



# Term Rewriting

Philipp Dablander and **Aart Middeldorp**

# Outline

- 1. Summary of Lecture 10**
- 2. Optimal Strategies**
- 3. Strategy Annotations**
- 4. Simple Termination**
- 5. Exercises**
- 6. Further Reading**

## Definitions

- ▶ **one-step (many-step) rewrite strategy**  $\mathcal{S}$  is relation  $\xrightarrow{\mathcal{S}} \subseteq \rightarrow_{\mathcal{R}}^{(+)}$  such that  $\text{NF}(\mathcal{S}) = \text{NF}(\mathcal{R})$
- ▶ rewrite strategy  $\mathcal{S}$  is **deterministic** if  $\xleftarrow{\mathcal{S}} \cdot \xrightarrow{\mathcal{S}} \subseteq =$
- ▶ rewrite strategy  $\mathcal{S}$  **normalizes** term  $t$  if all  $\mathcal{S}$ -rewrite sequences starting from  $t$  are finite
- ▶ rewrite strategy  $\mathcal{S}$  is **normalizing** if it normalizes every normalizing term
- ▶ **relative rewriting**:  $\rightarrow_{\mathcal{S}/\mathcal{R}} = \rightarrow_{\mathcal{R}}^* \cdot \rightarrow_{\mathcal{S}} \cdot \rightarrow_{\mathcal{R}}^*$
- ▶ rewrite strategy  $\mathcal{S}$  is **hyper-normalizing** if every  $\mathcal{R}$ -normalizing term is  $\mathcal{S}/\mathcal{R}$ -terminating
- ▶ rewrite strategy  $\mathcal{S}$  is **perpetual** if every maximal  $\mathcal{S}$ -rewrite sequence starting from any non-terminating term is infinite
- ▶ rewrite strategy  $\mathcal{S}$  is **cofinal** for TRS  $\mathcal{R}$  if for every  $s \rightarrow_{\mathcal{R}}^* t$  and every maximal sequence  $s = s_0 \xrightarrow{\mathcal{S}} s_1 \xrightarrow{\mathcal{S}} s_2 \xrightarrow{\mathcal{S}} \dots$  there exists  $k \geq 0$  such that  $t \rightarrow_{\mathcal{R}}^* s_k$

## Lemma

cofinal strategies are normalizing

## Definitions

- ▶ **leftmost outermost** strategy contracts leftmost of outermost redexes
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- ▶ **maximal outermost** strategy contracts all outermost redexes
- ▶ **maximal innermost** strategy contracts all innermost redexes
- ▶ **maximal** strategy performs maximal multi-step

## Definition

TRS is **left-normal** if variables do not precede function symbols in left-hand sides

## Theorem

- ▶ maximal strategy is cofinal for orthogonal TRSs
- ▶ maximal outermost strategy is hyper-normalizing for orthogonal TRSs
- ▶ leftmost outermost strategy is normalizing for orthogonal left-normal TRSs

## Notation

$a \xrightarrow[\langle l, r \rangle]{*} b$  if conversion  $a \leftrightarrow^* b$  has  $l$  left ( $\leftarrow$ ) steps and  $r$  right ( $\rightarrow$ ) steps

## Definition

ARS  $\mathcal{A} = \langle A, \rightarrow \rangle$  has **random descent** if  $a \rightarrow^{r-l} b$  whenever  $a \xrightarrow[\langle l, r \rangle]{*} b \in \text{NF}(\mathcal{A})$

## Theorem

if ARS  $\mathcal{A}$  has random descent and  $a \leftrightarrow^* b$  with normal form  $b$  then  $a$  is complete and all rewrite sequences from  $a$  to  $b$  have same length

## Theorem

- ▶ innermost rewriting has random descent for orthogonal TRSs
- ▶ any innermost strategy is perpetual for orthogonal TRSs

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## Observation

maximal outermost and maximal strategies are not **optimal**

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## Example

► TRS  $\mathcal{R}$

$$0 + y \rightarrow y$$

$$0 \times y \rightarrow 0$$

$$s(x) + y \rightarrow s(x + y)$$

$$s(x) \times y \rightarrow (x \times y) + y$$

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- ▶ rewrite sequence  $(0 \times s(0)) \times (0 + s(0)) \dashrightarrow 0 \times s(0)$

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redex occurrence  $\Delta$  in term  $C[\Delta]$  with respect to TRS  $\mathcal{R}$  is **needed** if  $C[\bullet]$  has no normal form in  $\mathcal{R} \cup \{\bullet \rightarrow \bullet\}$

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for **left-normal** orthogonal TRSs **leftmost outermost** redex is needed

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► rewrite rules

$$\text{and}(x, T) \xrightarrow{\alpha} x \quad \text{and}(x, F) \xrightarrow{\beta} F \quad \text{or}(T, x) \xrightarrow{\gamma} T \quad \text{or}(F, x) \xrightarrow{\delta} x \quad \infty \xrightarrow{\epsilon} \infty$$

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  - ▶ argument positions of  $f$
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- ▶ rewrite rule  $f(s_1, \dots, s_n) \rightarrow t$  **needs** argument position  $i$  if
  - ▶  $s_i$  is non-variable, or
  - ▶  $s_i$  is variable that appears in  $s_1, \dots, s_{i-1}, s_{i+1}, \dots, s_n$

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$$\text{redex}_A(t) = \text{redex}'_A(t, A(\text{root}(t)))$$

