



# Term Rewriting

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# Outline

- 1. Summary of Lecture 9**
- 2. Proof Terms**
- 3. Strategies**
- 4. Normalization**
- 5. Exercises**
- 6. Further Reading**

## Definition

**orthogonal** TRS is left-linear and lacks critical pairs

## Definition

**parallel** rewriting  $\dashv\vdash$  is inductively defined as follows:

- ①  $x \dashv\vdash x$  for all variables  $x$
- ②  $f(s_1, \dots, s_n) \dashv\vdash f(t_1, \dots, t_n)$  if  $s_i \dashv\vdash t_i$  for all  $1 \leq i \leq n$
- ③  $l\sigma \dashv\vdash r\sigma$  if  $l \rightarrow r \in \mathcal{R}$

## Parallel Moves Lemma

$\leftarrow\!\!\!\!\!\leftarrow \cdot \dashv\vdash \subseteq \dashv\vdash \cdot \leftarrow\!\!\!\!\!\leftarrow$  for orthogonal TRSs  $\implies$  orthogonal TRSs are **confluent**

## Definitions

**multi-step** relation  $\twoheadrightarrow$  is inductively defined as follows:

- ①  $x \twoheadrightarrow x$  for all variables  $x$
- ②  $f(s_1, \dots, s_n) \twoheadrightarrow f(t_1, \dots, t_n)$  if  $s_i \twoheadrightarrow t_i$  for all  $1 \leq i \leq n$
- ③  $l\sigma \twoheadrightarrow r\tau$  if  $l \rightarrow r \in \mathcal{R}$  and  $\underbrace{x\sigma \twoheadrightarrow x\tau}_{\sigma \twoheadrightarrow \tau}$  for all variables  $x$

**maximal** multi-step relation  $\twoheadrightarrow$  is inductively defined as follows:

- ①  $x \twoheadrightarrow x$  for all variables  $x$
- ②  $f(s_1, \dots, s_n) \twoheadrightarrow f(t_1, \dots, t_n)$  if  $s_i \twoheadrightarrow t_i$  for all  $1 \leq i \leq n$  and  $f(s_1, \dots, s_n)$  is no redex
- ③  $l\sigma \twoheadrightarrow r\tau$  if  $l \rightarrow r \in \mathcal{R}$  and  $\sigma \twoheadrightarrow \tau$

## Lemma

$\rightarrow \subseteq \twoheadrightarrow \subseteq \twoheadrightarrow \subseteq \rightarrow^*$  and  $\twoheadrightarrow \subseteq \twoheadrightarrow$

## Lemma (Triangle Property)

$\leftarrow \circlearrowleft \cdot \rightarrow \circlearrowright \subseteq \rightarrow \circlearrowright$  for orthogonal TRSs

## Definitions

TRS

- ▶  $\dots$  is **strongly closed** if  $t \rightarrow^= \cdot \ast \leftarrow u$  and  $t \rightarrow^* \cdot \overset{=}{\leftarrow} u$
- ▶  $\dots$  is **parallel closed** if  $t \dashrightarrow u$
- ▶  $\dots$  is **development closed** if  $t \rightarrow \circlearrowright u$

for every critical pair  $t \approx u$

## Theorem

- ▶ **linear** strongly closed TRSs are confluent
- ▶ **left-linear** parallel closed TRSs are confluent
- ▶ **left-linear** development closed TRSs are confluent

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## Example

- ▶ left-linear TRS 
$$\begin{array}{l} h(f(x, g(y))) \xrightarrow{\alpha} h(f(x, g(x))) \\ f(g(x), y) \xrightarrow{\beta} f(g(x), g(x)) \end{array} \quad \begin{array}{l} g(a) \xrightarrow{\gamma} g(b) \\ b \xrightarrow{\delta} a \end{array}$$
- ▶ term  $s = h(f(h(f(g(a), g(a))), g(a)))$
- ▶ proof terms  $A = h(f(\alpha(\gamma, a), \gamma))$  and  $B = \alpha(h(\beta(a, \gamma)), a)$

