



# Term Rewriting

Philipp Dablander and **Aart Middeldorp**

# Outline

- 1. Summary of Lecture 4**
- 2. Decidability**
- 3. Termination**
- 4. Well-Founded Monotone Algebras**
- 5. Polynomial Interpretations over  $\mathbb{N}$**
- 6. Exercises**
- 7. Further Reading**

## Definitions

- ▶ binary relation  $\rightarrow_{\mathcal{E}}$  on  $\mathcal{T}(\mathcal{F}, \mathcal{V})$  for every ES  $(\mathcal{F}, \mathcal{E})$ :

$$s \rightarrow_{\mathcal{E}} t \iff \begin{array}{l} \exists p \in \text{Pos}(s) \\ \exists l \approx r \in \mathcal{E} \\ \exists \text{ substitution } \sigma \end{array} \quad \text{with} \quad \begin{array}{l} s|_p = l\sigma \\ t = s[r\sigma]_p \end{array} \quad \text{redex}$$

- ▶ **rewrite rule**  $l \rightarrow r$  is equation  $l \approx r$  such that  $l \notin \mathcal{V}$  and  $\text{Var}(r) \subseteq \text{Var}(l)$
- ▶ **term rewrite system (TRS)** is pair  $(\mathcal{F}, \mathcal{R})$  consisting of
  - ▶  $\mathcal{F}$  signature
  - ▶  $\mathcal{R}$  set of rewrite rules between terms in  $\mathcal{T}(\mathcal{F}, \mathcal{V})$

## Theorem

$$\forall \text{ ES } \mathcal{E} \quad \leftrightarrow_{\mathcal{E}}^* = \approx_{\mathcal{E}} = =_{\mathcal{E}}$$

## Theorem

validity problem is **decidable** for ES  $\mathcal{E}$  if there exists finite TRS  $\mathcal{R}$  such that

- 1  $\mathcal{R}$  is **complete** (confluent and terminating)
- 2  $\leftrightarrow_{\mathcal{E}}^* = \leftrightarrow_{\mathcal{R}}^*$

## Theorem

term rewriting is **Turing-complete** hence all non-trivial questions are undecidable

## Undecidable Problems

instance: (finite) TRS  $\mathcal{R}$

question: is  $\mathcal{R}$  terminating?

instance: TRS  $\mathcal{R}$ , term  $t$

question: is  $t$  terminating?

instance: TRS  $\mathcal{R}$

question: is  $\mathcal{R}$  confluent?

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## Theorem

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- ▶ rewrite rule  $\ell \rightarrow r$  is **left-linear** if  $\ell$  is linear

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- ▶ rewrite rule  $\ell \rightarrow r$  is **right-ground** if  $r$  is ground

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- ▶ rewrite rule  $\ell \rightarrow r$  is **ground** if  $\ell$  and  $r$  are ground

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## Theorem

validity problem is decidable for finite ground ESs

congruence closure

## Congruence Closure

instance: ground ES  $\mathcal{E}$ , equation  $s \approx t$

question: **valid** ( $s =_{\mathcal{E}} t$ ) or **invalid** ( $s \neq_{\mathcal{E}} t$ )?

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**a** put all subterms of terms in  $\mathcal{E} \cup \{s \approx t\}$  in separate sets

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- c merge sets  $\{\dots, f(t_1, \dots, t_n), \dots\}$  and  $\{\dots, f(u_1, \dots, u_n), \dots\}$  if  $t_i$  and  $u_i$  belong to same set for all  $1 \leq i \leq n$ , repeatedly

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## Remark

(efficient) implementations use graphs for sharing subterms

## Example

► ES  $\mathcal{E}$

$$f(f(f(a))) \approx g(f(g(f(b)))) \quad f(g(f(b))) \approx f(a) \quad g(g(b)) \approx g(f(a)) \quad g(a) \approx b$$

terms  $s = f(a)$  and  $t = g(a)$

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► conclusion:  $s \not\approx_{\mathcal{E}} t$

