases} \varphi & \text{if } \varphi \text{ is atom} \\ \text{fresh atom} & \text{otherwise} \end{cases}$

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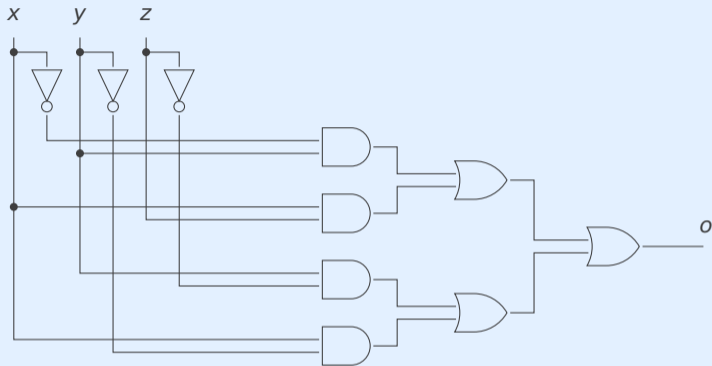
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Lemma

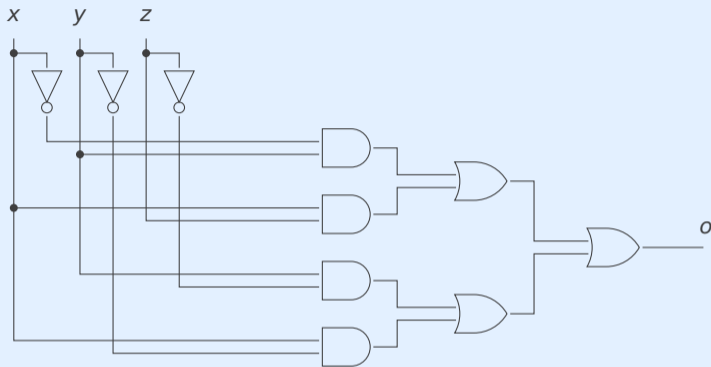
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- 2 restriction of any satisfying valuation for $\text{TT}(\varphi)$ to atoms in φ is satisfying valuation for φ



