



# Term Rewriting

Philipp Dablander and **Aart Middeldorp**

# Outline

- 1. Summary of Lecture 1**
- 2. Abstract Rewrite Systems**
- 3. Newman's Lemma**
- 4. Exercises**
- 5. Further Reading**

## Definitions

- ▶  $\mathcal{T}(\mathcal{F}, \mathcal{V})$  is set of **terms** built from signature (function symbols with arities)  $\mathcal{F}$  and infinitely many variables  $\mathcal{V}$  with  $\mathcal{F} \cap \mathcal{V} \neq \emptyset$
- ▶ **context** is term with one **hole**: element of  $\mathcal{T}(\mathcal{F} \cup \{\square\}, \mathcal{V})$  that contains exactly one occurrence of special constant  $\square$
- ▶  $C[t]$  denotes result of replacing hole in context  $C$  by term  $t$
- ▶ binary relation  $R$  on terms is **closed under contexts** if for all terms  $s, t$

$$s R t \implies C[s] R C[t] \text{ for all contexts } C$$

- ▶ **substitution** is mapping  $\sigma: \mathcal{V} \rightarrow \mathcal{T}(\mathcal{F}, \mathcal{V})$  such that its **domain**

$$\text{Dom}(\sigma) = \{x \in \mathcal{V} \mid \sigma(x) \neq x\}$$

is finite

## Definitions

- ▶ **application** of substitution  $\sigma$  to term  $t$

$$t\sigma = \begin{cases} \sigma(t) & \text{if } t \in \mathcal{V} \\ f(t_1\sigma, \dots, t_n\sigma) & \text{if } t = f(t_1, \dots, t_n) \end{cases}$$

- ▶ binary relation  $R$  on terms is **closed under substitutions** if for all terms  $s, t$

$$s R t \implies s\sigma R t\sigma \text{ for all substitutions } \sigma$$

## Theorem

### matching problem

instance: terms  $s, t$

question:  $\exists$  substitution  $\sigma: s\sigma = t?$  ( $t$  is **instance** of  $s$ ,  $t \succeq s$ )

is decidable (in linear time)

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- ▶ no structure on objects that are rewritten
- ▶ uniform presentation of properties and proofs

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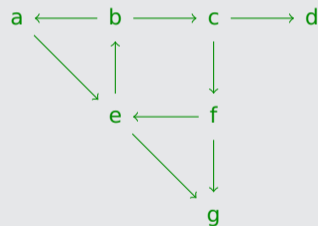
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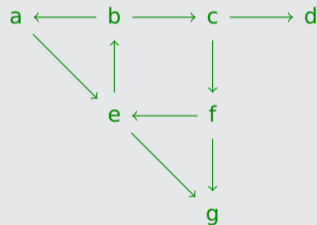
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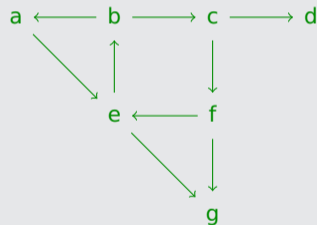
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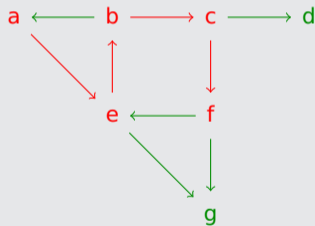
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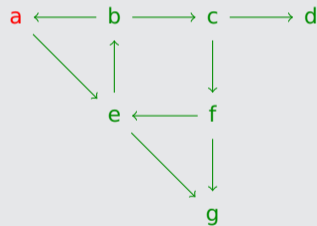
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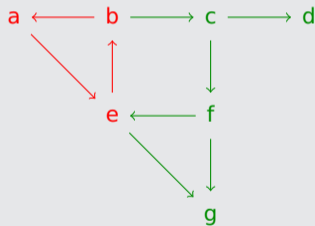
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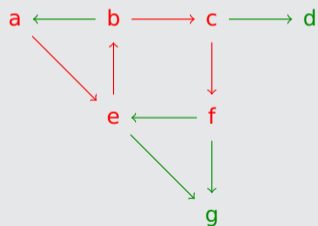
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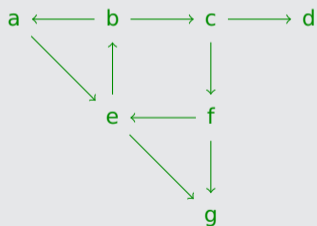


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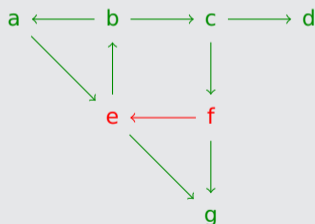


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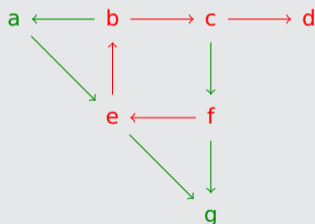