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## Definition

rewrite system is **terminating** if there are no infinite rewrite sequences

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## Termination Methods

1967

Knuth–Bendix order

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## Termination Methods

1975

Knuth–Bendix order

polynomial interpretations

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## Termination Methods

1979

Knuth–Bendix order

multiset order

polynomial interpretations

simple path order

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rewrite system is terminating if there are no infinite rewrite sequences

## Termination Methods

1980s

Knuth–Bendix order

multiset order

polynomial interpretations

recursive decomposition order

recursive path order

semantic path order

simple path order

transformation order

## Definition

rewrite system is terminating if there are no infinite rewrite sequences

## Termination Methods

1990s



## Definition

rewrite system is terminating if there are no infinite rewrite sequences

## Termination Methods

2000s

arctic interpretations

bounded increase

context-dependent interpretations

dependency pairs

dummy elimination

elementary interpretations

freezing

general path order

increasing interpretations

Knuth-Bendix order

lexicographic path order

match-bounds

matrix interpretations

monotonic semantic path order

multiset order

multiset path order

ordinal interpretations

polynomial interpretations

predictive labeling

quasi-periodic interpretations

recursive decomposition order

recursive path order

root-labeling

semantic labeling

semantic path order

simple path order

size-change principle

top-down labeling

transformation order

type introduction

uncurrying

weighted path order

well-founded monotone algebras

...

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## Termination Methods

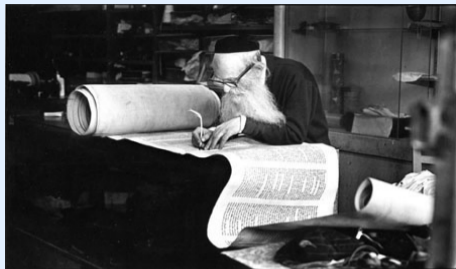
dependency pairs

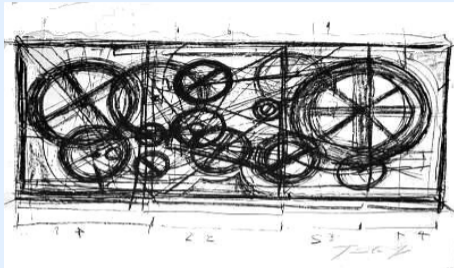
Knuth–Bendix order

lexicographic path order

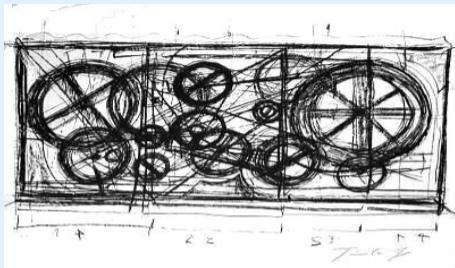
polynomial interpretations

well-founded monotone algebras





## Termination Research



## Termination Tools

AProVE

Matchbox

MultumNonMultu

MuTerm

NaTT

NTI

Torpa

T<sub>T</sub>2

...

## Termination Competition

[https://termination-portal.org/wiki/Termination\\_Competition](https://termination-portal.org/wiki/Termination_Competition)

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## Lemma

TRS  $\mathcal{R}$  is terminating  $\iff \exists$  well-founded order  $>$  on terms such that

$$s \rightarrow_{\mathcal{R}} t \implies s > t$$

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## Example

► TRS

$$0 + y \rightarrow y$$

$$s(x) + y \rightarrow s(x + y)$$

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► well-founded order  $>$

$$s > t \iff \varphi(s) >_{\mathbb{N}} \varphi(t) \quad \text{with} \quad \varphi(u) = \begin{cases} 1 & \text{if } u = 0 \\ \varphi(v) + 1 & \text{if } u = s(v) \\ 2\varphi(v) + \varphi(w) & \text{if } u = v + w \\ 0 & \text{otherwise} \end{cases}$$

## Lemma

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## Remark

(very) inconvenient to check all rewrite **steps**

## Definitions (Reduction Order)

- ▶ **rewrite order** is proper order  $>$  on terms which is
  - ▶ closed under contexts:  $s > t \implies C[s] > C[t]$  for all contexts  $C$
  - ▶ closed under substitutions:  $s > t \implies s\sigma > t\sigma$  for all substitutions  $\sigma$