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$$\text{redex}'_A(t, []) = \perp \quad \text{redex}_A(t) = \text{redex}'_A(t, A(\text{root}(t)))$$

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$$\begin{aligned} \text{redex}'_A(t, []) &= \perp & \text{redex}_A(t) &= \text{redex}'_A(t, A(\text{root}(t))) \\ \text{redex}'_A(t, [\ell \rightarrow r \mid L]) &= \begin{cases} (\epsilon, \ell \rightarrow r) & \text{if } t \geq \ell \\ \text{redex}'_A(t, L) & \text{otherwise} \end{cases} \end{aligned}$$

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$$\text{redex}'_A(t, []) = \perp \quad \text{redex}_A(t) = \text{redex}'_A(t, A(\text{root}(t)))$$

$$\text{redex}'_A(t, [\ell \rightarrow r \mid L]) = \begin{cases} (\epsilon, \ell \rightarrow r) & \text{if } t \geq \ell \\ \text{redex}'_A(t, L) & \text{otherwise} \end{cases}$$

$$\text{redex}'_A(t, [i \mid L]) = \begin{cases} (ip, \ell \rightarrow r) & \text{if } \text{redex}_A(t|_i) = (p, \ell \rightarrow r) \\ \text{redex}'_A(t, L) & \text{otherwise} \end{cases}$$

## Example

- ▶ rewrite rules

$$\text{and}(x, T) \xrightarrow{\alpha} x \quad \text{and}(x, F) \xrightarrow{\beta} F \quad \text{or}(T, x) \xrightarrow{\gamma} T \quad \text{or}(F, x) \xrightarrow{\delta} x \quad \infty \xrightarrow{\epsilon} \infty$$

- ▶ strategy annotation

$$A(\text{and}) = [2, \alpha, \beta, 1]$$

$$A(\text{or}) = [1, \gamma, \delta, 2]$$

$$A(\infty) = [\epsilon]$$

- ▶ evaluation  $\text{redex}_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)))$

## Example

- ▶ rewrite rules

$$\text{and}(x, T) \xrightarrow{\alpha} x \quad \text{and}(x, F) \xrightarrow{\beta} F \quad \text{or}(T, x) \xrightarrow{\gamma} T \quad \text{or}(F, x) \xrightarrow{\delta} x \quad \infty \xrightarrow{\epsilon} \infty$$

- ▶ strategy annotation

$$A(\text{and}) = [2, \alpha, \beta, 1]$$

$$A(\text{or}) = [1, \gamma, \delta, 2]$$

$$A(\infty) = [\epsilon]$$

- ▶ evaluation

$$\text{redex}_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)))$$

$$= \text{redex}'_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)), [1, \gamma, \delta, 2])$$

## Example

- ▶ rewrite rules

$$\text{and}(x, T) \xrightarrow{\alpha} x \quad \text{and}(x, F) \xrightarrow{\beta} F \quad \text{or}(T, x) \xrightarrow{\gamma} T \quad \text{or}(F, x) \xrightarrow{\delta} x \quad \infty \xrightarrow{\epsilon} \infty$$

- ▶ strategy annotation

$$A(\text{and}) = [2, \alpha, \beta, 1]$$

$$A(\text{or}) = [1, \gamma, \delta, 2]$$

$$A(\infty) = [\epsilon]$$

- ▶ evaluation

$$\text{redex}_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)))$$

$$= \text{redex}'_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)), [1, \gamma, \delta, 2])$$

$$\text{redex}_A(\text{and}(\infty, F))$$

## Example

- ▶ rewrite rules

$$\text{and}(x, T) \xrightarrow{\alpha} x \quad \text{and}(x, F) \xrightarrow{\beta} F \quad \text{or}(T, x) \xrightarrow{\gamma} T \quad \text{or}(F, x) \xrightarrow{\delta} x \quad \infty \xrightarrow{\epsilon} \infty$$

- ▶ strategy annotation

$$A(\text{and}) = [2, \alpha, \beta, 1]$$

$$A(\text{or}) = [1, \gamma, \delta, 2]$$

$$A(\infty) = [\epsilon]$$

- ▶ evaluation

$$\text{redex}_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)))$$

$$= \text{redex}'_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)), [1, \gamma, \delta, 2])$$

$$\text{redex}_A(\text{and}(\infty, F))$$

$$= \text{redex}'_A(\text{and}(\infty, F), [2, \alpha, \beta, 1])$$

## Example

- ▶ rewrite rules

$$\text{and}(x, T) \xrightarrow{\alpha} x \quad \text{and}(x, F) \xrightarrow{\beta} F \quad \text{or}(T, x) \xrightarrow{\gamma} T \quad \text{or}(F, x) \xrightarrow{\delta} x \quad \infty \xrightarrow{\epsilon} \infty$$

- ▶ strategy annotation

$$A(\text{and}) = [2, \alpha, \beta, 1]$$

$$A(\text{or}) = [1, \gamma, \delta, 2]$$

$$A(\infty) = [\epsilon]$$

- ▶ evaluation

$$\text{redex}_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)))$$

$$= \text{redex}'_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)), [1, \gamma, \delta, 2])$$

$$\text{redex}_A(\text{and}(\infty, F))$$

$$= \text{redex}'_A(\text{and}(\infty, F), [2, \alpha, \beta, 1])$$

$$\text{redex}_A(F)$$

## Example

- ▶ rewrite rules

$$\text{and}(x, T) \xrightarrow{\alpha} x \quad \text{and}(x, F) \xrightarrow{\beta} F \quad \text{or}(T, x) \xrightarrow{\gamma} T \quad \text{or}(F, x) \xrightarrow{\delta} x \quad \infty \xrightarrow{\epsilon} \infty$$