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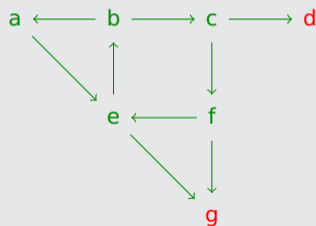
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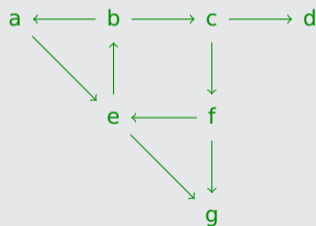
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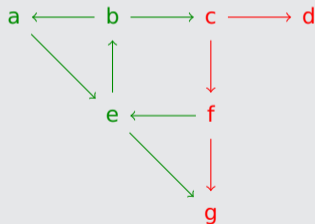
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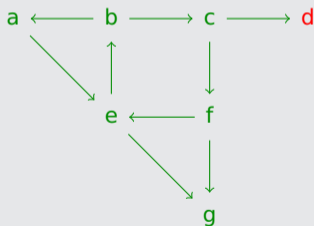
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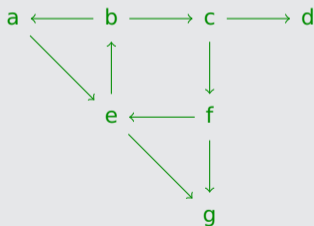


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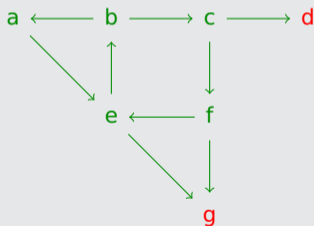


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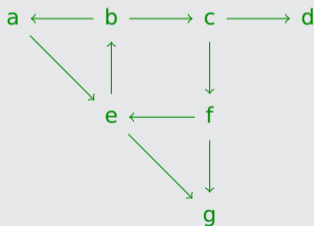


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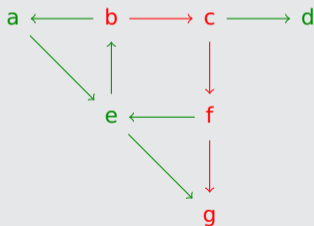


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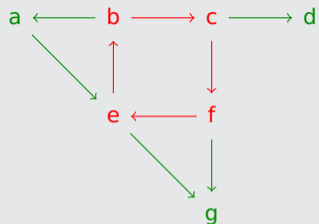
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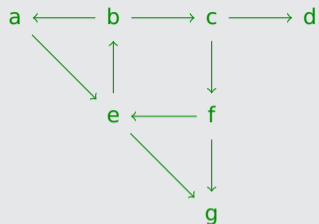
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## Lemmata

▶ SN  $\implies$  WN

▶ WN  $\not\implies$  SN

## Lemmata

▶  $SN \implies WN$

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  - ▶  $\forall a, b, c$  if  $a \rightarrow^! b$  and  $a \rightarrow^! c$  then  $b = c$
  - ▶  $! \leftarrow \cdot \rightarrow^! \subseteq =$

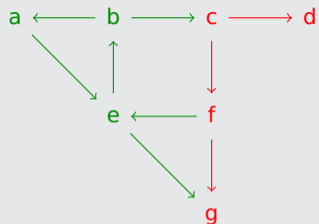
## Example

▶ ARS  $\mathcal{A} = \langle A, \rightarrow \rangle$

▶ not SN:  $b \rightarrow^+ b$

▶ WN

▶ not UN:  $c \rightarrow^! d$  and  $c \rightarrow^! g$



## Definitions (Properties)

▶ **CR** confluence

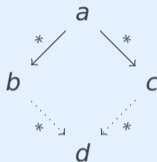
▶  $\uparrow \subseteq \downarrow$

## Definitions (Properties)

### ► CR confluence

►  $\uparrow \subseteq \downarrow$

►  $\forall a, b, c$



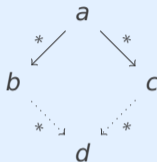
$\exists d$

## Definitions (Properties)

### ► CR confluence

►  $\uparrow \subseteq \downarrow$

►  $\forall a, b, c$



$\exists d$



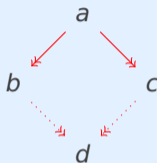
Wikimedia

## Definitions (Properties)

### ► CR confluence

►  $\uparrow \subseteq \downarrow$

►  $\forall a, b, c$



$\exists d$

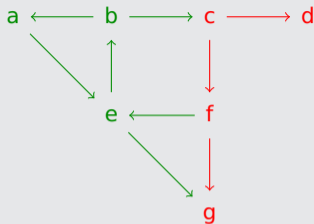
in diagrams:  $\twoheadrightarrow$  for  $\rightarrow^*$