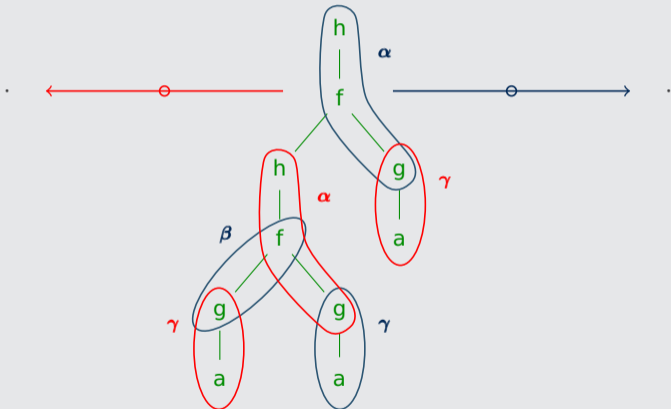
## Definitions

**rule symbol**  $\alpha$  associated to (left-linear) rewrite rule  $\ell \rightarrow r$

- ▶  $\text{lhs}(\alpha)$  denotes  $\ell$
- ▶  $\text{rhs}(\alpha)$  denotes  $r$
- ▶  $\text{var}(\alpha)$  denotes list  $(x_1, \dots, x_n)$  of variables appearing in  $\ell$  in some fixed order
- ▶ arity of  $\alpha$  is length of  $\text{var}(\alpha)$
- ▶  $\langle t_1, \dots, t_n \rangle_{\alpha}$  denotes substitution  $\{x_i \mapsto t_i \mid 1 \leq i \leq n\}$

## Example

$$\begin{array}{ll}
 h(f(x, g(y))) \xrightarrow{\alpha} h(f(x, g(x))) & g(a) \xrightarrow{\gamma} g(b) \quad s = h(f(h(f(g(a), g(a))), g(a))) \\
 f(g(x), y) \xrightarrow{\beta} f(g(x), g(x)) & b \xrightarrow{\delta} a \quad A = h(f(\alpha(\gamma, a), \gamma)) \quad B = \alpha(h(\beta(a, \gamma)), a)
 \end{array}$$



## Definitions

- ▶ **proof terms** are terms built from function symbols, variables, rule symbols
- ▶ source  $\text{src}(A)$  and target  $\text{tgt}(A)$  of proof term  $A$

$$\text{src}(x) = \text{tgt}(x) = x$$

$$\text{src}(f(A_1, \dots, A_n)) = f(\text{src}(A_1), \dots, \text{src}(A_n))$$

$$\text{src}(\alpha(A_1, \dots, A_n)) = \text{lhs}(\alpha)\langle \text{src}(A_1), \dots, \text{src}(A_n) \rangle_\alpha$$

$$\text{tgt}(f(A_1, \dots, A_n)) = f(\text{tgt}(A_1), \dots, \text{tgt}(A_n))$$

$$\text{tgt}(\alpha(A_1, \dots, A_n)) = \text{rhs}(\alpha)\langle \text{tgt}(A_1), \dots, \text{tgt}(A_n) \rangle_\alpha$$

- ▶ proof terms  $A$  and  $B$  are **co-initial** if  $\text{src}(A) = \text{src}(B)$

## Remark

proof term  $A$  **witnesses multi-step**  $\text{src}(A) \rightarrow^* \text{tgt}(A)$

## Example (cont'd)

$$\begin{array}{l} h(f(x, g(y))) \xrightarrow{\alpha} h(f(x, g(x))) \quad g(a) \xrightarrow{\gamma} g(b) \quad s = h(f(h(f(g(a), g(a))), g(a))) \\ f(g(x), y) \xrightarrow{\beta} f(g(x), g(x)) \quad b \xrightarrow{\delta} a \quad A = h(f(\alpha(\gamma, a), \gamma)) \quad B = \alpha(h(\beta(a, \gamma)), a) \end{array}$$