- ▶ strategy annotation

$$A(\text{and}) = [2, \alpha, \beta, 1]$$

$$A(\text{or}) = [1, \gamma, \delta, 2]$$

$$A(\infty) = [\epsilon]$$

- ▶ evaluation

$$\text{redex}_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)))$$

$$= \text{redex}'_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)), [1, \gamma, \delta, 2])$$

$$\text{redex}_A(\text{and}(\infty, F))$$

$$= \text{redex}'_A(\text{and}(\infty, F), [2, \alpha, \beta, 1])$$

$$\text{redex}_A(F) = \perp$$

## Example

- ▶ rewrite rules

$$\text{and}(x, T) \xrightarrow{\alpha} x \quad \text{and}(x, F) \xrightarrow{\beta} F \quad \text{or}(T, x) \xrightarrow{\gamma} T \quad \text{or}(F, x) \xrightarrow{\delta} x \quad \infty \xrightarrow{\epsilon} \infty$$

- ▶ strategy annotation

$$A(\text{and}) = [2, \alpha, \beta, 1]$$

$$A(\text{or}) = [1, \gamma, \delta, 2]$$

$$A(\infty) = [\epsilon]$$

- ▶ evaluation

$$\text{redex}_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)))$$

$$= \text{redex}'_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)), [1, \gamma, \delta, 2])$$

$$\text{redex}_A(\text{and}(\infty, F))$$

$$= \text{redex}'_A(\text{and}(\infty, F), [2, \alpha, \beta, 1])$$

$$= \text{redex}'_A(\text{and}(\infty, F), [\alpha, \beta, 1])$$

$$\text{redex}_A(F) = \perp$$

## Example

- ▶ rewrite rules

$$\text{and}(x, T) \xrightarrow{\alpha} x \quad \text{and}(x, F) \xrightarrow{\beta} F \quad \text{or}(T, x) \xrightarrow{\gamma} T \quad \text{or}(F, x) \xrightarrow{\delta} x \quad \infty \xrightarrow{\epsilon} \infty$$

- ▶ strategy annotation

$$A(\text{and}) = [2, \alpha, \beta, 1]$$

$$A(\text{or}) = [1, \gamma, \delta, 2]$$

$$A(\infty) = [\epsilon]$$

- ▶ evaluation

$$\text{redex}_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)))$$

$$= \text{redex}'_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)), [1, \gamma, \delta, 2])$$

$$\text{redex}_A(\text{and}(\infty, F))$$

$$= \text{redex}'_A(\text{and}(\infty, F), [2, \alpha, \beta, 1])$$

$$= \text{redex}'_A(\text{and}(\infty, F), [\alpha, \beta, 1])$$

$$= \text{redex}'_A(\text{and}(\infty, F), [\beta, 1])$$

$$\text{redex}_A(F) = \perp$$

## Example

- ▶ rewrite rules

$$\text{and}(x, T) \xrightarrow{\alpha} x \quad \text{and}(x, F) \xrightarrow{\beta} F \quad \text{or}(T, x) \xrightarrow{\gamma} T \quad \text{or}(F, x) \xrightarrow{\delta} x \quad \infty \xrightarrow{\epsilon} \infty$$

- ▶ strategy annotation

$$A(\text{and}) = [2, \alpha, \beta, 1]$$

$$A(\text{or}) = [1, \gamma, \delta, 2]$$

$$A(\infty) = [\epsilon]$$

- ▶ evaluation

$$\begin{aligned} & \text{redex}_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty))) \\ &= \text{redex}'_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)), [1, \gamma, \delta, 2]) \\ & \text{redex}_A(\text{and}(\infty, F)) \\ &= \text{redex}'_A(\text{and}(\infty, F), [2, \alpha, \beta, 1]) \\ &= \text{redex}'_A(\text{and}(\infty, F), [\alpha, \beta, 1]) \\ &= \text{redex}'_A(\text{and}(\infty, F), [\beta, 1]) = (\epsilon, \beta) \\ & \text{redex}_A(F) = \perp \end{aligned}$$

## Example

- ▶ rewrite rules

$$\text{and}(x, T) \xrightarrow{\alpha} x \quad \text{and}(x, F) \xrightarrow{\beta} F \quad \text{or}(T, x) \xrightarrow{\gamma} T \quad \text{or}(F, x) \xrightarrow{\delta} x \quad \infty \xrightarrow{\epsilon} \infty$$

- ▶ strategy annotation

$$A(\text{and}) = [2, \alpha, \beta, 1]$$

$$A(\text{or}) = [1, \gamma, \delta, 2]$$

$$A(\infty) = [\epsilon]$$

- ▶ evaluation

$$\text{redex}_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)))$$

$$= \text{redex}'_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)), [1, \gamma, \delta, 2]) = (1, \beta)$$

$$\text{redex}_A(\text{and}(\infty, F))$$

$$= \text{redex}'_A(\text{and}(\infty, F), [2, \alpha, \beta, 1])$$

$$= \text{redex}'_A(\text{and}(\infty, F), [\alpha, \beta, 1])$$

$$= \text{redex}'_A(\text{and}(\infty, F), [\beta, 1]) = (\epsilon, \beta)$$

$$\text{redex}_A(F) = \perp$$

## Lemma

if argument position  $i$  is not needed for  $\ell$  and  $t \geq \ell$  then  $t[u]_i \geq \ell$  for any term  $u$