Wikimedia

## Example

- ▶ ARS  $\mathcal{A} = \langle A, \rightarrow \rangle$
- ▶ not SN:  $b \rightarrow^+ b$
- ▶ WN
- ▶ not UN:  $c \rightarrow^! d$  and  $c \rightarrow^! g$
- ▶ not CR:  $d \uparrow g$  and  $d \not\downarrow g$



## Lemmata

▶ SN  $\implies$  WN

▶ WN  $\not\implies$  SN

▶ CR  $\iff \leftrightarrow^* \subseteq \downarrow$



Church-Rosser property

## Lemmata

▶ SN  $\implies$  WN

▶ WN  $\not\implies$  SN

▶ CR  $\iff \leftrightarrow^* \subseteq \downarrow \iff \leftrightarrow^* = \downarrow$



Church-Rosser property

## Lemmata

▶ SN  $\implies$  WN

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▶ CR  $\implies$  UN



Church-Rosser property

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▶ CR  $\implies$  UN

▶ UN  $\not\implies$  CR



Church-Rosser property

## Lemmata

▶ SN  $\implies$  WN

▶ WN  $\not\implies$  SN

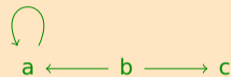
▶ CR  $\iff \leftrightarrow^* \subseteq \downarrow \iff \leftrightarrow^* = \downarrow$

▶ CR  $\implies$  UN

▶ UN  $\not\implies$  CR



Church-Rosser property

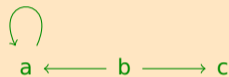


## Lemmata

- ▶  $SN \implies WN$
- ▶  $WN \not\implies SN$
- ▶  $CR \iff \leftrightarrow^* \subseteq \downarrow \iff \leftrightarrow^* = \downarrow$
- ▶  $CR \implies UN$
- ▶  $UN \not\implies CR$
- ▶  $WN \ \& \ UN \implies CR$



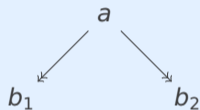
Church-Rosser property



## Lemma

WN & UN  $\implies$  CR

## Proof

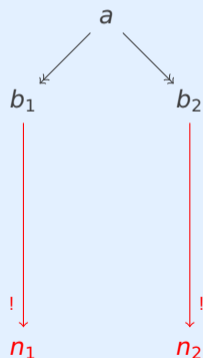


## Lemma

WN & UN  $\implies$  CR

## Proof

► WN  $\implies \exists n_1, n_2$  such that  $b_1 \rightarrow^! n_1$  and  $b_2 \rightarrow^! n_2$

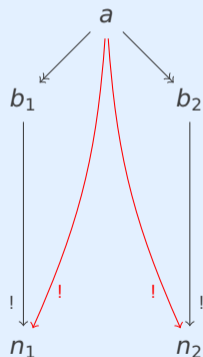


## Lemma

WN & UN  $\implies$  CR

## Proof

- ▶ WN  $\implies \exists n_1, n_2$  such that  $b_1 \rightarrow^! n_1$  and  $b_2 \rightarrow^! n_2$
- ▶  $\rightarrow^* \cdot \rightarrow^! \subseteq \rightarrow^!$

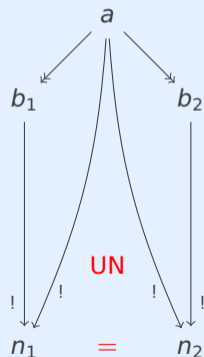


## Lemma

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### Proof

- ▶ WN  $\implies \exists n_1, n_2$  such that  $b_1 \rightarrow^! n_1$  and  $b_2 \rightarrow^! n_2$
- ▶  $\rightarrow^* \cdot \rightarrow^! \subseteq \rightarrow^!$
- ▶ UN  $\implies n_1 = n_2$

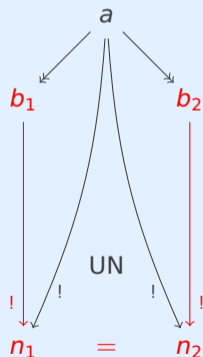


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WN & UN  $\implies$  CR

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- ▶  $\rightarrow^* \cdot \rightarrow^! \subseteq \rightarrow^!$
- ▶ UN  $\implies n_1 = n_2 \implies b_1 \downarrow b_2$

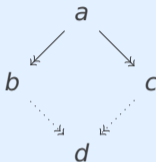


## Definitions (Properties)

► CR confluence

►  $\uparrow \subseteq \downarrow$

►  $\forall a, b, c$



in diagrams:  $\twoheadrightarrow$  for  $\rightarrow^*$



Wikimedia

► WCR local confluence

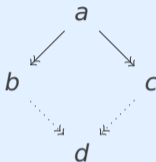
►  $\leftarrow \cdot \rightarrow \subseteq \downarrow$