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- ▶ TRS  $\mathcal{R}$  and rewrite order  $>$  are **compatible** if  $\ell > r$  for all rules  $\ell \rightarrow r$  in  $\mathcal{R}$

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- ▶ **reduction order** is well-founded rewrite order

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$\mathcal{R} \subseteq >$  if  $\mathcal{R}$  and  $>$  are compatible

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- ▶  $l \rightarrow_{\mathcal{R}}^+ r$  for every  $l \rightarrow r \in \mathcal{R} \implies \mathcal{R} \subseteq >$

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# Outline

1. Summary of Lecture 4
2. Decidability
3. Termination
4. Well-Founded Monotone Algebras
- 5. Polynomial Interpretations over  $\mathbb{N}$**
6. Exercises
7. Further Reading

## Example

► TRS

$$0 + y \rightarrow y$$

$$s(x) + y \rightarrow s(x + y)$$

$$0 \times y \rightarrow 0$$

$$s(x) \times y \rightarrow y + (x \times y)$$

## Example

► TRS

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► interpretations in  $\mathbb{N}$

$$0_{\mathcal{A}} = 1 \quad s_{\mathcal{A}}(x) = x + 1 \quad +_{\mathcal{A}}(x, y) = 2x + y \quad \times_{\mathcal{A}}(x, y) = 2xy + x + y + 1$$

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### ► constraints $\forall x, y \in \mathbb{N}$

$$y + 2 > y \quad 2x + y + 2 > 2x + y + 1 \quad 3y + 2 > 1 \quad 2xy + x + 3y + 2 > 2xy + x + 3y + 1$$

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$$\begin{array}{lll} \partial(\alpha) \rightarrow \mathbf{1} & \partial(x + y) \rightarrow \partial(x) + \partial(y) & \partial(x \times y) \rightarrow \partial(x) \times y + x \times \partial(y) \\ \partial(\beta) \rightarrow \mathbf{0} & \partial(x - y) \rightarrow \partial(x) - \partial(y) & \partial(x \div y) \rightarrow (\partial(x) \times y - x \times \partial(y)) \div (y \times y) \end{array}$$

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$$\begin{array}{ll} x^2 + y^2 + 2xy + 12x + 12y + 33 > x^2 + y^2 + 6x + 6y + 15 & 13 > 1 \\ x^2 + y^2 + 2xy + 12x + 12y + 33 > x^2 + y^2 + 6x + 6y + 15 & 13 > 1 \\ x^2 + y^2 + 2xy + 12x + 12y + 33 > x^2 + y^2 + 7x + 7y + 21 & \\ x^2 + y^2 + 2xy + 12x + 12y + 33 > x^2 + y^2 + 7x + 9y + 27 & \end{array}$$

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$$\begin{array}{ll} 2xy + 6x + 6y + 18 > 0 & 12 > 0 \\ 2xy + 6x + 6y + 18 > 0 & 12 > 0 \\ 2xy + 5x + 5y + 12 > 0 & \\ 2xy + 5x + 3y + 6 > 0 & \end{array}$$

## Definition (Polynomial Termination)

TRS  $\mathcal{R}$  is **polynomially terminating (over  $\mathbb{N}$ )** if  $\mathcal{R} \subseteq \succ_{\mathcal{A}}$  for some well-founded monotone algebra  $(\mathcal{A}, \succ)$  such that

- ▶ carrier of  $\mathcal{A}$  is  $\mathbb{N}$
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## Lemma

$\mathcal{R}$  is polynomially terminating over  $\mathbb{N}$   $\iff$

$\mathcal{R}$  is polynomially terminating over  $\{n \in \mathbb{N} \mid n \geq N\}$  for some  $N \geq 0$

## Definition (Polynomial Termination)

TRS  $\mathcal{R}$  is polynomially terminating (over  $\mathbb{N}$ ) if  $\mathcal{R} \subseteq \succ_{\mathcal{A}}$  for some well-founded monotone algebra  $(\mathcal{A}, \succ)$  such that