## Definition

$$\begin{aligned} \text{redex}'_A(t, []) &= \perp & \text{redex}_A(t) &= \text{redex}'_A(t, A(\text{root}(t))) \\ \text{redex}'_A(t, [\ell \rightarrow r \mid L]) &= \begin{cases} (\epsilon, \ell \rightarrow r) & \text{if } t \geq \ell \\ \text{redex}'_A(t, L) & \text{otherwise} \end{cases} \\ \text{redex}'_A(t, [i \mid L]) &= \begin{cases} (ip, \ell \rightarrow r) & \text{if } \text{redex}_A(t|_i) = (p, \ell \rightarrow r) \\ \text{redex}'_A(t, L) & \text{otherwise} \end{cases} \end{aligned}$$

## Theorem

$\forall$  full strategy annotation  $A$   $\forall$  term  $t$   $\text{redex}_A(t) = \perp \iff t$  is normal form

## Example

- ▶ rewrite rules

$$\text{if}(T, x, y) \xrightarrow{\alpha} x$$

$$\text{if}(F, x, y) \xrightarrow{\beta} y$$

$$\text{if}(z, x, x) \xrightarrow{\gamma} x$$

- ▶ strategy annotation  $A$  with  $A(\text{if}) = [1, \alpha, 2, 3, \gamma]$  is not full

$$\text{redex}_A(\text{if}(F, T, F)) = \perp$$

$$\text{if}(F, T, F) \rightarrow F$$

## Example

- ▶ rewrite rules

$$\text{if}(T, x, y) \xrightarrow{\alpha} x$$

$$\text{if}(F, x, y) \xrightarrow{\beta} y$$

$$\text{if}(z, x, x) \xrightarrow{\gamma} x$$

- ▶ strategy annotation  $A$  with  $A(\text{if}) = [1, \alpha, 2, 3, \gamma]$  is not full

$$\text{redex}_A(\text{if}(F, T, F)) = \perp$$

$$\text{if}(F, T, F) \rightarrow F$$

## Definition

$s \xrightarrow{S_A} t$  if  $\text{redex}_A(s) = (p, \ell \rightarrow r)$  and  $s \rightarrow_{p|\ell \rightarrow r} t$

## Example

- ▶ rewrite rules

$$\text{if}(T, x, y) \xrightarrow{\alpha} x$$

$$\text{if}(F, x, y) \xrightarrow{\beta} y$$

$$\text{if}(z, x, x) \xrightarrow{\gamma} x$$

- ▶ strategy annotation  $A$  with  $A(\text{if}) = [1, \alpha, 2, 3, \gamma]$  is not full

$$\text{redex}_A(\text{if}(F, T, F)) = \perp$$

$$\text{if}(F, T, F) \rightarrow F$$

## Definition

$s \xrightarrow{S_A} t$  if  $\text{redex}_A(s) = (p, \ell \rightarrow r)$  and  $s \rightarrow_{p|\ell \rightarrow r} t$

## Corollary

$S_A$  is rewrite strategy for every **full** strategy annotation  $A$

## Definition

$$\text{normalize}_A(t) = \text{normalize}'_A(t, A(\text{root}(t)))$$

## Definition

$$\text{normalize}_A(t) = \text{normalize}'_A(t, A(\text{root}(t)))$$

$$\text{normalize}'_A(t, []) = t$$

## Definition

$$\text{normalize}_A(t) = \text{normalize}'_A(t, A(\text{root}(t)))$$

$$\text{normalize}'_A(t, []) = t$$

$$\text{normalize}'_A(t, [\ell \rightarrow r \mid L]) = \begin{cases} \text{normalize}_A(r\sigma) & \text{if } t = \ell\sigma \text{ for some substitution } \sigma \\ \text{normalize}'_A(t, L) & \text{otherwise} \end{cases}$$

## Definition

$$\text{normalize}_A(t) = \text{normalize}'_A(t, A(\text{root}(t)))$$

$$\text{normalize}'_A(t, []) = t$$

$$\text{normalize}'_A(t, [\ell \rightarrow r \mid L]) = \begin{cases} \text{normalize}_A(r\sigma) & \text{if } t = \ell\sigma \text{ for some substitution } \sigma \\ \text{normalize}'_A(t, L) & \text{otherwise} \end{cases}$$

$$\text{normalize}'_A(t, [i \mid L]) = \text{normalize}'_A(t[\text{normalize}_A(t|_i)]_i, L)$$

## Example

- ▶ rewrite rules

$$\text{and}(x, T) \xrightarrow{\alpha} x \quad \text{and}(x, F) \xrightarrow{\beta} F \quad \text{or}(T, x) \xrightarrow{\gamma} T \quad \text{or}(F, x) \xrightarrow{\delta} x \quad \infty \xrightarrow{\epsilon} \infty$$

- ▶ strategy annotation

$$A(\text{and}) = [2, \alpha, \beta, 1]$$

$$A(\text{or}) = [1, \gamma, \delta, 2]$$

$$A(\infty) = [\epsilon]$$

- ▶ evaluation      $\text{normalize}_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)))$