## Definitions (Properties)

### ► CR confluence

►  $\uparrow \subseteq \downarrow$

►  $\forall a, b, c$



in diagrams:  $\Rightarrow$  for  $\rightarrow^*$

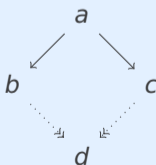


Wikimedia

### ► WCR local confluence

►  $\leftarrow \cdot \rightarrow \subseteq \downarrow$

►  $\forall a, b, c$

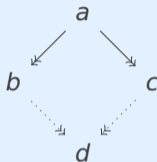


## Definitions (Properties)

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- ▶  $\forall a, b, c$



in diagrams:  $\Rightarrow$  for  $\rightarrow^*$

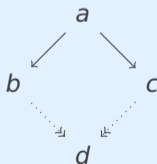


Wikimedia

- ▶ WCR local confluence **weak Church–Rosser property**

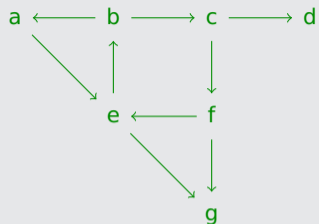
- ▶  $\leftarrow \cdot \rightarrow \subseteq \downarrow$

- ▶  $\forall a, b, c$



## Example

- ▶ ARS  $\mathcal{A} = \langle A, \rightarrow \rangle$
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- ▶ WN
- ▶ not UN:  $c \rightarrow^! d$  and  $c \rightarrow^! g$
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- ▶ WCR

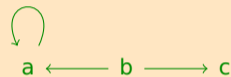


## Lemmata

- ▶  $SN \implies WN$
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- ▶  $CR \iff \leftrightarrow^* \subseteq \downarrow \iff \leftrightarrow^* = \downarrow$
- ▶  $CR \implies UN$
- ▶  $UN \not\implies CR$
- ▶  $WN \ \& \ UN \implies CR$
- ▶  $CR \implies WCR$



Church-Rosser property

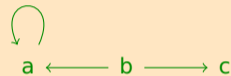


## Lemmata

- ▶  $SN \implies WN$
- ▶  $WN \not\implies SN$
- ▶  $CR \iff \leftrightarrow^* \subseteq \downarrow \iff \leftrightarrow^* = \downarrow$
- ▶  $CR \implies UN$
- ▶  $UN \not\implies CR$
- ▶  $WN \ \& \ UN \implies CR$
- ▶  $CR \implies WCR$
- ▶  $WCR \not\implies CR$



Church-Rosser property

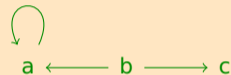


## Lemmata

- ▶  $SN \implies WN$
- ▶  $WN \not\implies SN$
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- ▶  $WN \ \& \ UN \implies CR$
- ▶  $CR \implies WCR$
- ▶  $WCR \not\implies CR$



Church-Rosser property

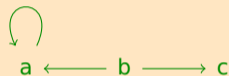


## Lemmata

- ▶  $SN \implies WN$
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- ▶  $CR \implies UN$
- ▶  $UN \not\implies CR$
- ▶  $WN \ \& \ UN \implies CR$
- ▶  $CR \implies WCR$
- ▶  $WCR \not\implies CR$
- ▶  $SN \ \& \ WCR \implies CR$



Church-Rosser property



## Lemmata

▶ SN  $\implies$  WN

▶ WN  $\not\implies$  SN

▶ CR  $\iff \leftrightarrow^* \subseteq \downarrow \iff \leftrightarrow^* = \downarrow$

▶ CR  $\implies$  UN

▶ UN  $\not\implies$  CR

▶ WN & UN  $\implies$  CR

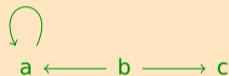
▶ CR  $\implies$  WCR

▶ WCR  $\not\implies$  CR

▶ SN & WCR  $\implies$  CR



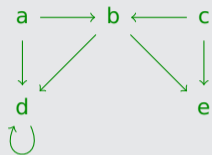
Church-Rosser property



Newman's Lemma

# Examples

ARS



SN

WN

CR

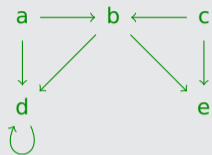
WCR

UN

?

# Examples

ARS



SN



WN

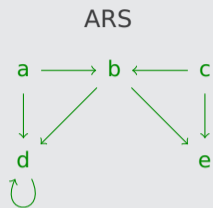
?

CR

WCR

UN

# Examples



SN



WN



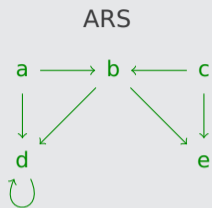
CR

?

WCR

UN

# Examples



SN



WN



CR

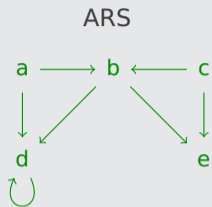


WCR

?