- ▶  $\text{src}(A) = h(\text{src}(f(\alpha(\gamma, a), \gamma))) = h(f(\text{src}(\alpha(\gamma, a)), \text{src}(\gamma)))$   
 $= h(f(h(f(\text{src}(\gamma), g(\text{src}(a))), g(a)))) = h(f(h(f(g(a), g(a))), g(a)))$
- ▶  $\text{tgt}(B) = h(f(\text{tgt}(h(\beta(a, \gamma))), g(\text{tgt}(h(\beta(a, \gamma))))) = h(f(h(\text{tgt}(\beta(a, \gamma))), g(h(\text{tgt}(\beta(a, \gamma)))))$   
 $= h(f(h(f(g(\text{tgt}(a)), g(\text{tgt}(a))), g(h(f(g(\text{tgt}(a)), g(\text{tgt}(a)))))$   
 $= h(f(h(f(g(a), g(a))), g(h(f(g(a), g(a)))))$

## Lemma

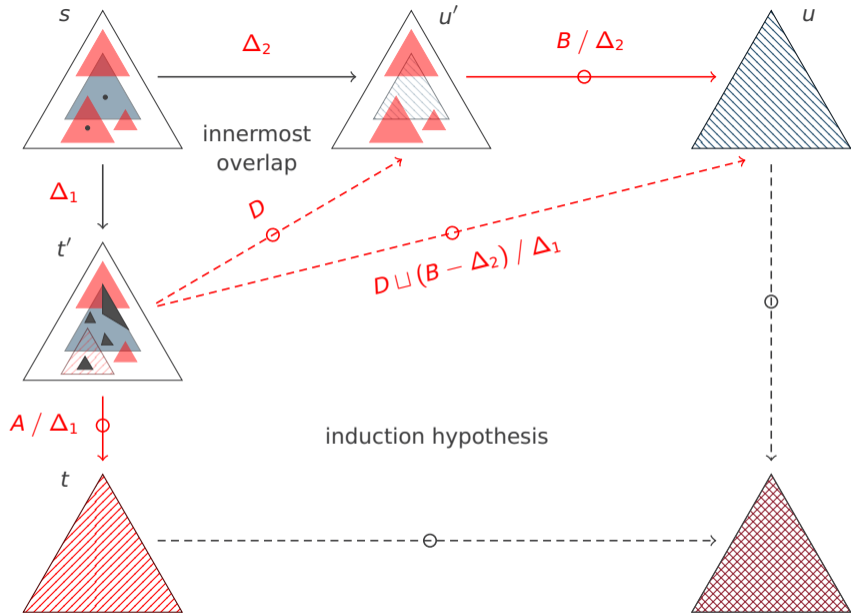
$$s \rightarrow t \iff \text{src}(A) = s \text{ and } \text{tgt}(A) = t \text{ for some proof term } A$$

## Theorem

left-linear development closed TRSs are confluent

## Remarks

- ▶ formalized proof employs proof terms
- ▶ result follows from **diamond property of  $\rightarrow$**
- ▶ proof employs **induction on amount of overlap between two multi-steps**



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## Definitions (Strategies)

- ▶ **one-step rewrite strategy**  $\mathcal{S}$  for TRS  $\mathcal{R}$  is relation  $\xrightarrow{\mathcal{S}} \subseteq \rightarrow_{\mathcal{R}}$  such that  $\text{NF}(\mathcal{S}) = \text{NF}(\mathcal{R})$
- ▶ **many-step** rewrite strategy  $\mathcal{S}$  is relation  $\xrightarrow{\mathcal{S}} \subseteq \rightarrow_{\mathcal{R}}^+$  such that  $\text{NF}(\mathcal{S}) = \text{NF}(\mathcal{R})$
- ▶ rewrite strategy  $\mathcal{S}$  is **deterministic** if  $\xleftarrow{\mathcal{S}} \cdot \xrightarrow{\mathcal{S}} \subseteq =$
- ▶ rewrite strategy  $\mathcal{S}$  **normalizes** term  $t$  if all  $\mathcal{S}$ -rewrite sequences starting from  $t$  are finite
- ▶ rewrite strategy  $\mathcal{S}$  is **normalizing** if it normalizes every normalizing term:

$$\forall t (\text{WN}_{\mathcal{R}}(t) \implies \text{SN}_{\mathcal{S}}(t))$$

- ▶ **relative rewriting**:  $\rightarrow_{\mathcal{S}/\mathcal{R}} = \rightarrow_{\mathcal{R}}^* \cdot \rightarrow_{\mathcal{S}} \cdot \rightarrow_{\mathcal{R}}^*$
- ▶ rewrite strategy  $\mathcal{S}$  is **hyper-normalizing** if every  $\mathcal{R}$ -normalizing term is  $\mathcal{S}/\mathcal{R}$ -terminating