## Example

- ▶ rewrite rules

$$\text{and}(x, T) \xrightarrow{\alpha} x \quad \text{and}(x, F) \xrightarrow{\beta} F \quad \text{or}(T, x) \xrightarrow{\gamma} T \quad \text{or}(F, x) \xrightarrow{\delta} x \quad \infty \xrightarrow{\epsilon} \infty$$

- ▶ strategy annotation

$$A(\text{and}) = [2, \alpha, \beta, 1]$$

$$A(\text{or}) = [1, \gamma, \delta, 2]$$

$$A(\infty) = [\epsilon]$$

- ▶ evaluation       $\text{normalize}_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)))$

$$= \text{normalize}'_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)), [1, \gamma, \delta, 2])$$

## Example

- ▶ rewrite rules

$$\text{and}(x, T) \xrightarrow{\alpha} x \quad \text{and}(x, F) \xrightarrow{\beta} F \quad \text{or}(T, x) \xrightarrow{\gamma} T \quad \text{or}(F, x) \xrightarrow{\delta} x \quad \infty \xrightarrow{\epsilon} \infty$$

- ▶ strategy annotation

$$A(\text{and}) = [2, \alpha, \beta, 1]$$

$$A(\text{or}) = [1, \gamma, \delta, 2]$$

$$A(\infty) = [\epsilon]$$

- ▶ evaluation

$$\text{normalize}_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)))$$

$$= \text{normalize}'_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)), [1, \gamma, \delta, 2])$$

$$= \text{normalize}'_A(\text{or}(\text{normalize}_A(\text{and}(\infty, F)), \text{or}(T, \infty)), [\gamma, \delta, 2])$$

## Example

- ▶ rewrite rules

$$\text{and}(x, T) \xrightarrow{\alpha} x \quad \text{and}(x, F) \xrightarrow{\beta} F \quad \text{or}(T, x) \xrightarrow{\gamma} T \quad \text{or}(F, x) \xrightarrow{\delta} x \quad \infty \xrightarrow{\epsilon} \infty$$

- ▶ strategy annotation

$$A(\text{and}) = [2, \alpha, \beta, 1]$$

$$A(\text{or}) = [1, \gamma, \delta, 2]$$

$$A(\infty) = [\epsilon]$$

- ▶ evaluation

$$\text{normalize}_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)))$$

$$= \text{normalize}'_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)), [1, \gamma, \delta, 2])$$

$$= \text{normalize}'_A(\text{or}(\text{normalize}_A(\text{and}(\infty, F)), \text{or}(T, \infty)), [\gamma, \delta, 2])$$

$$\text{normalize}_A(\text{and}(\infty, F))$$

$$= \text{normalize}'_A(\text{and}(\infty, F), [2, \alpha, \beta, 1])$$

## Example

- ▶ rewrite rules

$$\text{and}(x, T) \xrightarrow{\alpha} x \quad \text{and}(x, F) \xrightarrow{\beta} F \quad \text{or}(T, x) \xrightarrow{\gamma} T \quad \text{or}(F, x) \xrightarrow{\delta} x \quad \infty \xrightarrow{\epsilon} \infty$$

- ▶ strategy annotation

$$A(\text{and}) = [2, \alpha, \beta, 1]$$

$$A(\text{or}) = [1, \gamma, \delta, 2]$$

$$A(\infty) = [\epsilon]$$

- ▶ evaluation

$$\text{normalize}_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)))$$

$$= \text{normalize}'_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)), [1, \gamma, \delta, 2])$$

$$= \text{normalize}'_A(\text{or}(\text{normalize}_A(\text{and}(\infty, F)), \text{or}(T, \infty)), [\gamma, \delta, 2])$$

$$\text{normalize}_A(\text{and}(\infty, F))$$

$$= \text{normalize}'_A(\text{and}(\infty, F), [2, \alpha, \beta, 1])$$

$$= \text{normalize}'_A(\text{and}(\infty, \text{normalize}_A(F)), [\alpha, \beta, 1])$$

## Example

- ▶ rewrite rules

$$\text{and}(x, T) \xrightarrow{\alpha} x \quad \text{and}(x, F) \xrightarrow{\beta} F \quad \text{or}(T, x) \xrightarrow{\gamma} T \quad \text{or}(F, x) \xrightarrow{\delta} x \quad \infty \xrightarrow{\epsilon} \infty$$

- ▶ strategy annotation

$$A(\text{and}) = [2, \alpha, \beta, 1] \quad A(\text{or}) = [1, \gamma, \delta, 2] \quad A(\infty) = [\epsilon]$$

- ▶ evaluation

$$\text{normalize}_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)))$$

$$= \text{normalize}'_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)), [1, \gamma, \delta, 2])$$

$$= \text{normalize}'_A(\text{or}(\text{normalize}_A(\text{and}(\infty, F)), \text{or}(T, \infty)), [\gamma, \delta, 2])$$

$$\text{normalize}_A(\text{and}(\infty, F))$$

$$= \text{normalize}'_A(\text{and}(\infty, F), [2, \alpha, \beta, 1])$$

$$= \text{normalize}'_A(\text{and}(\infty, \text{normalize}_A(F)), [\alpha, \beta, 1])$$

$$= \text{normalize}'_A(\text{and}(\infty, F), [\alpha, \beta, 1])$$

## Example

- ▶ rewrite rules

$$\text{and}(x, T) \xrightarrow{\alpha} x \quad \text{and}(x, F) \xrightarrow{\beta} F \quad \text{or}(T, x) \xrightarrow{\gamma} T \quad \text{or}(F, x) \xrightarrow{\delta} x \quad \infty \xrightarrow{\epsilon} \infty$$