UN

# Examples



SN



WN



CR



WCR

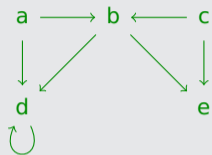


UN

?

# Examples

ARS



SN



WN



CR



WCR

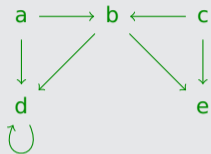


UN



# Examples

ARS



SN



WN



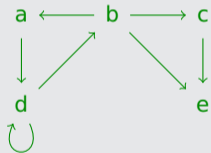
CR



WCR



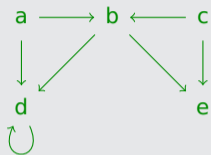
UN



?

# Examples

ARS



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WN



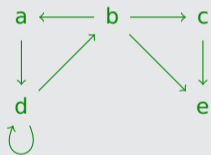
CR



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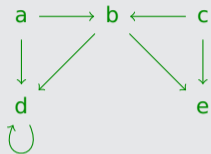
UN



?

# Examples

ARS



SN



WN



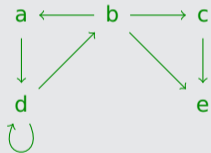
CR



WCR



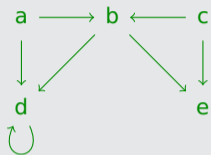
UN



?

# Examples

ARS



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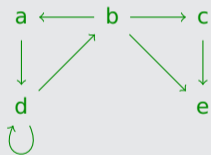
CR



WCR



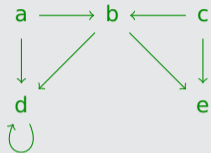
UN



?

# Examples

ARS



SN



WN



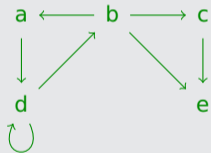
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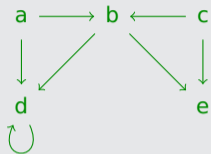
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# Examples

ARS



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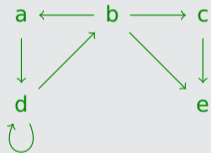
CR



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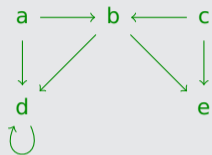


UN



# Examples

ARS



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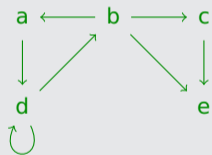
CR



WCR



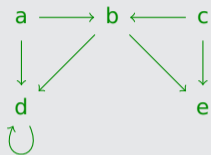
UN



$\langle \mathbb{N}, \rightarrow \rangle$  with  $\rightarrow = \{(0, 2), (1, 3)\} \cup \{(x^2, x), (x + 3, x) \mid x \in \mathbb{N}\}$

# Examples

ARS



SN



WN



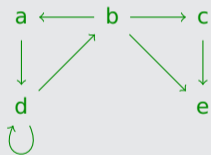
CR



WCR



UN



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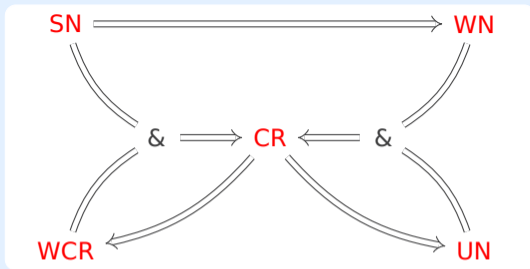
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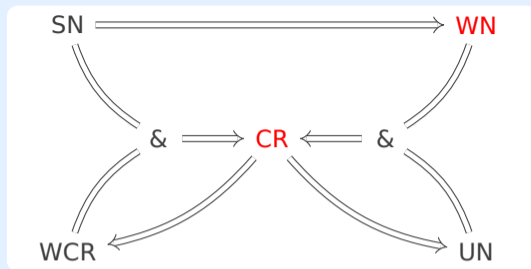
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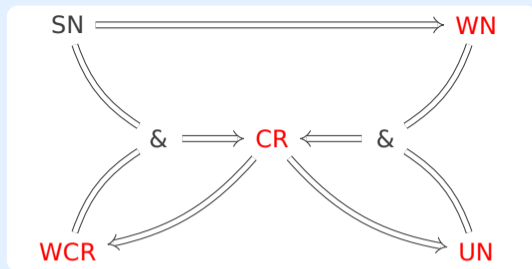
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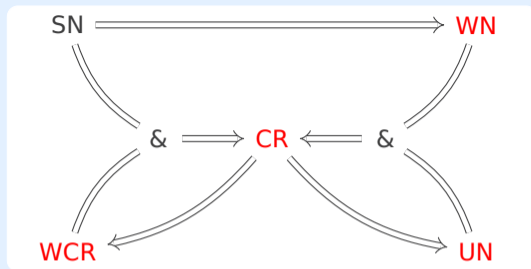
## Definitions (Properties)