## Definitions (Strategies, cont'd)

- ▶ rewrite strategy  $\mathcal{S}$  is **perpetual** if every maximal  $\mathcal{S}$ -rewrite sequence starting from any non-terminating term is infinite:

$$\forall t (\text{WN}_{\mathcal{S}}(t) \implies \text{SN}_{\mathcal{R}}(t))$$

## Remarks

- ▶ (hyper-)normalizing strategy avoids non-terminating computations, if possible
- ▶ perpetual strategy avoids terminating computations, if possible

## Lemma

for terminating TRSs every strategy is **hyper-normalizing** and **perpetual**

## Example

► rewrite rules

$0 + 0 \rightarrow 0$	$1 + 0 \rightarrow 1$	$\dots$	$9 + 0 \rightarrow 9$	$0 : x \rightarrow x$
$0 + 1 \rightarrow 1$	$1 + 1 \rightarrow 2$	$\dots$	$9 + 1 \rightarrow 1 : 0$	$x + (y : z) \rightarrow y : (x + z)$
$0 + 2 \rightarrow 2$	$1 + 2 \rightarrow 3$	$\dots$	$9 + 2 \rightarrow 1 : 1$	$(x : y) + z \rightarrow x : (y + z)$
$0 + 3 \rightarrow 3$	$1 + 3 \rightarrow 4$	$\dots$	$9 + 3 \rightarrow 1 : 2$	$x : (y : z) \rightarrow (x + y) : z$
$0 + 4 \rightarrow 4$	$1 + 4 \rightarrow 5$	$\dots$	$9 + 4 \rightarrow 1 : 3$	
$0 + 5 \rightarrow 5$	$1 + 5 \rightarrow 6$	$\dots$	$9 + 5 \rightarrow 1 : 4$	
$0 + 6 \rightarrow 6$	$1 + 6 \rightarrow 7$	$\dots$	$9 + 6 \rightarrow 1 : 5$	
$0 + 7 \rightarrow 7$	$1 + 7 \rightarrow 8$	$\dots$	$9 + 7 \rightarrow 1 : 6$	
$0 + 8 \rightarrow 8$	$1 + 8 \rightarrow 9$	$\dots$	$9 + 8 \rightarrow 1 : 7$	
$0 + 9 \rightarrow 9$	$1 + 9 \rightarrow 1 : 0$	$\dots$	$9 + 9 \rightarrow 1 : 8$	

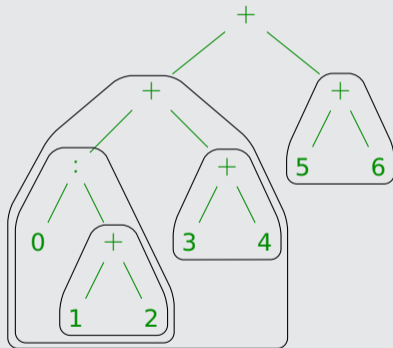
► term  $((0 : (1 + 2)) + (3 + 4)) + (5 + 6)$

## Example (cont'd)

► term

$$0 : 1 + 2 + 3 + 4 + 5 + 6$$

► tree representation



maximal/leftmost outermost/innermost strategies

## Example (cont'd)

- ▶ **leftmost outermost** strategy (12 redexes)

$$((0 : (1 + 2)) + (3 + 4)) + (5 + 6)$$

$$\rightarrow (0 : ((1 + 2) + (3 + 4))) + (5 + 6) \rightarrow 0 : (((1 + 2) + (3 + 4)) + (5 + 6))$$

$$\rightarrow ((1 + 2) + (3 + 4)) + (5 + 6) \rightarrow (3 + (3 + 4)) + (5 + 6) \rightarrow (3 + 7) + (5 + 6)$$