- ▶ strategy annotation

$$A(\text{and}) = [2, \alpha, \beta, 1] \quad A(\text{or}) = [1, \gamma, \delta, 2] \quad A(\infty) = [\epsilon]$$

- ▶ evaluation

$$\begin{aligned} & \text{normalize}_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty))) \\ &= \text{normalize}'_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)), [1, \gamma, \delta, 2]) \\ &= \text{normalize}'_A(\text{or}(\text{normalize}_A(\text{and}(\infty, F)), \text{or}(T, \infty)), [\gamma, \delta, 2]) \\ & \text{normalize}_A(\text{and}(\infty, F)) \\ &= \text{normalize}'_A(\text{and}(\infty, F), [2, \alpha, \beta, 1]) \\ &= \text{normalize}'_A(\text{and}(\infty, \text{normalize}_A(F)), [\alpha, \beta, 1]) \\ &= \text{normalize}'_A(\text{and}(\infty, F), [\alpha, \beta, 1]) \\ &= \text{normalize}'_A(\text{and}(\infty, F), [\beta, 1]) \end{aligned}$$

## Example

### ► rewrite rules

$$\text{and}(x, T) \xrightarrow{\alpha} x \quad \text{and}(x, F) \xrightarrow{\beta} F \quad \text{or}(T, x) \xrightarrow{\gamma} T \quad \text{or}(F, x) \xrightarrow{\delta} x \quad \infty \xrightarrow{\epsilon} \infty$$

### ► strategy annotation

$$A(\text{and}) = [2, \alpha, \beta, 1]$$

$$A(\text{or}) = [1, \gamma, \delta, 2]$$

$$A(\infty) = [\epsilon]$$

### ► evaluation

$$\text{normalize}_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)))$$

$$= \text{normalize}'_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)), [1, \gamma, \delta, 2])$$

$$= \text{normalize}'_A(\text{or}(\text{normalize}_A(\text{and}(\infty, F)), \text{or}(T, \infty)), [\gamma, \delta, 2])$$

$$\text{normalize}_A(\text{and}(\infty, F))$$

$$= \text{normalize}'_A(\text{and}(\infty, F), [2, \alpha, \beta, 1])$$

$$= \text{normalize}'_A(\text{and}(\infty, \text{normalize}_A(F)), [\alpha, \beta, 1])$$

$$= \text{normalize}'_A(\text{and}(\infty, F), [\alpha, \beta, 1])$$

$$= \text{normalize}'_A(\text{and}(\infty, F), [\beta, 1]) = \text{normalize}_A(F)$$

## Example

### ► rewrite rules

$$\text{and}(x, T) \xrightarrow{\alpha} x \quad \text{and}(x, F) \xrightarrow{\beta} F \quad \text{or}(T, x) \xrightarrow{\gamma} T \quad \text{or}(F, x) \xrightarrow{\delta} x \quad \infty \xrightarrow{\epsilon} \infty$$

### ► strategy annotation

$$A(\text{and}) = [2, \alpha, \beta, 1]$$

$$A(\text{or}) = [1, \gamma, \delta, 2]$$

$$A(\infty) = [\epsilon]$$

### ► evaluation

$$\text{normalize}_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)))$$

$$= \text{normalize}'_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)), [1, \gamma, \delta, 2])$$

$$= \text{normalize}'_A(\text{or}(\text{normalize}_A(\text{and}(\infty, F)), \text{or}(T, \infty)), [\gamma, \delta, 2])$$

$$\text{normalize}_A(\text{and}(\infty, F))$$

$$= \text{normalize}'_A(\text{and}(\infty, F), [2, \alpha, \beta, 1])$$

$$= \text{normalize}'_A(\text{and}(\infty, \text{normalize}_A(F)), [\alpha, \beta, 1])$$

$$= \text{normalize}'_A(\text{and}(\infty, F), [\alpha, \beta, 1])$$

$$= \text{normalize}'_A(\text{and}(\infty, F), [\beta, 1]) = \text{normalize}_A(F) = F$$

## Example

- ▶ rewrite rules

$$\text{and}(x, T) \xrightarrow{\alpha} x \quad \text{and}(x, F) \xrightarrow{\beta} F \quad \text{or}(T, x) \xrightarrow{\gamma} T \quad \text{or}(F, x) \xrightarrow{\delta} x \quad \infty \xrightarrow{\epsilon} \infty$$

- ▶ strategy annotation

$$A(\text{and}) = [2, \alpha, \beta, 1] \quad A(\text{or}) = [1, \gamma, \delta, 2] \quad A(\infty) = [\epsilon]$$

- ▶ evaluation  $\text{normalize}_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)))$

$$= \text{normalize}'_A(\text{or}(\text{and}(\infty, F), \text{or}(T, \infty)), [1, \gamma, \delta, 2])$$

$$= \text{normalize}'_A(\text{or}(\text{normalize}_A(\text{and}(\infty, F)), \text{or}(T, \infty)), [\gamma, \delta, 2])$$

$$= \text{normalize}'_A(\text{or}(F, \text{or}(T, \infty)), [\gamma, \delta, 2])$$