- ▶ **semi-completeness**
  - ▶ CR & WN



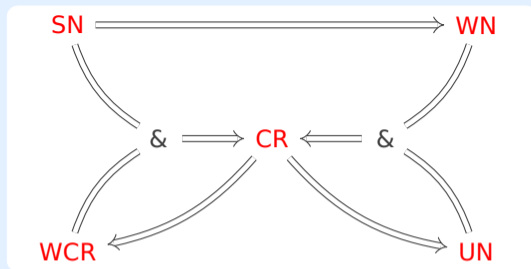
## Definitions (Properties)

- ▶ **semi-completeness**
  - ▶ CR & WN



## Definitions (Properties)

- ▶ **semi-completeness**
  - ▶ CR & WN
  - ▶ every element has unique normal form



## Definitions (Properties)

- ▶ semi-completeness
  - ▶ CR & WN
  - ▶ every element has unique normal form
- ▶ **completeness**
  - ▶ CR & SN

## Definition (Diamond Property)

- ▶ **diamond property**

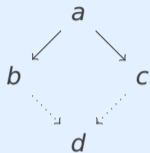
- ▶  $\leftarrow \cdot \rightarrow \subseteq \rightarrow \cdot \leftarrow$

## Definition (Diamond Property)

- ▶ **diamond property**

- ▶  $\leftarrow \cdot \rightarrow \subseteq \rightarrow \cdot \leftarrow$

- ▶  $\forall a, b, c$



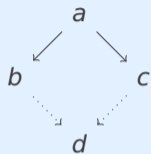
- ▶  $\exists d$

## Definition (Diamond Property)

▶ diamond property  $\diamond$

▶  $\leftarrow \cdot \rightarrow \subseteq \rightarrow \cdot \leftarrow$

▶  $\forall a, b, c$



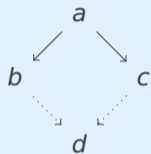
$\exists d$

## Definition (Diamond Property)

▶ diamond property  $\diamond$

▶  $\leftarrow \cdot \rightarrow \subseteq \rightarrow \cdot \leftarrow$

▶  $\forall a, b, c$



$\exists d$

## Lemmata

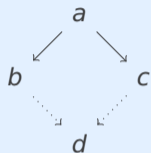
▶ every ARS with diamond property is confluent

## Definition (Diamond Property)

▶ diamond property  $\diamond$

▶  $\leftarrow \cdot \rightarrow \subseteq \rightarrow \cdot \leftarrow$

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$\exists d$

## Lemmata

▶ every ARS with diamond property is confluent

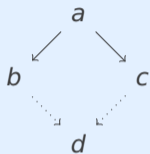
▶ ARS  $\langle A, \rightarrow \rangle$  is confluent  $\iff$  ARS  $\langle A, \rightarrow^* \rangle$  with  $\rightarrow^* = \rightarrow^*$  is confluent

## Definition (Diamond Property)

▶ diamond property  $\diamond$

▶  $\leftarrow \cdot \rightarrow \subseteq \rightarrow \cdot \leftarrow$

▶  $\forall a, b, c$



$\exists d$

## Lemmata

▶ every ARS with diamond property is confluent

▶ ARS  $\langle A, \rightarrow \rangle$  is confluent  $\iff$  ARS  $\langle A, \twoheadrightarrow \rangle$  with  $\twoheadrightarrow^* = \rightarrow^*$  is confluent

## Corollary

ARS  $\langle A, \rightarrow \rangle$  is confluent if  $\rightarrow \subseteq \twoheadrightarrow \subseteq \rightarrow^*$  for some relation  $\twoheadrightarrow$  with diamond property

# Outline

1. Summary of Lecture 1
2. Abstract Rewrite Systems
- 3. Newman's Lemma**
4. Exercises
5. Further Reading

given

- ▶ property  $P$  of ARSs that satisfies  $P(\mathcal{A}) \iff \forall a P(a)$

## Definitions (Properties of Elements)

ARS  $\mathcal{A} = \langle A, \rightarrow \rangle$  and  $a \in A$

►  $\text{CR}(a) \iff b \downarrow c$  whenever  $b \xrightarrow{*} a \rightarrow^* c$

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## Lemma

ARS  $\mathcal{A} = \langle A, \rightarrow \rangle$

- ▶  $\text{CR}(\mathcal{A}) \iff \forall a \in A \text{ CR}(a)$
- ▶ ...