- ▶ carrier of  $\mathcal{A}$  is  $\mathbb{N}$
- ▶  $\succ$  is standard order on  $\mathbb{N}$
- ▶  $f_{\mathcal{A}} \in \mathbb{Z}[x_1, \dots, x_n]$  for every  $n$ -ary function symbol  $f$

## Lemma

$\mathcal{R}$  is polynomially terminating over  $\mathbb{N}$   $\iff$

$\mathcal{R}$  is polynomially terminating over  $\{n \in \mathbb{N} \mid n \geq N\}$  for some  $N \geq 0$

## Notation

$$\mathbb{N}_+ = \mathbb{N} \setminus \{0\}$$

## Example

### ► TRS

$$\begin{aligned}0 + y &\rightarrow y \\ s(x) + y &\rightarrow s(x + y)\end{aligned}$$

$$\begin{aligned}0 \times y &\rightarrow 0 \\ s(x) \times y &\rightarrow y + (x \times y)\end{aligned}$$

### ► interpretations in $\mathbb{N}$

$$\begin{aligned}0_{\mathcal{A}} &= 1 \\ s_{\mathcal{A}}(x) &= x + 1\end{aligned}$$

$$\begin{aligned}+_{\mathcal{A}}(x, y) &= 2x + y \\ \times_{\mathcal{A}}(x, y) &= 2xy + x + y + 1\end{aligned}$$

## Example

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► interpretations in  $\mathbb{N} \setminus \{0, 1\}$

$$\begin{aligned}0_{\mathcal{A}} &= 2 \\s_{\mathcal{A}}(x) &= x + 3\end{aligned}$$

$$\begin{aligned}+_{\mathcal{A}}(x, y) &= 2x + y \\ \times_{\mathcal{A}}(x, y) &= xy\end{aligned}$$

## Questions

- ① how to find suitable polynomials ?

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## Theorem

following problem is undecidable:

instance: polynomial  $P \in \mathbb{Z}[x_1, \dots, x_n]$

question:  $\forall x_1, \dots, x_n \in \mathbb{N} \quad P(x_1, \dots, x_n) > 0$  ?

reduction from **Hilbert's 10th Problem**

instance: polynomial  $P \in \mathbb{Z}[x_1, \dots, x_n]$

question:  $\exists x_1, \dots, x_n \in \mathbb{Z} \quad P(x_1, \dots, x_n) = 0 ?$

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## Sufficient Condition

all coefficients are non-negative and constant is positive

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## Sufficient Condition

all coefficients are non-negative and constant is positive (**absolute positiveness**)

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## Modern Approach

- ① choose **abstract** polynomial interpretations (linear, quadratic, ...)

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- ① choose abstract polynomial interpretations (linear, quadratic, ...)
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- ① choose abstract polynomial interpretations (linear, quadratic, ...)
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- ⑤ translate resulting diophantine constraints to SAT or SMT problem

## Example

► TRS

$$0 + y \rightarrow y$$

$$s(x) + y \rightarrow s(x + y)$$

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► interpretations

$$0_{\mathcal{A}} = a$$

$$s_{\mathcal{A}}(x) = bx + c$$

$$+_{\mathcal{A}}(x, y) = dx + ey + f$$

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► polynomial constraints  $\forall x, y \in \mathbb{N}$

$$da + ey + f > y$$

$$d(bx + c) + ey + f > b(dx + ey + f) + c$$

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► polynomial constraints  $\forall x, y \in \mathbb{N}$

$$(e - 1)y + da + f > 0$$

$$(e - be)y + dc + f - bf - c > 0$$

$$a \geq 0 \quad b \geq 1 \quad c \geq 0 \quad d \geq 1 \quad e \geq 1 \quad f \geq 0$$

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### ▶ diophantine constraints $\exists a, b, c, d, e, f \in \mathbb{N}$