## Example

- ▶ rewrite rules

$$\text{and}(x, T) \xrightarrow{\alpha} x \quad \text{and}(x, F) \xrightarrow{\beta} F \quad \text{or}(T, x) \xrightarrow{\gamma} T \quad \text{or}(F, x) \xrightarrow{\delta} x \quad \infty \xrightarrow{\epsilon} \infty$$

- ▶ strategy annotation

$$A(\text{and}) = [2, \alpha, \beta, 1]$$

$$A(\text{or}) = [1, \gamma, \delta, 2]$$

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## Definition

$$\text{normalize}_A(t) = \text{normalize}'_A(t, A(\text{root}(t)))$$

$$\text{normalize}'_A(t, []) = t$$

$$\text{normalize}'_A(t, [\ell \rightarrow r \mid L]) = \begin{cases} \text{normalize}_A(r\sigma) & \text{if } t = \ell\sigma \text{ for some substitution } \sigma \\ \text{normalize}'_A(t, L) & \text{otherwise} \end{cases}$$

$$\text{normalize}'_A(t, [i \mid L]) = \text{normalize}'_A(t[\text{normalize}_A(t|_i)]_i, L)$$

## Remark

$\text{normalize}$  and  $\text{normalize}'$  are evaluated in call-by-value manner

## Theorem

$\forall$  full and **in-time** strategy annotation  $A$

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$\forall$  full and **in-time** strategy annotation  $A \quad \forall$  term  $t$

leftmost innermost strategy normalizes  $t \implies \mathcal{S}_A$  normalizes  $t$

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## 4. Simple Termination

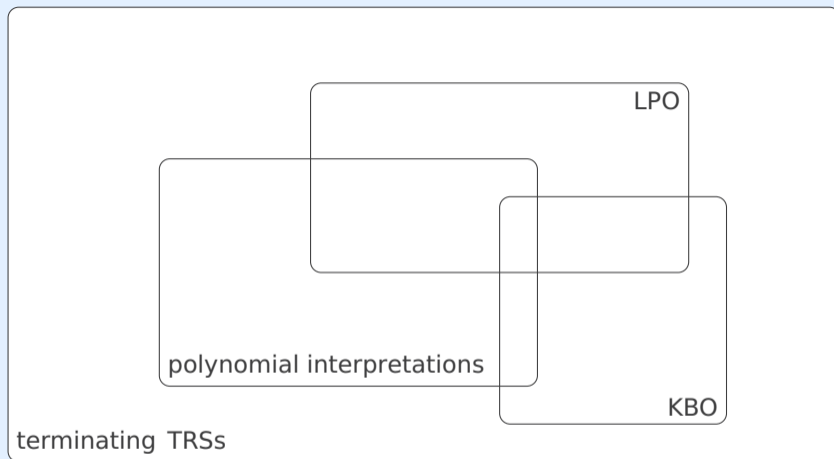
Embedding

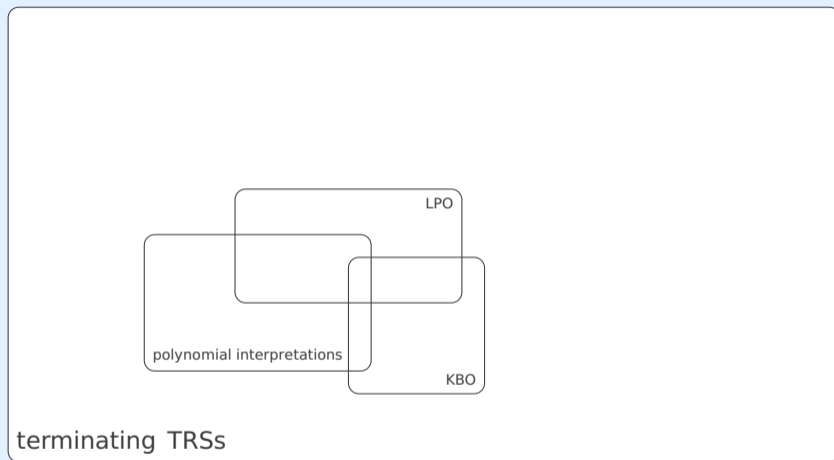
Kruskal's Tree Theorem

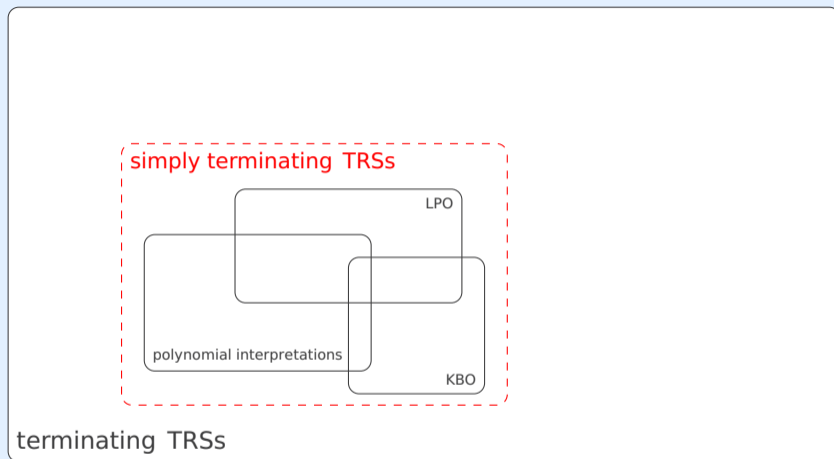
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- ▶ term relation  $>$  has **subterm property** if  $C[t] > t$  for all non-empty contexts  $C$  and terms  $t$