## Well-Founded Induction

given

- ▶ property  $P$  of ARSs that satisfies  $P(\mathcal{A}) \iff \forall a P(a)$
- ▶ terminating ARS  $\mathcal{A} = \langle A, \rightarrow \rangle$

## Well-Founded Induction

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- ▶ property  $P$  of ARSs that satisfies  $P(\mathcal{A}) \iff \forall a P(a)$
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to conclude

- ▶  $P(\mathcal{A})$

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to conclude

- ▶  $P(\mathcal{A})$

it is sufficient to prove

- ▶ if  $P(b)$  for every  $b$  with  $a \rightarrow b$  then  $P(a)$   
 $\underbrace{\hspace{10em}}$   
induction hypothesis

for arbitrary element  $a \in A$

## Well-Founded Induction

given

- ▶ property  $P$  of ARSs that satisfies  $P(\mathcal{A}) \iff \forall a P(a)$
- ▶ terminating ARS  $\mathcal{A} = \langle A, \rightarrow \rangle$

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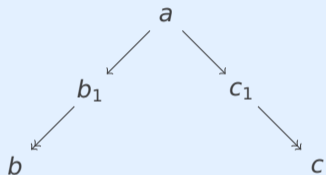
for arbitrary element  $a \in A$

$$\left( \forall a \left( \forall b (a \rightarrow b \implies P(b)) \right) \implies P(a) \right) \implies \forall a P(a)$$

## Newman's Lemma

SN & WCR  $\implies$  CR

### First Proof



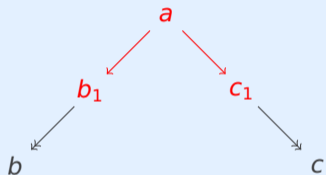
induction hypothesis

$\forall a'$  if  $a \rightarrow a'$  then  $\text{CR}(a')$

## Newman's Lemma

SN & WCR  $\implies$  CR

### First Proof



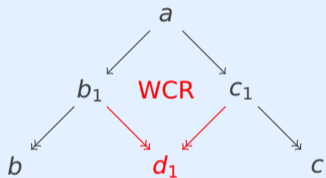
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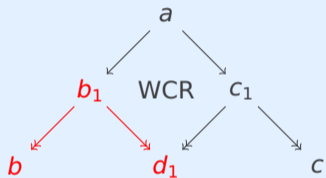
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SN & WCR  $\implies$  CR

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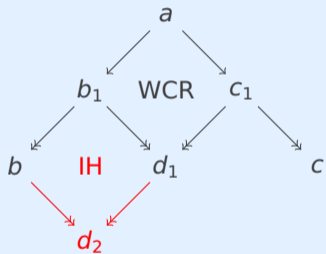
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SN & WCR  $\implies$  CR

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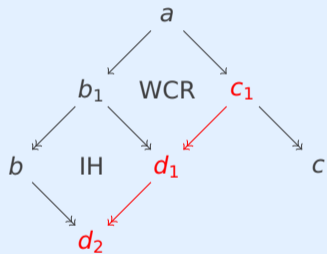
induction hypothesis  $CR(b_1)$

$\forall a'$  if  $a \rightarrow a'$  then  $CR(a')$

# Newman's Lemma

SN & WCR  $\implies$  CR

## First Proof



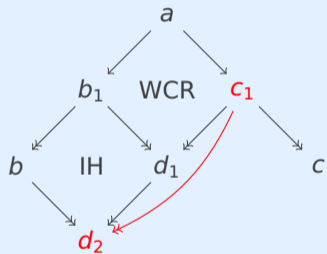
induction hypothesis

$\forall a'$  if  $a \rightarrow a'$  then  $CR(a')$

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SN & WCR  $\implies$  CR

## First Proof



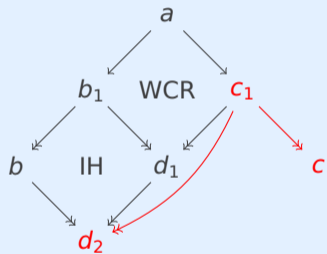
induction hypothesis

$\forall a'$  if  $a \rightarrow a'$  then  $\text{CR}(a')$

# Newman's Lemma

SN & WCR  $\implies$  CR

## First Proof



induction hypothesis

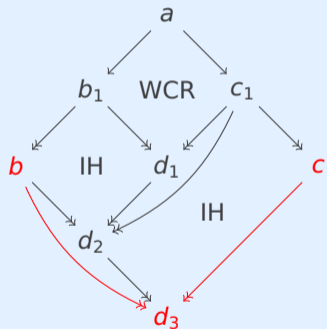
$\forall a'$  if  $a \rightarrow a'$  then  $CR(a')$



# Newman's Lemma

SN & WCR  $\implies$  CR

## First Proof



induction hypothesis

$\forall a'$  if  $a \rightarrow a'$  then  $CR(a')$

## Newman's Lemma

SN & WCR  $\implies$  CR

### Second Proof

► it suffices to show UN because SN  $\implies$  WN and WN & UN  $\implies$  CR

## Newman's Lemma

SN & WCR  $\implies$  CR

### Second Proof

- ▶ it suffices to show UN
- ▶ suppose  $B = \{a \in A \mid \neg \text{UN}(a)\} \neq \emptyset$