$$\rightarrow (1 : 0) + (5 + 6) \rightarrow 1 : (0 + (5 + 6)) \rightarrow 1 : (0 + (1 : 1)) \rightarrow 1 : (1 : (0 + 1))$$

$$\rightarrow (1 + 1) : (0 + 1) \rightarrow 2 : (0 + 1) \rightarrow 2 : 1$$

- ▶ **leftmost innermost** strategy (10 redexes)

$$((0 : (1 + 2)) + (3 + 4)) + (5 + 6)$$

$$\rightarrow ((0 : 3) + (3 + 4)) + (5 + 6) \rightarrow (3 + (3 + 4)) + (5 + 6) \rightarrow (3 + 7) + (5 + 6)$$

$$\rightarrow (1 : 0) + (5 + 6) \rightarrow (1 : 0) + (1 : 1) \rightarrow 1 : ((1 : 0) + 1) \rightarrow 1 : (1 : (0 + 1))$$

$$\rightarrow 1 : (1 : 1) \rightarrow (1 + 1) : 1 \rightarrow 2 : 1$$

## Example (cont'd)

- ▶ **maximal outermost** strategy (12 redexes in 9 steps)

$$((0 : (1 + 2)) + (3 + 4)) + (5 + 6)$$

$$\dashv\vdash (0 : ((1 + 2) + (3 + 4))) + (1 : 1) \dashv\vdash 0 : (((1 + 2) + (3 + 4)) + (1 : 1))$$

$$\dashv\vdash ((1 + 2) + (3 + 4)) + (1 : 1) \dashv\vdash 1 : (((1 + 2) + (3 + 4)) + 1)$$

$$\dashv\vdash 1 : ((3 + 7) + 1) \dashv\vdash 1 : ((1 : 0) + 1) \dashv\vdash 1 : (1 : (0 + 1))$$

$$\dashv\vdash (1 + 1) : (0 + 1) \dashv\vdash 2 : 1$$

- ▶ **maximal innermost** strategy (10 redexes in 8 steps)

$$((0 : (1 + 2)) + (3 + 4)) + (5 + 6)$$

$$\dashv\vdash ((0 : 3) + 7) + (1 : 1) \dashv\vdash (3 + 7) + (1 : 1) \dashv\vdash (1 : 0) + (1 : 1)$$

$$\dashv\vdash 1 : (0 + (1 : 1)) \dashv\vdash 1 : (1 : (0 + 1)) \dashv\vdash 1 : (1 : 1) \dashv\vdash (1 + 1) : 1 \dashv\vdash 2 : 1$$

## Definition (Maximal Multi-Step Rewriting)

maximal multi-step relation  $\twoheadrightarrow$  is inductively defined as follows:

- ①  $x \twoheadrightarrow x$  for all variables  $x$
- ②  $f(s_1, \dots, s_n) \twoheadrightarrow f(t_1, \dots, t_n)$  if  $s_i \twoheadrightarrow t_i$  for all  $1 \leq i \leq n$  and  $f(s_1, \dots, s_n)$  is no redex
- ③  $l\sigma \twoheadrightarrow r\tau$  if  $l \rightarrow r \in \mathcal{R}$  and  $\sigma \twoheadrightarrow \tau$

## Definition (Maximal Strategy)

**maximal** strategy performs maximal multi-step for every reducible term

## Example (cont'd)

- ▶ **maximal** strategy (11 redexes in 5 steps)

$$t = ((\overline{0 : (1 + 2)}) + \overline{(3 + 4)}) + \overline{(5 + 6)}$$

$$\begin{aligned} &\rightarrow \overline{0 : (3 + 7)} + \overline{(1 : 1)} \rightarrow 1 : \overline{((1 : 0) + 1)} \rightarrow \overline{1 : (1 : (0 + 1))} \\ &\rightarrow \overline{(1 + 1)} : 1 \rightarrow 2 : 1 \end{aligned}$$