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absolute positiveness

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### ► possible solution

$$a = 0$$

$$b = 1$$

$$c = 1$$

$$d = 2$$

$$e = 1$$

$$f = 1$$

## Example

### ▶ TRS

$$0 + y \rightarrow y$$

$$s(x) + y \rightarrow s(x + y)$$

### ▶ interpretations

$$0_{\mathcal{A}} = 0$$

$$s_{\mathcal{A}}(x) = x + 1$$

$$+_{\mathcal{A}}(x, y) = 2x + y + 1$$

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### ▶ possible solution

$$a = 0$$

$$b = 1$$

$$c = 1$$

$$d = 2$$

$$e = 1$$

$$f = 1$$

## Remark

numerous terminating TRSs are not polynomially terminating

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polynomial interpretations

terminating TRSs

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numerous terminating TRSs are not polynomially terminating

$$\{f(a) \rightarrow f(b), g(b) \rightarrow g(a)\}$$

•

polynomial interpretations

terminating TRSs

## Example

- ▶ TRS

$$f(a) \rightarrow f(b)$$

$$g(b) \rightarrow g(a)$$

- ▶ well-founded monotone algebra  $(\mathcal{A}, \sqsupseteq)$  with

- ▶ carrier  $A = \{0, 1\} \times \mathbb{N}$

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▶ well-founded monotone algebra  $(\mathcal{A}, \sqsupset)$  with

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▶ well-founded order  $\sqsupset$  on  $A$ :  $(a, x) \sqsupset (b, y) \iff a = b \text{ and } x > y$

## Example

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▶ well-founded order  $\sqsupset$  on  $A$ :  $(a, x) \sqsupset (b, y) \iff a = b \text{ and } x > y$

▶ strictly monotone interpretations  $\forall x \in \mathbb{N}$

$$a_{\mathcal{A}} = (0, 0)$$

$$f_{\mathcal{A}}((0, x)) = (1, x + 1)$$

$$g_{\mathcal{A}}((0, x)) = (1, x)$$

$$b_{\mathcal{A}} = (1, 0)$$

$$f_{\mathcal{A}}((1, x)) = (1, x)$$

$$g_{\mathcal{A}}((1, x)) = (1, x + 1)$$

## Example

### ▶ TRS

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$$b_{\mathcal{A}} = (1, 0)$$

$$f_{\mathcal{A}}((1, x)) = (1, x)$$

$$g_{\mathcal{A}}((1, x)) = (1, x + 1)$$

### ▶ constraints

$$f_{\mathcal{A}}(a_{\mathcal{A}}) = (1, 1) \sqsupset (1, 0) = f_{\mathcal{A}}(b_{\mathcal{A}})$$

$$g_{\mathcal{A}}(b_{\mathcal{A}}) = (1, 1) \sqsupset (1, 0) = g_{\mathcal{A}}(a_{\mathcal{A}})$$

## Example

► TRS

$$0 + y \rightarrow y$$

$$s(x) + y \rightarrow s(x + y)$$

$$0 \times y \rightarrow 0$$

$$s(x) \times y \rightarrow y + (x \times y)$$

## Example

► TRS

$$0 + y \rightarrow y \quad s(x) + y \rightarrow s(x + y) \quad 0 \times y \rightarrow 0 \quad s(x) \times y \rightarrow (x \times y) + y$$

► **not** polynomially terminating

## Example

- ▶ TRS

$$0 + y \rightarrow y \quad s(x) + y \rightarrow s(x + y) \quad 0 \times y \rightarrow 0 \quad s(x) \times y \rightarrow (x \times y) + y$$

- ▶ not polynomially terminating

- ▶ interpretations in  $\mathbb{N}_+$

$$0_{\mathcal{A}} = 1 \quad s_{\mathcal{A}}(x) = x + 1 \quad +_{\mathcal{A}}(x, y) = 2x + y \quad \times_{\mathcal{A}}(x, y) = 3^x y$$

## Example

▶ TRS

$$0 + y \rightarrow y \quad s(x) + y \rightarrow s(x + y) \quad 0 \times y \rightarrow 0 \quad s(x) \times y \rightarrow (x \times y) + y$$