## Newman's Lemma

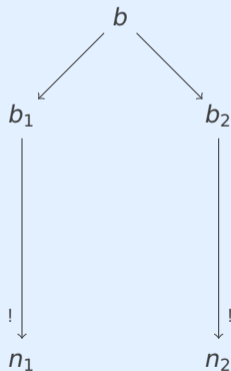
SN & WCR  $\implies$  CR

### Second Proof

- ▶ it suffices to show UN
- ▶ suppose  $B = \{a \in A \mid \neg \text{UN}(a)\} \neq \emptyset$
- ▶ let  $b \in B$  be **minimal** element (with respect to  $\rightarrow$ )

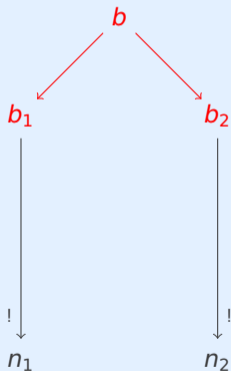
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- ▶  $b \rightarrow^! n_1$  and  $b \rightarrow^! n_2$  with  $n_1 \neq n_2$



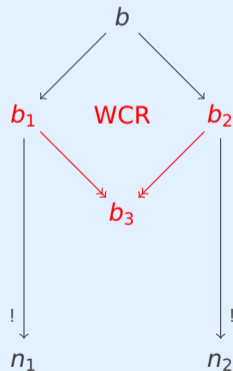
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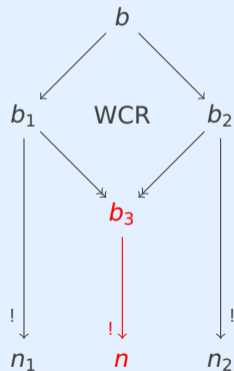
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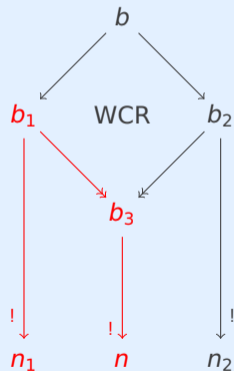
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- ▶ SN  $\implies \exists n \ b_3 \rightarrow^! n$



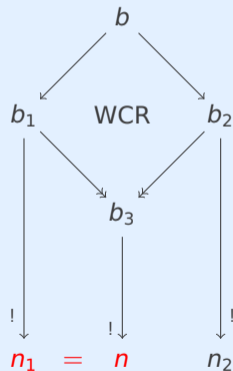
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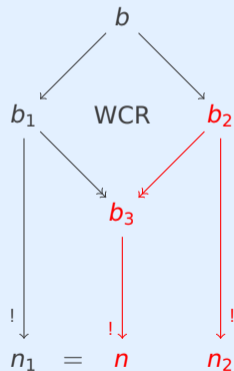


## Newman's Lemma

SN & WCR  $\implies$  CR

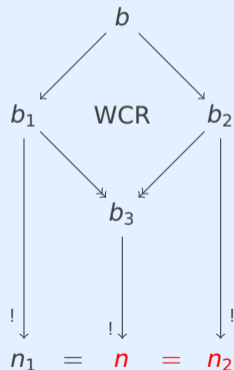
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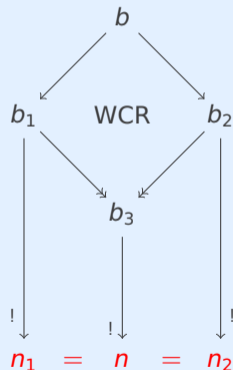
## Second Proof

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## Second Proof

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# Outline

1. Summary of Lecture 1
2. Abstract Rewrite Systems
3. Newman's Lemma
- 4. Exercises**
5. Further Reading

## Homework Exercises for March 16

① Exercise 1.4.

2

② Exercise 1.5.

2

③ Complete the table on slide 18. Motivate your answers.

2

④ Download FORT-s and execute the following commands

```
./fort-s -S "a 0 b 0 c 0" "UN & ~CR"
```

```
./fort-s -a "0 0" "WN & ~SN"
```

```
./fort-s -a "0 0 0 0" -r 4 "WCR & ~CR"
```

and compare the output with the ARSs on slide 16.

1

⑤ Exercise 1.19.



# Outline

1. Summary of Lecture 1
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## Lecture Notes

- ▶ Section 1.1
- ▶ Section 1.2 (until Lemma 1.2.13)
- ▶ Section 1.3
- ▶ Appendix A.1
- ▶ Appendix A.2

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- ▶ Section 1.1
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## Important Concepts

- ▶ abstract rewrite system
- ▶ completeness
- ▶ confluence
- ▶ conversion
- ▶ diamond property
- ▶ joinability
- ▶ local confluence
- ▶ Newman's Lemma
- ▶ normal form
- ▶ normalization
- ▶ reduct
- ▶ semi-completeness
- ▶ termination
- ▶ unique normal forms
- ▶ well-founded induction