- ▶ **maximal** strategy (12 redexes in 6 steps)

$$\begin{aligned} t &\rightarrow \overline{0 : (3 + 7)} + \overline{(1 : 1)} \rightarrow \overline{0 : ((1 : 0) + (1 : 1))} \rightarrow 1 : \overline{(0 + (1 : 1))} \\ &\rightarrow \overline{1 : (1 : (0 + 1))} \rightarrow \overline{(1 + 1)} : 1 \rightarrow 2 : 1 \end{aligned}$$

- ▶ **maximal** strategy (12 redexes in 6 steps)

$$\begin{aligned} t &\rightarrow \overline{0 : (3 + 7)} + \overline{(1 : 1)} \rightarrow \overline{0 : ((1 : 0) + (1 : 1))} \rightarrow 1 : \overline{((1 : 0) + 1)} \\ &\rightarrow \overline{1 : (1 : (0 + 1))} \rightarrow \overline{(1 + 1)} : 1 \rightarrow 2 : 1 \end{aligned}$$

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## 1. Summary of Lecture 9

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## 3. Strategies

## 4. Normalization

Maximal Strategy

Innermost Strategies

Leftmost Outermost Strategy

## 5. Exercises

## 6. Further Reading

## Remark

all strategies defined so-far are **deterministic** for orthogonal TRSs

## Theorem

for orthogonal TRSs

- ▶ maximal and maximal outermost strategies are **hyper-normalizing**
- ▶ innermost strategies are **perpetual**

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## Definition (Cofinality)

rewrite strategy  $\mathcal{S}$  is **cofinal** for TRS  $\mathcal{R}$  if for every maximal sequence

$$s = s_0 \xrightarrow{\mathcal{S}} s_1 \xrightarrow{\mathcal{S}} s_2 \xrightarrow{\mathcal{S}} \dots$$

and every  $s \rightarrow_{\mathcal{R}}^* t$  there exists  $k \geq 0$  such that  $t \rightarrow_{\mathcal{R}}^* s_k$

## Lemma

cofinal strategies are normalizing

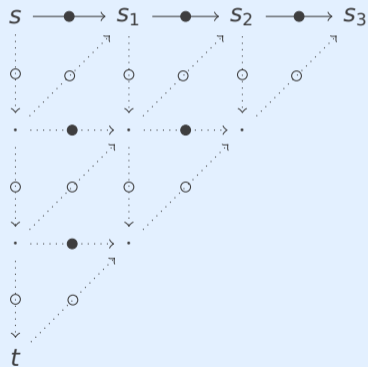
## Proof

- ▶ let  $\mathcal{S}$  be cofinal strategy for TRS  $\mathcal{R}$
- ▶ consider maximal sequence  $s = s_0 \xrightarrow{\mathcal{S}} s_1 \xrightarrow{\mathcal{S}} s_2 \xrightarrow{\mathcal{S}} \dots$  and let  $s \rightarrow_{\mathcal{R}}^! t$
- ▶ cofinality  $\implies t \rightarrow_{\mathcal{R}}^* s_k$  for some  $k \geq 0$
- ▶  $t = s_k$

## Theorem

maximal strategy is cofinal for orthogonal TRSs

## Proof



triangle property

► assume  $s \rightarrow^* t$

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## Theorem

every innermost strategy is **perpetual** for orthogonal TRSs

## Proof Outline

- ① **innermost rewriting has random descent**
- ② innermost normalizing terms are innermost terminating
- ③ innermost terminating terms are terminating

## Notation

$a \xrightarrow[\langle l, r \rangle]{*} b$  if conversion  $a \leftrightarrow^* b$  has  $l$  left ( $\leftarrow$ ) steps and  $r$  right ( $\rightarrow$ ) steps

## Definition (Random Descent)

ARS  $\mathcal{A} = \langle A, \rightarrow \rangle$  has **random descent** if  $a \rightarrow^{r-l} b$  whenever  $a \xrightarrow[\langle l, r \rangle]{*} b$  with  $b \in \text{NF}(\mathcal{A})$

## Theorem

if ARS  $\mathcal{A}$  has random descent and  $a \leftrightarrow^* b$  with  $b \in \text{NF}(\mathcal{A})$  then

- 1  $a$  is **complete** (confluent and terminating)
- 2 all rewrite sequences from  $a$  to  $b$  have **same length**