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▶ constraints  $\forall x, y \in \mathbb{N}_+$

$$y + 2 > y \quad 2x + y + 2 > 2x + y + 1 \quad 3y > 1 \quad 3^{x+1}y > 2(3^x y) + y$$

## Example

- ▶ TRS

$$0 + y \rightarrow y \quad s(x) + y \rightarrow s(x + y) \quad 0 \times y \rightarrow 0 \quad s(x) \times y \rightarrow (x \times y) + y$$

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- ▶ interpretations in  $\mathbb{N} \setminus \{0, 1\}$

$$0_{\mathcal{A}} = 2 \quad s_{\mathcal{A}}(x) = x + 2 \quad +_{\mathcal{A}}(x, y) = x + y \quad \times_{\mathcal{A}}(x, y) = xy$$

## Example

► TRS

$$0 + y \rightarrow y \quad s(x) + y \rightarrow s(x + y) \quad 0 \times y \rightarrow 0 \quad s(x) \times y \rightarrow (x \times y) + y$$

► not polynomially terminating

► interpretations in  $\mathbb{N} \setminus \{0, 1\}$

$$0_{\mathcal{A}} = 2 \quad s_{\mathcal{A}}(x) = x + 2 \quad +_{\mathcal{A}}(x, y) = x + y \quad \times_{\mathcal{A}}(x, y) = xy$$

► constraints  $\forall x, y \in \mathbb{N} \setminus \{0, 1\}$

$$y + 2 > y \quad x + y + 2 > x + y + 2 \quad 2y > 2 \quad xy + 2y > xy + y$$

## Example

▶ TRS

$$s(x) + y \rightarrow s(x + y)$$

▶ not polynomially terminating

▶ interpretations in  $\mathbb{N} \setminus \{0, 1\}$

$$0_{\mathcal{A}} = 2$$

$$s_{\mathcal{A}}(x) = x + 2$$

$$+_{\mathcal{A}}(x, y) = x + y$$

$$\times_{\mathcal{A}}(x, y) = xy$$

▶ constraints  $\forall x, y \in \mathbb{N} \setminus \{0, 1\}$

$$y + 2 > y$$

$$x + y + 2 > x + y + 2$$

$$2y > 2$$

$$xy + 2y > xy + y$$

## Example

- ▶ TRS

$$s(x) + y \rightarrow s(x + y)$$

- ▶ not polynomially terminating
- ▶ interpretations in  $\mathbb{N}$

$$s_{\mathcal{A}}(x) = x + 1 \quad +_{\mathcal{A}}(x, y) = 2x + y$$

- ▶ constraints  $\forall x, y \in \mathbb{N}$

$$2x + y + 2 > 2x + y + 1$$

## Example

- ▶ TRS
- ▶ not polynomially terminating but **incremental** polynomially terminating
- ▶ interpretations in  $\mathbb{N}$

$$s_{\mathcal{A}}(x) = x + 2 \qquad +_{\mathcal{A}}(x, y) = 2x + y$$

- ▶ constraints  $\forall x, y \in \mathbb{N}$

$$2x + y + 2 > 2x + y + 1$$

# Outline

1. Summary of Lecture 4
2. Decidability
3. Termination
4. Well-Founded Monotone Algebras
5. Polynomial Interpretations over  $\mathbb{N}$
- 6. Exercises**
7. Further Reading

## Homework Exercises for April 20

- ① Exercise 3.13.
- ② Exercise 4.1.
- ③ Exercise 4.9.
- ④ Exercise 4.15.

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2

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## Lecture Notes

- ▶ Section 3.2 (Theorem 3.2.5 and Example 3.2.6)
- ▶ Section 4.1

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- ▶ Section 3.2 (Theorem 3.2.5 and Example 3.2.6)
- ▶ Section 4.1

## Important Concepts

- ▶ absolute positiveness
- ▶ congruence closure
- ▶ polynomial termination
- ▶ reduction order
- ▶ rewrite order
- ▶ well-founded monotone algebra