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term  $f(g(a), b)$  is (properly) embedded in  $f(h(g(a), a), g(b))$  since

$$f(h(g(a), a), g(b)) \rightarrow f(g(a), g(b)) \rightarrow f(g(a), b)$$

in TRS

$$\mathcal{E}_{mb}(\{a, b, f, g, h\}) = \left\{ \begin{array}{lll} f(x, y) \rightarrow x & g(x) \rightarrow x & h(x, y) \rightarrow x \\ f(x, y) \rightarrow y & & h(x, y) \rightarrow y \end{array} \right\}$$

## Lemma

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assumption: signatures are **finite**

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$$f(a, b, f(a, b, b)) \rightarrow f(f(a, b, b), f(a, b, b), f(a, b, b))$$

## Corollary

simply terminating TRSs are terminating

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TRS  $\mathcal{R}$  is simply terminating if and only if  $\mathcal{R} \cup \mathcal{E}mb$  is terminating

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# Outline

## 1. Summary of Lecture 10

## 2. Optimal Strategies

## 3. Strategy Annotations

## 4. Simple Termination

Embedding

Kruskal's Tree Theorem

Simple Monotone Algebras

## 5. Exercises

## 6. Further Reading

## Definition (Simple Monotone Algebra)

**simple monotone**  $\mathcal{F}$ -algebra  $(\mathcal{A}, >)$  consists of non-empty algebra  $\mathcal{A} = (A, \{f_{\mathcal{A}}\}_{f \in \mathcal{F}})$  with well-founded order  $>$  on  $A$  such that every  $f_{\mathcal{A}}$  is simple and weakly monotone:

$$f_{\mathcal{A}}(a_1, \dots, a_i, \dots, a_n) \geq a_i$$

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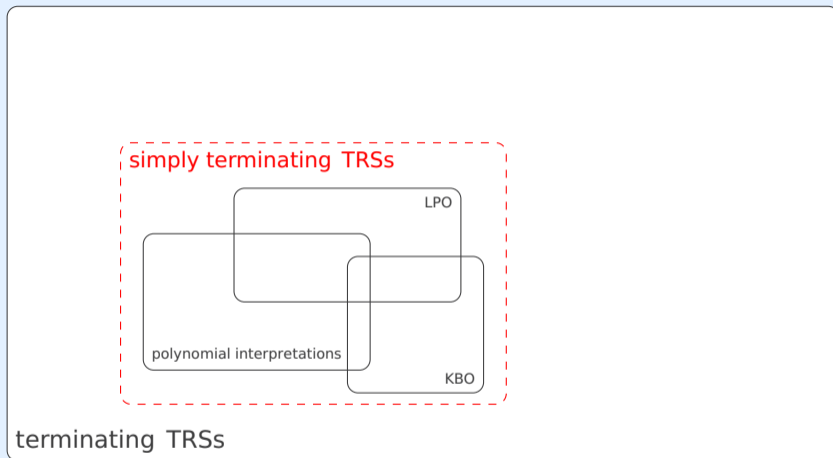
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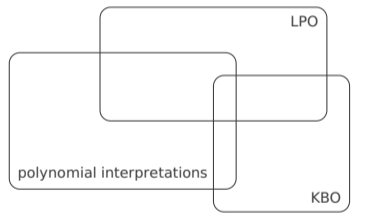
## Corollary

polynomially terminating TRSs are simply terminating



$aa \rightarrow aba$

simply terminating TRSs

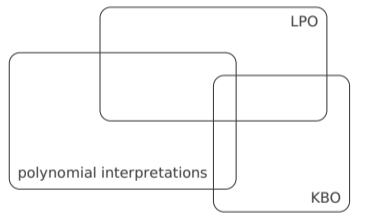


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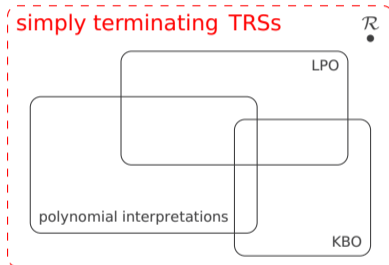
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terminating TRSs

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terminating TRSs

$\mathcal{R}: \quad f(a) \rightarrow f(b) \quad g(b) \rightarrow g(a)$

# Outline

1. Summary of Lecture 10
2. Optimal Strategies
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- 5. Exercises**
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## Homework Exercises for June 8

- ① Exercise 7.12.
- ② Exercise 7.17.
- ③ Exercise 7.19.
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next lecture (June 8): online evaluation in presence

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next lecture (June 8): online evaluation in presence  $\implies$  bring device

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## Lecture Notes

- ▶ Section 7.3
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## Important Concepts

- ▶ embedding
- ▶ full strategy annotation
- ▶ in-time strategy annotation
- ▶ Kruskal's Tree Theorem
- ▶ needed
- ▶ simple monotone algebra
- ▶ simple termination
- ▶ simplification order
- ▶ subterm property
- ▶ strategy annotation