▶ skip proofs

## Proof

$$\overleftarrow{i} \cdot \overrightarrow{i} \subseteq \overrightarrow{i} \cdot \overleftarrow{i} \cup = :$$

- ▶ let  $s \xrightarrow{i} t_1$  by contracting innermost redex  $\Delta_1$  at position  $p_1$   
let  $s \xrightarrow{i} t_2$  by contracting innermost redex  $\Delta_2$  at position  $p_2$
- ▶ if  $p_1$  and  $p_2$  are parallel then  $t_1 \xrightarrow{i} \cdot \overleftarrow{i} t_2$
- ▶  $p_1 < p_2$  and  $p_2 < p_1$  are impossible because  $p_1$  and  $p_2$  are positions of innermost redexes
- ▶ if  $p_1 = p_2$  then  $\Delta_1 = \Delta_2$  and thus  $t_1 = t_2$  by orthogonality

$$\overleftarrow{i} \cdot \overrightarrow{i} \subseteq \overrightarrow{i} \cdot \overleftarrow{i} \cup = \implies \overrightarrow{i} \text{ has random descent}$$

## Proof Outline

- ① innermost rewriting has random descent
- ② innermost normalizing terms are innermost terminating
- ③ **innermost terminating terms are terminating**

## Proof

$s \xrightarrow{i}! t \implies s$  is complete with respect to  $\xrightarrow{i} \implies s$  is innermost terminating

## Theorem

innermost termination and termination coincide for (terms in) orthogonal TRSs

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## Remark

leftmost outermost strategy is **not** normalizing for all orthogonal TRSs

## Example

$a \rightarrow b$

$c \rightarrow c$

$f(x, b) \rightarrow b$

- ▶ leftmost outermost  $f(c, a) \rightarrow f(c, a) \rightarrow f(c, a) \rightarrow \dots$
- ▶ leftmost innermost  $f(c, a) \rightarrow f(c, a) \rightarrow f(c, a) \rightarrow \dots$
- ▶ maximal outermost  $f(c, a) \twoheadrightarrow f(c, b) \twoheadrightarrow b$
- ▶ maximal innermost  $f(c, a) \twoheadrightarrow f(c, b) \twoheadrightarrow f(c, b) \twoheadrightarrow \dots$
- ▶ maximal  $f(c, a) \dashrightarrow f(c, b) \dashrightarrow b$

## Definitions (Left-Normality)

- ▶ term  $t$  is **left-normal** if variables do not precede (in prefix notation) function symbols
- ▶ TRS  $\mathcal{R}$  is left-normal if all left-hand sides of rules in  $\mathcal{R}$  are left-normal

## Examples

- ▶  $x + (y : z) \rightarrow y : (x + z)$       **not** left-normal      +    $x : y z$
- ▶  $(x : y) + z \rightarrow x : (y + z)$       left-normal      +   :    $x y z$

## Theorem

leftmost outermost strategy is normalizing for orthogonal left-normal TRSs

## Remark

**important** result: **Combinatory Logic** is left-normal

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## Homework Exercises for June 1

- ① Exercise 6.7. ①
- ② Exercise 6.8(a,b). ②
- ③ Exercise 7.1. ②
- ④ Exercise 7.8. ①
- ⑤ Exercise 7.9. ①
- ⑥ Exercise 7.6. ☆☆☆

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## Lecture Notes

- ▶ Section 1.5
- ▶ Section 6.2
- ▶ Section 7.1
- ▶ Section 7.2

## Important Concepts

- ▶ cofinal
- ▶ hyper-normalization
- ▶ innermost normalization
- ▶ innermost rewriting
- ▶ innermost termination
- ▶ left-normality
- ▶ leftmost innermost strategy
- ▶ leftmost outermost strategy
- ▶ maximal innermost strategy
- ▶ maximal outermost strategy
- ▶ maximal strategy
- ▶ normalization
- ▶ outermost rewriting
- ▶ perpetual
- ▶ proof term
- ▶ random descent
- ▶ relative rewriting
- ▶ rewrite strategy
- ▶  $\text{src}(A)$
- ▶  $\text{tgt}(A)$