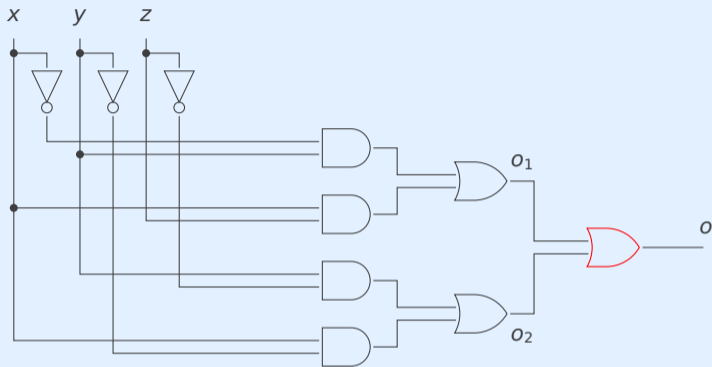
Logic Circuit



Equisatisfiable CNF

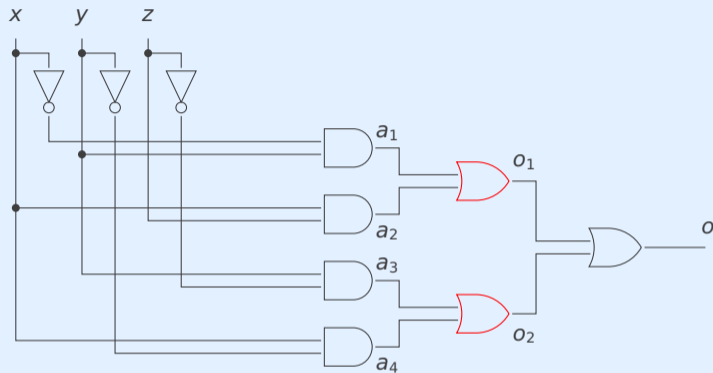
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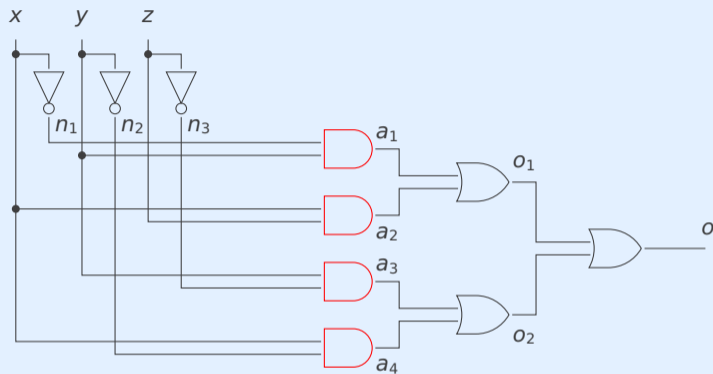
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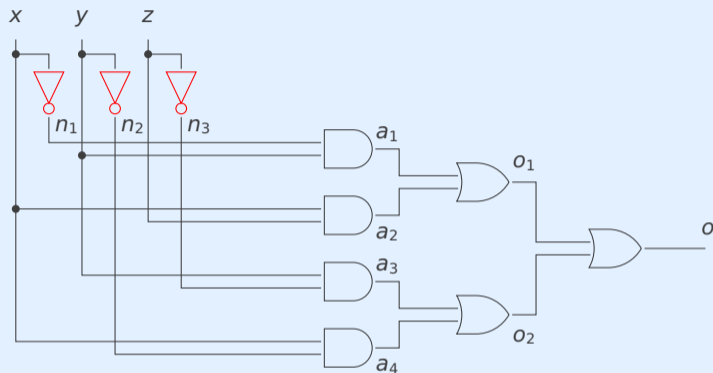
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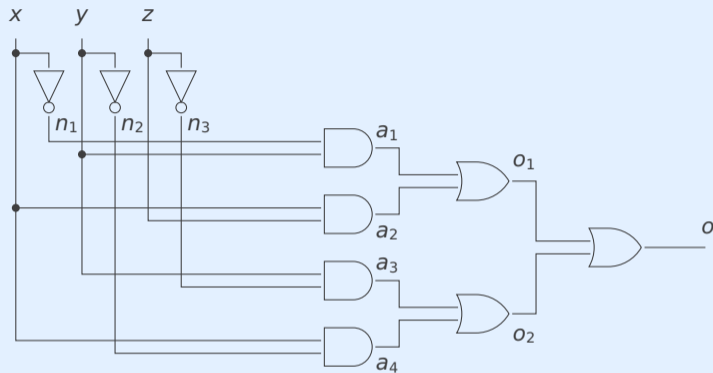
Equisatisfiable CNF

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 & \wedge (a_3 \leftrightarrow y \wedge n_3) \wedge (a_4 \leftrightarrow x \wedge n_2)
 \end{aligned}$$



Equisatisfiable CNF

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 & \wedge (a_3 \leftrightarrow y \wedge n_3) \wedge (a_4 \leftrightarrow x \wedge n_2) \wedge (n_1 \leftrightarrow \neg x) \wedge (n_2 \leftrightarrow \neg y) \wedge (n_3 \leftrightarrow \neg z)
 \end{aligned}$$



Equisatisfiable CNF

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 \end{aligned}$$

Outline

1. Summary of Previous Lecture
2. Horn Formulas
3. Intermezzo
4. SAT
5. Tseitin's Transformation
- 6. Further Reading**
7. Announcements

- ▶ Section 1.5

SAT and P – NP

- ▶ SAT live!

[accessed March 9, 2026]

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▶ Fifty Years of P vs. NP and the Possibility of the Impossible

Lance Fortnow

Communications of the ACM 65(1), pp. 76–85, 2022

doi: [10.1145/3460351](https://doi.org/10.1145/3460351)

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- ▶ Horn clause
- ▶ SAT
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homework for March 19

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- ▶ registration (group 1) required